

O 11 E 3-1

San Fran - Council of Institutional Investors released list of 20 companies with the worst returns for their stockholders.

D 11 D 1-1

Det News evaluation of 125 Mich. publicly-traded companies to see which do best job of serving shareholders-Howes

D 11 D 2-1

Det. News study shows some companies serve investors, some, themselves-Howes

D 11, D 3-1

Report on each of the 125 Mich. companies, and grades given by Det. News

D 11 D 6-1

How to read corporate proxy statements and annual reports

D 11 D 7-1

Mich. companies with poor ratings in serving stockholders-Howes

-1994-

D 11 D 8-1

Michigan's best public companies in respect to serving their
stockholders-Howes

-1995-

Mr 9 A 5-5

DC - House voted to make it harder to file fraud suits.

My 26 A 5-5

British brewer Young's discontinue free drinks at annual
meeting

Je 29, B-3-5

Proposed bill would limit shareholder suits agst firms.

1998

My 31 C 4-1

Dallas-Interfaith Center on Corporate Responsibility try
to influence policies thru shareholder resolutions, on en-
vironmental issues, etc.

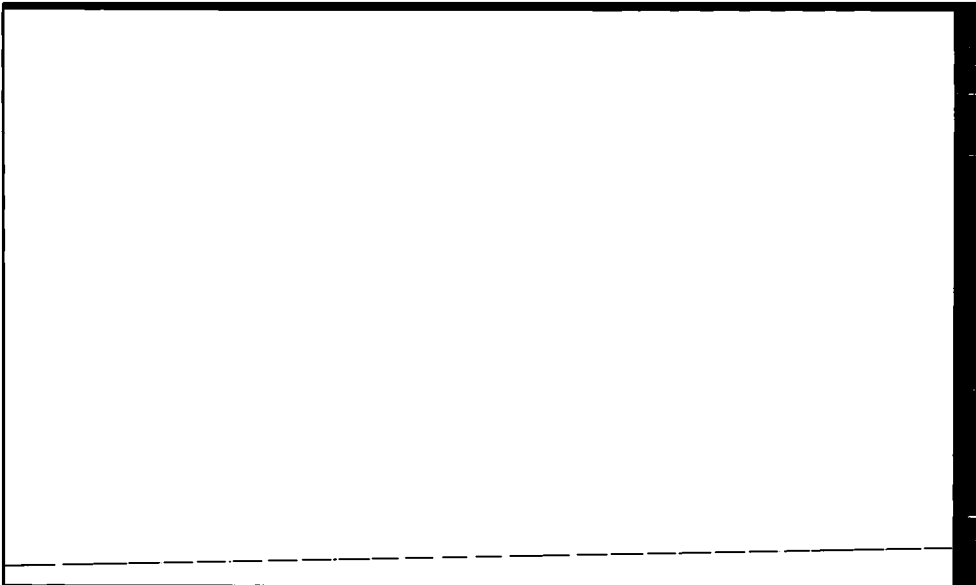
STOCKHOLM, CARL

CHR.: PAN AMERICAN GAMES

1959

F 27, 43-4

QUITS ABOVE POST



STOCKHOLM, CARL G. - FORMER PRESIDENT OF NAVY LEAGUE

1964

AP 22, C-5-1

WASH.-RECEIVED ROBERT M. THOMPSON AWARD FOR OUTSTANDING CIVILIAN
LEADERSHIP



Form 1656

The Detroit News

Ja 3, 1-1

Deputy sheriff, agent for Society for Prevention
of Cruelty to Animals and Childre, supt. of News-
boys' Home, confesses to indecent conduct, resigns
from organizations

Ja 4, 1-3

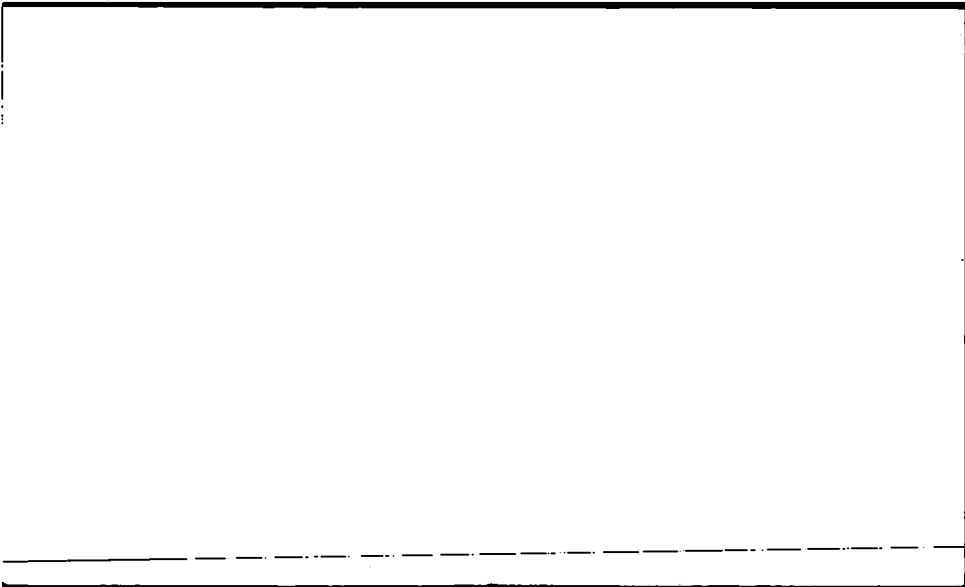
Stocking brought to court

Ja 15, 4-1

Stocking declared insane from injury to head,
by Dr. Dunster, Ann Arbor alienist

Ja 31, 1-6

Committed to Wayne County insane asylum



STOCKING, DR. CHARLES H. : UNIVERSITY OF MICHIGAN PHARMACY DEAN ¹⁹⁴⁹
MY 2, 15-4

APPT. DEAN OF U OF M. PHARMACY COLLEGE

~~1950~~

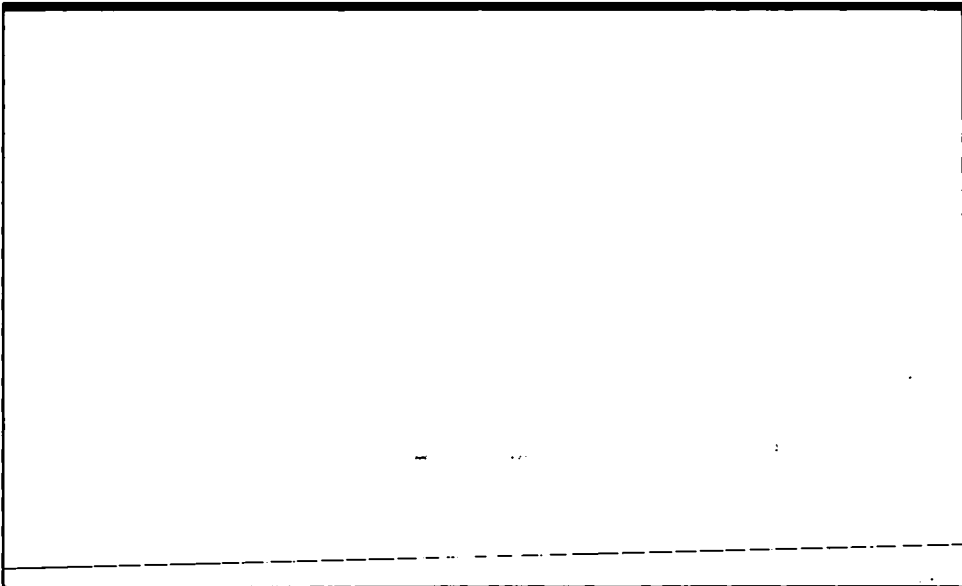
D 21, 6-7

REITRING AS DEAN OF PHARMACY COLLEGE JL 1

~~1951~~

Ap 23, 5-1

RETIRING AT END OF SUMMER SESSION; GIVEN TESTIMONIAL DINNER



STOCKING, KATHLEEN : NEWSPAPER WRITER

1978

Ag 29, B-1-5

**TRAVERSE CITY-MAY GO TO JAIL IF SHE DOES NOT TURN OVER HER
INTERVIEW ON WOMAN CHGD WITH MURDERING HER HUSBAND-CAUFFIEL**

S 1, B-4-1

TC-PAPER ACTS TO PROTECT HER NOTES

S 6, B-4-4 N

KALKASKA-JUDGE WEIGHS SECRECY BID

S 23, A-1-4

**TC-RECORD EAGLE OFFICIALS ORDERED INTO COURT WITH NOTES OF
REPORTER STOCKING.**

Sm 29, B-2-4 W

TC-PAPER TO APPEAL ORDER TO TURN OVER HER NOTES

O 8, A-1-5

TRAVERSE CITY-AGONY & RIGHTS CONFLICT-STARK

O 15, C-12-1

LANS-PAPER APPEALS NOTES RULING

N 16, B-10-1 E

D 9, A-16-1

**LANS-JUSTICES REJECT APPEAL
KALKASKA-NOTES TURNED OVER TO PROSECUTOR.**

-1982-

Mr 28, M-1-2

WINS CONTEST FOR STORY ON AGAWA TRIP

-1983-

U 6, 3-6-5 U

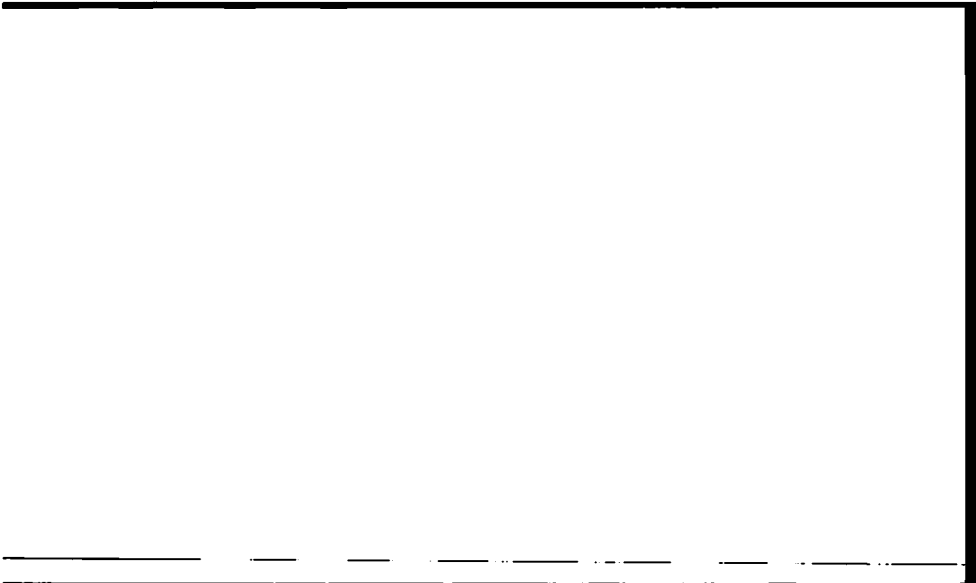
LELAND-COURT ASKED TO REVIVE POISONING CONFESSION OF KAREN
BELKNAP IN DEATH OF JUSTIN GILTNER69

1976

~~STOCKING, OMAR: BANKER~~

AP23, B-1-3N

ROYAL OAK BANKED RUNNING FOR 68TH DISTRICT SEAT OF MICH.
LEGISLATURE.. MURPHY.

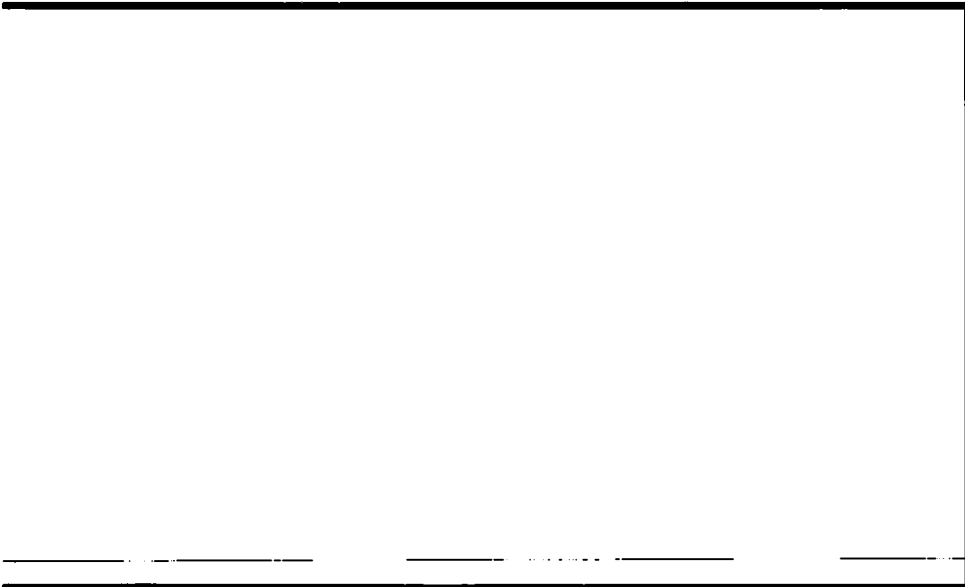


1976

STOCKING, PIERCE : SUIT

MR 10, B-3-3

GRAND RAPIDS-GOVT TRYING TO GET HIS 3000 ACRES CONDEMNED
SO THEY CAN ADD IT TO SLEEPING BEAR DUNES PARK; HE'S
FIGHTING BACK



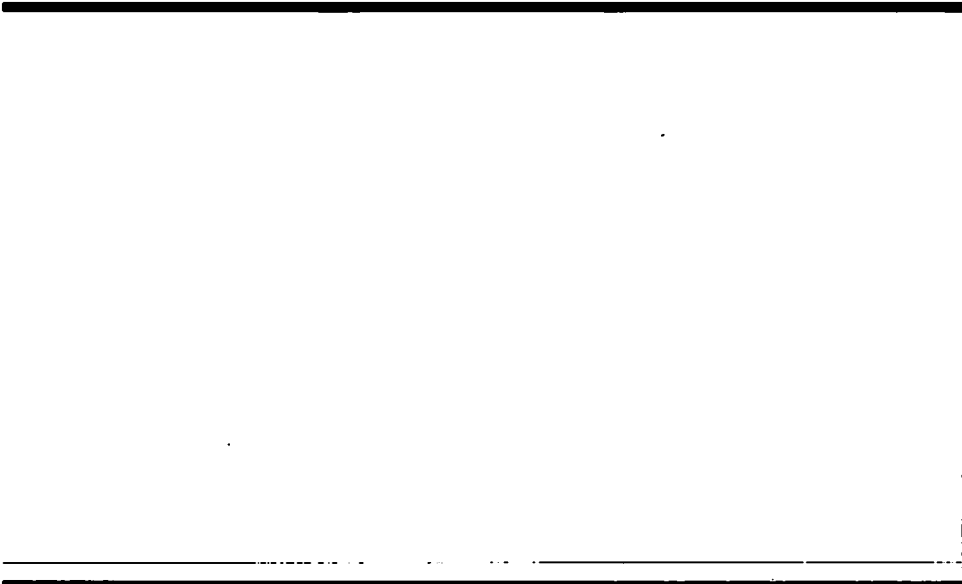
STOCKING, WILLIAM - DET HISTORIAN

1930

Form 1656

The Detroit News Ja 27, 36-6

Died Ja 26; biog. sketch



STOCKING, WM. R., JR. - DET. - COACH

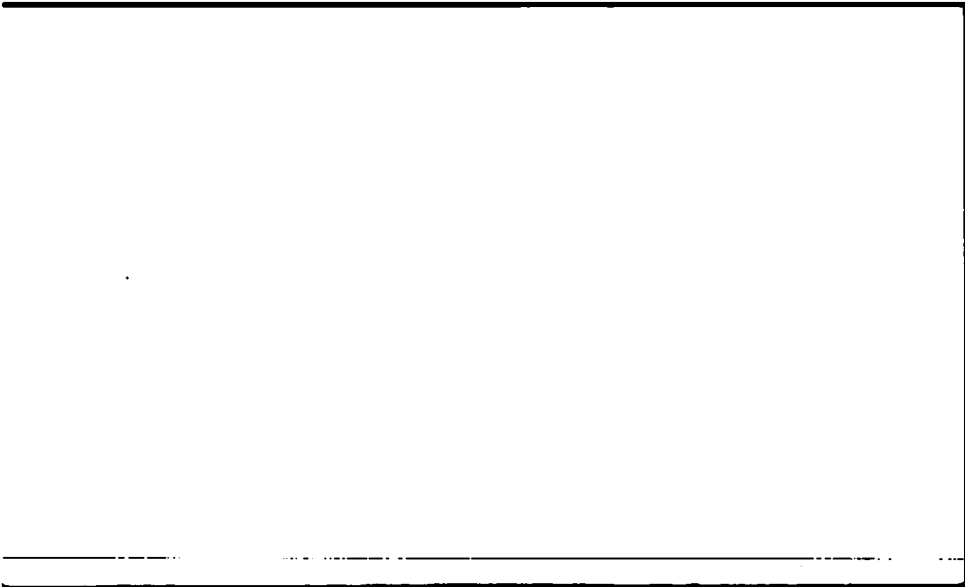
1912

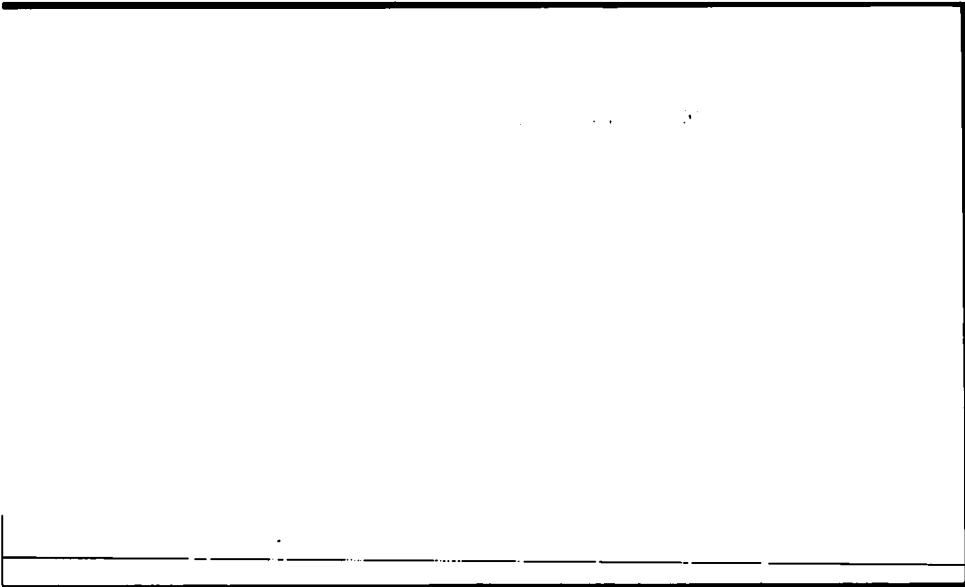
Form 1656

The Detroit News F 20, 17-1

Coach at Central High, writes on building up
health







STOCKING, WILLIAM REDFIELD JR. - DETROIT

1940

MARRIED L. BARBARA BASS MAY 4

Form 1656

MY 13, 14-3

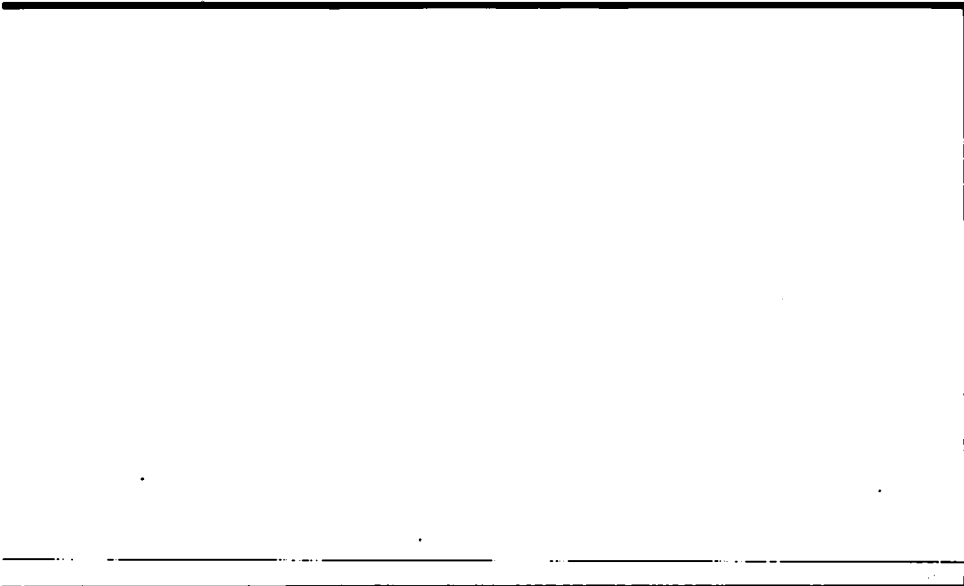
---1951---

SEEKS CUSTODY OF 2 CHILDREN IN DIVORCE CASE VS. ^{O 17, 1-4 FINAL} ESSIE BARBARA ^{O 18, 33-1}
JUDGE PROMISES CHILDREN TO WIFE IN DIVORCE CASE

---1953---

JL 9, 16-1

WIFE, ESSIE, GET DIVORCE FOR HIS PLAYING RECORDS ON PHONOGRAPH SO LOUD
CHILDREN COULDN'T SLEEP



STOCKINGER, ERIC: STUDENT

1992

Je 8, B-3-2 W

Took trip to Bahamas in 1991, this year working in Alaskan wilderness in July to help clear hiking trails-Hernandez

1975

STOCKLER, LAWRENCE J. - ATTORNEY

0 15, A-3-3

FILED SUIT IN OAKLAND CIR. CT. TO BAN SMOKING AT PONTIAC STADIUM-

ROACH

023, B-9-1

SMOKING FOE WINS DELAY IN COURT HEARING 028, A-4-1

JUDGE WILLIAM BEER REFUSED STADIUM REQUEST TO DISMISS

NON SMOKING CASE. ROACH

N7, A-9-1

SMOKING RULED LEGAL BY COURT- ROACH D 24, C-5-4

WANTS JUDGES TO READ THE CONSTITUTION-ROACH

-1976-

F 12, D-13-1 D

LOSES SUIT TO INVALIDATE MICH NEW SINGLE TAX ON BUSINESS

Ap 16, A-1-4

NO SMOKING IN PONTIAC STADIUM STANDS, PENALTIES PENDING

--1985--

Je 18, B-6-5

Court of Appeals ruled a contempt citation against him
stands.

-1986- D 2, B 1-6

Ordered to pay David Rose \$1million for legal malpractice-
Schabath D 2, B 8-5

Penalized for withholding \$125 from employees' paycheck
wrongfully, Christine Potter, cited for giving former
employees last paychecks

D 16, B 1-1

Asks new trial in David Rose case-Schabath D 17, B 6-1

Stockler to appeal in Rose case-1994- O 22 B 3-1D

Suing NFL, hoping to force it to lift blackout for home
games on cable and satellite TV-Lengel

STOCKMAN, BETSY : STUDENT

1983

S 11, H-1-2

ANNARBOR-FRANTIC FIRST DAYS AT UOFM-WHITALL

STOCKMAN, CAROL : POLITICIAN

1984

Ag 25, A-12-2

56, RUNNING FOR BERRIEN CO TREASURER

Ja 21, A 10-1

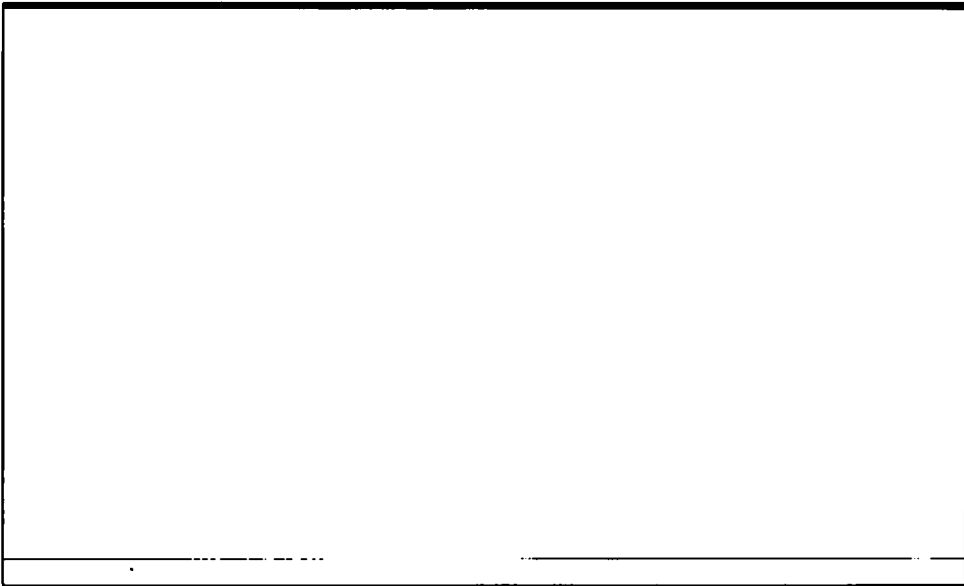
Book renamed: from Why The Reagan Revolution Failed to
The Inside Story of the Reagan Revolution (market not
convinced of any Reagan failure)-Edit

STOCKMAN, DAVID : & FINANCES : PERSONAL

1981

F20, A-5-1

HAD TO BE PROBED TO REPAY A COLLEGE LOAN HE RECIEVED
FROM EAST LANDING CHURCH.

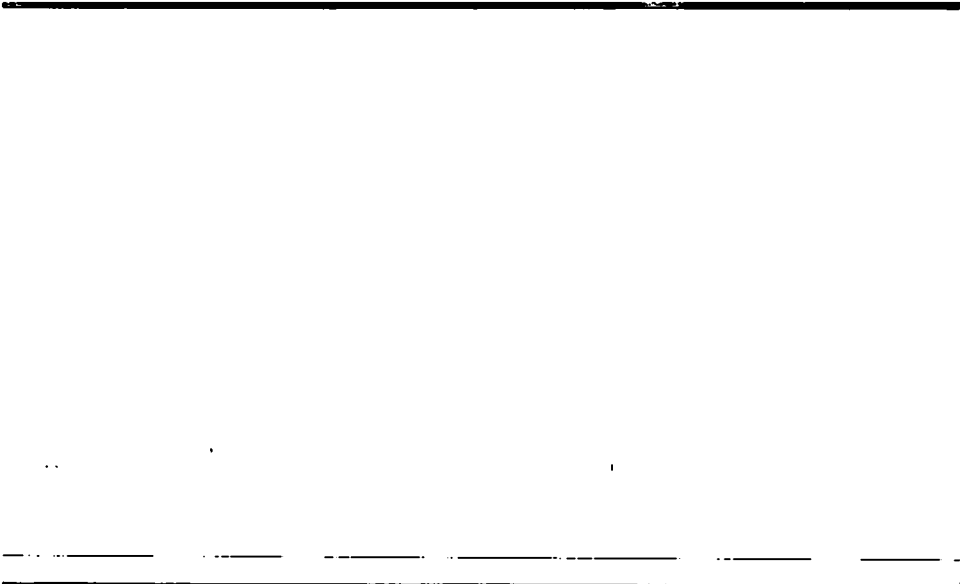


1981

STOCKMAN, DAVID : & OUSTER

N 18, A-16-1

DC-PRES ADVISERS MICHAEL DEEVER & EDWIN MEESE III CALLED
FOR STOCKMAN'S OUSTER FOR ATTACKING REAGAN ECON PROGRAM.



STOCKMAN, DAVE: POLITICIAN

1976

JL 29, B-6-1

**DETROIT NEWS ENDORSES FOR REPUBLICAN CANDIDATE FOR 4TH
CONGRESSIONAL DIST-EDIT**

-1977-

MY 20, F-6-1 1DOT

**DC-ROBBED OF WALLET AND WATCH BY 3 YOUTHS WITHING BLOCKS OF
CAPITOL**

-1978-

N 8, A-15-2

ELECTED TO US CONGRESS FROM MICH 4TH DISTRICT

-1979-

JA 31, C-1-1

DC-CRITICAL OF 'CLOUDY' OZONE STUDY-PETERSON

MR 3, A-1-1

**DC-WANTS CHARLES DIGGS EXPELLED SO HE CAN'T VOTE ON HOUSE
ISSUES-RYAN**

MR 20, B-2-1-ED

**LANS-AUTO INDUSTRY WILL BE UNABLE TO MEET FLEET REQUIREMENT
FOR '85.**

AP 6, A-4-3

**DC-SKEPTICAL OF CARTER PLAN TO ~~XXXXXX~~ LIFT LIDON OIL
PRICES-PETERSON**

AP 22, A-1-6

LANS-SEEKS TO WIDEN GOP APPEAL-WILLING

JE 7, A-17-1

DC-FOES OF A-PLANTS LOSE VOTE, DEFENDS PLANTS

-1979-

AG 12, A-1-1

DC-CALLS CARTER'S SYNFOEL PLAN A GREAT CON JOB.-LEONARD
S 18, A-3-5

WASH-WAS TO OUTLINE PROPOSED LEGIS.FOR RELAXING OR CHANGING
LAWS FOR AILINGCHRYSLERCORP.FIRM-SCHUSTER N 4, ROTO 45
COMING INTO FOCUS ON NATIONAL POLITICAL HORIZON-LEONARD

N 27, E-2-3

SAYS CONGRESSIONAL RESISTANCE TO CHRYSLER LOAN GROWS
D 20, A-3-4

DC-HIS PROPOSAL CALLS FOR AUTO MAKERS TO OFFER CAR BUYERS
CHOICE BTWN AIR BAGS & PASSIVE SEAT B ELTS.

D 24, A-3-5

DC-WOULD KEEP OPTION OF USING SEAT BELTS-PETERSON

D 26, B-2-4 ED

TULSA-ON U.S JAYCEES 10 OUTSTANDING YOUNG MEN LIST
D 28, A-8-1

STOCKMAN'S UNBETTER IDEA-EDIT -1980- JA 2, F-1-1

DC-IN AMENDMENT, OFFERS CHOICE ON CAR RESTRAINTS.-PETERSON

STOCKMAN, DAVE - POLITICIAN

1980

MR 10, B-9-1

AYS BUDGET CUTS VITAL AT FORUM ON ENERGY CRISIS SPONSORED
BY GREATER DET. CHAMBER OF COM.-WARREN MR 14, A-12-1

READY WITH IDEAS ON SPENDING CUTS DURING FED CUTS-EDIT

MR 29, A-19-4

DC-LAWMAKERS SEEKS TO KILL REQUIRED CAR INSPECTIONS-PETERSON

JE 12, A-3-1

REVELS IN GOP SURGE-POLLACK

AG 2, B-6-1

DC-PLANS TO FIGHT AIR BAG BILL-PETERSON

O 5, A-8-3

CALLED RISING STAR ON CAPITOL HILL BY JACK ANDERSON

O 27, A-6-1

'TRAINS' REAGAN FOR CARTER DEBATE-CAUFFIEL

N 6, A-3-4

ELECTED TO CONGRESS,

N 19, A-11-1

DC-MAY BE NAMED SECY OF ENERGY IN REAGAN CABINET. N 20, A-18

WHY A FEDERAL TAX CUT?-EDIT

INDIFFERENT-ON LINE FOR REAGAN JOB-SCHUSTER

D 4, A-1-1
D 9, A-3-3-

DC-CONTENDING TO BE BUDGET LOSS-LEONARD
NAMED BY REAGAN AS DIRECTOR OF OFFICE OF MANAGEMENT & BUDGET-SCHUSTER

D 11, A-1-4

SHORT LOSS-LEONARD

D 12, A-3-1
D 16, D-3-

FIELD FORMER T. RUN FOR HIS CONGRESSIONAL SEAT-OLLACK

D15, B-2-1 D

LANS-TO 'ANOINT' JOHN GLOBENSKY AS SUCCESSOR?

D 17, B-4-3

LANS-HARRY GAST DROPS RACE FOR HIS SEAT

D 29, A-2-1

LANS- 'RED SQUAD' HAS FILE ON HIM

D 30, A-3-1

DC-SHOCKED AT RED SQUAD FILE

STOCKMAN, DAVE : POLITICIAN

1981

JA 2, A-1-5

OUTLINES IN INTERVIEWS BLEAK BUDGET PICTURE FACING CONGRESS
& REAGAN ADMINISTRATION.

JA 8, A-3-5

DC-JOB OF BRINGING FED SPENDING UNDER CONTROL GOING TO BE
EVEN GREATER THAN EXPECTED.

JA 9, A-4-2

DC-GIVES HIS PRESCRIPTION FOR TAX & BUDGET CUTS

JA 11, K-2-3

SUPPORTS CHRYSLER AID PLAN

JA 11, ROTO 13

NAMED MICHIGANIAN OF THE YR

JA 13, A-12-1

ON HIS MANIFESTO-EDIT

JA 16, A-3-1

DC - ALLS BUDGET A POLITICAL DOCUMENT. EFFICIT IS COMETIC
& ARTIFICIALLY LOW.

JA 23, A-3-1 400T

ON TV 'MORNING' SHOW, TALKS ABOUT BUDGET CUTS

-1981-

JA 28, A-7-5

DC-CONFIRMED

JA 31, A-1-6

DC-CALLS FOR PHASE DOWN OF CETA, CUT IN SOCIAL SECURITY BENEFITS FOR COLLEGE STUDENTS & OTHER CUTS IN SOCIAL PROGRAMS

F 1, A-3-3

DC-UPSTAGING FELLOW CABINET MEMBERS.-SCHUSTER F 4, B-3-2

GOV MILLIKEN PICKED DATES FOR SPECIAL ELECTION TO REPLACE STOCKMAN AS MICH REPRESENTATIVE

F 7, A-4-6

DC-SAYS CUTS WILL HURT EVERYONE

F 10, A-4-1

DC-HIS BUDGET CUTS COULD AX DET SUBWAY-PETERSON F 13, A-8-

MAIL DROP-EDIT

F 13, A-2-1-2SOT

DC-GRPS MAPPING STRATEGY TO FIGHT SPENDING CUTS TO THEIR PET PROGRAMS.

F 15, A-3-5

DC-SEEKS TO ABOLISH ECON DEVELOPMENT ADMINISTRATION. HAD AIDED AGENCY EARLIER.

F 18, A-2-1-2DOT

DC-PROJECT INTERCEPT-TO SEIZE TAX REFUNDS FROM THOSE WHO FAIL TO PAY CHILD SUPPORT.

STOCKMAN, DAVE : POLITICIAN

1981

RATES HIGH AMONG CONSTITUENTS.

F 20, A-1-5

F 20, B-3-1AM

LANB-MICHIGAN SENATE CONGRADULATED HIM YESTERDAY BUT WAN
NOT UNANIMOUS-EDWARD PIERCE, D-ANN ARBOR, SAYS NOT VERY WISE.

F 21, D-1-1

HIS BUDGET RUNS INTO STRONG RESISTANCE YESTERDAY FROM
CONGRESSIONAL DEMS.

F 23, A-1-2

SAYS REAGAN IS WILLING TO CUT SPRINGS ON FEDERAL AID IF GOV-
ERNORS SUPPORT AUSTERITY PROGRAM.

F 24, D-4-4

DC- D - HOLDS OUT PROSPECT OF INTEREST RATE DROP SOON.

F 27, A-10-1

F 27, A-1-2

MR 1, A-3-1

MR 3, A-14-1

HIS GAMBLE-EDIT

FEW FROM MICH WITH TOP JOBS-LEONARD

DC-NOT AWED BY FAME-LEONARD

DC-SAYS SLOWDOWN MAY FORCE MORE CUTS

-1981-

MR 9, A-1-3

DC-REAGAN TO SEEK REDUCTION IN FED LOAN & LOAN GUARANTEE
PROGRAMS IN BUDGET.

MR 11, A-3-1

DC-REAGAN SUBMITS REVISED BUDGET PROPOSALS. SACRED COWS FURTHER
REDUCED-SCHUSTER&DUTHIE

MR 14, C-1-3

DC-FROST BELT STATES WARN HIM ABOUT UNFAIR CUTS-LEONARD

MR 17, A-2-1

DC-AIMS AX AT PRODUCT SAFETY COMSN & FTC

MR 20, A-11-1

DC-SET TO AX THE NATIONAL AQUARIUM-RYAN

MR 23, A-3-1AM

BENTONHARBOR-SEES PLACES TO TRIM FED SPENDING NEXT YR. ATT

ENDED GOP LINCOLN DAY DINNER.-POLLACK

AP 17, A-2-4

DENIES REPORT BY CONGRESSIONAL STUDY THAT NEPOOR WOULD
BE HURT BY PRES. REAGAN'S CUTS.

AP 22, A-1-6

REP. MARK SILJANDER GETS HIS SEAT.-POLLACK

AP24, A-1-5

EX DC-CALLS BUDGET, TAX CUTS 'FAIR' TO ALL REGIONS-SCHUSTER

MY 4, A-3-5

DC-MAY BE BENEFIT CHGS IN SOC SECURITY IN BUDGET-BALANCING
GOAL.

STOCKMAN. DAVE : POLITICIAN

1981

DC-SOCIAL SECURITY DOOM DATE SET FOR NOV '82-EGAN
My 29, A-2-6
JE 18, A-8-1-400

DC-DENIED REQUEST FOR MORE MONEY TO RUN OMB.

DC-CUTBACK WON BY REAGAN WILL AFFECT EVERY AMERICAN
JE 28, A-3-1

LANS-TO BE IN MICH TO HELP RAISE FUNDS FOR GOP.
AG 1, B-3-3

TOLD MIDWEST GOBERNOS YESTERDAY MROE CUTS ARE COMING. -
FIRESTONE
S 3, A-3-2

PREDICTED YESTERDAY ECONOMIC REBOUND.-SHELLENBARGER:
S 26, A-1-5

DC-ADMINISTRATION PREPARES FOR WARFARE OVER BUDGET CUTS.-
SCHUSTER
S 26, A-1-2

DC-KETCHUP & RELISH WON'T BE CONSIDERED VEGETABLES IN SCHOOL
LUNCH PROGRAM.

-1981-

DC-FED GOVT TO PAY \$100 MILLION PART OF \$1 BILLION
TAB TO CLEANUP 3 MILE ISLAND.

O 12, A-2-1-2DOT

O 16, A-7-1

DC-HIS BUDGET CUT PUSH IS ON

O 29, A-7-1

DC-FED DEFICIT COULD HIT \$100 BILLION BY FISCAL 1984.

N 11, A-1-5

DC-SAYS REAGAN'S TAX CUT DESIGNED TO AID RICH FOLKS.

N 12, A-1-5

DC-TO STAY ON DESPITE CRITICAL REMARKS ON REAGAN'S ECON PRO
GRAM

N 12, A-7-1

MICH GOP STUNNED BY STOCKMAN'S 'TROJAN HORSE' REMARK-POLLA
CK

N 13, A-1-1

DC-ANGRY REAGAN BAWLED OUT STOCKMAN FOR CRITICIZING ECON
PROGRAM. STOCKMAN TO STAY ON.

N 15, A-18-1

THE ATHEIST IN THE PULPIT-EDIT

N 15, A-15-1

DC-STOCKMAN HAS TAKEN BACK HIS CONFESSIONS OF DOUBT BUT PR
OBLEMS ARE COMING TO PASS.

1981

STOCKMAN, DAVID : BUDGET DIRECTOR

N 15, A-1-1

DC-REAGAN PUTS STOCKMAN ON STRICT LIMITS. CHGS REDUCES HIS
POLICY-MAKING ROLE

N 15, B-2

WAS STOCKMAN BEING MORE COCKY THAN DEVIOUS-WALDMEIR

N 18, A-2-1

STOCKMAN WILL BE FORCED TO RESIGN SAYS BERT LANCE

N 19, A-1-5

GRRAPIDS-ATLANTIC MAGAZINE WHICH CONTAINED STOCKMAN'S HIGH
LY PUBLICIZED DISPARAGING REMARKS QUICKLY SOLD OUT.

N 20, A-3-1

MICH GOP THINK STOCKMAN WILL NOT RETURN TO MICH TO RUN FOR
GOV OF US SEN-FIRESTONE

N 20, A-3-6

NY-POLL SHOWS MANY FEEL STOCKMAN SHOULD KEEP HIS JOB AS
BUDGET DIRECTOR.

N 23, A-11-1

DC-STOCKMAN JOKES MAKE CAPITAL ROUNDS. MANY DOUBT STOCKMAN
HAS MUCH OF FUTURE-RYAN

N30, A -2-1

DC-RILES MALCOLM BALDREIDGE, COMMERCEDEPT

--1981--

WANTED TO LIMIT MUNICIPAL GRANTS TO \$2.6 BILLION THIS YEAR.

D 23, A-4-1

D 30, A-2-1

DC-WOULD LIKE TO KEEP LOW PROFILE NOW

-1982-

F 18, A-9-3

DC-SAYS REAGAN MAY RECONSIDER HIS REFUSAL TO RAISE INCOME TAXES OR TO CUT DEFENSE BUDGET.

F 26, A-2-1

DC-RESIGNATION RUMORS DENIED

MR 5, A-2-6

TRIES TO SELL REAGAN'S '83 BUDGET TO US CHAMBER OF COMMERCE

AP 12, A-4-1

DC-MAY HAVE SUPPRESSED FIGURES THAT SUGGEST HE HAD OVERESTIMATED '82 DEFICIT BY \$30 BILLION
AFTER THE WOODSHED-EDIT

MY 3, A-14-1

JE 28, A-9-1

STOCKMAN'S POLITICAL RECOVERY NOW SEEMS COMPLETE-RYAN

AG 3, A-2-6-4DOT

DC-SAYS ECONOMY IS MOVING TOWARD RECOVERY. SAID CONGRESS SHOULD TRIM BILLIONS FROM SOCIAL PROGRAMS.

AG 4, A-6-3

STOCKMAN, DAVID A. : BUDGET DIRECTOR

1982

AG 11, A-3-1AM

**DC-SOME MICH CHERRY FARMERS MIFFED AT STOCKMAN'S REJECTION
ON PROPOSAL TO HOLD 20% ON CHERRY COPR MARKET.**

S 5, B-10-5 W

**LANS-TO BE HONORED IN BENTON HARBOR SEPT 11 S 12, A-9-1
ST. JOSEPH-STOCKMAN HONORED BY HOME TOWN-SHELLENBARGER**

S 14, A-2-1

**DC-TO MARRY JENNIFER BLEI 28 IN FEB.-LEONARD S 15, A-2-1
TO MARRY IN FEB.**

S 17, A-17-1

**THE EDUCATION OF DAVID STOCKMAN AND OTHER AMERICANS BY Wm
GREIDER TELLS ABOUT FALLIBILITY OF GOVT-SHELLENBARGER**

O 4, A-1-1

DC-PREPARIN G TO LEAVE JOB?-SCHUSTER

N 5, A-1-1

**DC-SAYS BUDGET DEFICIT COULD REACH BTWN \$150-\$200 BILLION.
STOCKMAN PERPLEXED BY REAGAN'S REFUSAL FOR HIGHER TAXES.**

-1982-

N 30, C-9-1

DC-SEES BUDGET DEFICIT FOR CURRENT YR BTWN \$180-\$190 BILLION

D 1, F-9-6

DC-ORDERS DEEP CUTS IN FED HEALTH AGENCIES. D 23, A-2-4

DC-DEFICIT FOR FISCAL 1984 EXPECTED TO BE \$155 BILLION IF

WHITE HOUSE APPROVES ALL HIS RECOMMENDED CUTS.

D 29, A-3-1

TO MARRY FEB 12.

-1983-

JA 24, A-2-4

TO RUN AGAINST SEN CARL LEVIN?-RYAN

F 7, A-2-1

DC-SAID REAGAN'S SPENDING PLANS FOR 1984 WILL ASSURE SURVIV

F 8, A-2-6

AL OF ECON RECOVERY.

DC-WHITE HOUSE TO HELP CONGRESS FORMULATE JOBS PROGRAM.

STOCKMAN TO SCHEDULE MEETING-DUTHIE

/F 11, A-2-1

ATTACKS MEDIA IN COVERAGE OF REAGAN'S BUDGET

F 13, A-7-1

DC-MARRIED JENNIFER BLEIS

AP 19, A-2-1AM

DC-LINKS REAGAN-CONGRESS BUDGET BATTLE TO RECOVERY

STOCKMAN, DAVID A. : BUDGET DIRECTOR -

1983

AP 28, A-8-1

DC-SAYS JOBLESS DON'T LACK HEALTH INSURANCE.

JE 30, A-5-1

DC-SAYS HE USED 'FILCHED' CARTER PAPERS TO HELP REAGAN PREPARE FOR 1980 DEBATE.

-1984-

JA 25, A-14-1

WANTS TAXES RAISED-EDIT

F 3, A-6-1

DC-SAYS U.S. IS FACING BANKRUPTCY-SCHUSTER

MY 7, F-6-3

DC-CHALLENGES MUCH-PUBLICIZED ESTIMATES OF BILLIONS SAVED BY REDUCING GOVT WASTE, FRAUD & ABUSE.

MY19, A-5-1

LARRY SPEAKES, REAGAN AIDE, TAKES VERBAL SWIPE AT

DAVID STOCKMAN.

S 25, A-10-2

TYPE-CASTING-EDIT

N16, A-10-1

REMEMBER THE ELECTION- NO TAX INCREASE.-EDIT

-1984-

N 24, A-1-1

DC-~~AIMS~~ AT CUTTING DEFICIT

D 1, A-1-1

DC-MAKES DEFICIT-CUTTING RECOMMENDATIONS.

D 24, A-8-1

WEINBERGER AFTER WATERLOO-EDIT

-1985-

DC-AGRIC SEC JOHN BLOCK FIGHTS STOCKMAN ON FARM POLICIES

JA 12, C-14-1

F 7, A-1-1

DC-CALLS MILITARY PENSION SYSTEM A SCANDAL

F 7, A-9-6

DC-C. WEINBERGER CRIED FOUL AFTER STOCKMAN ATTACKED MILITARY PENSIONS.

F 12, A-1-1

DC-MAY BELIEVE THIS IS LAST YR TO PRESS THRU CONGRESS PERMANENT CHGS THAT WOULD SHRINK GOVT'S INVOLVEMENT IN AMER LIFE. WANTS TO PARD LARGE FED SPENDING PROGRAMS THAT BENEFIT MIDDLE CLASS & BUSINESS.

F 16, C-18-1

HIS MOTHER IS ANGRY WITH HIM AFTER EDNNENTSCRITICISM OF FARMERS.

F17, A-20-1

**HAS A GIFT FOR UTTERING HARSH TRUTHS THAT LEAD TO PANIC AND
NEGATIVE REACTIONS. -EDIT**

F 22, A-6-2

**RELEASED FROM GEORGETOWN HOSPITAL YESTERDAY AFTER COMPLAI
NING OF FEELING FAINT.**

F 28, A-6-1

DC-Denied reports that he is ready to resign

Mr 19, B-7-5

Benton Harbor-picketed by fishermen

Ap 11, A 15-1

The Federal 'Money Laundry'-Brookes

AP 20, A-12-1

**DC-calls cut in Social Security COLA 'small sacrifice' to
keep economy strong**

Ap 23, A-11-1

DC-his 'Fairy Tale'-Evans and Novak

Ap 30, B-5-6

**Calls end of fed subsidy to Amtrak a litmus test of
Congress resolve to reduce deficit**

-1985-

My 4, B-5-2
Jl 1, A-14-1

Daug Rachel born yesterday to couple.

Back to the Woodshed-Edit

Jl 10, A-1-5

to resign Ag 1-ryan

Jl 10, A-8-3

analysis of his role in OMB-lessenberry

Jl 11, A-14-1

replace stockman with veto(comment on resignation)-edit

Jl 11, F-12-1

Malcolm Baldrige or Drew Lewis may replace on OMB

Jl 11, F-12-2

Daniel Moynihan tells of plan to creat strategic deficit

Jl 12, A-13-1

No cheers for him: -Brookes

Ag6, C-6-6

DC-sells book rights to Harper & Row for \$2million

0 2, A-18-1

Wants \$100billion tax hike-Edit

0 5, A-1-1

DC-Miller confirmed ---1986-- p13, A-1-6

in his book, "Triumph of Politics" assails Pres.

Reagan and his money policies.-

Ap14, A-10-1 c

DC-wanted to be secretary of defense

Ap 17, A-18-1

Book out, "The Triumph of Politics: Why the Revolution Failed," is shattering commentary on media.-Edit.

Ap 18, A-4-1W

He blames both parties for deficit.-Lessenberry.

Ap 20, A-8-1

Attacked by Jack Kemp for labeling the Reagan revolution a failure-Weeks

-1986-

Ap 20, A-21-2

Stockman who assailed the deficit he helped create is on the
hype circuit touting his book, -Weeks

Ap 24, A-5-1

Says loan guarantees for Chrysler are amg political triumph
that signaled failure of Reagan REvolution-Weeks

Ap 24, A-5-3

DC-Admits in his book, he was naive for assuming he could
undo 50 yrs of govt growth in 1 shoop-Peterson

My21, A-23-1

Comment on his book The Triumph of Politics: How the
Regan Revolution Failed-Bray

Je 10, A-4-3

In good condition after surgery for a knee injury.

Ag 23, A-1-6

NY-complains about Reagan

D 30, A-3-1

DC-Assails Reagan's 'red ink'

STOCKMAN, DAVID : BUDGETS DIRECTOR

1991

Jl 1 A 1-1 1 dot

DC - Credits the Soviet Union for helping avert financial catastrophe in the US. --1997-- S 26, B-1-1

Buys American Axle & Mfg.Inc. with Richard E.Dauch,contd.
expansion likely after \$800 million deal-Howes

STOCKMAN, MRS. DORA H. - LANSING

1919

Form 1656

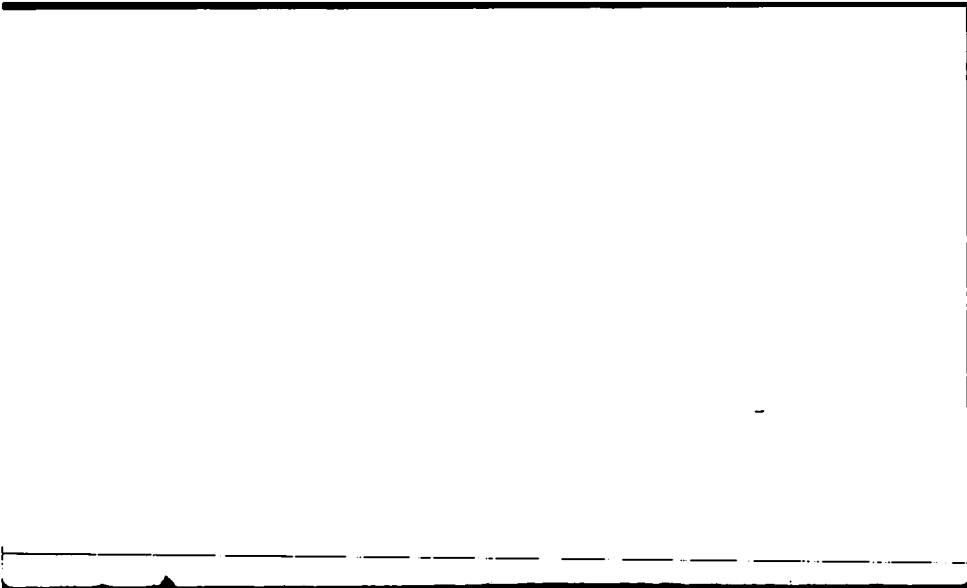
The Detroit News F 2, 7-4 part 2

First woman to seek state office; candidate for
State Agricultural board F 19, 1-6

Above and L. Whitney Watkins nominated for agricultural board, Republicans, Lansing

My 18, 8-1 features

New member state board of agriculture is pioneer
type ✓



**STOCKMAN, MRS DORA HALL --- MEMBER OF STATE BOARD
OF AGRICULTURE**

1931

Form 1656

The Detroit News 'a 25, 1-3-2 1#

**E. Lansing-Enters Mich State College which she helps to
govern as student in sociology.**

D 15,2-2

APPT TO BB OF MGRS OF STATE FAIR BY BRUCKER

---1934---

JE 7,82-2

JE 9,6-3

TO BE HONORED BY MSC

MRS STOCKMAN DULY HONORED-EDIT ---1935---

J 12,1-1-8-MICH

NAMED MEMBER OF CHILDREN'S BUREAU ADVISORY COUNCIL

---1938---

JE 19,1-1-8-MICH

O 24,16-5

URGES USE OF SCHOOL BLDGS. IN SUMMER-RUDOW

NOMINEE IN INGHAM COUNTY'S 2ND DIST. FOR HOUSE OF REPS

---1939---

JA 9,1-9-4-2*

THINKS MICHIGAN SHOULD HAVE A WOMAN GOVERNOR SOMEDAY

JA 20,15-4

INTRO BILL ASKING ANOTHER \$9,000,000 APPROPRIATION FOR PUBLIC SCHOOL

AID DURING FISCAL YR ENDING JUNE 30

F 21,16-7

INTRO BILL FOR GROUP MEDICAL CARE, IN & OUT OF HOSPITALS

--1939--

FINDS LEGISLATOR'S JOB PRETTY TOUGH

INTRO BILL TO PERMIT NEEDY SCHOOL DISTRICTS TO BORROW UP TO 25% OF
NEXT YEAR'S STATE AID FR BANKS FOR IMMEDIATE USE

INTRO BILL TO LICENSE ALL TOURIST HOMES & CABINS

INTRO BILL TO REVISE TAX ON INTANGIBLES

APPT AS MEMBER OF COMM. TO PARTICIPATE AG 13-17 IN CONF. ON EDUC. FOR
DEMOCRACY AT COLUMBIA UNIV.

CALLS LEGISLATURE CHILDISH FOR SITTING UP ALL NIGHT TO PASS LAWS

--1941--

PROPOSES LEGIS. MEMORIALIZE CONGRESS-EDIT

ASKS STRICTER CONTROL TOURISTS CAMPS: ASKS REGULATION DANCE PLACES

HOUSE PASSES ABOVE'S BILL TO PROHIBIT SUNDAY HUNTING IN INGHAM COUNTY

INTRO BILL TO APPROP. \$242,000 FOR SCHOOL FOR BLIND AT LANSING

INTRO BILL TO LIBERALIZE 1939 INTANGIBLES TAX

ATTACKS DELAY IN LEGIS. ADJOURNMENT

HOUSE COMM. REPORTS ABOVE'S 10% AMUSEMENT TAX BILL

---1943---

INTRO BILL TO MAKE COMMR. OF AGRICULTURE ELECTIVE INSTEAD OF APPOINTIVE
OFFICE

INTRO. BILL ON CRUELTY TO RABBITS & POULTRY

To 470

INTRO BILL CHANGING LIQUOR SALES SYSTEM

F 26, 1-2-4-2

MR 16, 4-6

MR 31, 36-5

AP 4, 4-7

MY 14, 1-1-2 MICH

MY 27, 2-2

JA 22, 18-2

F 7, 33-7 NITE

F 21, 28-4

MR 13, 8-6

MR 27, 49-1

AP 22, 29-5 CITY

MY 16, 6-3

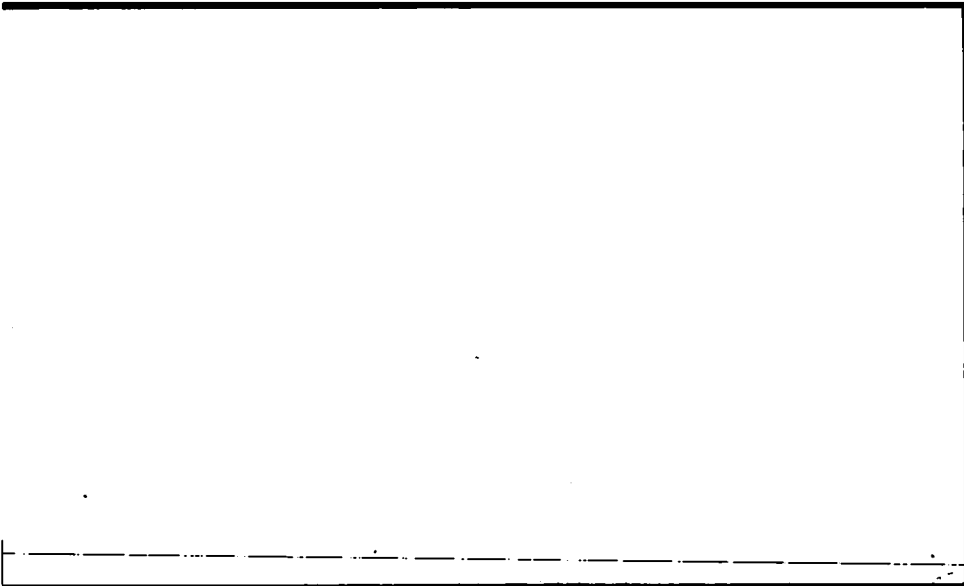
JA 7, 9-1

F 25, 9-4

JA 10, 7-7

---1945---

INTRO. BILL SEEKING TO ABOLISH ELECTORAL COLLEGE SYSTEM OF ELECTION OF PRES.	JA 18, 29-3
INTRO BILL TO ALLOW SCHOOLS PROVIDE LUNCHES AT COST	JA 25, 2-6
BILL PREDICTED TO DIE	F 8, 13-2
INTRO BILL TO DIVIDE 25% LIQUOR REVENUES AMONG COUNTIES	F 22, 32-5
---1946 ---	AP 3, 10-4 NITE
WILL NOT SEEK RE-ELECTION TO LEGIS.	

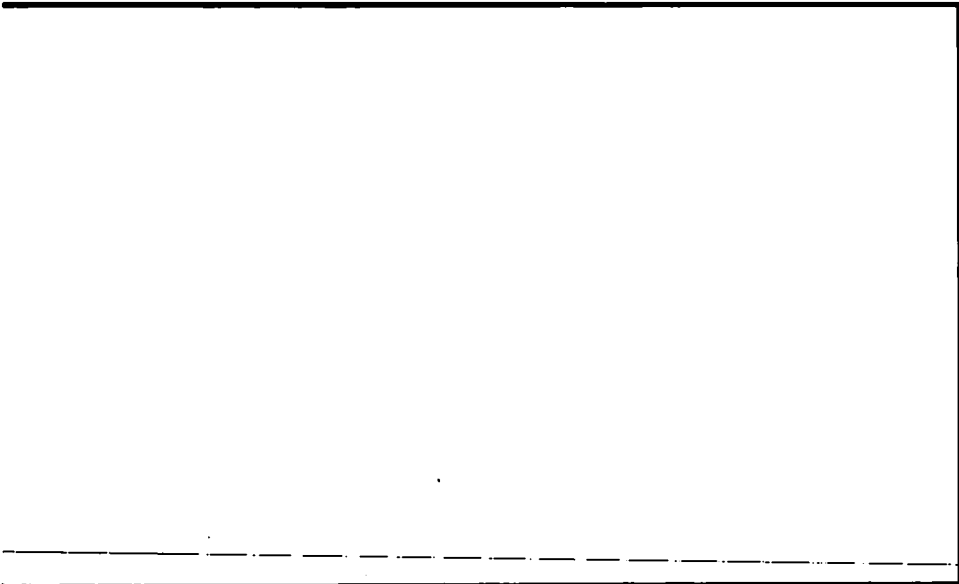


STOCKMAN, FRED J. : DETROIT DRUGSTORE OWNER

1954

**ANGERED BY 5TH HOLDUP IN 5 MOS.
SAYS HUNT POLICE STATION IS UNDERMANNED-
BANDITS ATTEMPT TO ROB ABOVE FOR 6TH TIME
--1975--
DIED JA23 AT AGE 77-OBIT.**

**JL 29, 10-1
AG 1, 1-11-1
S 9, 27-1
JA 24, A-8-3**



STOCKMAN, SGT HERBERT J - DET SOLDIER

1943

Form 1656

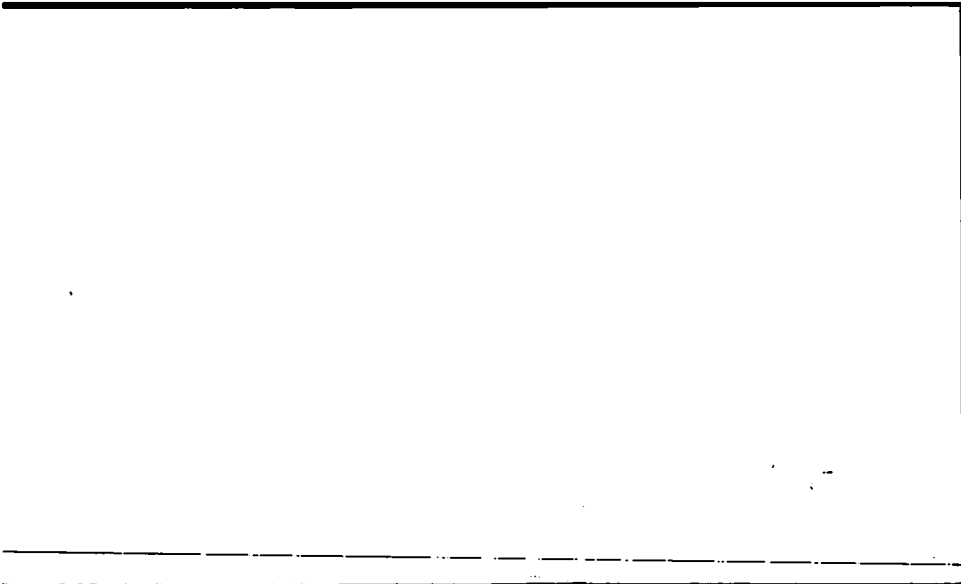
MISSING IN EUROPEAN AREA
HELD BY GERMANS

---1945---

Ag 30, 3-3
O 6, 23-1

JE 20, 17-6

FREED

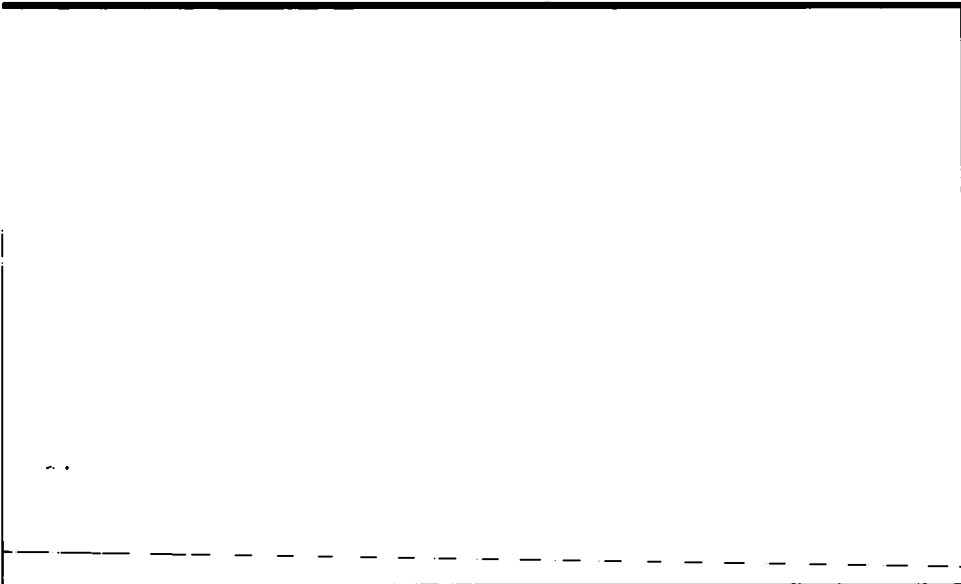


STOCKMAN, HOWARD : RESORT OWNER

1985

~~A95, A-1-2~~

Pike Lake-& wife Nancy offer deep woods resort-Bohy

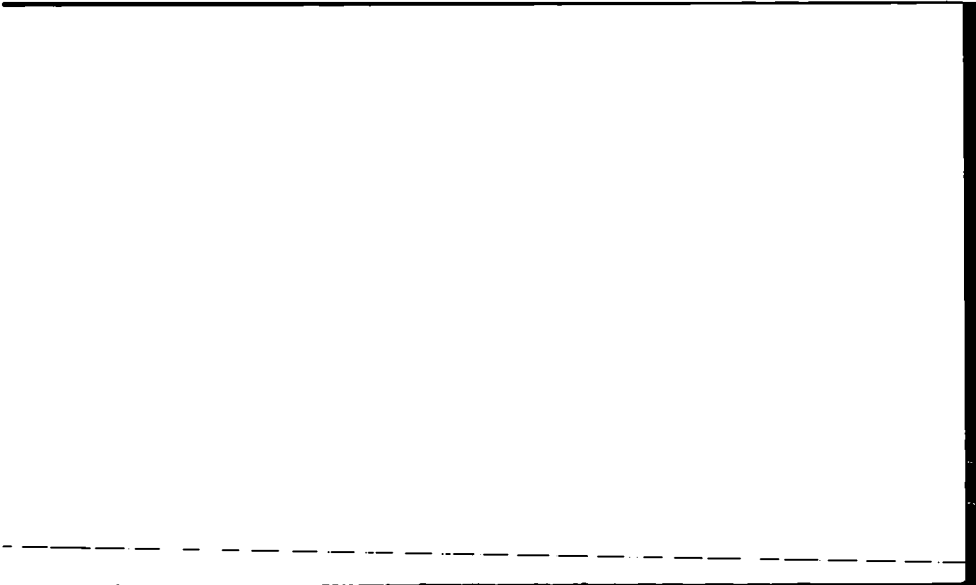


STOCKMAN. JAMES - BUSINESSMAN

1976

JE 30, A-14-1 ST

NEW ORLEANS--OFFERED TO BUY SUPERDOME STADIUM IN TAX SHELTER
DEAL AS SILENT BIDDER



ARRESTED AS AID TO 3 BANDITS SEIZED IN BAR HOLDUP
FACES QUIZ IN SLAYING PATROLMAN GAINES MR 17, DEARBORN HOLDUP
4 OTHER ROBBERIES LINKED TO ABOVE & 4 BANDIT PALS
CHARGED WITH ROBBERY ARMED OF GABEL'S BAR
PLEADS GUILTY TO BAR HOLDUP

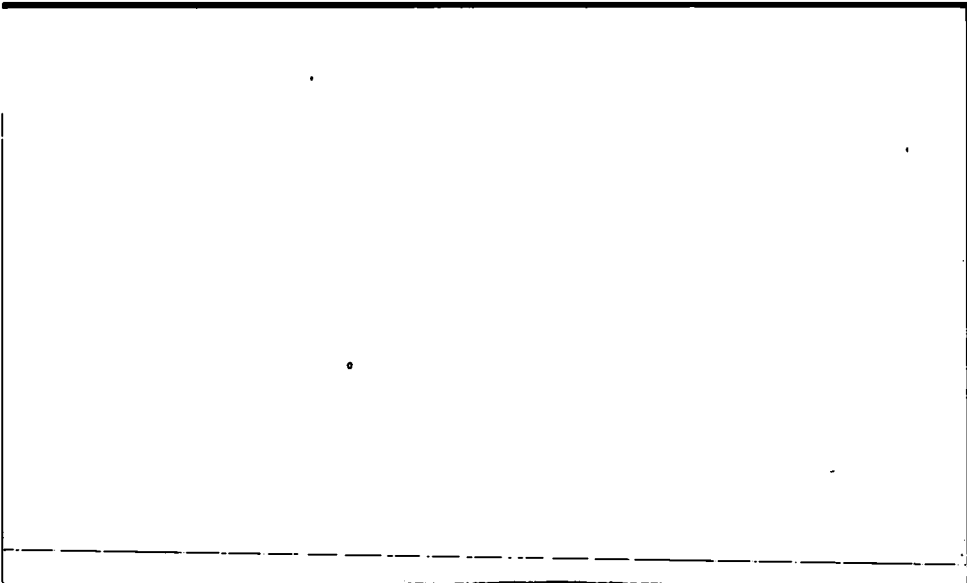
JE 22, 1-5

JE 23, 2-1

JE 24, 1-9-8

JE 26, 4-5 BS 2

JE 28, 7-3

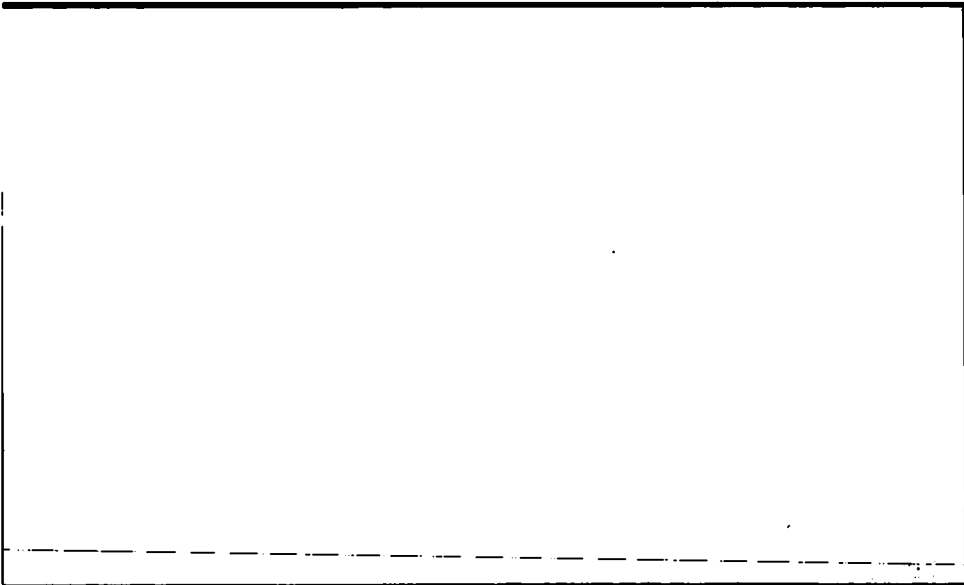


STOCKMAN, WILLIAM D. : DET SOLDIER

1944

N 20, 17-7

WOUNDED



JA 20, 28-6

NAMED VICE PRESIDENT OF DET.BANK

---1966---

JA 26, B-14-8

TO BECOME PRES DET BANK & TRUST MARCH 22

MR 23, C-19-7

ELECTED DIRECTOR OF DET

---1967---

~~MR 23, C-19-7~~
JA 15, B-12-1

NAMED CHRMN OF DET JR BORD OF COMMERCE

MY 11, A-22-1

TO BE HONORED WITH ALUMNI AWARD CITATION AT WSU ANNUAL RE-
UNION MY 20 AT CAMPUS COMMUNITY ARTS AUDITORIUM

---1969---

MR 26, C-12-1

DET.BANK BACKS HOLDING FIRM PROPOSAL PENDING LEGISLATION FORMICH.BANKS
TO MERGE WITH OTHER BUSINESSES-O'CONNOR

MY 4, B-4-1

NAMED AS CHAIRMAN FOR CORPORATE LEADERSHIP INDET.S'1969TORCHDRIVE
CAMPAIGN

---1970---

D23, D-5-6

ELECTED CHIEF EXECUTIVE OFFICER OF DET BANK AND TRUST.

-1973-

MR 28, C-20-1

DET BANK & TRUST FORECASTS INCREASED PROFITS.SMITH

-1973-

D 27, C-14-1

DETROITBANK CORP APPTD NEW CHAIRMAN, SUCCEEDING RAYMOND
T PERRING.

--1974--

D 22, G-1-2

DBT CHMN., ANALYZES DECLINE OF REAL ESTATE INVESTMENT TRUSTS
(REIT'S)-WILL COX

--1975--

MR 28, D-6-4

LECTED NEW DIRECTOR OF MICH. MUTUAL LIABILITY CO., FORMERLY
MICH. MUTUAL INS.-W.B. SMITH

S3, B-2-5D

TO RECEIVE BNAI BRITH FOUNDATION INTERNATIONAL
HUMANITARIAN AWARD.

N16, G-2-1

TO RECEIVE BNAI BRITH HUMANITARIAN AWARD.

GIVEN HUMANITARIAN AWARD BY BNAI BRITH

N19, C-4-1D

D 7, A-24-4

JOINS CAMPAIGN COMM FOR REP. MARVIN L. ESCH FOR REPUB NOMINA-
TION FOR U.S. SENATE

-1976-

MR 24, E-10-1

SAYS DETROITBANK 1ST $\frac{1}{2}$ PROFIT TO DIP

-1977-

S 28, B-11-1

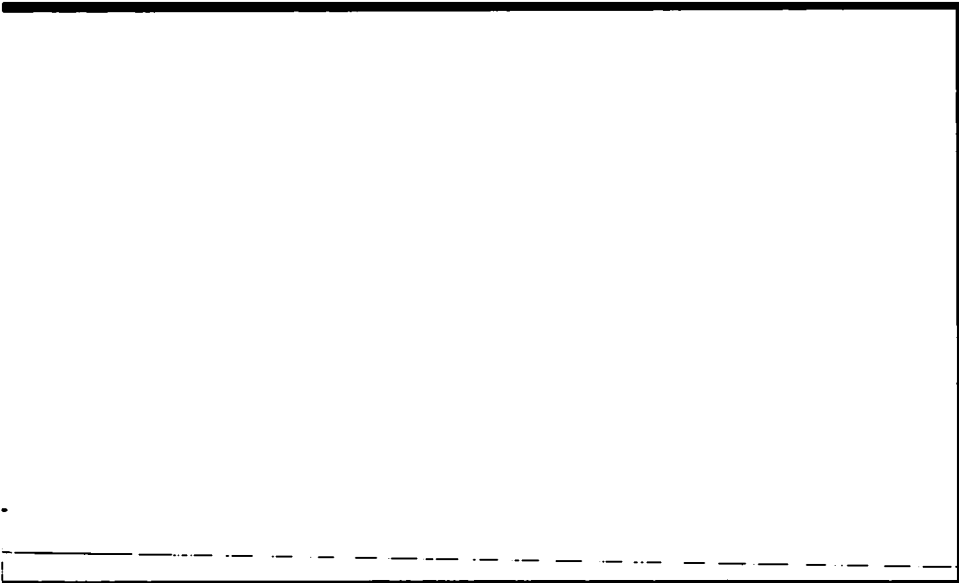
RETIRING

STOCKMEYER, C. BOYD : BANKER : DETROIT

1994

Ag 30 B 2-1

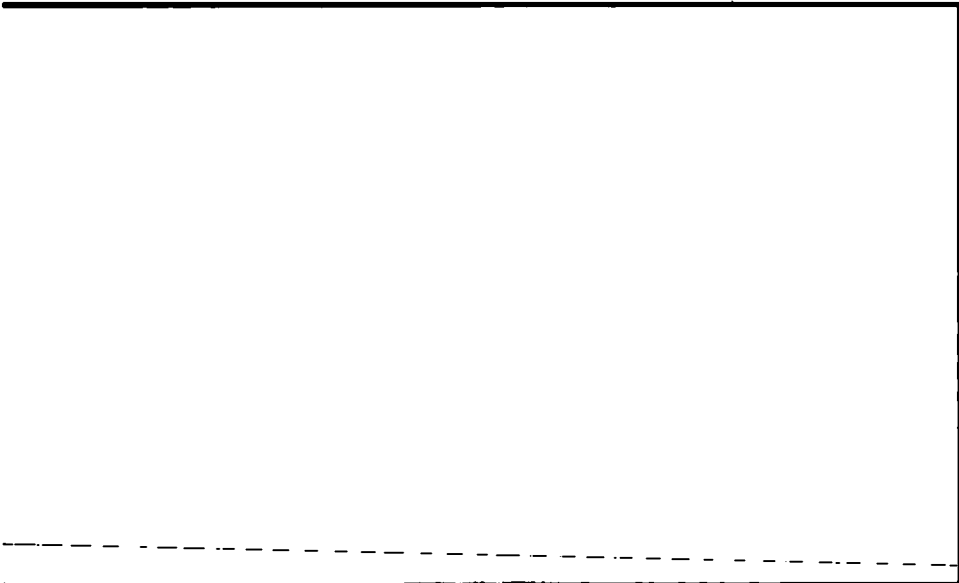
Obit - Died of cancer on Aug 26 at the age of 81. - K Thomas



STOCKMEYER, CHRISTOPHER BOYD, JR. - SOCIETY.

1966

JL 3, E-2-6
OF BIRMINGHAM MARRIED JUDITH KAY EWALD OF BIRMINGHAM



STOCKMEYER, NORMAN O. - DETROIT

1933

Form 1656

The Detroit News

S 24, 3-4-2

MARRIED LILLIAN R HITCHMAN S 16

---1952---

JL 13, 1-20-5

PREDICTS NO MORE RENT CONTROLS IN DET AREA

-----1955-----

r 28, 11-2

NAMED MEMBER OF GROUP TO FORMULATE REGIONAL PROGRAMS FOR THE WHITE
HOUSE CONF. ON EDUCATION TO BE HELD

---1956---

D 12, 76-1

BEIKENS SEEKS TO CONFER ON ABOVE CHRMNSHIP MICH COMM ON PRES. INAUGURA
TION ARRANGEMENTS-W. MULLER

---1957---

JA 14, 28-3 1H

SAYS 300 MICH GOP TO ATTEND IKE'S INAUGURAL

-----1958-----

MY 1, 61-7 1H

GOP EXPECTED TO NAME ABOVE "NEW WAYNE COUNTY GOP CHAIRMAN"-W. MULLER

MY 6, 3-1

NAMED CHAIRMAN WAYNE COUNTY GOP PARTY-W. MULLER

AG 9, 6-3

ELECTED WAYNE GOP CHAIRMAN

O 26, 8-8-1

ABOVE'S FIGHT TO ELECT ROB'T BLINSTRUC AS WAYNE COUNTY PROSECUTOR-W.
MULLER

N 25, 10-5 5*

SAYS GOP OFFICE IN DET. TO BE SHUT DUE TO LACK OF FUNDS-DIDZUN

---1959---

JA 19, 1-5

SNUBBED ON INVITATION LIST TO VISIT POSTMASTER GEN. SUMMERFIELD IN WASH.-W. MULLER

JA 21, 21-4

TAKES STEPS TO STRENGTHEN GOP IN MICH. BEFORE MEETING WITH POSTMASTER GEN. SUMMERFIELD IN WASH.-TERHORST

AG 21, 25-2

NOM. FOR PRES. OF MICH. REAL ESTATE ASSN.

S 27, D-17-2

ELECTED MICH. REAL ESTATE ASSN. PRES.

O 4, A-8-1

TRYING TO REASSEMBLE GOP PARTY IN WAYNE COUNTY

O 16, C-1-1

LAX PLANNING CALLED COSTLY-BAUMGARTH

---1960---

AG 4, A-1-8

PAUL BAGWELL DEMONSTRATES HIS INTENT TO BE REAL LEADER OF MICH. GOPS TODAY WITH PUBLIC STATEMENT REQUESTING RE-ELECTION OF ABOVE AS WAYNE CO. PARTY CHAIRMAN-W. MULLER

AG 5, A-1-6

PAUL BAGWELL URGES RE-ELECTION OF ABOVE FOR WAYNE CO. GOP CHR.-W. MULLER

O 7, A-10-6

BLASTS REPUBLICAN VOTERS ASSN. AS GOP SPLINTER IN FIGHT TO KILL CONSTITUTIONAL CONV.-ENGLE

---1961---

F 16, B-10-1

GOP BATTLE ON FOR 2 PARTY JOBS-W. MULLER

F 17, D-4-7

ABOVE'S ROLE IN GOP MICH. CENTRAL COMMITTEE

F 26, B-4-3

RE-ELECTED CHR. OF GOP CENTRAL COMM.-W. MULLER

WAYNE CO. GOP CHR. LOUIS URBAN DEMANDS RETRACTION FROM ABOVE ON
VOTE CHG. MR 14, R-6-1
NAMED TO 6-YR TERM ON CO. Bd. EDUC. JE 6, A-8-4
TELLS CON-CON NOMINEES THAT GOP IS SHORT OF CASH-ENGLE AG 11, R-8-1
GOP MODERATES GATHER TO FIND VICTORY FORMULA-ENGLE AG 25, A-10-3
---1962--- JA 18, B-2-1
BLASTS DET. MAYOR CAVANAGH'S ATTACK ON PRESS PUBLICITY GIVEN GEO. ROMNEY
-ENGLE JE 10, A-1-2
ROBERT L. WALDRON SEEKS TO ABOLISH WAYNE CO. REPUBLICAN COMMITTEE,
ABOVE IS CHAIRMAN OF COMM. JL 17, A-9-1
RETIRING AS WAYNE CO. REPUBLICAN CHAIRMAN-ENGLE JL 23, A-18-1
GOP SUMMER RERUN-EDIT. AG 14, A-3-7
P. B. (PETE) SPIVAK, DET ATTY CHOSEN TO SUCCEED ABOVE WHO RETIRED-ENGLE
AG 26, A-1-6
GOP CON. APPROVES ABOVE AS SEC. OF STATE-ENGLE S 1, B-5-4
NAMES JOHN H. STAHLIN AS CAMPAIGN MANAGER

---1962---

0 3, A-1-2

RESULTS OF DET NEWS POLL-

0 9, R-9-1

CHARGES RICHARD DURANT IS OUT TO "SABOTAGE" GOP'S CAMPAIGN EFFORT

011, C-20-1

RICHARD DURANT ATTV LARRY S. DAVIDSON ATTEMPTS TO CITE ABOVE WITH CONT
E PT OF COURT FOR REFUSING TO ANSWER QUESTIONS

0 17, A-13-1

ORDERED TO APPEAR OCT. 26 BEFORE CIR. JUDGE GEORGE E. ROWLES IN CONNEC-
TION WITH \$1 MILLION LIBEL SUIT AGAINST JOHN H. STAHLIN

0 21, B-11-4

ASKS DURANT TO RECESS FEUD WITH STAHLIN TIL AFTER ELECTION

0 23, B-10-1

SAYS WILL HAVE TO CALL OF CAMPAIGN SWING THRU NORTHWESTERN LOWER MICH
UNLESS WINS DELAY IN CT. SUIT

0 25, C-13-2

COURT DATE DELAYED UNTIL NOV. 9-KIRK

0 28, A-22-1

DET. NEWS GIVES SLIGHT EDGE TO OPPONENT JAMES M. HARE BECAUSE OF EX-
PERIENCE-EDIT.

N 1, A-14-1

NOT A NASTY WORD HAS BEEN SPOKEN BY BOVE OR OPPONANT JAMES M. HARE IN
RACE FOR SECY OF STATE-ENGLE

N 7, B-10-1

DEFEATED

N 7, A-1-4

DEFEATED WITH TOTAL OF 1,189,847 IN STATE

N 10, B-7-3

HAS BEEN ORDERED TO TESTIFY IN \$1 MILLION LIBEL SUIT BROUGHT BY RICHARD
DURANT AGAINST STATE SENATOR JOHN H. STAHLIN

STOCKMEYER, NORMAN O. : DETROIT

1962

CONTEMPT OF COURT PETITION TO BE FIELD N 26 AGAINST ABOVE BY LARRY S. DAVIDOW, ATTY, FOR EVASIVE ANSWERS GIVEN IN DEPOSITION HEARING IN DAVIDOW OFFICE

N 23, A-10-3

D 30, C-11-1

WORRIED THAT NEW GOV. ROMNEY HASN'T CONTACTED HIM ABOUT PLACE IN NEW ADMINISTRATION-ENGLE

---1963---

F 12, B-7-4

Turns DOWN APPOINTMENT TO LIQUOR CONTROLL COMMISSION

AP 19, A-3-1 FIN 5

NAMED AS 1 OF 11 CO-DEFENDANTS IN \$1 MILLION LIBEL SUIT BY RICHARD DURANT

MY 7, A-12-15

GEORGE M. ZIMMERMAN, GROSSE POINTE INSURANCE MAN, FILES \$150,000 LIBEL SUIT-ABOVE NAMED AS A DEFENDANT

---1964---

JA 21, A-3-4

NAMED TO WSU BOARD OF GOVERNORS-1965-

JL 7, B-4-2

ELECTED PRESIDENT OF WAYNE CO. INTERMEDIATE DISTRICT SCHOOL BOARD

JL 18, F-6-1 Z2

NEW PRESIDENT WAYNE COUNTY INTERMEDIATE BOARD OF EDUCATION

---1966---

F 13, B-15-5

AS CAMPAIGN TO GET VOTER OK OF PLAN FOR WAYNE CO. COMMUNITY COLLEGE WAS LAUNCHED, ABOVE SAID RESIDENTS ONLY HAVE ONE CHANCE TO GET THE COLLEGE TO BE VOTED ON MY 9-GLAZIER

---1966---
WILL SEEK REPUBLICAN PARTY NOM TO KEEP SEAT ON WSU Bd. OF GOVERNORS
RENOMINATED BY GOP FOR WSU BOARD OF GOVERNORS

DEFEATED IN WAYNE CO. VOTE
ELECTED
SWORN IN

REELECTED TO 6 YR TERM ON WAYNE CO INTERMEDIATE SCHOOL DISTRICT Bd OF Ed.

NAMED COCHAIRMAN OF WAYNE STATE BOARD OF GOVERNORS
--1973--

ELECTED TO ANOTHER 6-YR TERM ON WAYNE Co. INTERMEDIATE Bd. OF EDUCATION

MILLIKEN NAMES HIM WSU REPRESENTATIVE ON MICHIGAN HIGHER EDUCATION ASSISTANCE AUTHORITY.

ELECTED PRES. OF WSU MACKENZIE HONOR SOCIETY
AT GOP CONVENTION, SELECTED AS CANDIDATE FOR WAYNE STATE U. Bd. OF GOVERNORS

AG 22, B-5-5
AG 28, A-20-3

N 9, B-13-7

N 10, B-7-1

JA 2, A-22-1

JE 15, B-5-1

JA 10, A-6-45

JE 6, A-10-1

S 12, B-2-6 W

JE 20, B-4-1 D

AG 25, A-6-4

STOCKMEYER, NORMAN O.: DETROIT POLITICIAN

1974

		O 24, B-2-1 D
GOP INCUMBENT ON WSUBD. OF GOVERNORS, EXPECTED TO BE RE-ELECTED, N5-BULGIER		N 1, B-6-1
ENDORSED BY NEWS FOR N5 RACE-EDIT.		N 6, A-16-1
LOSES WSUBD. OF GOVERNORS RACE, N5; TAB		
	--1975--	JA 14, B-2-1 D
APPTD. TO ST. BD. OF ED. TO REPLACE RESIGNED Wm. SEDERBURG-BULLARD		MR 26, C-12-2
SAYS DETROIT BANK CORP. HAVING STRONG 1ST QTR. - W.B. SMITH		
	-1980-	O 17, A-3-1
CORRECTION ON HIS OCCUPATION		N 6, A-3-1
ELECTED TO ST BD OF EDUC		N 6, B-10-6D
SAME		MY 18, A-3-1
	-1984-	
INDUCTED INTO MICH EDUC HALL OF FAME-RUSSELL		
	-1985-	JA 10, G-4-1N
LANE NAMED PRES OF MICH BD OF EDUC-RUSSELL		

-1985-

F 8, B-8-5

STATE BD OF EDUC TO TEST STUDENTS FOR HIGHER-LEVEL THINKING
G SKILLS, AS 1ST STEP TOWARD REVISION OF MEAP-RUSSELL

Mr 8, A-3-1

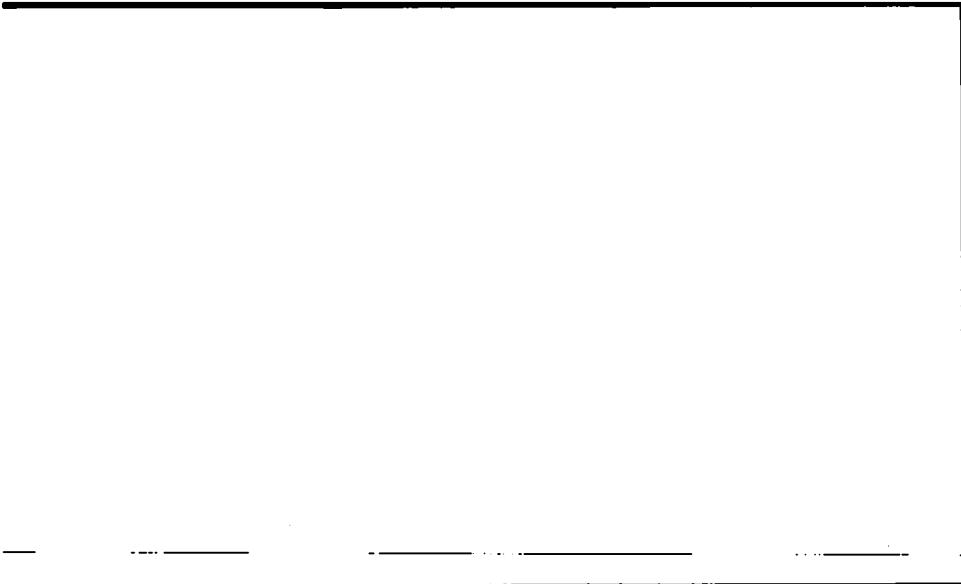
Lans-Bd of Educ endorses proposals to require periodic renewal of teaching certificates -Russell

1963

STOCKMEYER, NORMAN O. JR. - SON OF POLITICIAN

JE 21, C-6-3

SELECTED TO SERVE 11-MONTH FELLOWSHIP WITH STATE SENATE STARTING
JULY 1



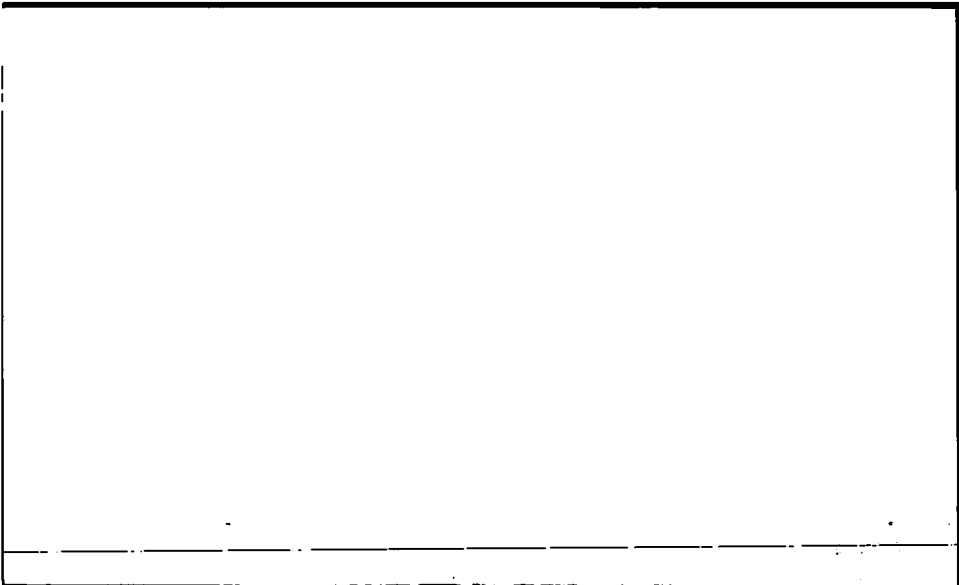
STOCKMEYER, STEVEN:

STUDENT:

U OF M REPUBLICAN

1962

FORMS A GRP OF U OF M STUDENTS INTO ORG. TO SUPPORT GEN. ROMNEY
---1964--- JA 18, A-10-1 FIN 3
NAMED TO WORK WITH FOBERT J WEBBER JR AS FIELD REP.
---1966--- F 17, A-8-3
LANSING-APPT CAMPAIGN COORDINATOR FOR REPS IN LEGIS
---1967--- JL 22, B-4-5
LANSING-TO BECOME AIDE TO US REP MSRVIN L ESCH
---1969--- ; JA 5, A-23-8
APPOINTED DIRECTOR OF COMMUNICATION FOR NEW DETROIT INC.
-1978- C 31, A-4-3
DC-CALLS DEM BILL A PLOT TO LIMIT GOP FUNDS Mr 6, A-16-2

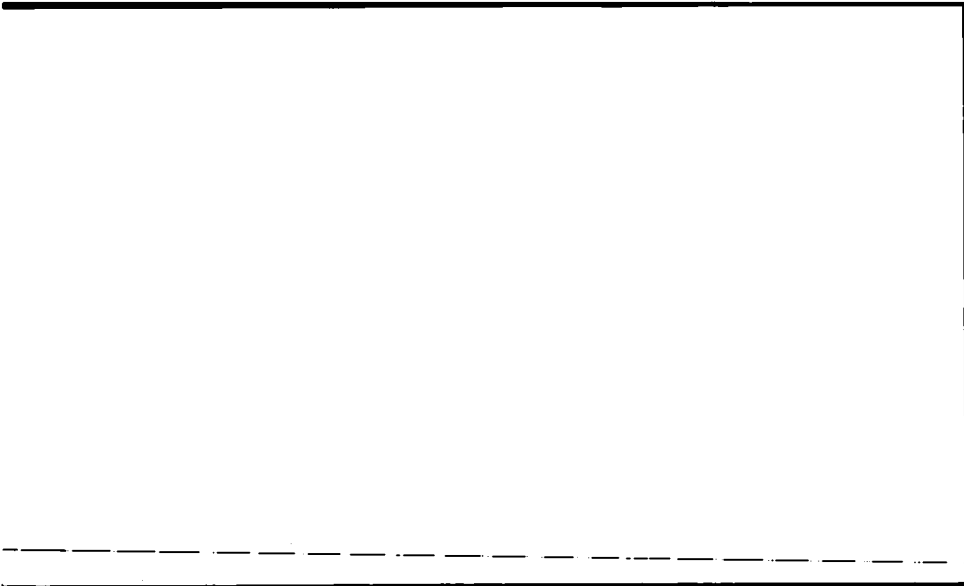


STOCKOSKI, LEONARD J. - DETROIT SOLDIER

1946

HR 13, 33-2

AWARDED PURPLE HEART



STOCKPOOLE, LARRY: TEACHER

1992

~~Mr 19, A-1-5~~

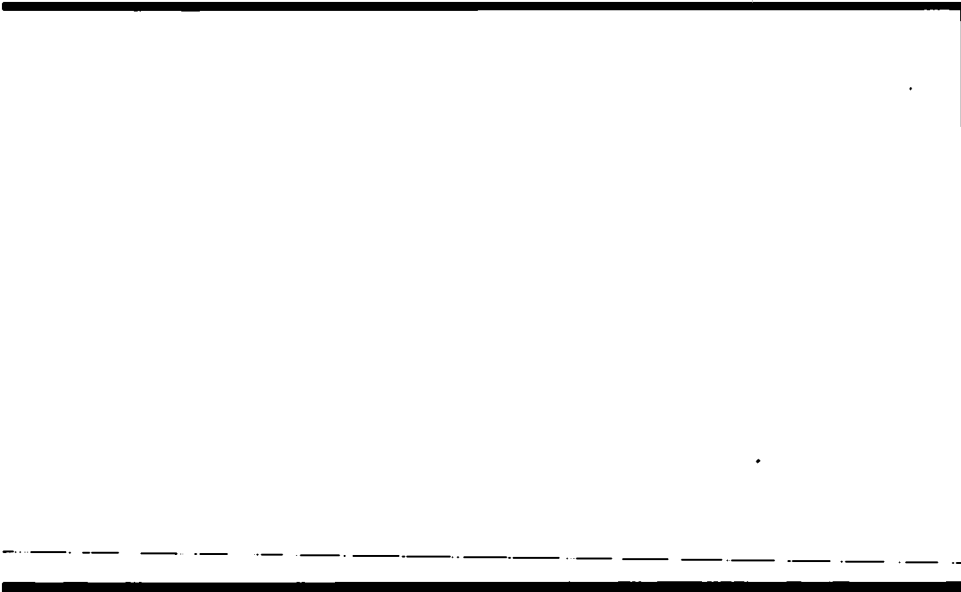
Spent \$1,000 of his own money to help students at Earhart Middle School, Det., with testings reading done on books in classroom-Hernandez

1977

STOCKS, DONALD M. : OFFICIAL

0 17, A-3-3

SAYS DETROIT COULD BE NAMED U.S. MODEL OF IMPROVED POLICE-
CITIZEN RELATIONS-RYAN



STOCKS, ELIZA: UNIDENTIFIED BODY

1971

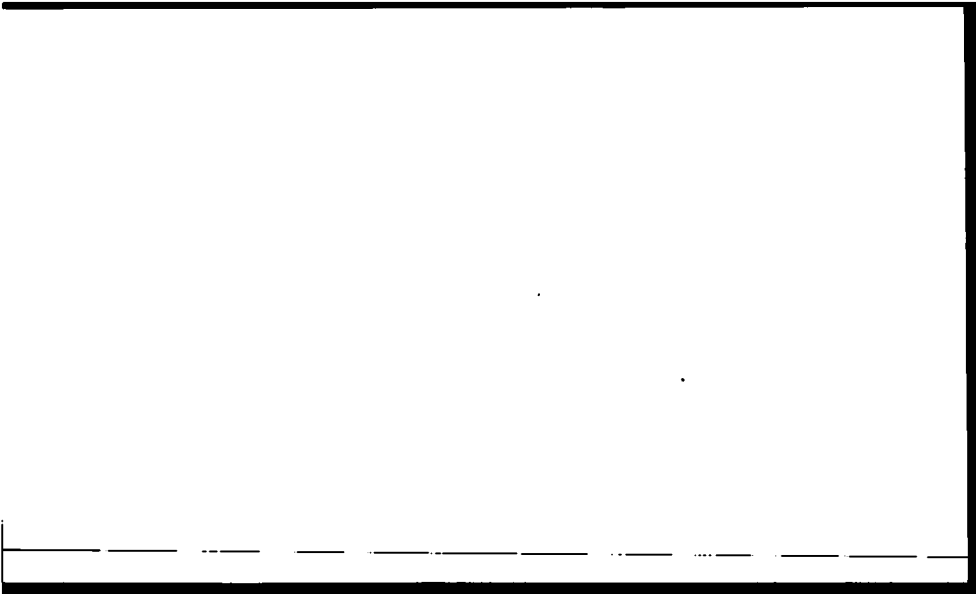
**BODY IDENTIFIED AS ELIZA STOCKS 23, OF DET. FOUND ON
NORTHBOUND I 75 NEAR PONTIAC.**

JA 10, B-6-2

-1979-

F 25, B-5-1

RAPIST KENNETH W WILLIAMS CHARGED IN HER DEATH-MCCLEAR

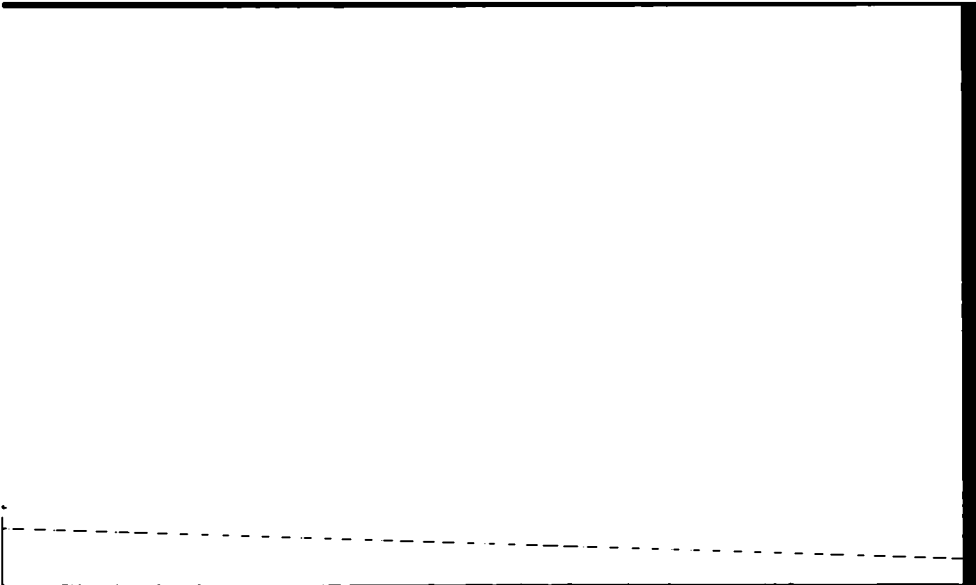


1975

STOCKS, JAMES: MURDERED

'S8, B-7-6

**AGE 28, BEATEN TO DEATH, BODY FOUND IN ALLEY AT 12TH AND
PINE..**



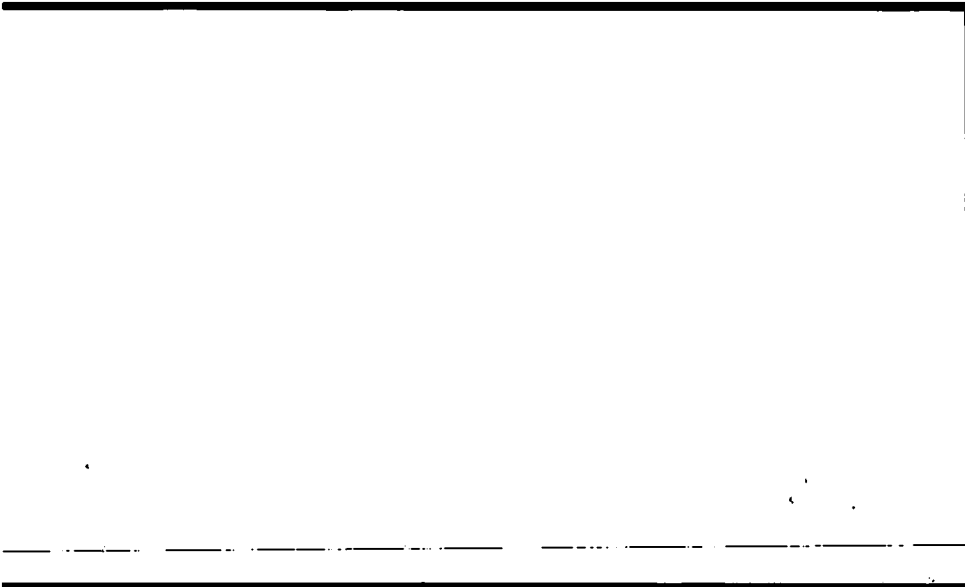
STOCKS,NICK - WHITE ROCK,MICH - SUSPECT

1935

Form 1656

ESCAED FROM DANILAC CO JAIL;;RECAPTURED
SENTC. FROM 14 TO 15 YRS, ROBBERY & ASSAULT

F 18,16-6
AP 9, 4-7-IH



STOCKS, WILLIAM--- CRUELTY TO ANIMALS

1951

& PAL GIVEN 90 DAYS FOR CRUELTY TO CAT
---1952---

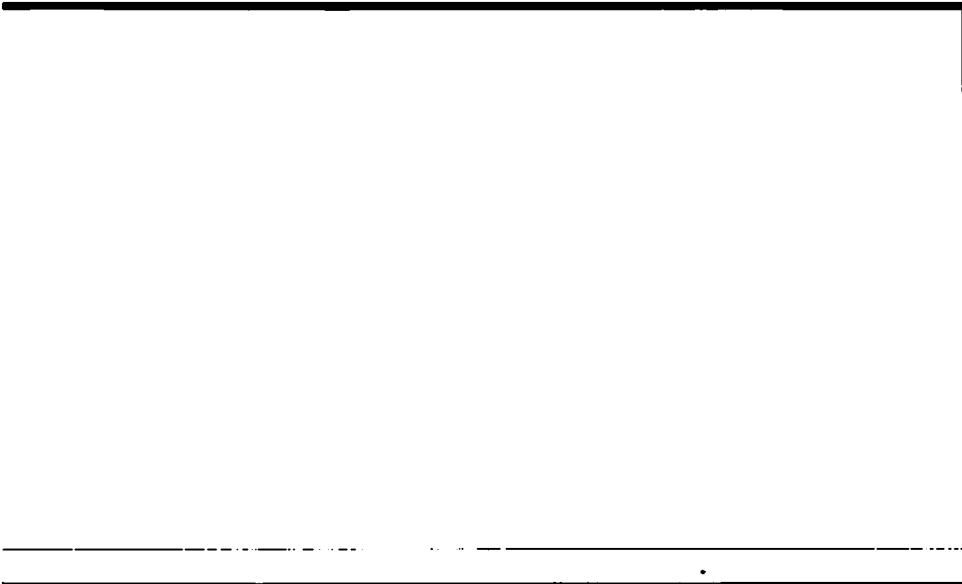
O 21, 1-10-4

AWAITS JULY 1ST TRIAL FOR BEATING LIVONIA POLICE OFFICER

JE 24, 15-5

CHGS. AGAINST ABOVE DROPPED IN POLICE BEATING

JL 11, 6-1



STOCKS, WILLIAM : MURDERER

1979

MR 2, B-5-5-AM

**58, OF DET., CHGD IN DEATH OF STELLA RAVEN, 83 & RAPE OF
20 YR-OLD WOMAN-GRANT**

0 27 A 5-1

Hong Kong-Prices down in Hong Kong and Japan, other Asian
markets mixed 1998 My 14 B 3-1

Hong Kong-Stock prices fell in Asian markets because of
Indonesia problems

AP 10, 30-5

ONTARIO BROKERS TO FACE SUSPENSION IF THEY OFFER SECURITIES IN U.S.
IN VIOLATION OF U.S. SECURITIES LAWS

--1957--

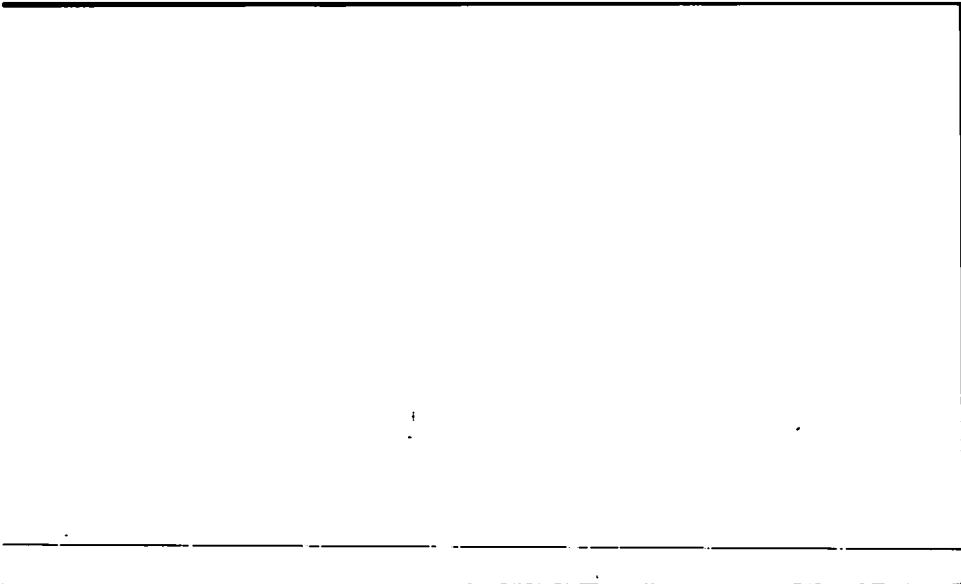
N 5, 29-5 5*

TORONTO-ROREAL CAN. MOUNTED POLICE RAIDED 18 BROKERAGE OFFICES IN TAX-
EVASION INVESTIGATION

--1969--

0 3,06-5 100

MONTREAL-STOCK DEALS HERE TIED TO MAFIA--



STOCKS - BROKERS - COMMISSION RATES

1953

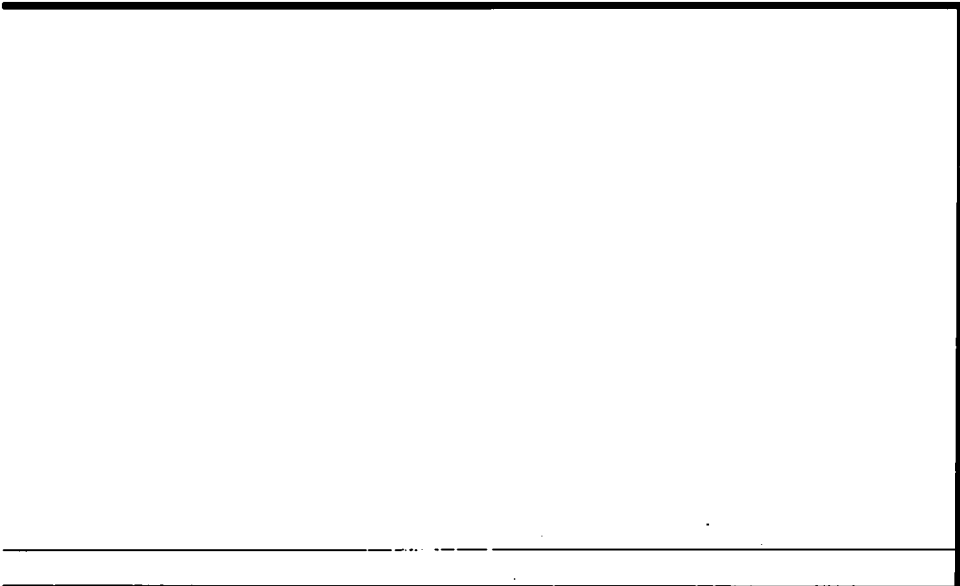
Ag 7, 32-6

MEMBERS OF NY EXCHANGE TURN DOWN FEE BOOST

- --1958--

MR 28, 38-8

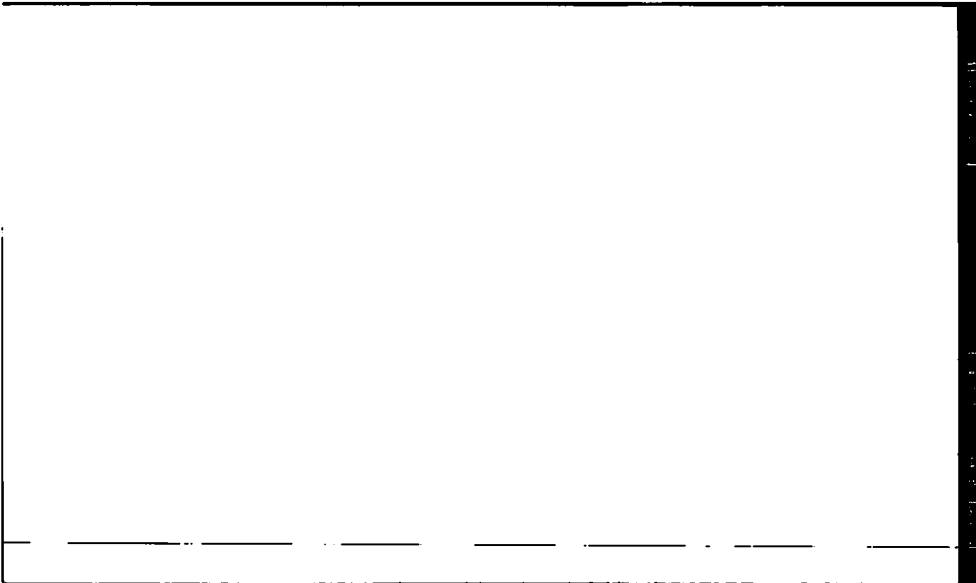
NYC-120 FIRMS FAVOR PROPOSED INCREASE IN COMMISSIONS



1964

STOCKS : BROKERS : COMMISSIONS

- NY-NY STOCK EXCHANGE CONSIDERING INCREASE IN MINIMUM COMMISSIONS ON STOCK TRANSACTIONS & A MANDATORY SERVICE CHG ON PUBLIC ACCOUNTS HELD BY BROKERAGE FIRMS D 31, B-7-1
 --1965-- JA 4, B-11-6
- NY-SECUTIRIES FIRMS AROUND COUNTY GIVE MIXED REACTIONS TO PROPOSALS BY COMM. OF NY STOCK EXCHANGE TO INCREASE BROKERAGE COMM. ON SMALL TRANSACTIONS & REDUCE THEM ON LARGE ONES S 22, C-22-8
- LA-KEITHFUNSTON PREDICTS INCREASE IN BROKERS COMMISSIONS BY '66 S 23, D-9-2
- NY-MERRIL LYNCH TO OPPOSE HIKE IN BROKERS COMMISSIONS --1975-- JA 24, D-6-1
- SEC FORMALLY ADOPTS PLAN TO ABOLISH FIXED BROKERAGE COMSNS. -1976- F 8, E-3-1
- DC-SEC COMMISSON RATE CHANGE AFFECTED NYSE SLIGHTLY MY7, C-4-6
- ANALYST, ARNOLD MINSKY ATTACKS NEW RULE ON COMMISSIONS. SMITH
- AVERAGE BROKER'S SALARY -1981- JA 24, B-7-4
 \$60,000



MERRILL, LYNCH, PIERCE, FENNER & BEANE & THEIR BROKERAGE OFFICE ON WHEELS
NOW TOURING DET. O 17, 36-1

---1958---

F 27, 3-1

OLDEST ACTIVE STOCK BROKER IN DET. OTTO H. SHERLITZ, CELEBRATES 83RD
BIRTHDAY-CRONE

--1966--

O 16, 8-9-5

PLENUD & CO. INC. HEADED BY NEGRO PHILIP M. LENUD IS FIRST
NEGRO-OWNED & OPERATED STOCK BROKERAGE FIRM IN DET.-GILL

--1967--

F 16, 0-7-1

JULIUS RUBINER WITH BACHE & CO. SINCE 1931 TAKES FIRST VACA-
TION FROM HIS JOB-SMITH

AP 20, 0-11-2

PAINE, WEBBER, JACKSON & CURTIS, LARGE NY BASED BROKERAGE HAS
MOVED ITS DOWNTOWN D T. OFFICE FROM PENOBSCOT BLDG. TO
FORMER DET. FIRE & MARINE INSURANCE CO BLDG, 625 SHELBY

F

JL 30, ROTO 10

CITY BLOCK ALONG CONGRESS BETW. GRISWOLD & SHELBY IS CALLED
"LITTLE WALL STREET" AS HOUSES 22 STOCKBROKERAGE FIRMS, MEMBE-
-ERS OF NY STOCK EXCHANGE-KRUEGER

--1968--

N 13, EX 21 C-21-1

NEW FIRM MARANTETTE & CO NAMED FOR PRES DAVID MARANTETTE III,
WHO IS 28-SMITH

--1969--

Ag 12, B-3-1

DETROIT EXCHANGE TRIES SPECIALIST BROKERS TO IMPROVE HANDLING
OF ORDERS--SMITH

---1970---

MR 13, D-6-3

MCDONNELL & CO CLOSING BUSINESS, DET. AREA BRANCHES BEING
TAKEN OVER BY SHEARSON, HAMMILL & CO

AP 24, C-5-3

ATTEMPT TO ORGANIZE UNION LOSES IN VOTE OF FOUR BROKERAGE
HOUSES

-1973-

F 18, E-8-1

DAVID A STULBERG, DET, MOST SUCCESSFUL BROKER IN NATION.
COURTENAY

-1974-

MR 5, B-5-4

DETROIT OFFICE OF DUPONT WALSTON INC, SECURITIES FIRM
ACQUIRED BY RETNOLDS SECURITY, INC.

AP 23, B-3-1

SEC DISCIPLINES LOCAL BROKER. FIRM MARANTETTE & CO, HEADED
BY DAVID T MARANTETTE, SR AND DTM, III.

JL 7, G-1-2

DET. BROKERS DISCUSS CURRENT MKT. SLUMP--WILLCOXX

---1977---

S1, B-4-1E

A. LOUIS SCHULTE, STOCK BROKER AGE 79, THINKING ABOUT
RETIREMENT.

-1980-

AP 1, D-11-3

BROKER CHARLES SCHWAB BOASTS OF NO FRILLS AGENCY--SMITH

STOCK : BROKERS : DETROIT

1987

Je 3, C 1-2

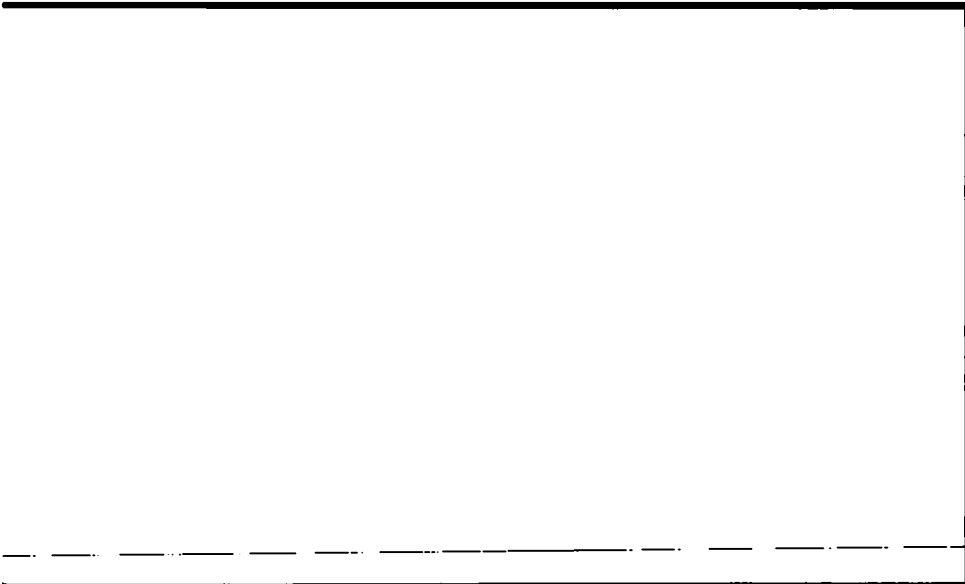
Cleveland-based Prescott, Ball & Turban to enter Mich
as prime market for expansion-Vlasic

STOCK BROKERAGE: EMPLOYEES

1970

F5, C-13-1

FINGERPRINTING SHOWS 1.5% OF THOSE WORKING FOR BROKERS HAV
HAD CRIMINAL RECORDS.



AP 4, 30-3

STOCK MARKET BROKER FEE RATE HIKE

AP 14, 29-1 5*

U.S. TO STUDY PLAN TO HIKE BROKER FEES

---1965---

JA 10, F-18-5

NY STOCK EXCHANGE BIG QUESTION OF 1965 IS WHETHER BROKERAGE HOUSES TO CHARGE HIGHER COMMSN. FOR SMALL & MEDIUM SIZE CUSTOMERS-SMITH

---1966---

D 2, A-1-8 6*

WASH-BROKERS FEES TO BE CUT

---1967---

JA 5, C-6-7

CHICAGO-RIGHT OF NY SE TO SET FEES IS UPHELD
PARCELLS & DENNY OF DET EXCHANGE SAY CUT IN FEES COULD HURT STOCK BUYERS

---1968---

JA 16, C-8-7
AG 20, A-17-1

END OF MINIMUM FEES COULD DESTROY MARKETS SAYS ROBT. HAACK

AG 27, B-5-2

DET. EXCH. GIVES QUALIFIED ON ON NY EXC.'S REDUCTION OF RATES ON LARGE TRANSACTIONS

S 5, C-7-5

RATE CUT ON BIG STOCK DEALS OK'D BY SEC--S 24, D-9-1

AMERICAN STOCK EXCHANGE HAS JOINED THE N.Y. STOCK EXCHANGE IN RECOMMENDING REDUCED COMMISSION RATES ON LARGE DEALS

--1968---

O 25, D-12-8⁰

NYSE OK'D LOWER RATES ON BIG SALES

N 1, C-10-1

WASH-PAULA SAMUELSON URGED FED.GOV'T. TO FORCE STOCKBROKERS TO REDUCE
RATES & OPEN UP NY STOCK EXCHANGE TO WIDE PORTION OF SECURITIES INDUSTRY

----1969---

JA 22, C-17-3

WILL MAKE STUDY OF BROKERS COMMISSION RATES BY NEW YORK
STOCK EXCHANGES TO DEAL WITH CHANGING CHARACTER OF INDUSTRY

Ag 28, C-9-4

N.Y.-STOCK EXH, OUTSIDE CONSULTANTS RECOMMENDING HIGHER
FEES ON SMALL STOCK DEALS-

D10D-7-7

BACHE AND CO INCREASING SUBSTANTIALLY AMOUNT OF COMMISSION
IT CHARGES CUSTOMERS FOR HANDLING SMALL ORDERS.-SMITH.

--1970---

F 15, E-6-1

NYSE WANTS BIG BOOST IN FEES FOR 100-SHARE TRADES---

F18, E-8-1

INCREASE IN BROKERS FEE FOR SMALL INVESTMENTS WOULD HURT
INVESTMENT CLUBS SAYS THOMAS E O HARA, B CONNOR.

F 20, D-4-5

NY-ASS. OF STOCK EXCHANGE FIRMS, BACKS NEW SCHEDULE OF
BROKERAGE COMMISSIONS---

1970

STOCKS: BROKERS: FEES

NEW YORK-120 SMALL SECURITIES BROKERS BANDED TOGETHER TO
COMPETE WITH LARGE FIRMS IN GETTING COMMISSIONS ON MUTUAL
FUNDS TRANSACTIONS
MR 31,C-6-1 RACE
AP 15,C-15-4
SOME FEES CHARGED TO SMALL INVESTORS LAST WEEK MAY HAVE TO
BE REFUNDED UNDER PRESSURE FROM SECURITIES & EXCHANGE COMM
JE 30,D-6-1
PROPOSED NEW FEE SCHEDULE PRESENTED TO SECURITIES & EXCHANGE
COMMSN CALLS FOR INCREASES IN COMMSN CHARGES UP TO 50% ON
SMALL TRANSACTIONS—SHERE
JL 3,D-4-6
SECURITIES & EXCHANGE COMMSN AUTHORIZED MEMBER BROKERS TO
CONTINUE COLLECTING \$15/ORDER COMMSN SURCHARGE BEYOND EX-
PIRATION DATE
S 1, C-11-8
WASH- SECURITIES AND EXCHANGE COMM. ANNOUNCED THAT IT WILL
PERMIT CONT. OF \$15 STOCK BROKER SURCHARGE.
S4, D-4-2
DISCUSSION OF STOCK FEE PLAN- LOEB N18, D-9-1
ROBERT W HAACK URGES MAJOR CHANGES IN SECURITIES INDUSTRY.

---1971---

F 12,C-12-1

SECURITIES & EXCHANGE COMMSN ORDERED NYSE TO ELIMINATE
MINIMUM BROKERAGE FEES ON STOCK TRANSACTIONS EXCEEDING
\$500,000

JE 30,C-10-1

NYSE RATE BOOST TO HIT SMALL INVESTORS.

----1972----

JA25, D-6-6

WASH--BROKERAGE FEES EXEMPTED FROM PRICE CONTROLS

NY-NY STOCK EXCHANGE COME TO AID OF OPPONENTS OF
RATES FOR BROKERS-GREER

S 11, A-15-6

S 13, D-13-3

NY STOCK EXCHANGE DIRECTORS TO WEIGH HIKE OF BROKERAGE RATES

S 14

---1974---

JE 18, B-4-2

BROKER FEES CONFUSE INVESTORS-W.B.SMITH

N 18, A-18-3

US SUPR.CT.AGREES TO DECIDE IF FIXED COMSN.RATES ON US'S2
LARGES EXCHANGES VIOLATE ANTI-TRUST LAWS OR SEC REGULATIONS

--1975--

MR 23, G-1-2

DET.BROKER W.CRONEY JR.COMMENTS ON NY1-EFFEC. FULLY COMPETI-
TIVE COMSN.RATES-W.B.S ITH

STOCK: BROKERS: FBES

1975

AP 17, F-9-4

**DIR. OF NY STOCK EXCHANGE JOSEPH THOMAS ADMITS MANY EXPERT
PREDICT MY1 COULD BE CHAOTIC FOR WALL STREET- WELLS.**

MY 4, G-10-1

**AFTER 2 CENTURIES OF FIXED COMMISSIONS, BROKERAGE RATES
WILL BECOME FULLY COMPETITIVE, CONFUSING-**

-1979-

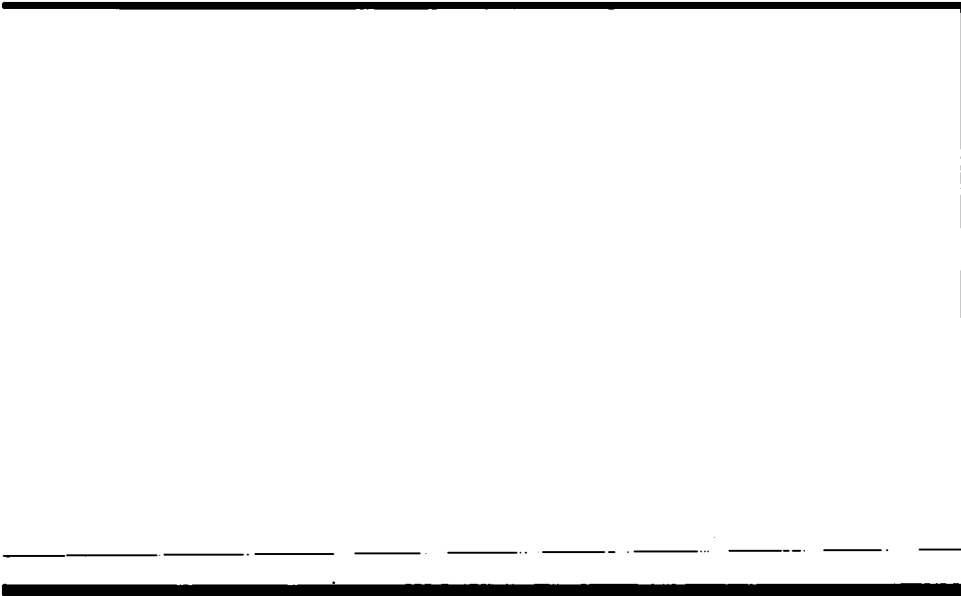
MR 31, A-16-2

MERRILL, LYNCH TO RAISE BROKERAGE COMMISSIONS 4 $\frac{1}{2}$ %.

--1981--

L-1-1, MR 29

DISCOUNTS GROWING WAY FO LIFE AT BROKERAGES-ROSS

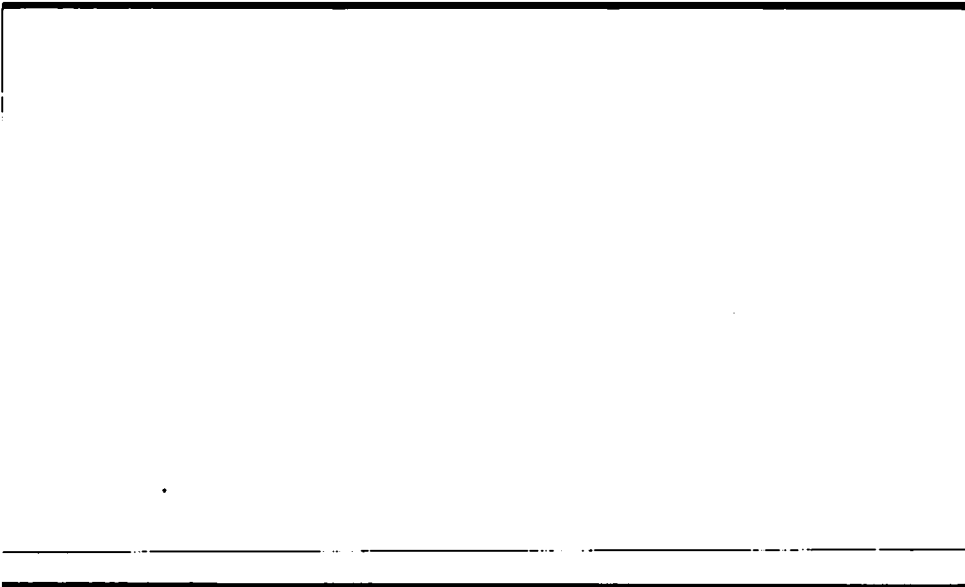


1952

STOCKS: BROKERS : FRANCE

0 8, 6-6

BACNE & Co. OFFICES RAIDED BY FRENCH POLICE, RECORDS TAKEN

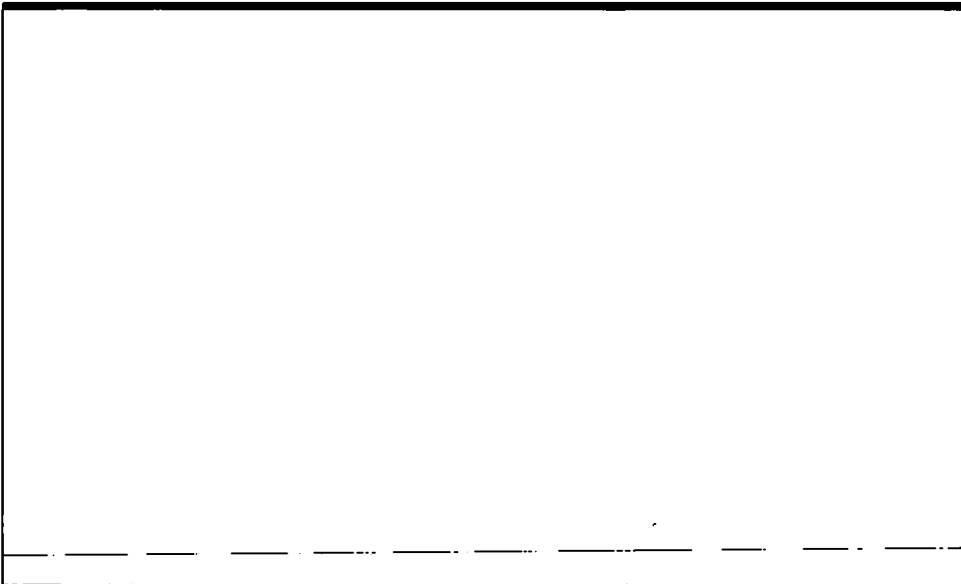


STOCK: BROKERS: ILLINOIS

1969

JE 2, D-5-3

CHICAGO-FEDERAL AUTHORITIES EXTENDING THEIR PROBE OF CRIME
SYNDICATE ACTIVITY TO STOCK BROKERAGE HOUSES IN CHICAGO



STOCK: BROKERS: INSURANCE

1970

AP 20, A-18-1

INSURANCE TO REIMBURSE STOCKHOLDERS FOR ASSETS BEPOSITED
WITH BANKRUPT OF RINANCIALLY TROUBLED BROKERS?--EDIT

--1971---- D30, A-11-1 1DOT

COMMENT ON INSURANCE FOR STOCK BROKERS- GREER



Form 1656

O 25, 38-1

LICENSE OF EARL W EVANS REVOKED

---1953---

Ag 21, 39-8

PONTIAC-LICENSE OF CLARENCE J NEPHLER, JR SUSPENDED PENDING AUDIT OF BOOKS

S 28, 9-1 5*

PONTIAC-CLARENCE NEPHLER JR.GIVEN OK TO OPEN C.J.NEPHLER Co.AS LONG AS HE REIMBURSES CLIENTS WHO WERE EMBEZZLED OUT OF \$160,000

O 16, 1-2

PONTIAC-CLARENCE NEPHLER WORKING HARD TO REPAY CLIENTS WHO LOST IN HIS LAST BROKERAGE VENTURE-TREEN

/---1954---

JE 19, 14-8

LANSING-MICH.CORP & SEC.COMMSN.SUSPENDS GOODBOYD & CO.STOCK BROKERAGE OFFICE AT FLINT,MICH FOR ONE WEEK

---1959---

Jr 17, 13-3

LANSING-WRIT HALTS UNQUALIFIED SALE OF STOCK IN MICHIGAN OF PEERLESS-NEW YORK INC.

---1960---

JA 7, C-6-8

STATE ORDERS HALT OF SECURITY SALES IN MICH.BY FLEETWOOD FINANCIAL CORP.LTD.

--1971----

E 1, C-8-8

MICHIGAN BROKER,MV.GRAY INVESTMENTS INC. OF MIDLAND
BARRED BYSEC

---1971---

Ag 26, B-7-4RACE

MUREL SANCRAFT LOSES STOCK DEALER LICENSE

-1973-

JA 30, C-6-6

GD RAPIDS MAXFIRM BUYS, MACGREGOR & CO AND EKDAL J BUYS &
JAMES D MACGREGOR, FINED \$5000 FOR IRREGULARITIES IN
NATL ASSN OF SECURITIES DEALERS RULES. JE 8, C-19-4

GRAND RAPIDS' BROKERAGE C.E. COOK & CO. CLOSSES

Ag 1, C10-1

BROKERAGE BUCKS BEAR MARKET. SMITH

-1979-

Mr 29, B-5-3

NEW LAWS SET ETHICAL STANDARDS, PENALTIES FOR VIOLATIONS

-1983-

N 1, D-1-3

MERRILL LYNCH LOSES SUIT TO HALT 9 REPS FROM TAKING JOBS

WITH PRUDENTIAL BACHE AT NEW LANS OFFICE-SMITH

-1984-

Mr 8, C-6-2

SALESMEN DALE LAWRENCE UHAS, RAY W. HINKLE MAG 27 DISCIPLI
~~NED BY NYSE FOR VIOLATIONS OF SECURITIES REGULATIONS.~~

STOCKS : BROKERS : MICHIGAN

1984

D 13, G-1-2

**SEC EYES BEVERLY M DANIEL, HER CLOSING OF HER SOUTHFIELD
-1985- JA 9, B-4-5**

BROKERAGE-GRULEY

**SEC REVOKED BROKERAGE'S LICENSE & BARRED BEVERLY DANIEL
FROM FUTURE DEALINGS WITH SEC REGISTRANTS-GRULEY**

1--986

J1 13, D-1-1

6 smiling million dollar brokers from Det area-Kerwin

-1994-

F 10 E 1-6

**Stockbroker Geoffrey Orbach, PaineWebber, fights ruling pre-
venting him from contacting former clients at Merrill-
Lynch-Walldsmith -1996- My 16 C 7-1**

**Robt. Niewinski, Ford Motor, Union Lake, awarded \$105,850
from Ulde for excessively trading his stocks-Ramirez**

S 20, 20-5

STOCK EXCHANGE SUSPENDS JOHN MUNROE

----1958----- O 28, 34-6

NYC-BROOKLYN MOBSTERS HAVE STRONG ARMED WAY INTO WALL STREET SAYS
SITE ATTORNEY GENERAL LOUIS LEFKOWITZ

----1960--- D22, D-6-5

NY STOCK EXCHANGE POSTS MONEY FOR BROKER LOSS

----1961--- N 14, D-10-5

SEC SUSPENDS BROKER ROB'T GINTEL FOR 20 DAYS

--1965--

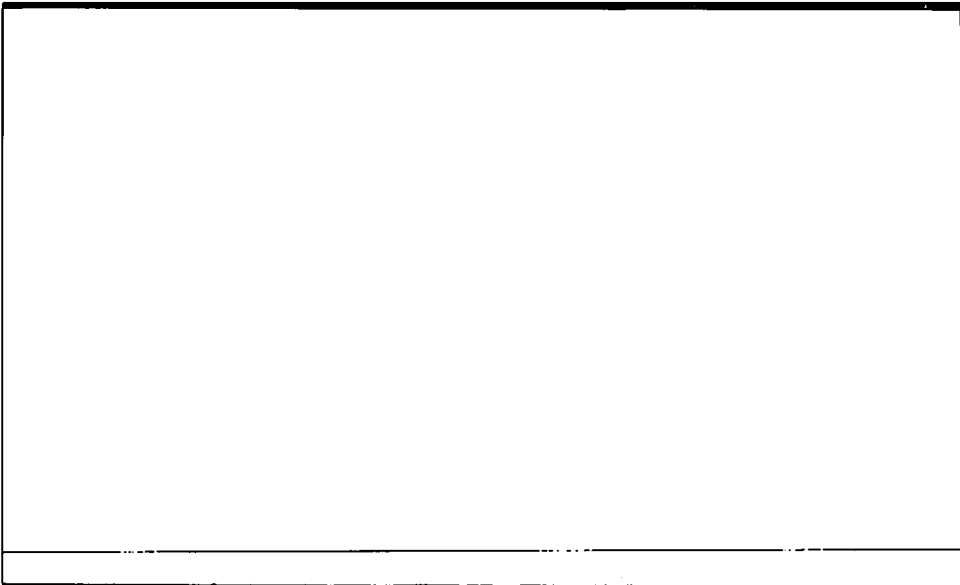
JA 18, B-12-5

OFFICERS OF BRANCHES OF MEMBER BROKERS OF AMERICAN STOCK EXCHANGE
WILL BE REQUIRED TO PASS WRITTEN EXAMINATIONS STARTING THIS YR.

--1969--

O 15, 14, D-9-2

SUPREME COURT LEFT STANDING RULING TH T ABOVE COULD BE
HELD RESPONSIBLE FOR TRADING LOSSES INCURRED BY INSOLVENT
CUSTOMER WHO WENT INTO BANKRUPTCY-

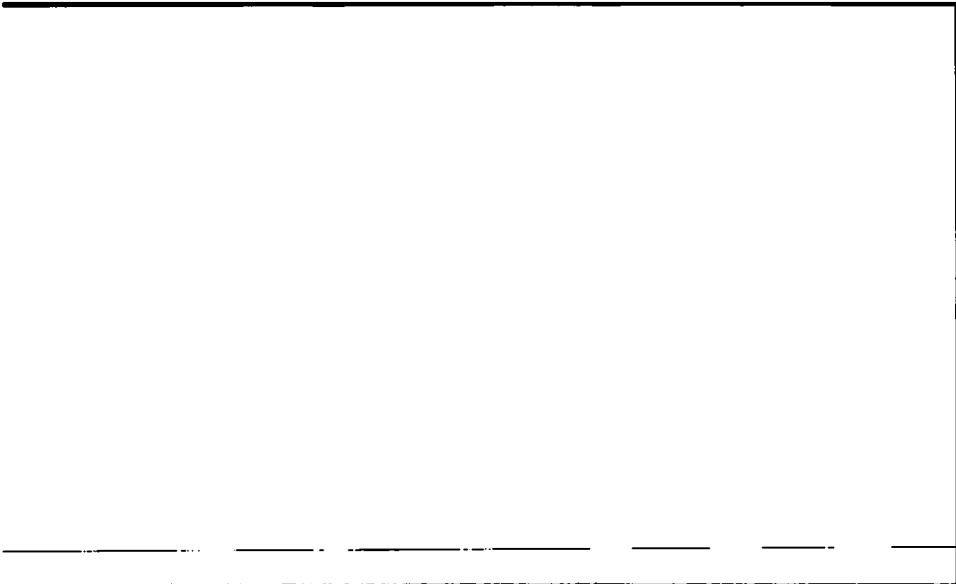


1964

STOCKS: BROKERS: PUERTO RICO

JA 23, 0-6-6

NY-WESTERN UNION INTERNATIONAL INC FILED APPLICATION WITH FCC FOR UNDERSEA CABLE TO CARRY TICKER REPORTS FROM NY STOCK EXCHANGE TO BROKERAGE HOUSES IN PUERTO RICO-TO OPEN CABLE F1

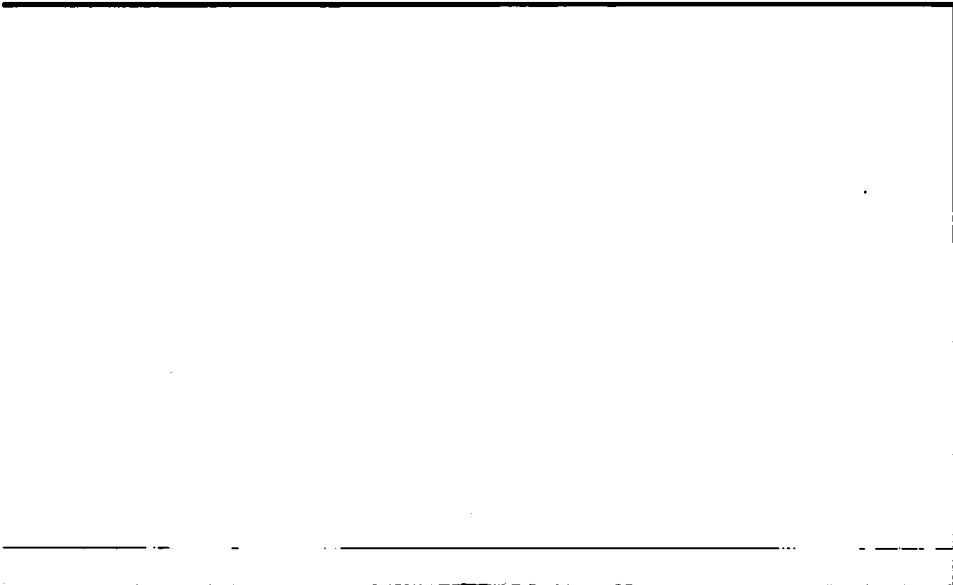


1970

STOCKBROKERS: UNION:

JA 28, C-11-1

DET. STOCK SALESMEN'S PLEA FOR UNION COULD HAVE NATIONAL
SETTING TRENDS.- OCONNOR. MR 13, D-6-7
NATIONAL LABOR RELATIONS BOARD APPROVES UNION-TYPE VOTE BY
STOCK SALESMEN--O'CONNOR



NY STOCK EXCHANGE COMM. RECOMMENDS MEMBER FIRMS BE ALLOWED TO ORG. &
 OPERATE AFFILIATED CORPS. TO UNDERWRITE SECURITY ISSUES - AG 23, 29-8
 CUSTOMERS' BROKERS ASK TO BE CLASSED AS PROFESSIONAL MEN - N 29, 29-1
 CHESTER LANE, SEC COUNSEL, CALRIFIES ADVISER CLASSIFICATION - N 26, 33-8
 US SUP CRT DECLINES TO PASS ON CIR. CRT. RULING THAT BROKERS SELLING SEC
 CURITIES OWNED BY OTHERS LIABLE FOR MISREPRESENTATION
 ---1941---
 VERNON C WALSTON, WM S HOELSCHER SUSPENDED BY SEC JL 1, 36-1 BS
 ---1942---
 SEVERAL THOUSAND BROKERS MAY QUALIFY AS SALES AGENTS FOR DEFENSE BON-
 DS JA 19, 23-5
 ---1945---
 BENJ E MARION ASKS LEGAL BARRIER AS SECURITIES MEMBER; NOW SERVING TERM
 GRAND LARCENY F 28, 22-2
 ---1947---
 ASSN. OF STOCK EXCHANGE FIRMS ASK RISE IN BROKER FEE - MR 20, 52-5
 WINTHROP H. SMITH HITS PROPOSED HIGHER COMMISSIONS - MR 21, 34-6 BS 2
 GOVERNORS NY EXCHANGE VOTE TO SUBMIT TO MEMBERS PROPOSED FEE BOOST
 ---1949---
 EDWARD A. PIERCE URGES BROKERS TO ADVISE PUBLIC OF SECURITY MKT. AFFAIR
 ---1953---
 NY STOCK EXCHANGE BOOSTS COMMISSION RATE JL 24, 32-5

-----1954-----

My 3, 18-1

BROKERAGE FIRM TO BASE "STOCKMOBILES" AT NORTHLAND SHOPPING CENTER-
MORRIS

JL 9, 35-5 5*

BROKERS CARRYING CHG. BOOST URGED BY AMER. STOCK EXCHANGE

JL 21, 52-8 6*

BROKERS TO SHOW INVESTOR DISPLAYS OF 12 CORPORATIONS

-----1955-----

Ap 11, 38-4

SEC. & EXCHANGE COMM. TIGHTENS ITS RULES APPLYING TO THE FINANCES OF SEC
URITIES BROKERS & DEALERS

Ag 31, 34-5

SEC PLANS STRICTER REGULATION OF BROKER OR DEALER DEBT

-----1956-----

JL 6, 32-7

NYC-NEW YORK SUP. CT. BANS TELLIER & CO. FROM SALE OF PENNY STOCK

JL 27, 44-1

MIDWEST EXCHANGE EXPELS BROKER WM T BOWLER & CO.

Ag 30, 46-1 6*

NYC-OPERATIONS OF DAVID CORDON & CO., SECURITIES DEALER, IS HALTED BY
COURT INJUNCTION

-----1957-----

S 25, 34-1 5

SEC REVOKES REGISTRATION UTAH URANIUM BROKERS, INC.

-----1959-----

Ag 14, 33-1

NAT'L ASSN OF SECURITIES DEALERS EXPELS 11 MEMBERS FOR ALL-G'D VIOLAT
ION OF GRP'S FAIR PRACTICE RULES

-----1960-----

O 14, 8-10-5

G. KEITH UNSTON RECOMMENDS THAT ALL DEALERS IN SECURITIES BE REQUIRED
TO MEET TOUGHER STANDARDS

- NYC-MRS MARY P RIIS IN MARKET TRADING SINCE '20's-^{J13, A-17-1}
 --1961--^{PRUESS}
- MRS. HARRY STIMSON, WINDOW MOTHER OF 6 BECOMES STOCK BROKER TO SUPPORT
 FAMILY-CARLTON^{N 7, A-15-1}
- 1967---^{F 1, C-14-1}
- ; LONDON-LONDON STOCK EXCHANGE VOTE MAY LIFT BARRIER TO WO-
 MEN^{---1968--- D 22, ROTO 10}
- WOMAN BROKER PATRICIA BREEN^{---1969--- JA 6, C-8-2}
- 3RD WOMAN GETS SEAT ON AMEX, MRS. NANCY L. STUART.
 --1971--^{MR 31, G-6-10}
- MICH. 1ST WOMAN STOCKBROKER ETHEL RIFE RETIRING AT
 AGE 79. PEREGRIN^{-1973- JA 15, A-15-1}
- NY--HIGH SCHOOL DROPOUT MRS. JEAN DUNNE, FIRST WOMAN SPECIAL
 LIST IN HISTORY OF WALL STREET; AGE 22. BARTLETT
 --1975--^{F 27, F-8-3}
- MERRILL LYNCH FISHER BLDG. OFFICE'S 1ST WOMAN BROKER, CAROL POS-
 BY, INTERVIEWED-GAINOR



D 13, D-8-8 LF

NYC- SURET ASSN.OF AMERICA FILES NEW BOND FORM TO PROTECT BROKERS OF
DISHONEST PARTNERS

----1961---- Ap 4, D-7-1

GOV'T PLANS CURBS ON MARKET ADVISER ADS

Ag 3, C-14-5

NEW YORK STOCK EXCHANGE OFFER TESTS FOR SALESMEN OF SECURITIES

----1962---- F 27, A-17-5

NAT'L ASSN OF SECURITIES DEALERS TELLS OF VIOLATION EXPULSIONS

My 24, C-18-2

NATL ASSN.OF SECURITIES DEALERS URGES STIFFER SECURITIES SALES TESTS

Ag 10, B-8-8

SEC AGREES WITH NASD TO EXPEL VICKERS, CHRISTY & CO., NYC FROM NASD MEMB
ERSHIP FOR RECKLESS HRRING OF BROKERS

Ag 16, C-14-8

SEC CONSIDERING NEW RULE TO PROHIBIT BROKERS FROM SELLING LOW-PRICED
UNSEASONED STOCKS TO STRANGERS BY PHONE

----1963----

Mr 23, A-8-4

NY-NASD PUTS TOUGHER EXAMINATION OFOR SECURITIES SALESMEN INTO EFFECT,
FAILURE RATE BOOSTED

AP 2, A-13-4 FIN5

NY-NY S OCK EXCHANGE & AMERICAN STOCK EXCHANGE COMBINING THEIR TESTS
TO HAVE-1 UNIFORM TEST FOR SALESMEN OF SECURITIES BEGINNING JL 1

---1963---

Ap 6, A-8-4

BROKERAGE FIRMS' EXAMS TOUGH TEST FOR SALESMEN-SMITH

Ap 7, A-21-1

BROKERS HAIL SEC REPORT URGING NEW STOCK CURBS-UTTL

S 5, D-8-5

NATIONAL ASSN. OF SECURITIES DEALERS ANNOUNCES HAS REVOKED REGISTRATION OF 4 SECURITIES SALESMEN-DUNCAN FARMER, JOSEPH A. VIOLA, VINCENT VOLPE, & JOSEPH DELFINO

N 5, C-9-2

STOCK BROKERAGE FIRM IN DET. BOOK BUILDING, WALSTON & CO. HAS NEW ELECTRONIC LOOK-S. SMITH

N 17, D-15-2

NY-CUSTOMER BUYS SECURITY ON ADVICE OF A BROKER, BROKER COULD GIVE HIS ADVICE AS LONG AS HIS ADVICE WAS SOUGHT, HE COULD NOT VOLUNTEER HIS ADVICE, ~~BANKER~~ CANNOT GIVE ADVICE NOW-GOULD ---1964---

JA 12, G-2-7

FOR STOCK BROKERAGE BUSINESS, 1964 EXPECTED TO BE ANOTHER YR OF MERGERS & CONSOLIDATIONS-SMITH

F19, C-8-5-

WASH-WILLIAM CARY CALLS FOR TIGHTER CREDIT CONTROLS EXTENDED BY BROKERAGE HOUSES

MR 26, C-19-4

WASH.-HOUSE COMMERCE SUBCOMMITTEE HAS FORMALLY APPROVED STOCK MARKET REFORM LEGISLATION, AMID CONTROVERSY ABOUT BROKERS WHO MAKE MARKETS FOR STOLD SOLD OVER-THE-COUNTER

MY 4, B-10-8

WASH.-REVISED SYSTEM FOR FURNISHING OVER-THE-COUNTER STOCK PRICES TO PUBLIC APPROVED BY EXEC.COMM. OF NASD

STOCK - BROKERS

1964

WASH.-SEC PROPOSES RULE FOR OBTAINING MORE INFORMATION ABOUT STOCK
BROKERS

JL 9, C-17-2

--1965--

JA 15, D-5-8

NASD FAVORS SEC PROPOSED RULE REQUIRING MOST SECURITIES FIRMS TO HAVE
NET CAPITAL OF AT LEAST \$5,000

MR 19, C-5-5

CHIC.-HAROLD Z. KAPLAN & Lyla DUNN BRUE SUIT IN FED. CT., ACCUSE NY STOCK
EXCHANGE & 4 BROKERAGE FIRMS OF ANTITRUST VIOLATION BY SETTING FIXED
MINIMUM COMM. RATES ON STOCK PURCHASES

---1966---

AP 10, ROTO 6

WHEN PRESIDENT GETS A COLD, LES ANDERSON, BROKER GETS PHONE CALLS-
NORLE

JL 13, C-18-6

NY-KEITH FUNSTON CONTINUES TO WORRY DESPITE BROKER PROFIT INCREASE

O 25, C-6-8

WASH-SEC PROPOSES TO EXTEND SAME RIGID STANDARDS OF CONDUCT
ON ALL BROKERS & DEALERS IN SECURITIES

--1967--

O 12, C-9-7

NY-BROKERS MAP METHOD TO CUT PAPER WORK

O 23, A-19-1

BROKERAGE HOUSES SCAN OUTLOOK FOR AUTO STOCKS

N 14, D-8-1

WASH-SUPREME CT. UPHOLDS NY STOCK EXCHANGE FEES

---1968---

S 15, F 1-1

\$10,000-AND A FRIEND-VANISH IN STOCK MARKET-LIVINGSTON

O 7, A-19-3

LAS VEGAS, NEV-SCHWABACKER & CO. BROKERAGE HOUSE CLAIMS BACKLOG OF PAPER-
WORK TOO MUCH FOR STAFF

D 11, C-23-6 3DOT

LA-ROBTW. HAACK SAID THAT NY STOCK EXCHANGE DEPLORES SHUNNING SMALL IN-
VESTOR FIRMS

D 18, D-10-1

ROSS WILHELM, U-M PROF, DEPLORES CURB ON SMALL STOCK DEAL-
GILL

---1969---

JA 20, D-4-4

NRBAN ON MINIMUM BORKERAGE FEES ASKED BY US UNIT

F7, C-8-4

WASH- LEHMEN BROTHERS AND ROWLES WINSTON AND CO. CENSURED
BY SEC FOR PAPERWORK LAG.

F11, C-5-1

STOCK CLUBS RAP BORKERS WHO REJECT SMALL ORDERS.

AP 12, A-8-4

NY STOCK EXCHANGE FINES WHITE & CO OF ST. LOUIS \$110,000

MY8, C-8-1

OLICE CLAIM BROKERS & LAWYERS ON WALL STREET ARE NOT HELP
NG THEM SOLVE CRIMES.-SMITH.

STOCK: BROKERS

1969

JL 12, A-8-7

NYSE UNIT TO SEEK PUBLIC OWNERSHIP OF BROKERAGE FIRMS..

JL 18, D-5-8

NEW YORK-MEMBERS OF STOCK EXCHANGE ALLOWED TO GO PUBLIC
AND SELL SHARES IN THEMSELVES-

JL 10, G-6-3

BROKERAGE FIRMS BEGIN LAYOFFS

JL 31, C-10-8

NEW YORK-FORTUNE MAGAZINE REPORTS THAT BROKERS WILL MAKE
CONSIDERABLE PROFITS IF THE PUBLIC WILL BE ALLOWED TO BUY
STOCKS FROM FIRMS-

AG 14, C-7-1

NEW YORK-PROFITS OF ABOVE DECLINED AS MARKET PRICES GO DOWN

O 24, A-15-3

NY-WALL STREET BROKERAGE FIRM OF GREGORY AND SONS INC. SUSPENDED
FOR NON-PAYMENT-

D21, E-7-5

DETROIT STOCK SALESMAN, JOHN JACOBI FIGHTS COMMISSION CUTS.
- OCONNOR.

-----1980-----JA2, C-6-4

SEC ASKS OPINIONS ON PLAN TO CHANGE PRICING SYSTEM.

--1970--

JA 27,B-5-5

NAT'L LABOR RELATIONS BOARD EXPECTED TO DECIDE ON GROUP OF
DET BROKERS UNIONIZATION--DOBYNS
BROKERAGE FIRM OF BACHE & CO WILL NOT PAY OUT ANNUAL BONUSES

JA 30,A-15-1 IDOT
F 18,C-8-1

FIRM OF SMITH,BARNEY & CO PROPOSED CHANGES IN BROKERAGE COM-
MISSIONS UNTIL WALL STREET HAS ADEQUATE CHANGE OT STUDY THEM

AP 3,C-14-1

90 DAY TRIAL OF FEE HIKES FOR BROKERS OK'D

AP 5,E-6-1

BETTER BREAK FOR INDIVIDUAL TRADERS URGED BY THOMAS E O'HARA
SMITH

AP 6,D-6-4

W DONALD J MILLER,LAWYER,APPEALING TO SUPREME COURT THAT EX-
INVASION OF PRIVACY FOR FINGERPRINTIN STK EXCHANGE EMPLOYEES

AP 7,A-12-1

TEMPORARY INCREASE IN BROKERAGE FEES BROUGHT FEW CUSTOMER
COMPLAINTS--SMITH

JE 2,B-6-4

WESTON & CO,LARGEST BROKERAGE OFFICE IN NEVADA VOLUNTARILY
CLOSED,VICTIM OF 17-MONTH BEAR MARKET

JE 28,E-6-1

SALESMEN'S INCOME FALLS WITH MARKET--W SMITH

JL 5, E-8-1

BIG BOARD FIRMS HOPE FOR BETTER FINANCIAL FUTURE- SHERE

JL7, C-8-1

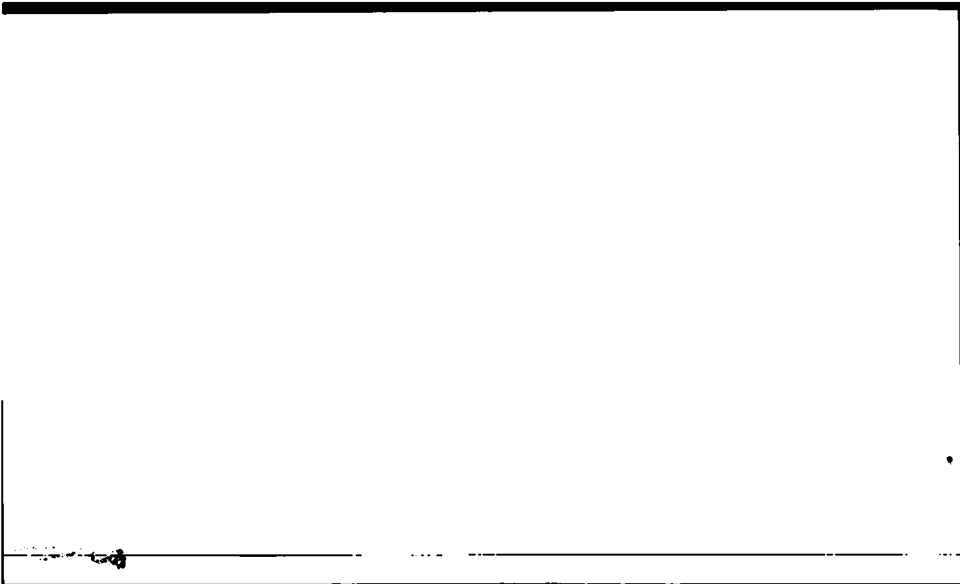
NY- NEW YORK STOCK EXCHANGE WILL HAVE THE FIRST NEGRO OWNED MEMBER .
BROKFRAGE HOUSE IN ITS HISTORY, HEADED BY RUSSEL GOINGS JR.

---1971--- JE 25, D-11-1

NY FIST BLACK CONTROLLED MEMBER FIRM, DANIELS AND BELL
HAS BEEN APPORVED FOR MEMBERSHIP.

JE 27, E-10-6

BLACKDEALER, PHILIP LENUD EXPELLED FR. SECURITIES
GROUP.



1970

STOCK BROKERS

S 2, C-10-4 RACE

SEC HAS ACCUSED 29 BROKERAGE FIRMS OF VIOLATING FED. STOCK REGISTRATION AND FRAUD LAWS

S 11, D-7-8 1 DOT

NY - SECURITIES EXPERT, LEON T. KENDALL PREDICTS 50 MORE BROKERAGES WILL CLOSE.

S 29, C-5-1

SEC SUSPENDS BLACK DET. BROKERAGE, OF MURRAY, BROOKS, STONE STREET, WALLACE, PENN AND CO.

S 30, C-11-1

ONE OF U.S. BIGGEST STOCK BROKERAGE FIRMS DEAN WITTER & CO JUST OPENED 1ST OFFICE IN MICH. IN SMALL TOWN OF ST. LOUIS.

D 6, E-7-1

SMITH
PENN CENTRAL DILEMMA OF BROKER HOWARD BUTCHER 111-LIVINGSTON

D 7, C-5-1

HOW PENN CENTRAL BONDS LOST IN THE RATING GAME AND TRAGEDY OF HOWARD BUTCHER 111

D 9, D-15-1

REPORT OF SECURITIES AND EXCHANGE COMM. SAYS PRIVATE INVESTMENT PARTNERSHIPS HAVE TREMENDOUS POTENTIAL FOR INFLUENCING STOCK MARKET. STEIGER

~~1971~~

JA 17, H-4-1

WHAT WILL MARKET DO IN 1971?—W SMITH

JA 25, B-2-1

A SOUND STOCK EXCHANGE STUDY NEEDED—

EDIT
F2, C-10-1

BROKERS INSIST THERE WILL BE NO FUTURE PAPERWORK JAMS
DUE TO INCREASED TRADING.

MY25, C-9-1

NATIONAL ASSOC. OF INVESTMENT CLUBS PROTEST TO SEC ABOUT
TREATMENT OF SMALL INVESTORS BY ABOVE
BIG BOARD OK'S MEMBERSHIP OF 1ST BLACK FIRM, — DANIELS AND
BELL INC.

JE 25, D-11-1

JUL15, C-12-1

Q WALL STREET ACCOUNTING DECEPTION IS CHARGED

S27, B-7-1

BIG BOARD BROKERS TO GET BIGGER FEES
SECURITIES & EXCHANGE COMMISSION PROPOSED NEW RULES TO BAR
BROKERS FROM UNRESTRICTED USE OF CUSTOMER'S FUNDS IN
BUSINESSES

N 10, C-9-1 RACE

~~1972~~

F15, C-9-4

WASH--SECURITIES AND EXCHANGE COMMISSION TELLS NEW YORK
STOCK EXCHANGE IT DOESN'T OBJECT TO IDEA OF BIG BOARD
MEMBERS SELLING LIFE INSURANCE

STOCK: BROKERS

1972

MY26, C-12-7

NY--MILITANT STOCK SALESMEN'S ORG. HAS VOTED TO SEEK COLLECTIVE BARGAINING POWERS ON WALL STREET FOR 1,500 OF THE COUNTRY'S 50,000 BROKERAGE REPRESENTATIVES

JE 1, C-19-6

WASH--SEC PROPOSED REVISED REGULATIONS TO LIMIT BROKERAGE FIRMS USING CUSTOMERS CASH & SECURITIES LEFT ON DEPOSIT WITH FIRM

JE 14, C-19-6

WASH--SEC ORDERS BROKER-DEALERS TO HAVE MORE MONEY ON HAND TO PROTECT CUSTOMERS IN CASE OF FINANCIAL DIFFICULTIES

AG31, C-10-4 100T

NY--BROKERS PLAN TO MOVE TOWARD MORE NONSECURITIES BUSINESS AND MORE PRINCIPAL DEALINGS INSTEAD OF PUBLIC AGENCY ACTIVITY

0-3, C-6-5

NY--ABOUT 40% OF BROKERAGES LOST MONEY ON OPERATIONS IN SEPT; ARE IN RED AND ARE APPREHENSIVE.-GREER

-1974-

JA 10, C-10-1

EXPERT ON STOCKHOLDER AFFAIRS WM E CHATLOS, SEES GRIM TIMES AHEAD FOR BROKERAGE SYSTEM.SMITH

--1974--

Ag 14, B-7-1

SEC SUSPENDS BROKER-DEALER REGIS.OFFIRST.DET.SECURITIESCORP.
FOR 30DAYS&SUSPENDS PRES.HERMANF.ZERWECK FOR60DAYS

Ag 22, D-16-2

SECURITIESINVESTORPROTECTIONCORP.SEEKS HIGHER COVERAGE TO
MAKE STOCKS SECUREX FROM BROKERAGE FAILURE-CURRY

ND 1, G-3-1

LOEB,RHOADES&CO.BROKERAGE HOUSE EXPANDS WHILE STOCK MKT.
SHRINKS-WILLCOX

--1975--

F 5, C-9-1

'SPECIALIST' STOCK DEALER'S ROLE EXPLAINED BY JOHNJ.PHELANJR
-W.B.SMITH

AP 30, C-8-2

MERRILL LYNCH TO TAKE BIGGER BITE IN SMALL STOCK TRANSACTION
FROM CHARGE OF 1.5% TO ABOUT 2% IN 100 OR LESS SHARES

-Wells

-1976-

F 8, E-1-1

6 NYC STOCK EXCHANGES TO MOVE PART OF OPERATIONS TO JERSEY
CITY TO AVOID HIGH NYC TAX-COFFEY

AP 18, E-3-1

AREA BROKER BUSINESS UP-SMITH

AP 18, E-3-1

SUCCESSFUL BROKER DAVID STULBERG TALKS OF TRENDS-GAINOR

JE 1, D-9-5

NYCBROKERS NEGLECT SECURITIES STOCK AS SECURITY RULES CHANGE

STOCKS - BROKERS

1976

JE 25, D-5-6

WASH-SEC AUTHORIZED SEGMENTS OF BROKERAGE INDUSTRY TO FINGER
PRINT OFFICERS& EMPLOYES OF MEMBER FIRMS

AG 15, G-3-1

FED CT RULES THAT C.EUGENE COOK, BROKER IN GRAND RAPIDS,
LIABLE TO DAMAGES TO CUSTOMERS IN VIOLATION OF SECURITIES
LAWS

---1977---

N 13, G-1-2

NY-BROKERS&GE FIRMS FEEL URGE TO MERGE AS 70 NYSE FIRMS SWAL-
LOWED UP FROM BEGINNING OF 1974 THRU FIRST HALF OF 1977-DAL-
LOS

-1978-

D 4, A-3-5

SUPREME COURT LEFT INTACT RULING ALLOWING DEFRAUDED CLIENTS
TO SUE BROKERS

--1980--

JL 28, C-1-2

INVESTORS SEEKING DISCOUNT BROKERS.-LADERMAN

JL 28, C-3-2

ANN STANBURY OF SEC SAYS SECURITIES INDUSTRY BEST REGULATE
IN COUNTRY.

-1981-

F 5, D-8-3

MICH OFFICES OF MERRILL LYNCH, PIERCE... OFFER PROGRAM CALLED
CASH MANAGEMENT ACCOUNT

-1981- 07, A-1-1
CHRISTOPHER C LAPP26 OF STERLING HTS IS 1ST AMERICAN TO
PASS SECURITIES DEALERS ASSN TEST IN JAPAN-LADERMAN

D7, A-2-6 4DOT
SUPREME CT. UPHELD RULING INVESTMENT HOUSES LIABLE FOR
MISCONDUCT OR MISHANDLING OF ACCOUNTS BY THEIR BROKERS.

-1982- MY 3, A-4-1
4DOT

SUP CT RULED THAT THOSE VICTIMIZED BY MANIPULATIONS OF COMM
ODITIES MARKET CAN SUE THIER BROKER. MY 4, C-7-3

JOHN J. CURRAN LOST MONEY IN AGRICULTURAL 'FUTURES' CONTRAC
TS WON SUP CT RULING TO SUE BROKER. N 14, E-8-1

LAST WEEK CONTINUING BOBANZA FOR BROKERS.-W. SMITH
D 3, B-7-5

NBD OFFERS CUSTOMERS DISCOUNT BROKER PLAN.
--1984-- AP 17, C-7-2

DC- THOSE WHO PROMOTESTOCKS ON BASIS OF INSIDER INBORMA-
TION CAN BE SUED FOR FINANCIAL LOSSES.

STOCK : BROKERS

1984

Je 14, F-9-1

CONSUMERS WOULD BE HURT IF BANKS ALLOWED TO ENTER SUCH BUS-
NESSES AS DISCOUNT STOCK BROKERING.

--1985--

S 24, B-4-2

Banks balk at SEC regulation of brokerage service.-

Schroeder

--1986--

Ja 1, D-11-2

KANSAS CITY-Jo Bumgarner is only female trader who joins show-
ing match in the Value Line pit.

F 13, D-10-2

St. Paul stock broker Carl Damien Gill censured on chgs he
concocted fake info to help customer borrow money-Smith

Je 23, D-1-2

Brokerage firm Edward D. Jones & Co has numerous branch off-
ices-Smith

-1987-

Ja 3, -1-3

Bank brokerage joins stock dealers group, move seen as
thaw in turf wars-Starkman

-1987-

J1 8 C-2-1

DC-Banks can act as brokers, federal appeals court rules.

J1 9, E_2-3

NY-banks hail brokerage service ruling-Marino

0 21, A-1-1

Lans-H B Shaine & Co failed after Wall St crash-Freedman

0 22, E-1-6

Olde & Co tells stock buyers to come up with half in cash-
Markiewicz

D 21, E_1-2

Eileen DeVries, among Merrill Lynch's top 100 brokers-
(Grand Rapids) Kerwin

D 21, E_1-4

Broker Betty CinqMars' cautious investment style wins
Kalamazoo clients-Kerwin

Mr 26 C-1-2

Ann Arbor-Students told that promise, prejudice await blacks on Wall St.--Sedgwick

My11, C_1-5

NY-5 firms drop program trading-Gladstone Je 2 E-1-1

SEC delays decision on investors' option to sue brokers.

-1989-

Mr 27, D-1-2

Market crash forced many minorities out-Kaskovich

Jl 17 D-1-1

Arbitration a trend in stockbroker suits.--Kaskovich

O 17 A-7-1

Father & son, Peter Logan & Peter Jr., aid clients on a bumpy stock ride on Friday the 13th at Roney & Co.-Vlasic

-1990-

S 9 A 1-1

Inside moves: Brokers profit from S&L Mess-How Wall Street
fueled the crisis-Gordon-1st of 2 parts S 9 B 7-1

How politicians were linked to junk bonds-Gordon

---1991---

S 6, E-1-4

SEC accuses Det.broker, David T. Marantette III of violating
securities laws in public stock offerings

---1992---

F 26, C-7-6 WW

Small investors should know brokers can get cash rebates
for channeling trade to certain stock exchanges

J1 28 A 6-1

NY-SEC looks into complaints of bad brokers on Wall Street

-1993-

Je 29 B 5-1

To find out about broker, call 1-800-289-9999.

S 21 B 6-1

William Dillon, 38, pleaded guilty in '89 to federal wire
fraud, sentenced to 6 mos, now is barred from the business.

N 12 A 1-1

Some regulators say that women investors are treated differently from men. -1994-

Mr 13 D 2-3 no dot

NY-Arthur Levitt Jr., chair SEC launched series of reforms ;to raise standards of profession

D 07 E 1-5

PaineWebber rated worst brokerage firm to work for by its employees; Edward D.Jones & Co. & A.G. Edwards Inc., St. Louis, rated best -1995-

Ap 16 A 1-1

4 other states join Independence Twp. claiming Westcap Sec. Houston, sold them derivatives without warning of risk-Gru-ber

Ag 14 F 3-1

Discussion of discount and full-service brokers; pros and cons of each

D 21 F-4-5

Investors should question brokers closely

--1996--

Mr 11 A-6-5

DC-New study of Wall Street firms says commissions vary widely

Mr 22 B-3-6

Internet will have info on stock brokers

-1997-

Ja 09 d 2-5

50 stockbrokers charged with hiring stand-ins to take their licensing exams; charged with forgery; other charges

F 26 A 5-62dotMO

NY-30 stockbrokers banned from industry; face fines; paid others to take licensing tests for them

Je 11 B-1-1

Brokerages losing some clients to Internet.-Serju

Ag 31 C 1-1

Investors must beware of fraud on stock market; esp. with thinly traded stocks and those sold on Internet-Knox

1998

Mr 01 L 1-2

Description of occupation of stockbroker-Wisely

1

Mr 10 B 3-1

DC-Nat'l Assoc. Securities Dealers to start putting brokers' employment histories on line

-1999-

My 30 A-=1-6

Investors should investigate their financial advisers.-

Preddy

My 30 C-1-2

Keep an eye on your broker; investors need to choose financial advisers carefully.-Preddy

N 05 B-1-2

BOCA RATON, Fla.-Brokers at Securities Industry Assoc. conference told rerouting of investment orders is acceptable if quality of execution is not sacrificed

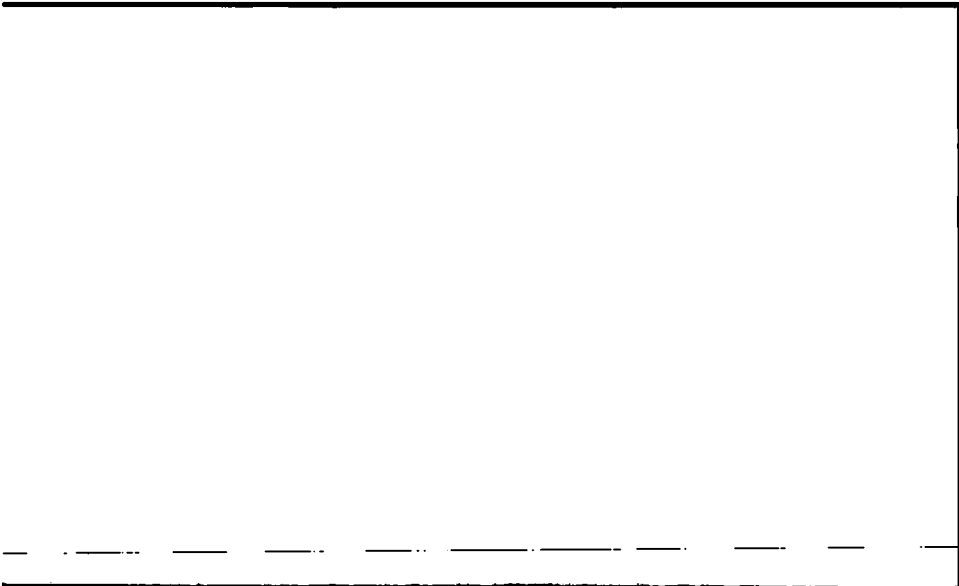
N 29 D 1-2

Detailed info; graphs, etc. on deciphering brokerage statements

STOCKS - CANADA - INQUIRY

1964

JL 3, A-19-6
TORONTO-ONTARIO SECURITIES COMM. ADD 3 INVESTIGATORS TO STUDY HEAVY
TRADING IN TIMMINS-AREA STOCKS



Form 1656

O 15, 27-6

HIGH PRESSURE STOCK SALES ALARM CANADIAN OFFICIALS-~~WEBER~~

-----1935-----

AP 29, 27-3 C M

ONTARIO & US PLEDGE AID IN STOCK QUIZZES

-----1949-----

JL 25, 24-5

CANADIAN OIL STOCKS DANGLED BEFORE TEANS

O 5, 58-7

POST OFFICE DEPT ACTS VS NUMBER CANADIANS ACCUSED USING FALSE NAMES IN
ATTEMPTS TO SELL MINE STOCK IN US

-----1952-----

AG 18, 24-6

SEC. EXCHANGE COMSN. MAY RELAX CANADA STOCK RULES

O 26, 1-36-8

U.S. INVESTORS DISPOSING OF CANADIAN SECURITIES TO REAP HARVEST IN
CANADA'S HIGH DOLLAR

N 26, 7-2

WILBUR GRIFFITH TELLS OF FABULOUS OIL LEASE

-----1958-----

AP 18, 1-3

SARVIA, ONT. - MRS. MAIZIE CHRISTINE EADY WHO THOUGHT STOCKS SHE FOUND
WERE WORTH \$18 MILLION FINDS OUT THEY'RE WORTH \$5,000

-----1965-----

O 30, C-5-8

OTTAWA-SEE CANADA TIGHTENING STOCK RULES AS PRIME MINISTER PEARSON
PROPOSED HOLDING FEDERAL-PROVINCIAL CONF. TO DISCUSS SECURITIES LEGI-
SLATION-WARNING

-----1966-----

MR 18, B-10-6

TORONTO-TOUGHER LAWS FOR SECURITIES ASKED IN ONTARIO

---1966---

MR 18, 0-10-6

OTTAWA-CAN BOYCOTTED SOME US SECURITIES-WARING

STOCKS : CERTIFICATES

1956

CAREFULLY DESIGNED TO PROTECT AGST COUNTERFEITING-JOYCE

N 14,40-1

0---1971--- JE 11, C-14-1

CERTIFICATLESS MARKET EYED.-SMITH

AG 25, F-3-5

LOST STOCK CERTIFICATES AND HOW TO REPLACE THEM-

YARMON.

-1973-

F 20, A-176-6

RMSMYTHE AND CO, NY, 93YR OLD FIRM, CAN TRACE OLD CERTIFI
CATES.CAMPBELL

---1976---

MR 29, C-9-1

THOMAS W. STANLEY TALKS ABOUT STOCK TRANSFER, OPPOSES WALL STREET
PLAN TO ELIMINATE CERTIFICATES

-1978-

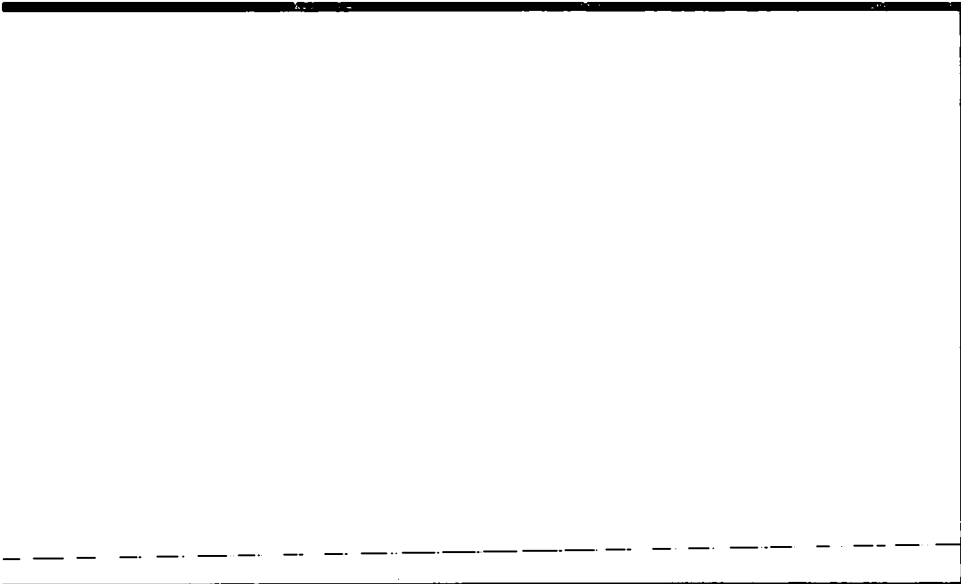
N 28, B-2-3

MICHELINE MASSE OF MONTREAL HAS UNCOVERED MORE THAN A MILL-
ION IN "WORTHLESS" STOCK-THEISEN

1998

D 22 B 1-1

Thousands each year find stock certificates filed away;
often valuable; examples given-Kristof



STOCK - CHINA

1947

MY 18, 1-14-8
TRADING HALTED SHANGHAI EXCHANGE PROTESTING GOVT REGULATIONS



MIL SCHRAM WARNS VS EASY MONEY SCHEMES

F 22, 32-2

---1955---

My 1, 6-12-1

9 MYTHS LISTED YOU'RE SURE TO HEAR WHEN BUYING STOCKS-STEELE

My 30, 31-1

CIO COMPARES MARKET TO PRE 1929 DAYS

---1957---

S 29, 6-19-1

SHOULD YOU INVEST IN STOCKS?-D.M.KEEZER

---1960---

JA 10, C-6-7

SEEK CLUES FOR '60 PREDICTIONS-TUTTLE

---1965---

JA 21, C-17-2

CHARLES J.(JOE) COLLINS, INVESTMENT EXPERT, SAYS NOT SURE WHICH WAY STOCK MARKET WILL GO-WALTERSMITH

O 25, C-9-4

WENDELL W.WITTER, PRES. INVESTMENT BANKERS ASSOC. OF AMERICAN, WHILE IN DET. LAST WEEK, SAID LARGE NUMBER LOW-PRICES STOCKS AMONG ACTIVELY TRADED ISSUES SHOWS SPECULATIVE INTEREST COMING BACK INTO MARKET-SMITH

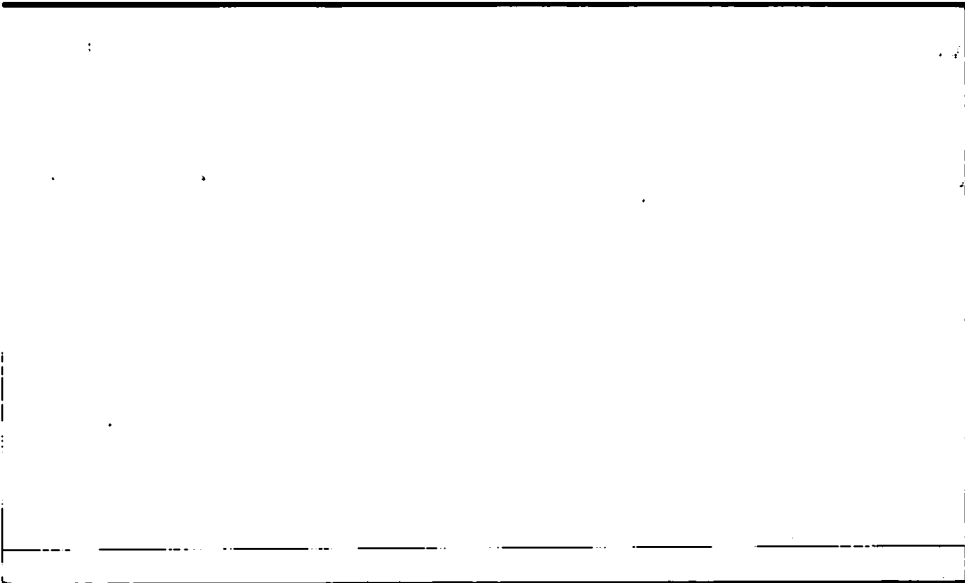
O 27, D-8-5

NY-KEITH FUNSTON URGED EXECUTIVES TO BE CANDID IN THEIR PUBLIC DISCLOSURES OF CORPORATION OPERATIONS

--1982--

O 24, E-7-1

SAMPLING OF OPTIMISM AND WIDE RANGE OF RATIONALES REFUELING IT



	0 20, A-8-1
The crash of '87-Edit	0 21, A 14-1
Time for leadership-Edit	N 17 A-8-1
Net wealth effects of stock crash smaller than expected.--	
Edit	
-1988-	Ja 12 A-6-1
The big whimper.--Edit	0 11 A 6-1
Junk Bonds and Perestroika'	
	0 18 A 10-1
Government caused the Stock Crash-Edit	

STOCKS: CRASHES: HISTORY

1991

Je 12, B-7-1 E

Grayling-Depression workers at Michigan's 123 Civilian Conservation Corp (CCC) camps gather to remember

STOCK: CRASH: SERIES: AFTER THE FALL

1988

0 9 A 1-1

Second in series reports on how the Stock market, the economy and investors have fared since Oct 19, '87 crash

Series: 0 16 N 1-2

Crazed client brough instant death, lingering psychological scars to Miami brokerage-DeQuine

0 16 N 1-6

Series:
Roosevelt Ruffin, stockbroker-Naughton

Series: 0 16 N 1-2

Patricia Frazee, a specialist in investment strategies-Vlasi

Series: 0 16 N 1-2
The Crash affirmed Stanly Abramczyk faith in slow and steady decisions-Vlasic

Series: 0 16 N 3-1

Financial newsletters took tumble too in the Stock crash of '87-Sedgwick

Series:	-1988	0 16 N 4
Wall Street support staff felt the pinch also		
Series:		0 16 N 1-2
After the Fall		
		0 16 N 3-1
Series:		
State pension fund has yet to recoup loss-Freedman		0 16 N 4-2
Series:		
Crash slices into bar's business-Kaskovich		

Form 1656

JAY COOKE & CO., NY, SUSPENDS; 6 TO 8 MILLIONS OF GOLD SHORT S 18, 1-5
 13 HOUSES FOLLOW, BLACK FRIDAY S 19, 1-4
 LIST OF FAILURES S 19, 1-6
 CAUSE SEEMS TO BE BACKING UP OF RAILROADS S 19, 2-2
 LONDON FIRM OF JAY COOKE, McCULLOCH & CO., PAYS ALL DAY; WALL ST. SUSP S 20, 1-1 ; 2 & 4
 ENDS STOCK & GOLD EXCHANGES CLOSE S 20, 1-5
 20 MOST EXCITING IN HISTORY OF NY PANICS; FURTHER LIST OF FAILURES S 22, 1-1 & 3
 PRES. GRANT & SEC. OF TREAS., RICHARDSON, MEET WITH FINANCIERS S 23, 1-1
 DEFAUCATION OF CARLETON, SEC. OF UNION TRUST CO. HAD BEARING ON PANIC S 24, 1-1, 3 & 6
 PANIC RESUMED; HOWES & MACEY SUSPEND S 25, 1-1, 3, 4, 5
 RUN ON DET. BANK HALTED BY REASSURING NEWS FROM NY S 26, 1-1
 LITTLE SHIPMENTS IN WEST SUSPENDED S 27, 1-6
 DET. BANKS RECOVERING S 29, 1-1
 CONDITIONS IMPROVING S 30, 1-1, & 3
 ENG. CONTINUES TO SHIP BULLION TO US; STOCK EXCHANGE OPENS AGAIN 0 1, 1-2
 REOPENING TO STOCK EXCHANGE SIGNAL FOR GEN. RESUMPTION IN BUSINESS 0 6, 4-1
 EFFECTS OF THE PANIC-ACORN

SIGNS CHEERFUL & REASSURING
 EX-PRES. ANDREW JACKSON MAKES CLAIM OF \$73,000 AGAINST 1ST NATL. BANK,
 WASH.
 ELIAS HITCHKISS, LEASEE ST. JAMES & GO. UNION HOTELS, SUSPENDS
 BUS. CONDITIONS BETTER IN NY & COUNTRY
 HENRY CLEWS & CO., & LONDON HOUSE OF CLEWS RESUME BUS.
 4 NEW SOUP HOUSES OPENED
 JAMES G BENNETT FUND SUPPLIES 4 MORE SOUP HOUSES
 MORE THAN 30,000 FED DAILY
 FAILURE OF HUNGAN, SHERMAN & CO., RETRIBUTIVE JUSTICE FORGES PAGE & BROOD
 N INTO BANKRUPTCY IN 1854
 ANOTHER BLACK FRIDAY; MANY RUINED
 FAILURE OF NELSON ROBINSON & CO., OTHERS SEART PANIC
 LEATH & CO.; OTHER SHORT OPERATORS GO DOWN
 NEW THEORY AS TO GREAT SUSPENSION
 CHI HOUSES GO UNDER
 KERSHAW FAILURE
 J.P.MORGAN THROWS \$1,000,000 INTO STOCK EXCHANGE
 STOCKS WENT DOWN WITH RUSH TODAY

---1873---

---1874---

---1875---

---1879---

---1884---

---1885---

---1887---

---1899---

---1903---

O 15, 1-3

O 18, 1-2

D 10, 1-3

JA 3, 1-2

JA 16, 1-3

F 18, 1-3

F 19, 1-3

MR 3, 1-3

AG 11, 3-3

N 22, 2-2

MY 14, 1-1

O 2, 1-1

O 3, 1-3

JE 15, 1-1

JE 16, 1-1

D 20, 3-1

TO QUELL PANIC

JL 24, 1-1

STOCKS : CRASHES

1-9-0-3

Form 1656

MILLIONS LOST IN STOCK SHRINKAGE	JL 25, 1-1
EDWIN S HOOLEY & Co. & Wm. BASSETT & Co. ADDED	JL 27, 1-1
LAIDLAW & GARRIE SUSPEND	Ag 6, 1-6
---1905---	
NEW YORK TRUST Co. FAILS FOR \$2,000,000 WHEN IT CANNOT CALL IN LARGE LOANS ON RR SECURITIES	My 23, 1-8
---1907---	
STOCKS DEMORALIZED; JILL RR GROUP SHOWING DECLINE	MR 13, 1-7
BIG INTERESTS BELIEVE PANIC IS OVER	MR 15, 1-7
MELTING OF STOCK VALUES SHOWS NET LOSS OF \$222,000,000 IN 11 COMPANIES	MR 25, 1-1
SEC. OF TREAS. CORTELYOU COMES TO RELIEF WITH \$17,000,000	MR 26, 1-1
F. AUGUSTUS HEINZE LOSES \$50,000,000 IN UNITED COPPER CRASH	O 17, 1-1
DELUGE OF COPPER STOCKS ON MARKET DEMORALIZES OTHER STOCKS	O 18, 1-1
HEINZE APPEALS TO LAWSON FOR ASSISTANCE	O 19, 1-2
KNICKER BOCKER TRUST Co. CLOSES DOORS WHEN RUN STARTS	O 22, 1-1
TRUST Co. OF AMER. WEATHERS STORM	O 23, 1-7
SEC. CORTELYOU, MORGAN, ROCKEFELLER, OTHERS, AID DISTRESSED BANKS	O 24, 1-7
CONFIDENCE GROWS STEADILY; SECURITIES RECOVERING	C 25, 1-7
\$30,000,000 POOL OF BANKERS WITHDRAWN	O 28, 1-3
GOLD FROM BANK OF ENG. COMING IN	N 4, 1-3

---1907---

IMPORTED GOLD REPLENISHING BANK RESERVES N 7, 1-1
 BANK OF GERMANY TRIES TO STOP FLOOD BY BOOSTING RATES N 8, 1-3
 GOLD FOR IMPORT NOW REACHES \$51,000,000 N 9, 1-7
 FOREIGN BARGAIN HUNTERS BUYING LOW STOCKS IN WALL STREET N 10, 1-1
 DET. BROKERS HAVE NEVER SEEN SUCH DEMAND FOR SECURITIES N 13, 1-3
 CONF. HELD IN WHITE HOUSE ON FINANCIAL PROBLEMS N 18, 1-4
 ROOSEVELT & CORTLEYOU PLAN TO ISSUE \$50,000,000 IN PANAMA BONDS & \$100
 000,000 IN 1-YR TREAS. CERTIFICATES TO RELIEVE STRINGENCY N 20, 1-7
 BANKS REOPEN N 25, 1-7
 HOARDED MILLIONS LOOSENED AS WAVE OF CONFIDENCE SWEEPS COUNTRY :
 \$42,000,000 IN NEW CIRCULATION, ISSUED BY GOVT. SINCE NOV. 1

STOCKS CONTINUE TO CLIMB HIGHER TODAY N 29, 1-1
 T.H. NEWBERRY SAYS PANIC WAS MFG. BY MEN WHO WANTED TO DISCREDIT ROO-
 SEVELT N 30, 7-3
 D 12, 1-7

---1908---

WASH. BLAMES WALL STREET FOR MONEY FLURRY IN MIDST OF PROSPERITY; CRISIS
 IS PAST JA 3, 2-3

---1910---

STOCK MARKET FLOODED WITH SELLING ORDERS; PRICES FLUCTUATE JE 30, 1-4

---1913---

WILD SCENES FOLLOW AS STOCKS FALL LOWEST SINCE 1907 JE 4, 1-3

---1914---

NY STOCKS TOBOGGAN WHEN AUSTRIA DECLARES WAR AGAINST SERBIA JL 28, 1-3

STOCKS : CRASHES

1 9 1 4

Form 1656

SIX LONDON BROKERS FAIL
\$2,000,000,000 SLUMP IN STOCKS

JL 29, 1-8
JL 31, 1-7

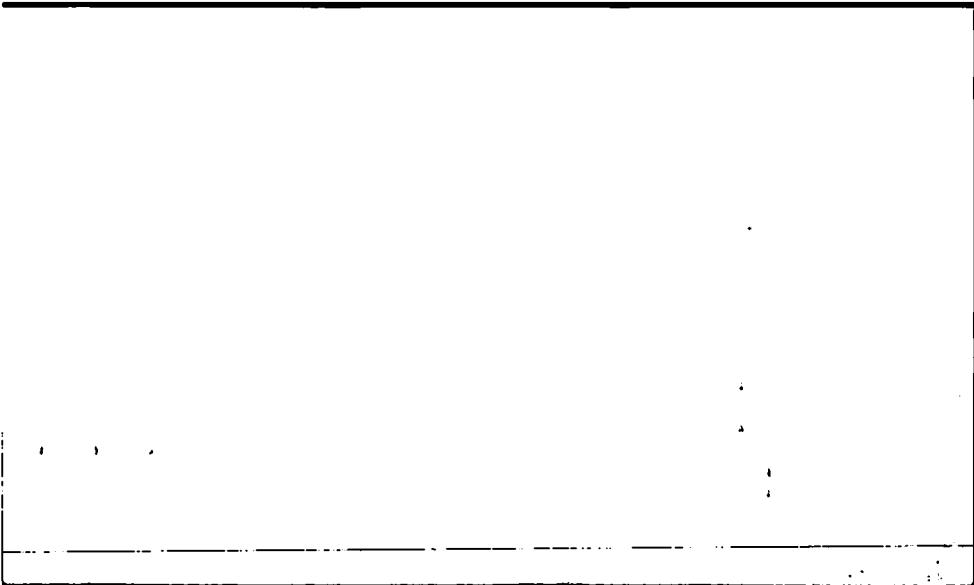
---1917---

WILD RUSH TO SELL STOCKS AS WAR LOOMS SENDS PRICES DOWN

F 1, 1-4

ABUNDANT SUPPLY HAS TRADERS IN NY GUESSING-SWEINHART

N 6, 22-8



STOCKS: CRASHES

1928

Form 1656

The Detroit News

11-POINT AVERAGE DROP COLLAPSES BUYING MOVEMENT.
PRICES CRASH BUT BUSINESS NOT EXPECTED TO SUFFER.
SMASH WIPES OUT THOUSANDS OF SPECULATORS.
ED-MARKET SWEEP CLEAN OF LITTLE FELLOWS.

-----1929-----

DROP OF AS MUCH AS \$17 FOLLOWS RESERVE BD. WARNING.
ED-RESERVE BOARD ACTS.

BREAK IN PRICES, SALE OF 275 SEATS, RICH MELON NOTEWORTHY-SINCLAIR.

BREAK TO \$15 A SHARE INSPIRED BY FEDERAL ADVISORY COUNCIL STATEMENT.

FEDERAL ADVISORY COUNCIL BACKS RESERVE BOARD.

CALL MONEY OPENS 12% ADVANCED TO 15%.

PRICES CRASH; PANIC SWEEPS EXCHANGE; SALES MOUNT.

SMALL MARGIN OPERATORS LOSE MILLIONS.

REACTION HITS MARKET; PRICES DOWN 1-10 POINTS.

IN PAST 26 DAYS PRICES OFF 7 BILLION.

CROWDS THROG BROKER OFFICES; BILLION DOLLARS LOST; RALLY, RELAPSE.

ROGER W. BABSON PREDICTS CRASH; URGES SPECULATORS PAY UP LOANS.

D 7, 1-8
D 9, 1-10-4
D 10, 6-3
D 10, 4-2

F 7, 1-3
F 8, 4-1
F 8, 31-1
F 16, 1-6

F 17, 10-6-6
MR 26, 1-4
MR 26, 1-8 MAIL
MR 27, 12-3

AP 1, 1-3
MY 28, 1-1
AG 9, 1-7

S 5, 16-5

---1929---

FLOOD OF SELLING BRINGS CRASH.	S 5, 1-1 MAIL
LONDON-DISASTER HITS STOCKS; 40 MILLION CUT FR. VALUE	S 21, 1-1
MOTORS IN SHARP DROP; RUSH TO SELL STARTS.	HATRY GROUP.
HEAVY SELLING DRIVES PRICES DOWN; SOME \$20 LOWER.	S 26, 1-4
NEW SHARP DROP; RADIO OFF 6 POINTS; GEN. MOTORS NEW LOW	O 4, 1-6
MARKET DROPS AGAIN.	O 21, 1-8
GEN. MOTORS HITS 35 IN NEW DROP 8,378,000 SHARES SOLD BY NOON: ONE BIG.	AT 58 7/8.
BROKERAGE HOUSE FAILS.	O 28, 1-3
EXPECT MARKET FIND LEVEL SOON.	O 29, 1-7
DET-BROKER OFFICES PACKED; GRIM MEN SEE RUIN.	O 29, 47-2
DET-MEN STAND IN OFFICES, SEE SELVES WIPED OUT.	O 29, 1-6
CAPITOL CALM; LEADERS CONFIDENT BUSINESS SOUND.	O 30, 1-8
PRICES RALLY AT EXTRA DIVIDENDS.	O 30, 1-6
CHI-JULIUS ROSENWALD PROTECTS EMPLOYEES' HOLDINGS.	O 30, 1-7
MARKET LIFTS; HOPE RETURNS.	O 30, 1-4
SOME BROKERS REFUSED SELL OUT CLIENTS.	O 31, 1-1
TOURIST MARKET VICTIMS ABROAD RUSH STEAMSHIP OFFICES.	O 31, 2-7
WALL ST. FEELS CONFIDENT WORST OVER.	O 31, 2-4
MARKET BREAK PUZZLES ALFRED P. SLOAN.	O 31, 1-1
EXCHANGES CLOSED DAY TO GIVE BROKERS REST.	N 1, 1-8
SENATE DEMS, REPS BLAME EACH OTHER FOR CRASH.	N 1, 1-6
ANOTHER SLUMP; LIMIT TRADING HOURS.	N 2, 12-8, AFTN
	N 4, 1-8

STOCKS: CRASHES

1929

Form 1656	The Detroit News	N 4, 1-8
DET-CROWDS AT BROKERS SEE PRICES DROP.		N 4, 15-3
DR.JULIUS KLEIN SAYS CRASH NO BUSINESS INDICATION.		N 4, 46-4
IRVING FISHER SAYS CRASH PUSHED PRICES TOO LOW.		N 5, 1-1
MARKET CLOSED FOR ELECTION.		N 6, 1-5
SELLING WAVE CONTINUES AFTER HOLIDAY.		N 7, 1-8
SHARES RALLY;BUYING RESTORES PRICES.		N 11, 1-8
PRICES SAG \$1 TO \$15.		N 12, 1-5
CRASH TO NEW LOW LEVELS FOR YEAR.		N 13, 1-7
RALLY FAILS;PRICES DOWN TO NEW LOW.		N 13, 2-8
SEC.MELLON,ROY YOUNG CONFER WITH HOOVER.		N 13, 1-2
M.H.HARRIS SUES NEWMAN BROTHERS & WORKS OVER LOSS.		N 14, 1-5
BIG ORDER AND PROSPECTIVE TAX CUT BRINGS RALLY.		N 15, 1-4
NY RESERVE BANK RATE CUT POINTS TO SOUND CONDITION;CREDIT ABUNDANT.		N 15, 19-3
PAUL GRAY,ECONOMIST,DESCRIBES DEBACLE AS 'BOOTLEG BANKERS' PANIC.		D 8, 1-10-4
WINSTON CHURCHILL TELLS HOW PUBLIC GOT CLEANED.		JA 6, 34-4
-----1930-----		MY 3, 1-1
IRVING FISHER SAYS GOVT.LOST THRU CRASH.		
SALES SWAMP MARKET;4,862,800 SETS NEW SESSION RECORD.		

-----1930-----
 GILBERT L.DOANE ASCRIBES CRASH TO TOO MUCH NEW FINANCING.
 DROPS CAUSE RENEWAL SHORT SELLING DISPUTE.
 -----1931-----
 TREASURY SAYS 1930 LOSSES \$1,215,005,449.
 -----1934-----
 5 YEARS AGO THIS WEEK STARS FELL ON WALL ST-HUFF
 -----1939-----
 10 YRS AGO OCT.29 BIG CRASH HIT-EDIT
 -----1942-----
 OCT.24 IS 13TH ANNIV.OF BIG CRASH
 -----1948-----
 MARKET CRASHED 19 YRS.AGO TODAY, OCT 29, 1929
 -----1949-----
 20TH ANNIV.OF CRASH MARKED THIS WEEK-C.LOERY
 -----1954-----
 25TH ANNIV OF STOCK MARKET CRASH-LOVE
 -----1955-----
 WHY WARNINGS WENT UNHEEDED IN 1929 CRASH-NOBLE
 -----1959-----
 30TH ANNIV.OF BLACK TUESDAY,CRASH OF '29-DANSON
 -----1961-----
 RECALLING STOCK MARKET CRASH OF OCT 24, 1929-PHELPS-ORION

JE 12, 44-1

O 31, ~~44~~ 15-1

N 30, 12-5

O 23, 35-2

O 25, 18-1

O 25, 4-17-4

O 29, 1-5-NITE

O 23, 1-9-2

O 0 29, 302

N 2, 46-4

O 25, A-1-4

JL 7, R-9-1

1962

STOCK - CRASHES

HIGHTIEST AVALANCHE OF SELLING IN 32 YRS SLUGS STOCK MARKET INTO SEV
ERE LOSS

TRADING BEGAN AT HEAVY PACE IN NY, SHARPEST DROP SINCE 1929

WHY WALL STREET THINKS MARGIN REQUIREMENTS FOR STOCK PURCHASES SHOULD
BE REDUCED-LIVINGSTON

NEW DEAL TO PREVENT REPEAT OF '29 CRASH --1963-- NY 27, B-11-1
NY-MY28 IS 1ST ANNIV. OF BIG MARKET CRASH-OF '62-LEFLER

JOHN M. DRYFOOS OF DRYFOOS, ELLIS & KLUGER GIVES V EWS ON
STOCKET MARKET CONDITION & ECONOMY

FALL OF SEPT. 1929 WALL STREET MARKET, BOOM THEN BUST!-DISASTER-D. LOCH-
BILER

THERE COULD BE ANOTHER GREAT CRASH OF 1929-SHONTZ

NEWYORK-CHANCES OF "BLACK TUESDAY" REPEATING CALLED VERY SKXND
SLIM--

-1979-

0 21, A-1-5

NY-REVIEW OF STOCK MARKET CRASH OF OCTOBER 1929.

0 28, Roto 28

50TH ANNIV

0 29, A-9-1

NY-POLICE SEIZE 600 IN NUCLEAR PROTEST AT STOCK MARKET ON
50TH ANNIV OF CRASH

0 30, A-3-3

NY-A-PROTESTERS FAIL TO CLOSE STOCK MARKET

-1981-

S 28, A-1-4

PRICES IN LONDON & TOKYO FAIL AFTER ANALYST JOSEPH GRAN-
VILLE PREDICTED DECLINES ON MAJOR MARKETS.

S 29, A-1-1

US STOCK MARKET WENT UP NOT DOWN DESPITE GRANVILLE'S PREDI-
CTION OF 'BLUE MONDAY-SMITH

-1982-

JL 8, B-10-4

JULY 8, 1932, THE DAY THE MARKET HIT BOTTOM, REMEMBERED-
SMITH

-1983-

MR 6, J-2-1

50YRS SINCE ROOSEVELT SHUT U.S BANKS-MCNAUGHTON

0 19, A-1-1 4dot
NY-Dow Jones drops 200 points-Mehezes 0 20, A-1-1
NY-NYSE to stay open during Stocks sell-off 0 20, A-1-1
These kids are going to learn a lot-Powers 0 20, A-6-1
A variety of investments now available-Sedgwick
0 20, A-6-2
Little Rock Ark-Sam Walton loses \$3billion, just paper he
says 0 20, A-6-5
DC-faers of 1929 rekindled in Great-Sell-Off-Johnson
0 20, A-6-5
NY-brokers appetites off say restaurants 0 20, A-7-1
Some investors try to stay calm, others panic-Martelle &
Markiewicz 0 20, A-6-1
Lans-Mich pension fund suffers \$1.5billion loss-Mulqueen

-1987-

0 20,A-1-5

Broker Robert Hamilton tells frantic clients not to panic-
-Vlasic

0 20, A-1-6

Shock waves worldwide

0 20, A-1-13do

NY-2 banks drop prime rate to 9.25%

0 20, A-1-6 3do

NY-Some signs hopeful-Kerwin

0 20,A-1-64dot

NY-Fed pledges liquidity prop-Kerwin

0 20,A-7-13dot

Crash hikes recession odds-Peterson

0 21, A-1-1

Lasn-H B Shaine & CO fails-Freedman

0 21, A-1-1

Dow Jones up 102-Kerwin

0 21, A-1-5

Consumer confidence may tumble-Powers

0 21, A-10-1

Roosevelt Ruffin stays calm

0 21, A-10-1

Quotes:Iacocca,Schneider,Bieber,Dole

0 21, A-10-1,

Looking at CDs

0 21, A-10-1

Headlines

0 21, A-10-1

~~UAW stock holdings~~

~~0 21,A-10-1~~

Donald Trump gets richer

- Oakland builders wont fret unless trend continues-Ourlan 0 21, A-10-1
- Tarik Qureshi & Alex Burkulas use ancient Egyptians, computers, stay bullish-Martelle 0 21, A=10-2
- Panic of '29 was worse, survivors recall-Markiewicz 0 21, A 10-2
- Lans-\$250million of state pension funds put into stock-Mulqueen 0 21, A-10-6
- Mich top execs losses listed 0 21, A 11-1
- Plunge's impact worries business-Faust&Toy 0 21, A-11-3
- Many play 508 on lottery Tues, lose 0 21, A-12-1
- CLients praise Robert A Hamilton's restraint-Vlasic 0 21, C_1-1
- No pat answers-Ross

-1987-

0 21, C 1-5

Companies rush to buy back stock as prices plunge-Vlasic

Car sales may fall victim to decline-Sorge & Miller^{3dot}

0 22, A-1-5

NY-Mich firms find stock rebounding- 0 22, A-1-6

Analysts disagree on impact of comeback-Kerwin

0 22, A 20-1

Boston-Nobel Econ winner Robert Solow give opinion

0 22, A 20-5

NY-poll foreshadowed dive 0 22, A-23-1

Beware the Profits of Doom-Brookes 0 22, B 3-6

Which party's fortunes rise as market dives-Weeks

0 22, E-1-2

Precious metals popular-Woodlee 0 22, E-1-2

Dayton Hudson joins buyback wave-VLASIC 0 22, E-7-5

~~Det Pension happy it sold \$40million in stock before Mon-~~
Vance

0 22, A-1-6 3dot

Analysts disagree on impact of comeback-Kerwin

0 22, A-1-4 3dot

NY-Speculators seem to be betting on decline

0 22, A-20-4 4dot

Remember 508?- It hit on Wed lottery 0 23, A-1-1

Market suffers relapse, closes early for breather-Kerwin

0 23, A-1-6

Crash wont affect most Americans spending decisions says

Det News-Gordon S Black Co poll-Firestone 0 23, A 1-6

Deficit called leading villain-Pepper 0 23, A-8-5

Wall Street cant cope with crunch-Kerwin 0 23, A-8-5

Buy! Sell! Wait and wait and wait-Kerwin 0 25 A-1-1

Investors feel aftershock₆ of crash.--Martelle & Vlasic

-1987-

0 25 A-1-1

Here's what happened & why.

0 25 A-10-3

NY-Stock exchange employees face paperwork backlog.

0 25 J-1-1

Mkt crash packed gloomy week with color for media.--Ross

0 25 J-1-2

Views of several mkt analysts on future of mkt.-Markiewicz

0 26 D-1-1

Brace for rough ride after recalling '79.--Higgins

0 27 A-1-2

Miami-Distraught investor kills broker, self.

0 27 A-4-4

Milwaukee--Retiree's suicide blamed on stock loss.

0 27 D-1-5

Grand Rapids-H.B.Shaine & Co. faces investor lawsuits.

0 28 C-3-4

~~NY-Cellular telephone stocks put on hold.~~

STOCKS: CRASH

1987

0 28 A-7-5 1-dotX

Wautoma,Wis.-Investor Vernon Lamberg,58, of Appleton, loses big; commits suicide.

0 29 E-1-2

1987 a repeat of 1929? (chart)

0 29 E-1-4

Economists say don't throw in towel yet; they don't foresee depression as result of stock mkt crash.--Sedgwick

0 30 A-1-6

NY-Wall St. heartened by vigorous stock rally.

0 31 A-12-3

NY-NY Stock Exchange keeps short hours next week.

0 31 C-1-2

DC-Market's collapse expected to take its toll on economy.

0 31 C-1-2

Stock mkt crash causes regulators to fear wave of risky loans.--Starkman

-1987-

N 1 A-2-1

LA-Investor Wm. Richardson, 39, distraught over plunging stock mkt prices, went berserk, tortured his girlfriend.

N 1 G-1-6

Grand Rapids-When options go sour: a nightmare come true for H.B.Shaine & Co.--Markiewicz

N 2 A-1-5

DC-Fed finds that stock crisis fallout is limited.

N 2 A-11-1

How congress terrorized the Securities industry.--Brookes

N 3 A-1-3

Manoogians dispute stories that said they made a fortune when stock market crashed.--Vlasic

N 5, A-1-5

Vehicle sales rise, defy stock crash-Miller

N 5, A_2-1

Litchfield Conn-suicide of David Goldring⁴⁴ denies stock losses caused his suicide

N 5, E_1-1

Brokers still profitable

N 6, C-1-2

Crash doesn't faze car buyers-Rosenberg

N 9, A-1-2

Crash has shaken consumer confidence-Markiewicz

N 12 F-1-4

NY-Only 'paper wealth' was lost in mkt crash, prof says.

N 19 E-3-1

DC-Fed. chief hits law barring U.S. commercial banks from underwriting securities.

N 20 A-1-5

UM report says recession unlikely.--K.Kerwin

N 20 E-2-1

NY-Global financial mks no riskier than before crash.

D 1, F-1-2

Impact of stock crash gauged-Kerwin

D 2, C-2-1

DC-crash takes toll on forecast

-1987-

D 4, B 1-2

St Clair Shores Enterprise students live in file 33hrs to
get vivid lesson on Depression-Greenwood

D 10, E-2-3

AnnArbor-investors begin to find faith-Kerwin

Dec18, A18-1

Theky isn't falling after all.-Edit.

-1988-

Ja 7 A-3-1

Senate Banking Committee will examine Oct. 19 stock mkt.
crash.

Ja 9 A-1-2

NY-Plunge, history's 3rd worst, erases new year's rally.

Ja 9 A-8-1

DC-Task force recommends an agency be appointed to coordi-
nate the nation's financial markets.

Ja 20, A-10-1

How Congress 'Broke the Market'-Edit

Ja 24 G-1-1

DC-Financial centers concerned over 2 recent mini-crashes.

--Gruley

F 4 F-2-5

NY-Wave of selling hit blue chips the hardest; gold prices fall.

F 10, C 1-5

Chicago-CHicago Bd Options Exchange to refund \$2million

Mr 16 C-2-1

Reagan names panel to study how to avoid another stock mkt crash.

Mr 22, D-2-2

Torrance Calid-error costs Allied staff millions

Mr 30 C-1-5

Home sales rise allaying fears raised by Oct. mkt crash

Ap 17 F-1-2

Waves of Oct. crash still wash over Wall St.

-1988-

Ag 3 C-2-6

NY-15,900 securities employees fired since Oct. crash.

0 2 A-1-1

Special report on how stock mkt has fared since last Oct's historic 508-pt plunge & how Mich. brokers are climbing back.

0 2 N-1-2

Grand Rapids-H.B. Shaine & Co. rescued after crash, but investors weren't.--Starkman

0 2 N-1-2

Climbing back (chart).

0 2 N-1-2

Stockbroker Robt. Hamilton buys & sells peace of mind.--Vlasic

0 2 N-1-3

Pace of mergers & acquisitions hasn't slowed much since crash.

0 2 N-1-6

Market survived, but ghost hovers over Wall St.--Kaskovich

0 9 N 1 -2

Funds grow despite dramatic year-ago market drop-Vlasic

0 9 N 1-1

Black Monday: Could it repeat? Little regulatory action
seen-Kerwin

0 9 N 1-1

Downtown hurt automakers, but it wasn't a KO-Higgins

0 9 N 1-6

Investors still wary of 'scary '87 crash-Vlasic

0 9 N 3-1

Aft the fall, some firms were helped in downtown-Starkman

0 14 E 1-2

Trade deficit hits record \$12.2 billion

0 16 N 1-6

Black Monday's fallout

0 18 D 1-2

Crash of '87 still sends aftershocks throught Wall St.Vlasi

0 19 C 1-2

'87' stock crash has left many small investors wondering
where to put their money-Kaskovich

-1988

0 19 C 1-2

Trading storm darkens sunny day in Manhattan stock crash '87

0 19 C 1-6

DC-SEC approves trading limits

0 19 C 1-6

Big lessons go unlearned on Wall st. from stock crash '87

0 20 E 1-2

NY Cautious trading marks anniversary of crash '87

N 13 E 6-1

Bear market guru predicts a more-painful crash-Dorfman

-1989-

Ag 11 A-1-6

Dow Jones bolts to near record for 1st time since '87 crash

--Tompson

S 10 A 21-1

Market crash left Donald Riegle leery of SEC nominee-Gannon

0 14 A-1-2

Fri the 13th gave stock mkt scariest ride since crash of '87

--Tompson

0 14 A-1-6
NY- Airline deal, inflation fears led parade of mkt jitters
0 14 A-14-1
Financial expert says small investors must 'stay in there'
--Schabath 0 14 A-14-1
Eerie echo of 2 yrs ago.--Gannon 0 14 A-14-5
Mich blue-chip stocks hard-hit in plunge.--Smith
0 15 A-1-2
Key indicator in wake of Wall Street's worst drop since
'87 crash is reaction in Tokyo & other mkts.--Tomp
0 15 N-1-1
Fed Reserve walks fine line betw inflation & growth.
0 15 A-11-1 NoDot
NY- Officials meet to try to avert another stock crash.

-1989-

0 17 A-1-5

Dow rally soothes a jittery mkt.--Tomp

-1990-

Mr 21 E-2-1

Grand Rapids- Investors in H.B. Shaine Co. settle 2½ yrs
after stock mkt crash

0 8, A-9-1

Deposit insurance system threatens to destroy US banking
system-Brookes.

-1992-

0 18 F 1-2

SEC chairman, Richard Breeden see benefits from market
crash of '87. - Tompor

-1994-

0 27 F 1-1

Lehman Brothers Holdings fired stock strategist Elaine Gar-
zarelli, too expensive;she predicted 87 stock market crash

-1997-

0 17 B 1-2

Investors who stuck with stocks after 1987 crash win-
Serju-Harris

0 19 C 1-1

Reliving 10/19/87, the day of the crash;how it was halted-
Pepper

0 19 c 1-1

Since the 1987 Black Monday crash, investors have changed their strategy-Serju-Harris

0 19 C 3-1

Top, and worst, performers since the crash; it could have been a correction

0 29 B 1=2

People from all walks of life affected by stock market trends; whether investors or not-Hunter

0 29 B 1-2

Charts; analysis of this week's roller coaster ride on Wall Street; it's still sound-Waldsmith

0 29 B 2-1

Market downturn could halt companies' going public, creating jobs as they are now-Ramirez

Memories of stock crash of 1929; experts say it couldn't happen again; more safeguards in place

0 28 B 5-1

STOCKS - CUBA

1964

JE 29, C-24-3

CUBA TO CONFISCATE ALL STOCKS & BONDS

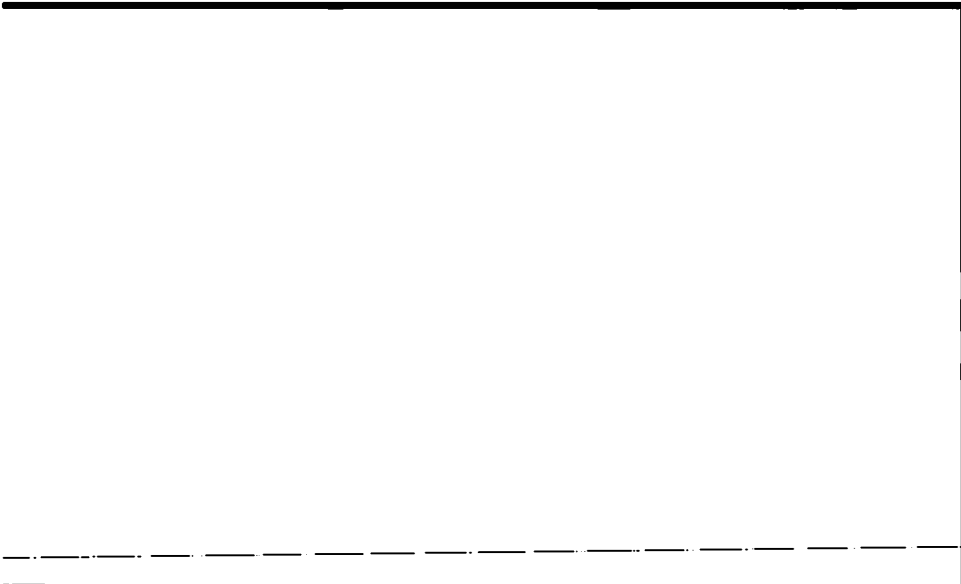


STOCK: DELAWARE

1960

AG 18, A-1-c

HOW DELAWARE COURT FREEZES CHRYSLER STOCK-RIPLEY



F 23, E-14-1

Hi 12 INVESTMENT CLUB GROUP OF AMATEUR STOCK BUYERS WHO MEET TO DIS-
CUSS STOCKS-NOBLE

---1960--- My 28, 9-7-1

STOCK CLASS MISTAKE

---1961---

Ja 25, E-6-1 Z 4

WOMEN ATTENDING STOCK MARKET STUDY AT JEWISH COMMUNITY CENTER FIND
COURSE PAYS OFF-CUTLER

---1966---

F 8, B-4-5

ROBERT CORR & HERMAN SHAPIRO PLAN TO TRANSFORM PLUM BETWEEN 4TH & 5TH
INTO COLLECTION OF SHOPS THEATERS & RESTAURANTS WITH GAY '90 MOTIF

---1971--- R 26, D-7-1

NUMBER OF PERSONS IN DET. METRO AREA WHO WON CORPORATE
STOCKS INCREASED FASTER THAN POPULATION AS WHOLE IN LAST 5
YRS.



Form 1656

The Detroit News

OLD ECONOMIC LAWS PROVEN BY MARKET.

OLD SAFETY FIRST RULE STILL HOLDS IN BULL MARKET.

SPECULATION HAS PASSED BEYOND CONTROL.

DET. AFFECTED BY MONEY TRANSFERRED TO WALL ST.

ADVANCE OF BANKING POWER EXPLAINS BULL MKT. SINCE LAST SPRING.

LARGE CLASS LEARNS IN SCHOOL OF HARD KNOCKS.

---1929---

SPECULATIVE ERUPTION NOT SAFE WAY INAUGURATE HOOVER.

MARKET FACES RECKONING WITH 20% CALL MONEY.

COUNTRY BANKS GAIN, KEEP MONEY HOME, NOT IN NY.

WORLD WIDE EFFECTS OF NY SPECULATION.

WALL ST. FINES US AS RESULT SPECULATION.

FED. RESERVE BOARD HITS HARD.

SEN. GLASS BILL TO TAX SALES NOT NEW IDEA.

WISE REALIZE IT IS SPECULATORS' MARKET

SETTING BRAKES NOW MAY AVOID ECONOMIC UPSET.

HOOVER SAYS BUSINESS ON SOUND FOOTING DESPITE CRASH.

CHAS. E. MITCHELL'S 0 23 STATEMENT MARKET SOUND SURPRISING FROM SUCH

A FINANCIER & STUDENT OF MARKET CONDITIONS.

POLITICIANS SEEKING MAKE CAPITAL OF CRASH DO SELVES LITTLE CREDIT.

JE 22, 4-2

N 14, 4-2

N 15, 4-2

N 17, 4-1

D 15, 4-2

D 18, 4-3

MR 2, 4-2

MR 28, 4-2

AP 13, 4-1

MY 3, 4-1

JE 8, 4-1

AG 10, 4-2

S 22, 1-6-1

S 27, 4-2

O 13, 1-6-1

O 28, 4-2

O 30, 4-1

N 4, 4-1

-----1929-----

THIN POSTMORTEMS; FAULT OF DELAYING TARIFF BILL.

N 14, 4-1

-----1930-----

REVIEW IN NY TIMES, PAUL M. HARBURG'S "FEDERAL RESERVE SYSTEM".

NY 25, 1-6-1

OPTIMIST POINTS OUT BEAR SALES SHOW PEOPLE HAVE MONEY, NATION SOUND.

D 22, 4-1

-----1931-----

THERE IS A BETTER SPIRIT IN AMERICA.

JE 6, 4-2

BARGAIN TIME IN AMERICA.

O 10, 7, 20-2

MORE SUPPORT OF STOCK MARKET REGULATION.

O 10, 8-2

-----1932-----

IF CONGRESS WANTS DO REAL SERVICE, SHOULD PROBE CALL MONEY SITUATION.

MY 23, 14-1

TO PREVENT FUTURE DEPRESSIONS PREVENT USURY IN BORROWING MONEY UNNATURALLY TO INFLATE STOCK MARKET.

MY 24, 14-1

BOR PROTECTION OF INVESTOR.

JE 26, 1-6-2

BULL MARKET INDICATES DEPRESSION OVER.

AG 12, 14-2

-----1934-----

*WRITTEN UP: STOCKS SHOULD BE CONTROLLED

O 13, 6-3

SAMUEL INSULL'S STOCK COLLAPSES SHOULD TEACH WORLD A LESSON

N 26, 18-3

-----1935-----

GLOOMY NEW YORK SLOWING RECOVERY-EDIT

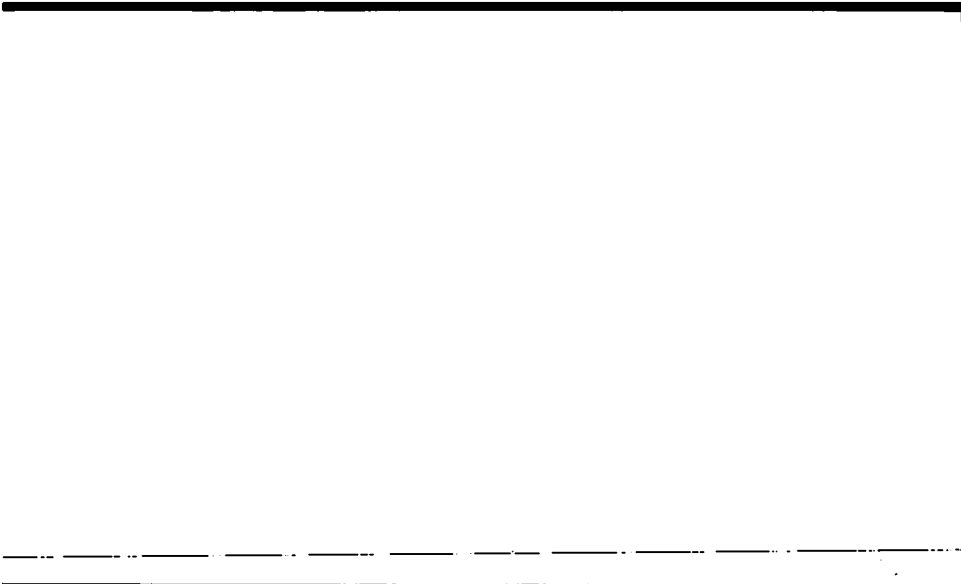
W R 22, 22-1

-----1950-----

CONTINUING GROWTH IN NUMBER OF AMERICANS OWNING STOCKS IN CORPORATIONS SHOWS CONFIDENCE IN FUTURE

NY 29, 14-2

PAY AS YOU GO STOCK BUYING-EDIT. -----1955- -	D 13, B-22-1
BOOM AND OR BUST?-BEHAVIOR OF THE STOCK MARKET-EDIT.	MR 13, B-22-1
CULTIVATE PROSPERITY-DEGREES OF OPTIMISM-EDIT.	AP 28, 1-22-1
-----1959-----	
TO SMALL INVESTORS;RELAX,OR QUIT-EDIT.-1962--	JL 2, 22-1
WHO DID IT?-EDIT.	MY 18, B-14-1
-1980-	AG 14, A-18-1
GUARDING EMPLOYE STOCK OWNER-SHIP-EDIT	
-1988	D 4 A 18-1
Jobs aren't inflationary-Edit	

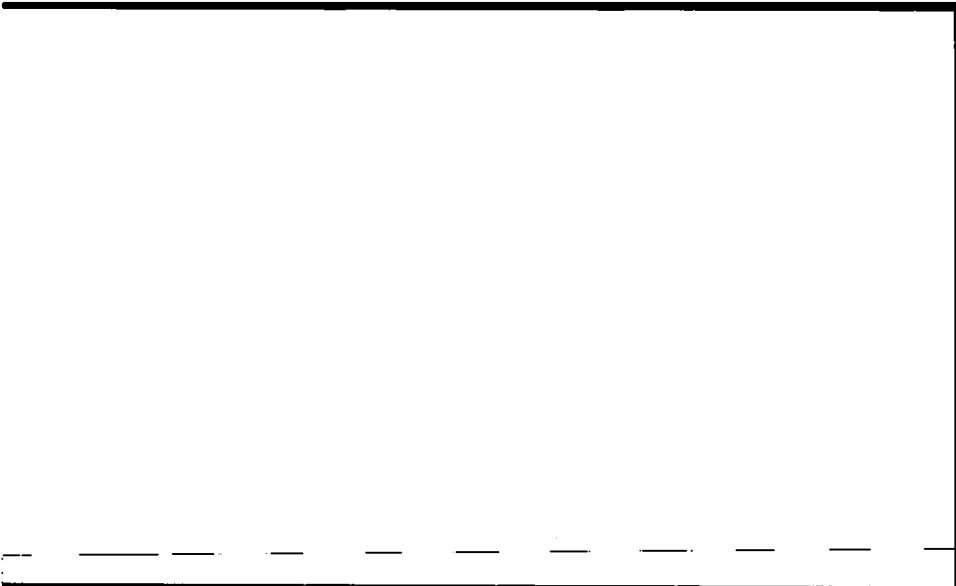


STOCKS : EGYPT

1965

JA 5, C-4-6

CAIRO-GOVT CLOSED STOCK MARKETS IN CAIRO & ALEXANDER FOR 3 DAYS TO
PREVENT TRADING IN SHARES WHOSE PRICES HAVE FALLEN BECAUSE OF EGYPT
CURRENT ECONOMIC CRISIS

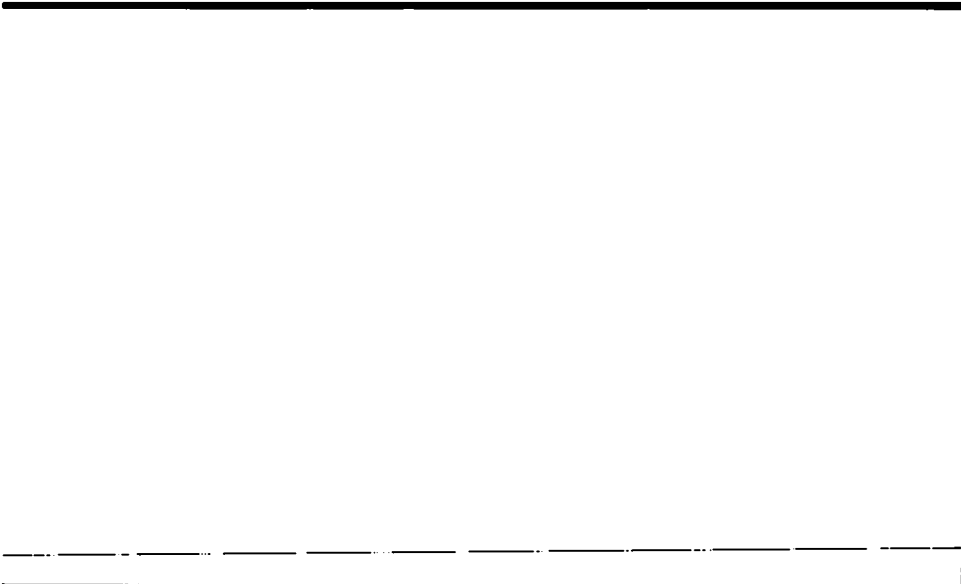


~~STOCKS~~ ~~EXCHANGE~~ : AMERICAN STOCK EXCHANGE: BOARD OF GOVERNORS 1961

JOS. REILLY RE-ELECTED CHR. OF ABOVE ---1962--- F 14, R-12-5
SLATE OF 28 CANDIDATES FOR ABOVE NAMED BY NOMINATION COMMITTEE JL 17, R-8-1

AG 8, C-18-1

EDWIN POSNER RE-ELECTED AS BOARD CHAIRMAN OF ABOVE



STOCK : EXCHANGE : AMERICAN STOCK EXCHANGE CHAIRMAN 1972

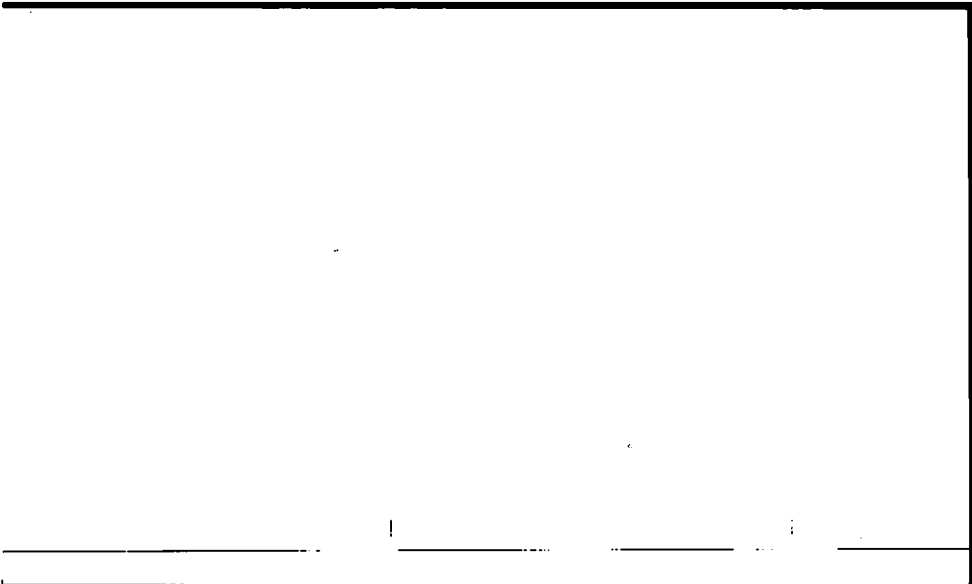
Ag 1, AP17-6

**PAUL KOLTON PRES OF ABOVE, RECOMMENDED AS FULLTIME CHAIR
MAN AND CHIEF EXEC OFFICER OF EXCHANGE.**

---1989---

My 12, E-1-1

ArthurJ.LevittJr. quits as chairman



STOCKS: EXCHANGES:

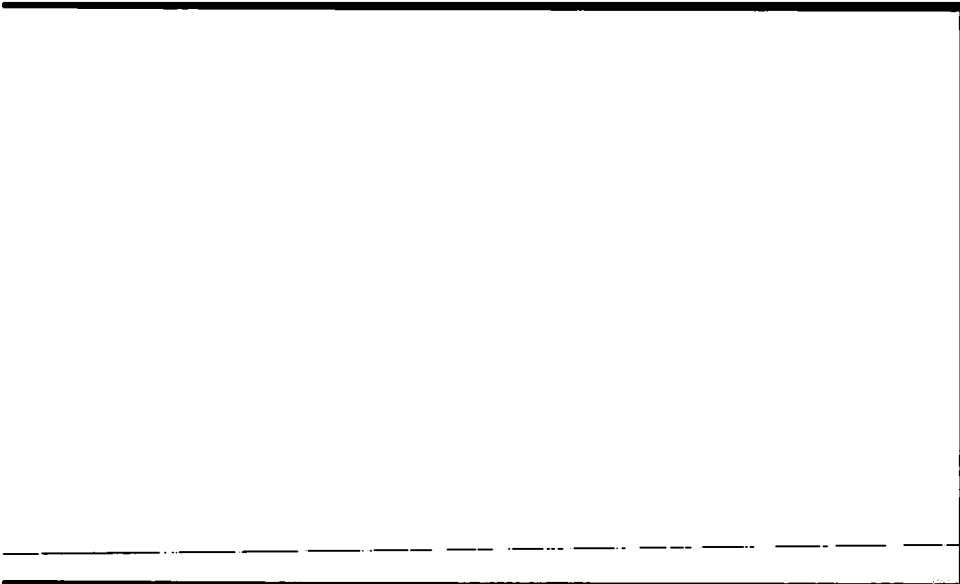
~~NEW YORK STOCK~~

AMERICAN STOCK EXCHANGE: COMMISSION

1959

Mr 23, 28-6

ANNOUNCED COMMISSION RATES ON STOCK TRANSACTIONS INVOLVING FROM \$100
TO \$2,400



STOCKS: EXCHANGES:

~~NEW YORK STOCK EXCHANGE~~ AMERICAN STOCK EXCHANGE - CONSTITUTION

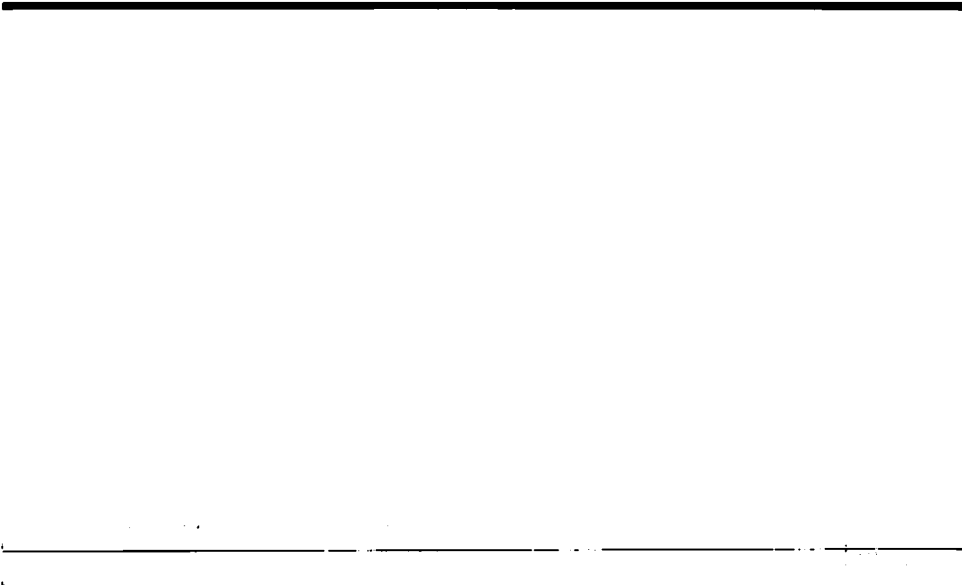
1962

Je 8, 8-10-5

GOVERNORS OF EXCHANGE AGREE ON PROPOSED OVERHAUL OF EXCHANGE CONSTITUTION

Je 11, 8-7-8

MAKES PUBLIC PROPOSED SWEEPING OVERHAUL OF CONSTITUTION



~~NEW YORK CITY~~ ~~STOCK EXCHANGE~~ : EMPLOYEES
~~STOCK EXCHANGE~~ : ~~AMERICAN STOCK EXCHANGE~~

1948

STRIKE VS ABOVE SETTLED TODAY; 10% PAY INCREASES GIVEN
---1951---

Ap 16, 41-6

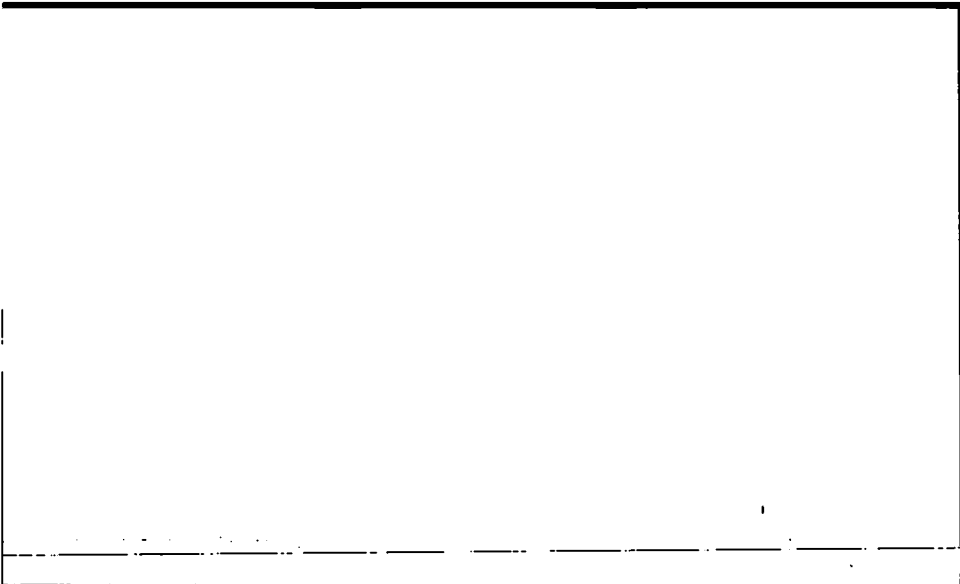
ANNOUNCES GENERAL SALARY INCREASE FOR EMPLOYEES

JA 25, 30-1

---1970---

JL 8, C-7-8

LAID OFF ANOTHER 100 WORKERS; SECOND CUT BACK IN TWO MONTHS



~~STOCKS EXCHANGES~~ AMERICAN STOCK EXCHANGE
~~NEW YORK STOCK EXCHANGE~~ - FINANCES

1934

Form 1656

O 29,25-5

REPORTS PROFIT ON YEAR'S OPERATIONS

---1951---

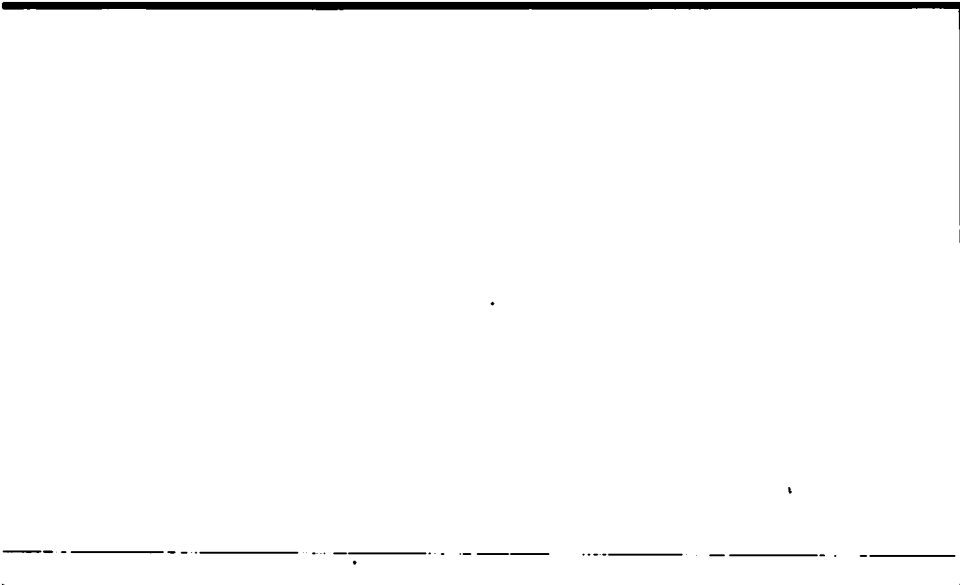
MR 4, 4-21-6

SHOWS NEXT INCOME OF \$64,085

---1953---

F 11, 32-5 BS2

REPORTS NET INCOME FOR 1952 OF \$36,772



~~NEW YORK TIMES~~
STOCKS: EXCHANGES:

AMERICAN STOCK EXCHANGE : INQUIRY

1961

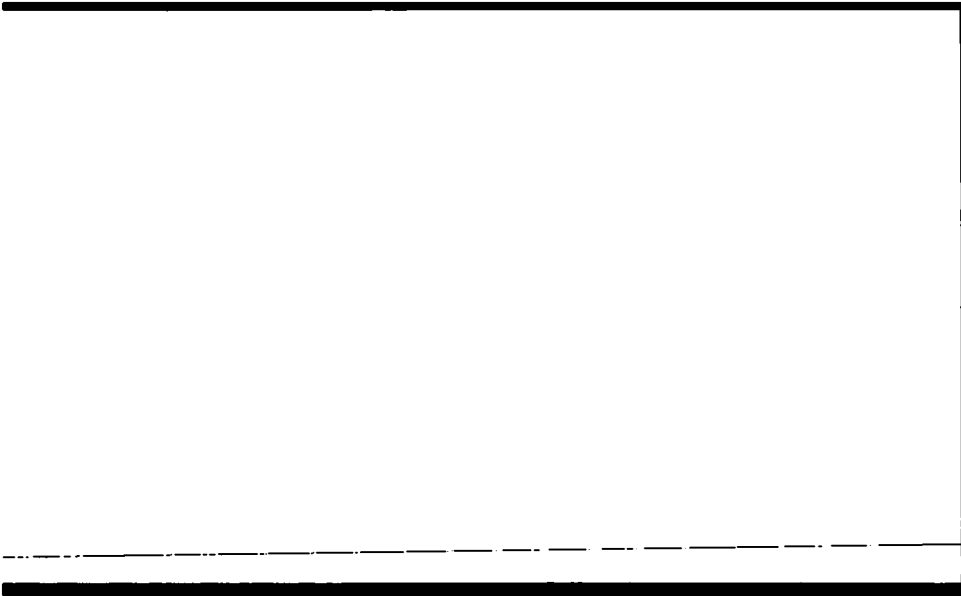
SEC TO INVESTIGATE AMER. EXCHANGE

SEC SENDS QUESTIONNAIRES TO ALL ABOVE MEMBERS

SPECIAL COMM. NAMED TO STUDY ASE PRACTICES

My 16, R-3-3
JE 12, B-6-5
N 24, C-7-1
-----1962----- JA 6, A-1-2

SEC AIMS ABUSE CHARGE AT AMERICAN EXCHANGE



~~AMERICAN STOCK EXCHANGE~~
~~MEMBERS~~ ~~STOCKS~~ ~~EXCHANGES~~ ~~AMERICAN STOCK EXCHANGE~~ ~~MEMBERS~~ 1936
 Form 1656 AP 2, 45-1-NSC
 FRANK E. SNOW, EUGENE J. MITCHELL & WM. J. HEANEY SUSPENDED FOR 3 YEARS
 ---1941--- JL 17, 40-1
 TO CUT SEATS FR 550 TO 500 BECAUSE OF REDUCED SELLING
 ---1947--- MR 16, 4-11-8
 ANNOUNCES SALE OF 2 MEMBERSHIPS AT LOWER PRICES
 ---1948--- MY 4, 34-6 BS 2
 JACK J DREYFUS, JR. SUSPENDED BY ABOVE-
 ---1962--- MR 16, 8-9-3 FIN 5
 ANNOUNCES IT HAS EXPELLED FROM MEMBERSHIP ONE PARTNER OF JOSEPH THAL
 & CO. & FINED TWO OTHERS \$5,000 EACH ON CHARGES OF VIOLATING EXCHANGE
 RULES MR 20, 8-8-8
 LIKES MEMBER DUES, FEES & VARIOUS CHARGES BY \$330,000 A YEAR
 D 27, C-14-3
 GROUP OF MEMBERS SEEKING TO FIND WHAT TO DO WITH ASSOCIATE MEMBERS OF
 EXCHANGE ---1965--- N 11, D-9-2
 NY-JULIA MONTGOMERY WALSH & PHYLLIS S. PETERSON ADMITTED THEM TO MEMBERSHIP,
 ABOVE BROKE 116-YR-OLD TRADITION AS 1ST WOMEN ON EXCHANGE
 N 19, 8-9-5
 ELECTED TO MEMBERSHIP THE FIRST 2 WOMEN MEMBERS IN 116 YR HISTORY-JULIA
 MONTGOMERY WALSH & PHYLLIS S. PETERSON, BOTH OF WASHINGTON

-4975--

JL 6, A-3-7

CHICAGO SECURITIES LAWYER, RAY D. GARRETT, 52, APPTD. BY
NIXON AS NEW PRES.; CLEVELAND LAWYER, A.A. SOMMER JR. NOMI-
NATED FOR OTHER VACANCY

STOCK : EXCHANGE : AMERICAN : MERGERS

1972

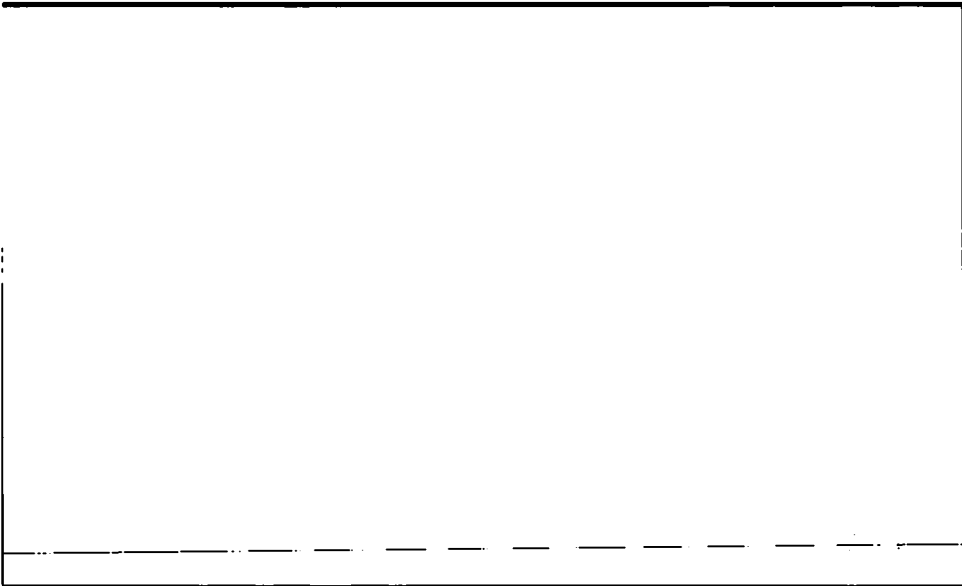
01, C-11-6

**BOCA RATON, FLA---OFFICIALS OF SECURITIES INDUSTRY ASSN CALLS
FOR SERIOUS CONSIDERATION OF MERGER BETWEEN ABOVE AND NEW
YORK EXCHANGE.**

---1977---

MY 24, A-17-5

**NY STOCK EXCHANGE MERGER DOUBTFUL SAY INSIDERS-GREER/KANDEL
DEL**



~~NEW YORK XXXXXX~~ : OFFICERS
~~STOCKS: EXCHANGES: AMERICAN STOCK EXCHANGE~~

1950

F 15, 54-6

NORTIMER LANDSBERG ELECTED CHAIRMAN ABOVE

-----1954-----

MR 26, 46-4

EDW. T. McCORMICK RE-ELECTED PRES. OF ABOVE

-----1956-----

F 14, 22-8 LF

NYC- AS. DYER ELECTED CHR. OF ABOVE -----1957-----

JA 8, 42-5

NONINATEDS JAMES R. DYER FOR 3RD TERM AS CHAIRMAN

-----1961-----

D 12, C-15-5

EDWARD T. McCORMICK RESIGNS AS PRES. & MICHAEL E. MOONEY AS GENERAL COUNSEL

-----1962----- JA 11, C-16-5

JOS. PEILLY CARETAKER PRES. OF ABOVE, SPIKES SPECULATION HE MIGHT WITHDRAW FROM ASE ADM.

JA 16, B-4-5

JOSEPH F. PEILLY WITHDRAWS AS CAND. TO BD. OF GOVERNORS

JA 19, R-12-1

JAS. HERMAN TO RESIGN AS MEMBER OF Bd. F 12TH

JA 23, R-6-5

CHR. JOS. PEILLY & 3 OTHER TOP OFFICERS OF ABOVE RESIGN

F 13, R-8-8

BD. OF GOVERNORS ELECTS EDW. POSNER TO A ONE YEAR TERM AS BOARD CHAIRMAN

F 15, D-13-1

ROB'T MEYNER HINTED AS NEW PRESIDENT CAND.

MR 28, C-3-5

EDWIN D. ETHERINGTON ELECTED PRESIDENT

---1963---

F 12, D-10-5

EDWIN FOSNER, 72, RE-ELECTED AS CHAIRMAN OF BOARD OF GOVERNORS

---1964---

JA 17, D-5-8

SALARY OF EDWIN D. ETHERINGTON INCREASED FROM \$80,000 TO \$100,000 A YR.

D 28, R-12-5

DAVIS S. JACKSON NOMINATED FOR ELECTION AS CHAIRMAN ABOVE'S BD. OF GOVS

---1965---

F 12, D-11-8

DAVIS S. JACKSON ELECTED BD. CHAIRMAN

---1966---

JL 14, D-7-6

NY - EDWIN D. ETHERINGTON RESIGNS AS PRES.

AG 16, R-5-5

NY-RALPH S. SAUL NAMED NEW PRES.

AG 17, C-22-1

RALPH S SAUL TO TAKE OVER AS PRES ABOVE

N 7, C-14-1

RALPH S SAUL TAKES OVER AS 5TH PRES ABOVE

---1969---

F 14, C-5-8

FRANK C GRAHAM JR ELECTED CHRMN ABOVE

---1971---

MR 17, B-6-4

RALPH S SAUL RESIGNED TO JOIN FIRST BOSTON CORP FROM PRESIDENCY OF ABOVE

STOCKS: EXCHANGES: AMERICAN STOCK EXCHANGE: OFFICERS 1971

MY 21, A-15-4

PAUL KOLTON ELECTED PRESIDENT OF ABOVE

S1, B-12-41DOT

BURKE MARSHALL APPT. TO BOD OF GOVERNORS OF AMERICAN STOCK EXCHANGE.

---1972---

AP 6, C-10-6

REVIS L STEPHENSON & C V WOOD ELECTED N CHAIRMAN & VICE CHAIRMAN RESPECTIVELY OF ABOVE LISTED COMPANY ADVISORY COMM

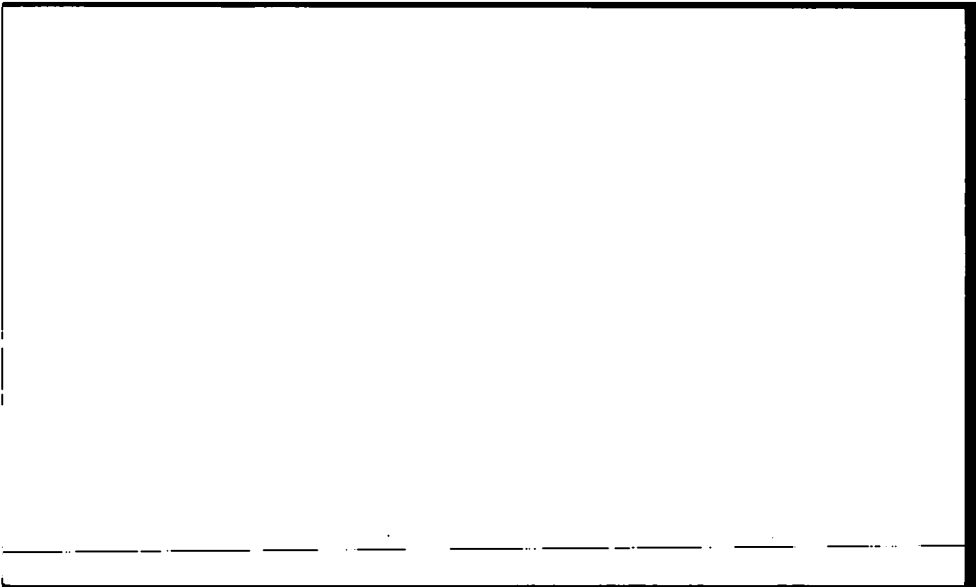
MY1, A-12-4

NY---NEW YORK KAND ABOVE EXCHANGED HAVE MADE PLANS TO REVAMP THEIR BOARDS OF GOVERNORS---GREER

---1977---

N 11, A-13-1

NAMED ARTHUR LEVITT JR. NEW CHRMN & CHIEF EXEC.



1972

STOCK : EXCHANGE : AMERICAN : PRESIDENT

N 8, C-14-8 1Dot

NY--RICHARD M. BURDGE, 45, AUTOMATION SPECIALIST, NAMED PRES.



~~NEW YORK CITY~~ ~~CURR INDEX~~ ~~RE-ORGANIZATION~~
~~STOCKS:EXCHANGE:AMERICAN STOCK EXCHANGE~~

1939

Form 1656

MY 18,39-2

GEO.P.REA,PRES., & GANSON FURCELL, DISCUSS RE-ORG.

—1962—

JA 3, D-6-8

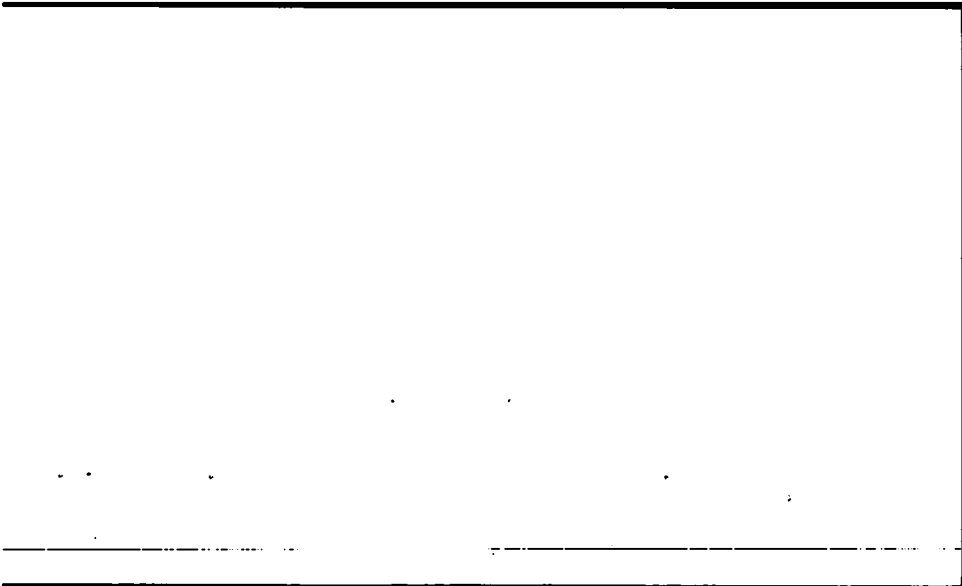
2 COMMITTEES APP'T TO HANDLE RE-ORGANIZATIONS

JA 9, B-10-3

JOSEPH F. REILLY PLEDGES ACTION AGNST. CRITICISMS OF EXCHANGE

JA 10, C-10-5

MAPS PLANS FOR REVISION IN CONSTITUTION



STOCKS: EXCHANGES:

~~NEW YORK STOCK EXCHANGE~~ AMERICAN STOCK EXCHANGE - REPORT

1962

N 9, C-13-8

FOR FIRST TIME IN 100 YR HISTORY, EXCHANGE ISSUED QUARTERLY REPORT



STOCKS: EXCHANGES: AMERICAN STOCK EXCHANGE:
~~RECORDS STOCK EXCHANGE~~ RULES

1941

~~AMERICAN STOCK EXCHANGE~~
Form 1656

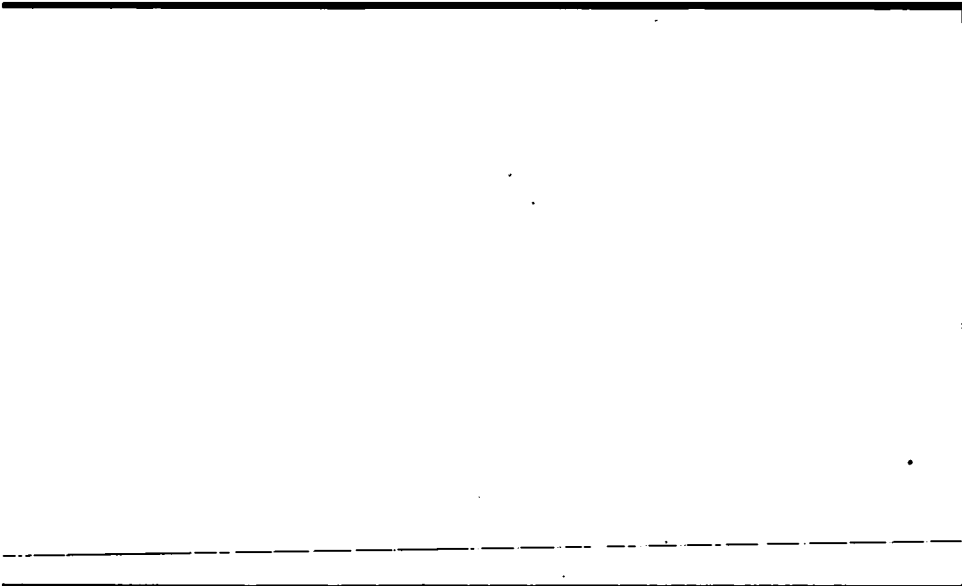
JA 24, 29-1

SEC ASKS INVESTIGATION OF RULES OF ABOVE

SETS NEW RULES FOR LISTINGS ---1962-- AP 6, B-10-5
---1965-- D 7, D-8-5
BD.GOV. OKD SERIES RULES AMENDMENTS PERMITTING SELECTIVE INCREASES IN
MARGIN REQUIREMENTS ON ISSUES THAT ARE ACTIVE & VOLATILE

---1966-- MY 19, C-8-8
NY-LIFTED 100% MARGIN REQUIREMENTS OF SIMMONDS PRECISION PRODUCTS & MXX
(TRA INC ---1967-- S 30, A-8-5
NY-BOARD OF GOVERNORS OF ABOVE FINE 3 STOCKHOLDERS & SUSPENSE
2 FOR VIOLATION OF CONSTITUTION & RULES-BERTRAM M. OSTRU, A
MURRAY LEVINSON & ANTHONY R. MARION

-1972- N 27, A-18-7
Y--AMEX TO TOUGHEN LISTING STANDARDS FOR GAMING FIRSM.



STOCKS: EXCHANGE: AMERICAN: SUITS

1969

AG 14, C-9-5

N.Y.-WON COURT INJUNCTION AGAINST AMEX BROKERAGE CO.
FOR USING THE NAME AMEX-



~~STOCKS: EXCHANGES:~~
~~NEW YORK STOCK EXCHANGE~~
AMERICAN STOCK EXCHANGE

- 1928

Form 1656

The Detroit News Je 29, 52-1

Seat sells for \$97,000 to Robt.E.Emmons 3rd

JOS MCGARRITY EXPELLED FROM 1932
---1933---

STEPHEN McDONALD EXPELLED; WM H FAY & HERBERT CARLTON SUSPENDED

STATE QUIZ OF LISTING PRACTICES ORDERED

HOWARD G SYKES EXPLAINS SECURITIES POLICY

ISSUES OF ALLIED MOTOR INDUSTRIES & AMERICAN AUSTIN CAR CO REMOVED

6 ISSUES ELIMINATED FROM LIST

SUSPENDS STETSON AND BLACKMAN. ---1934---

HOWARD C SYKES TO QUIT AS PRESIDENT

STOPS TRADING ON 'WHEN ISSUED' BASIS, MY 31

TO ASSESS SPECIALIST MEMBERS \$200 ANNUALLY

E BURD GRUBB DENIES ABOVE WILL CLOSE

FRED C. MOFFATT NAMED PRES.; CHAS. S. LEAHY, VICE-PRES.

D 18, 4-8-5

F 18, 14-5

AP 5, 25-8

AP 6, 19-6

AP 13, 33-7

AP 14, 31-4

S 14, 33-4 BBM

JA 27, 21-3

MY 31, 39-8

JE 19, 30-7

AG 23, 33-5

F 14, 41-6

---1936---	D 21,38-8 WSC
GIVES WAGE INCREASE & BONUS TO EMPLOYEES	
---1937---	F 11, 42-2 WSC
FRED C MOFFATT REELECTED PRES. ---1938---	JA 27, 39-8
TOTAL STOCK ISSUES WERE 1,089 AT CLOSE OF TRADING	DEC. 31, 1937
	AP 8,34-8
TO CUT SALARIES OF EMPLOYEES MAKING OVER \$25 A WEEK 10%	MY 1, 8-16-2
EMPOWERED TO STRIKE FR LISTING THE NO PAR VALUE CAPITAL STOCK OF STUT	
MOTOR CAR CO. OF AMER.	MY 12, 45-2
PRICED OF N ABOVE MEMBERSHIP ADVANCED \$3,000 IN 2SLAES	MY 12
	MY 13,33-7
AUTHORIZES INCREASE IN MEMBER'S CHARGES FOR BUYING & SELLING SECUR-	
TIES FOR THE PUBLIC	JL 8,27-5
COMMITTEE RECOMMENDS DRASTIC REORGANIZATION FOR ABOVE -	O 5,29-5
EXCHANGED APPROVES REORGANIZATION PLAN	D 1,41-2
CONSTITUTIONAL CHANGES AIMED TO BROADEN BUSINESS ON FLOOR OF EXCHANGE	
NOW BEFORE CURB MEMBERS	DL3,30-8
MEMBERS APPROVE NEW CONSTITUTION	
---1939---	JA 10,23-8
CANDIDATES FOR NEW BOARD OF GOVERNORS CHOSEN	F 15,29-3
C.A.BETTMAN ELECTED CHAIRMAN OF BD OF GOVERNORS	F 24, 31-8
LARENCE A BETTMAN ELECTED TEMP PRES	AP 21,33-4
GEO.P.FEA ELECTED PRES.	AG 7,23-8
ANNOUNCES ACTUAL CASH LOSS OF \$8,715 FOR 1ST HALF OF 1939	

Form 1656

M15, 38-1

NEW GOVERNORS ANNOUNCED ---1941---

F 5, 28-6

TO GIVE FULL PUBLICITY TO DISCIPLINARY ACTION

F 11, 28-6

FRED C. MOFFATT ELEC. CHRMN OF BD. OF GOVERNORS

F 18, 29-2

JEROME C. CUPPIA TELI S SEC HE MADE FORTUNE, IN CHARGES VS. ABOVE

F 20, 36-8

COMMISSION-SPLITTING UNDER ATTACK AT SEC HEARING

F 21, 32-1

GEO. REA DEFENDS MANNER IN WHICH ABOVE HANDLES CHARGES OF FEE SPLITTING

MR 26, 34-7

CHAS. POWERS, EDWARD MCCARMACK EXPELLED FOR SPLITTING COMMSNS.

MY 13, 29-5

GEO. P. REA OFFERS PLAN TO BRING BUSINESS BACK TO ABOVE-JL 30, 27-2

ADOPT PLAN TO CUT NUMBER OF SEATS OR MEMBERSHIPS FR 550 TO 500

AG 3, 4-14-6

3 DETROITERS APPT. TO 30 REGIONAL AGENCIES TO BRING BETTER UNDERSTANDING OF EXCHANGE IN ALL SECTIONS OF COUNTRY

S 5, 37-8

GEO. P. REA OUTLINES PLAN FOR ESTABLISHMENT DEALER MARKET

D 4, 56-5

SEC. COMM. ORDERS SMALLER TRADING UNIT FOR CHIC. RIVET & MACHINE CO.

---1942---

JA 29, 44-6

SEC. COMM. FINDS ABV. LAX IN RULES

AP 8, 30-7 BS

GEO. P. REA RESIGNS AS PRES., EFFECTIVE JUNE 30

---1942---
INCREASED COMMSN.CHARGES ON STOCK TRANSFERS GOES INTO EFFECT MAY 27
APPROVE 2% LEVY ON COMMISSION CHARGES
J 18, 41-5 BS

---1943---
CURB EXCHANGE EMPLOYES GIRL PAGES
JA 25, 22-7 BSMC
F 9, 24-7
FRED C. MOFFATT ELECTED CHAIRMAN OF BOARD OF GOVERNORS MY 28, 34-1
CURB MEMBERSHIP TO SELL FOR \$8,500

---1944---
MADE PROFIT LAST YEAR FOR FIRST TIME SINCE 1937
F 5, 15-4
SEAT SELLS FOR \$10,000, A RECORD SINCE 1939
MR 26, 4-10-8
FACES 3 SUITS OVER FAILURE OF AVERY AND CO.
AP 29, 13-8
SEAT SELLS FOR \$13,000
J 11, 15-6

---1945---
RELEASES ANNUAL REPORT FOR '44
F 5, 17-7
EDWIN POSNER ELECTED CHRM BOARD OF GOVERNORS
F 14, 22-8
TO CLOSE SATURDAYS DURING JUNE & AG & SEPT. 1
JE 22, 28-5

---1946---
OPENS 2 MORE TRADING POSTS APR 15
AP 15, 20-1
THREATENED WITH SUSPENSION FR OHIO TRADING BY ERNEST CORNELL
JE 18, 25-1
CUBBS MARKS ANNIV OF OLD OPEN-AIR SECURITY MARKET
JE 27, 41-2
GOVERNORS DECLARE CHRISTMAS BONDS FOR EMPLOYEES
D 19, 51-8
WILL HAVE PAID, BULL-TIME PRES. IN FRANCIS A. TRUSLOW
D 24, 16-6
MARCH 1

---1947---
EDWARD C. MERLE NOM TO CHARTERSHIP OF BOARD OF GOVERNORS
JA 7, 23-3 BS 2

EDWARD WERLE ELED CHRMN OF BOARD OF GOVERNORS F 11, 25-2 BS 2
FRANCIS A TRUSLOW INAUGURATED AS 2ND SALARIED PRES. ABOVE MR 3, 20-5
GOVERNORS AUTHORIZE CLOSING ON SATURDAYS MAY 31 THRU SEPT. MY 8, 51-3
APPT. 3 VICE-PRESIDENTS ---1948--- AG 20, 34-6 BS 2
PROPOSES INCREASE IN COMMSN RATES ON STOCK TRANSACTIONS-F 2, 36-5 FINAL
REPORT NET LOSS OF \$79,804 FOR 1948 F 4, 38-5 FINAL
COMMISSION FEE CHARGED BY BROKERS TO BE BOOSTED FEB. 14 F 11, 4-11-3
FRANCIS ADAMS TRUSLOW RESIGNS AS CURB PRES F 14, 42-8 BS 2
JOHN J HAIN ELECTED CHRMN ABOVE BOARD
JOHN J. MAN RE-ELECTED CHAIRMAN OF BOARD F 12, 26-3
TO EXTEND TRADING TIME UNTIL 3:30P.M. BEGINNING JE 2, AG 7, 22-4
GOVERNORS VOTE TO EXTEND PERMANENTLY 3:30P.M. HRS O 16, 54-7
MEMBERS VOTE TO CHANGE NAME TO AMERICAN STOCK EXCHANGE O 31, 46-1
ABOVE TO BE KNOWN AS AMER. STOCK EXCHANGE AS OF JAN 5TH, 1953
PETER B. WILLIS BUYS 1 SHARE OF ARGUS STOCK AT EXCHANGE MR 5, 7-3

-----1953-----

Ap 14, 30-4

AMENDS CONSTITUTION TO EXTEND PERMISSIVE INCORPORATION PRIVILEGES TO REGULAR MEMBER FIRMS EFFECTIVE MAY 1ST

-----1955-----

My 2, 37-1 5*

IVC-SIMCA AUTO Co., BECOMES FIRST FRENCH FIRM EVER TO HAVE ITS STOCK LISTED ON ABOVE

F 23, 52-7

HAS NET INCOME OF \$63,377

-----1956-----

Mr 14, 71-6 5*

SAYS TRADING WILL BE SUSPENDED MARCH 21ST INDEFINITE RUSSIAN IMPERIAL BONDS, LONG DELIGHT OF SPECULATORS

-----1957-----

F 5, 34-1

REVEALS THAT 46 PCT. OF ITS LISTED COMMON STOCKS HAVE PAID DIVIDENDS FROM 10 TO 108 CONSECUTIVE YEARS

Ap 1, 27-1

AMEX OPERATES AT SMALL LOSS, EQUITY HIGHER

Ap 10, 84-8 LF

SEC ORDERS PERMANENT WITHDRAWAL OF GREAT SWEET GRASS OIL LTD. & KROY OILS LTD. CAPITAL STOCK FROM ABOVE LISTING EFFECTIVE AFTER AP 13TH

-----1958-----

Ag 4, 24-6

SAYS MEMBERS HAD VOTED TO ADOPT A NEW SCHEDULE OF COMMISSIONS, BRINGING THEM IN LINE WITH THOSE IN EFFECT ON NEW YORK STOCK EXCHANGE

-----1959-----

Mr 26, 38-8

STOCK CLEARING CORP. OF NY STOCK EXCHANGE TO HANDLE ENTIRE AMER. EXCHANGE CLEARANCE OPERATION

-----1961-----

Ja 11, C-15-4

BUYS A \$3 MILLION ELECTRONIC BRAIN TO PROVIDE INVESTORS WITH STOCK QUOTATIONS THRU PRINTED & VOICE NETWORKS

STOCKS: EXCHANGES:
~~NEW YORK STOCK EXCHANGE~~ AMERICAN STOCK EXCHANGE

1961

PACKER'S SUPER MARKETS INC. BECOMES NO. 1,000 LISTED ON ABOVE. N 29, C-18-5

BEGINS REFORMATION MOVES D 22, R-9-1

BD. OF GOVERNORS OK'S PROPOSALS FOR REORGANIZATION D 28, B-12-5

JOS. REILLY WITHDRAWS FOR RE-ELECTION AS CHR. OF ABOVE D 29, R-4-8

—1962— JA 4, D-4-5

EDWIN POSNER NOM. AS CAND. FOR CHAIRMAN JA 7, B-11-2

SEN. BANKING & CURRENCY COMM. TO CHECK SEC REPORT RAPPING ABOVE OPERATIONS JA 15, R-7-8

JOS. WEINER, EX OFFICIAL OF SEC URGES STIFFENING REQUIREMENTS FOR LISTING SECURITIES WITH ABOVE JA 18, C-10-5

PLEDGES BY ITS CHAIRMAN TO "POSITIVE STEPS" TO MEET SEC REPORT CRITICISMS JA 31, R-8-5

TAKES ANOTHER STEP TOWARD PUTTING HOUSE IN ORDER BY MAKING LISTING OF STOCKS MORE RIGID M 16, R-8-1

NAMES S. WHITNEY LANDON AS PUBLIC GOVERNOR Ap 9, R-6-5

MASSIVE TASK FACES ABOVE ; OPEN CHECK OF LISTED AMEX STOCK

—1962—

My 25, B-10-8

NAMED AS DEFENDANT IN \$5,700,000 SUIT ALLEGING STOCK MANIPULATION CONSPIRACY

JL 9, B-6-1

NY-WM. D. MORAN NAMED VICE PRESIDENT INCHARGE OF OPERATIONS & H. VERNON LEE JR. WAS APPOINTED SECY & DIRECTOR OF MEMBERSHIP SERVICES

S 4, R-14-8

EDWIN D. ETHERINGTON, 37, TAKES OVER AS PRESIDENT

S 7, C-10-5

DR. ALLEN BALCOM DE MONT ELECTED THIRD PUBLIC GOVERNOR OF ABOVE

N 21, A-12-5

PLAN FOR GRADUALLY DOING AWAY WITH 402 ASSOCIATE MEMBERSHIPS ON ABOVE MADE PUBLIC NOV. 20 BY SPECIAL EXCHANGE COMMITTEE

7, C-14-5

8 SECURITIES REMOVED FROM TRADING ON ABOVE

—1963—

Mr 4, B-6-8 FIN5

IN REPORT BY BERNSTEIN-MACAULAR INC OF NYC SAID INDEPENDENT STUDY POINTED TO NEAR DOUBLING OF ANNEX TRADING VOLUME BY 1970

Mr 25, B-6-5

PSYCHOLOGICAL TESTING COMPANY TO EXAMINE ALL NEW APPLICANTS FOR APPROVAL AS REGISTERED REPRESENTATIVES OF ABOVE

AP 16, C-5-8

VOTED TO PERMIT SALE OF UP TO 101 NEW REGULAR MEMBERSHIPS TO PRESENT ASSOCIATE MEMBERS

Ag 20, C-4-5

PLANS TO STREAMLINE ABOVE OPERATIONS TO GO INTO EFFECT

S 3

N 21, D-12-3

STIFFENS STANDARDS FOR LISTING LOW-PRICE STOCK ISSUES

STOCKS: EXCHANGES:
~~NEW YORK CITY~~ **AMERICAN STOCK EXCHANGE**

1963

CHANGES MADE IN LISTING POLICIES & STANDARDS OF NON-VOTING & RESTRICTED VOTING STOCKS & VOTING TRUST CERTIFICATES —1964— D 26, D-10-8
JA 19, D-12-5
TO BE CLOSED ON 3 FRIDAYS THAT PRECEDE HOLIDAYS THIS YR-DATES ARE F21
MY 29 & JL 3 JA 23, D-6-4
DAIRY OF ABOVE TRADING IN HOT ISSUES SINCE FIRST OF YR-ADVOCATE MORE ORDERLY DEALS MR 17, C-8-5
NY-OPPOSES SEC PROPOSAL TO ELIMINATE OR RESTRICT TRADING BY EXCHANGE MEMBERS ON FLOOR OF EXCHANGE FOR THEIR OWN ACCOUNT AP 14, C-8-5
NY-PLANS TO APPLY TO SEC FOR OK TO DELIST COMMON STOCK OF 4 COMPANIES- CROSE-UNITED CORP; ELSCO INVESTMENT CO, ERT CORP & JOHN WOOD CO MY 11, B-7-1
TODAY STARTS USING NEW AUTOMATIC PHONE QUOTATION SERVICE CALLED "AM-QUOTE" & FEATURING COMPUTER THAT TALKS JE 3, C-18-6
NY-WORKING HARD TO MAKE SELF BETTER KNOWN JL 1, B-13-7
WASH.-OFFICIALS OF SEC SAID THEY & ABOVE HAVE COME TO TERMS ON PLAN FOR "FLOOR TRADING" BY EXCHANGE MEMBERS FOR THEIR OWN ACCOUNTS D 16, D-10-2
NY-COS. NOW MUST HAVE AT LEAST 250,000 SHARES WORTH \$1,250,000 IN HANDS OF PUBLIC TO BE LISTED ON ABOVE AFTER FEB. 1

—1965—

OFFICERS OF BRANCHES OF MEMBER BROKERS OF ABOVE WILL HAVE TO PASS
WRITTEN EXAMINATIONS STARTING THIS YEAR
NY-FED. JUDGE EDMUNDS L. PALMIERI TO HEAR VIEWS OF SWAN-FINCH OIL CORP.
STOCKHOLDERS ON SETTLEMENT OF SUIT BY FIRM AGST ABOVE & OTHERS ON F.11

JA 18, B-11-5

JA 20, C-15-3

F 12, D-11-5

STOCKHOLDERS OF SWAN-FINCH OIL CORP. IN SUIT AGST ABOVE WON \$450,000
OUT OF CT SETTLEMENT-FORMER HEAD LOWELL M. BIRRELL CHG WITH STEALING

AP 26, B-12-6

NY-ANNOUNCED AUTOMATION PROGRAM TO SPEED STOCK QUOTATIONS FR. TRADING
FLOOR TO STOCK TICKER-SYSTEM TO CENTER ONE ELECTRONIC KEYBOARD AT EACH
OF EXCHANGE'S 23 TRADING POSTS

JL 12, B-9-4

NY-CAUTIONED MEMBERS ON SHORT SALES IN COMMON STOCK OF COMPUTER SCI-
ENCES CORP.

O 14, C-16-3

NY-FORMALLY REJECTED APPLICATION FROM WOLVERINE ALUMINUM CORP. TO BE
DROPPED FROM EXCHANGE

—1966—

AP 5, B-7-3

NYC-MAY INDEX ALL STOCKS

AP 22, A-1-1

TICKER TAPE WENT DEAD FOR 24 MINUTES TODAY DUE TO POWER FAILURE & ALL
TRADING ON EXCHANGE HALTED FOR TIME

AP 22, B-10-8

TO ESTABLISH OWN INDEXES TO MEASURE PRICE MOVEMENTS OF ALL COMMON
STOCK TRADED ON BIG BOARD, AMEX

JL 16, A-12-1

NYC-NAMED 5 MAN PANEL TO PICK PRESIDENT

STOCKS: EXCHANGES:
~~NEW YORK STOCK~~ AMERICAN STOCK EXCHANGE

1966

Ag 3, D-8-8

PLANS TO INSTALL NEW HIGH-SPEED STOCK TICKERS NEXT YEAR

D 22, C-7-7

NYC-HONOLULU TO GET AMEX TICKER

--1967--

JA 24, D-6-5

PLANS TO INTRODUCE 900 CHARACTER-PER-MINUTE TICKER SYSTEM
THIS YR. THAT WILL DOUBLE SPEED TICKER SERVICE

MY 3, C-22-1

PRES. RALPH SAUL ANNOUNCED \$1.15 MILLION CONSTRUCTION PRO-
GRAM AT EXCHANGE

--1968--

D 5, C-12-1

NY-MEMBERSHIP SOLD AT \$315,000 ALL-TIME RECORD PRICE & UP \$15,000 FROM
PREVIOUS TRADE

D 5, C-12-1

NY-REPORTED SALE OF SINGLE MEMBERSHIP FOR \$515,000

D 16, C-7-2

PLANS AID FUND FOR FINANCIAL FAILURE

--1970--

AP 22, D-7-4

THINKING OF SETTING ANOTHER TRADING FLOOR FOR STOCKS ON
WEST COAST

AP 22, D-7-4 RACE

RALPH S SAUL MASTERMINDING WEST COAST FLOOR PLAN

---1970----

My 15,C-15-8

FIRST PHASE IN PLAN FOR COMPUTERIZING ODD-LOT ORDERS TO
START TODAY ON PILOT BASIS

My 31,E-9-4

NEW YORK--APPROVED PLANS TO DEVELOP AN AUTOMATED DATE-CLEAR-
IN G SYSTEM TO IMPROVE FLOW OF TRADE INFORMATION

---1971---AP15, D-13-2RACE

NY- NEWYORK AND AMERICANSTOCK EXCAHNGES TO DISCUSS MERGER

Je 9,C-9-1

CELEBRATING 50TH ANNIVERSARY OF MOVE INDOORS FROM SIDEWALKS
OF NEW YORK

----1972----

F7, C-7-6 RACE

FORMATION OF A NINE-MEMBER LISTED-COMPANY ADVISORY COMMITTEE
HAS BEEN ANNOUNCED BY ABOVE

Je 8, C-14-6

NY-ANNOUNCED APPROVAL OF PLAN TO REORGANIZE GOVERNING STRUCTURE FOR
PUBLIC REPRESENTATION

--1974--

JA 21, A-13-6 RACE

CHMN.PAULKOLTON PROPOSES SPECIAL AMEX MEMBERSHIP BE CRE-
ATED AS STEP TOWARD FUTURE CENTRAL SECURITIES MKT.

---1976----

Je 16, F-9-1

WHITEPLANES,NY-NY SUP.CT.ASKED TO FORCEEXCHANGE TO IDENTIFY
TRADING SPECIALISTS INVOLVED IN BPROBE OF TRACES INAMEX OP-
TIONS

STOCK EXCHANGE: AMERICAN

JL 10, G-3-3

1976

SE BOARD EASES TRADING BARRIER PREVENTING STOCKS FR. BEING
TRADED SIMULTANEOUSLY ON AMERICAN AND NEW YORK STOCK EXCHANGE

N14, E-3-5

AMERICAN STOCK EXCHANGE TO OFFER TRADING OF PUT AND CALL
OPTIONS ON NOTES AND BONDS. ---1977--- MY 26, H-9-4

TRENTON, NJ-NEW JERSEY PLANS TO LURE EXCHANGE TO NEW HOME ON
JERSEY CITY WATERFRONT, MAKES PROPOSAL

--1985--

JL 12, B-5-1

Said its trading will begin at 9:30 a.m. beginning S 30.

-1988-

F 12, E 1-5

NY-Will refund \$1million to customers who lost in Oct
stock crash

-1989-

O 13 E-1-1

Former politician, Johnson aide James R. Jones, 50, named
chm

-1990-

Je 20 E 1-1

Kenneth R Leibler pres of Amer Stock Exchange, will leave
his post for post at Liberty Financial Cos.

-1998

Mr 12 B 3-5

NY-Nasdaq Stock Market and American Stock Exchange talk
about merging

Mr 20 B 1-2

Nasdaq and Amex boards approve merger-Serju-Harris

Je 26 B 3-2

NY-Amex seatholders approved proposed merger between Amer-
ican Stock Exchange and Nasdaq Stock Market

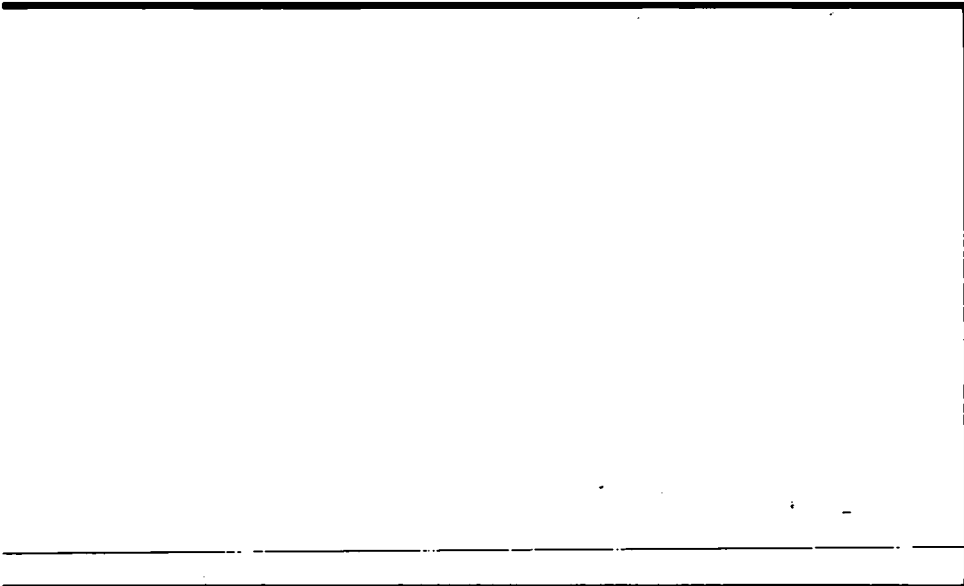
1942

STOCK EXCHANGES: AUSTRALIA

PRICES REGAIN MOST OF LOSSES SINCE SINGAPORE.

Form 1656

JE 10, 29-6



STOCKS : EXCHANGE : BILL

1947

SEC TO RESUME STUDY OF SECURITIES ACT OF 1933 & SECURITIES EXCHANGE
ACT OF 1934

-----1953-----

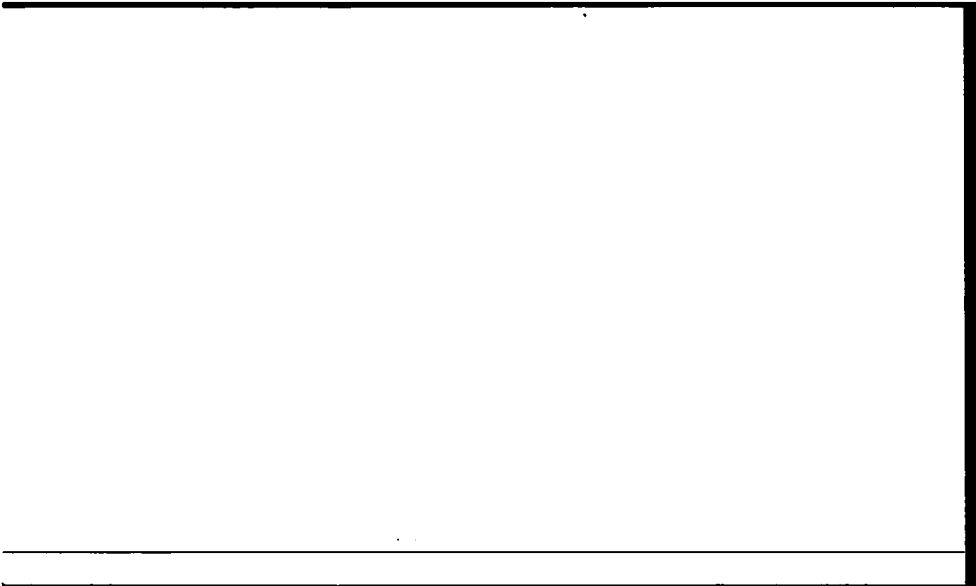
JA 17, 31-6 BS 2
N 5, 36-8 5*

SEC ACTS TO MAKE CHANGES IN ABOVE

-----1954-----

My 13, 33-2 5*

REVISED SECURITIES BILL PASSES HOUSE



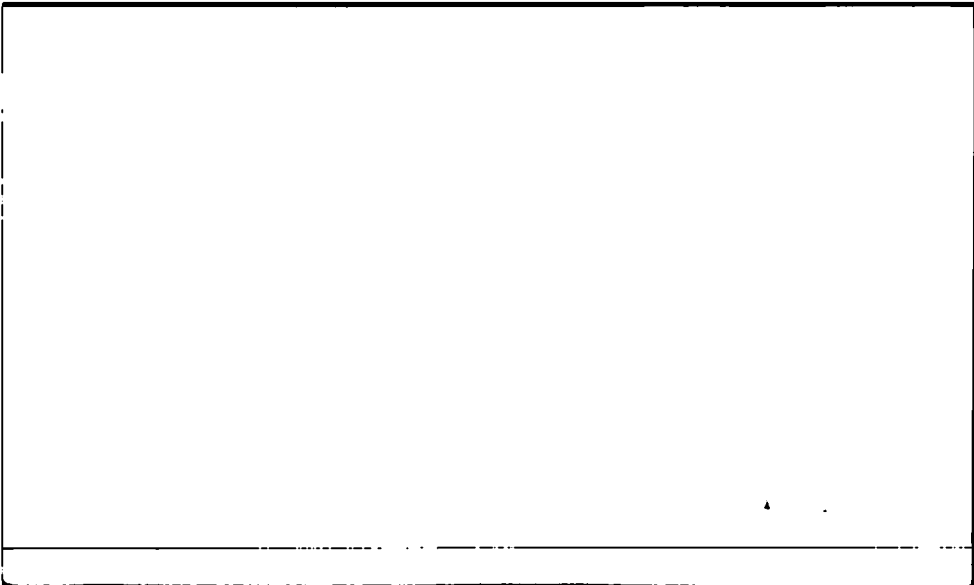
1936

STOCKS - EXCHANGES - & BUSINESS

EDIT-NO MARKET REACTION TO MAINE ELECTION

Form 1656

S 18, 24-1



STOCK: EXCHANGES: CANADA

1936

Form 1656

TORONTO & MONTREAL EXCHANGES HAVE TRANSACTIONS ABOVE NY STOCK EXC. AND NY CURB EXC. MY 14, 46-4-NITE
--1942-- F 13, 32-8

INCREASE OF RATES OR COMMISSION ON STOCK TRANSACTIONS PROPOSED
---1946--- F 18, 24-3

MONTREAL STOCK EXCHANGE & CURB MARKET CHANGES INCREASE MARGINS
---1949--- F 7, 25-2

CANA EXCHANGES TO STUDY COMMISSION RATES REVISION
--1961-- AG 21, 8-7-1

MONTREAL-TO REMOVE 16 INACTIVE MINING STOCKS FROM TRADING
---1964--- JA 13, 8-6-1

MONTREAL-GEORGE HEES APPOINTED PRESIDENT MONTREAL & CANADIAN STOCK EXCHANGES
F 4, C-4-5

TORONTO STOCK EXCHANGE DECIDED TO REIMBURSE CLIENTS IN FULL FOR APPROXIMATELY \$150,000 IN LOSSES THEY SUFFERED AS RESULT OF CLOSING OF WEST & CO. LAST NOV. 15
---1966--- JA 24, C-7-5

MONTREAL-CHARLES "NEAPOLE" NAMED PRES MONTREAL & CANADIAN STOCK EXCHANGE
---1969--- F 14, A-1-2

STOCK MARTS RIPPED BY BOMBS IN MONTREAL

-----1969-----

F18, A-16-3

WILL MULLER DISCUSSES RECENT BOMBING OF MONTREAL STOCK EXCHANGE.

---1971---

JE 11, C-15-8

CANADIAN MART BANS ALL STOP LOSS ORDERS

---1977-----JA 9, E0-1

BROKERAGE MERGE OF BAKER WEEKS AND CO. BY REYNOLDS SECURITY INC. BLOCKED BY TORONTO SUBSIDIARY.

-1987-

J1 1 C-2-4

Securities industry in Canada's primary mkt opened to foreign dealers & domestic banks, trusts & ins. companies.

STOCKS - EXCHANGES - CHICAGO BOARD OPTIONS EXCHANGE 1974

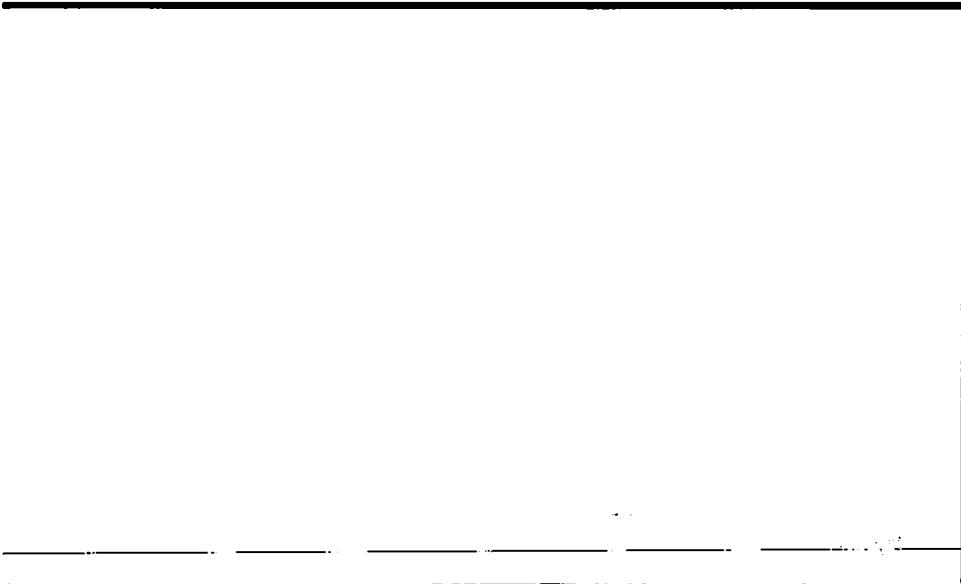
IS FAST ACTION THAT ABOUNDS IN STOCK OPTIONS - **COURTENAY**
--1975-- **JE 2, G-1-1**
JA 19, G-3-3

FORESEES CERTAIN EXPANSION IN 1975 - **WILLCOX**
---1977--- **Ag 25, D-17-5**

CHIC-WILL SOON BE ONE LESS OPTION OF CHIC. BD. OPTIONS EXCHANGE
TRADERS ORDERED TO DRESS UP -1982- **My 25, B-7-4**

DET NEWS OFFERS TABLES - **SMITH**
-1988- **F 10, C 1-5**

Chicago-to refund \$2million to 200 customers for losses in
Oct stock crash



STOCKS: EXCHANGE: DEXNOODS: CHICAGO

XXX
 XXX

1887

Form 1656

BREAK IN WHEAT CORNER STARTS PANIC; MANY RUINED

JE 14,1-1

DOZEN FINANCIAL HOUSES TOPPLE ---1893---

JE 15,1-1

PORK MARKET GOES TO PIECES

AG 1,1-1

FAILURES REACH MILLIONS

AG 2,1-1

WHEAT PIT IN A FRENCY

---1907---

MY 14,1-2

JAS. A. PATTEN CAUSES ANOTHER WILD DAY

---1909---

AP 10,1-1

PATTEN'S CORNER GETS RAP; POOR HAVE TO PAY MORE FOR BREAD

AP 13,1-7

PANDEMONIUM AS WHEAT FLOWS IN; FORCES PRICES DOWN

AP 20,1-7

SLUMP IN WHEAT COSTS PATTEN NEARLY \$1,000,000

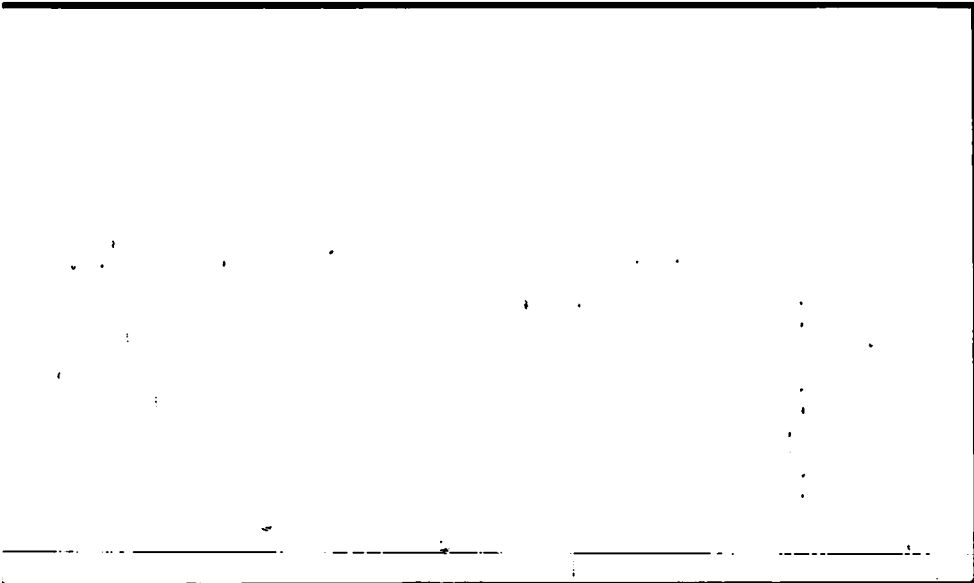
AP 21,1-6

PATTEN'S GREAT WHEAT CORNER ENDS

MY 29,1-1

THEO. H. WATERMAN, MILLIONAIRE MILLER OF ALBANY, NY, NEW WHEAT KING; CLEAR-
 ED \$800,000

O 1,1-6



STOCK: EXCHANGE: ~~XXXXXXXXXX~~ CHICAGO
~~XXXXXXXXXX CHICAGO XXXX STOCK EXCHANGE~~

1929

Form 1656

The Detroit News

Ag 25, 1-16--8

Seat sold for \$110,000

Ag 27, 41-8

Membership dividend declared
---1933---

STRENGTHENS RULES

MR 22, 19-4

JE 6, 28-5

MICHAEL J O'BRIEN ELECTED PRES, SUCCEEDING PAUL H DAVIS S 14, 33-8

ENVISION EXPANSION OF TRADING WITH NEW TAX ON TRANSFERS IN NYC

JE 10, 28-8

---1935---

REPORTS LOSS FOR FISCAL YR END AP 30, 1935

AP, 18, 8-18-1

---1936---

THADDEUS R. BENSON NOMINATED FOR PRES. OF ABOVE

MY 29, 22-5

REPORT FOR YR END APR. 30 ---1938---

JA 27, 39-5

PLANS REVISIONS OF CONSTITUTION OF

MR 24, 42-5-ISC

NEW CONSTITUTION BEFORE MEMBERS OF EXCHANGE

MR 31, 36-8

MEMBERS APPROVE RE-ORG. PLAN

MY 1, 4-16-2

CONVERTIBLE \$6.50 CUMULATIVE PREFERRED STOCK OF MINNEAPOLIS-MOLINE POW
WER IMPLEMENT CO. DROPPED FR ABOVE

---1938---

MY 6, 39-3

JE 7, 29-8

ARTHUR M. BETTS. NOMINATED FOR CHAIRMANSHIP

ARTHUR M. BETTS ELECTED. CHAIRMAN OF BD. OF GOVERNORS

---1939---

MY 31, 32-2 RACEX

APR. 30

JE 8, 34-2

REPORTS OPERATING LOSS OF \$42,228 FOR FISCAL YR ENDED

KENNETH L. WIRTH ELEC. FIRST PAID PRES.

---1940---

F 29, 41-3

JL 23, 23-7

S 21, 16-7

O 1, 25-5

O 29, 28-5

"OUTSIDE" BAN STUDIED BY EXCHANGE

APPTS. COMMITTEE TO STUDY EXPANSION PLANS

START 6 HOUR SESSION SEPT. 30

RESULTS OF LONGER DAY PLEASE ABOVE

ADDED HOUR TRADING ON ABOVE INCREASES BUSINESS

---1941---

MR 6, 36-5

PLANS TO INAUGURATE TRADING IN SECURITIES NOT LISTED THERE

S 25, 52-6

TO GO BACK ON STANDARD TIME NEXT WEEK

---1942---

JL 10, 28-5

TO ADMIT OFFICERS OF CORPORATION DEALING IN SECURITIES

---1943---

MY 24, 25-3

JE 8, 30-1 BS

NEW TRADING HOURS ANNOUNCED

HARRY M. PAYNE NAMED CHAIRMAN OF BOARD

---1945---

JE 5, 18-1

RALPH W. DAVIS ELCTD CHAIRMAN OF BD OF GOVERNORS

STOCKS: EXCHANGE: ~~XXXXXXXXXX~~ CHICAGO

1971

0 20, B-7-4

JUDGE REFUSES TO ISSUE INJUNCTION BARRING WRECKING OF OLD
STOCKEXCHANGE BLDG.

-1983-

Ag 14, A-1-2

CHICAGO-U.S TREASURY BONDS SOLD AT BOND PIT-BRASHLER

-1987-

S 3 C-2-3

Chicago-Chic. Mercantile Exchange moves toward 24-hour trading.

-1989-

Ja 20, A-1-6

DC-widespread fraud by commodity traders alleged-

Ja 24 D-3-4

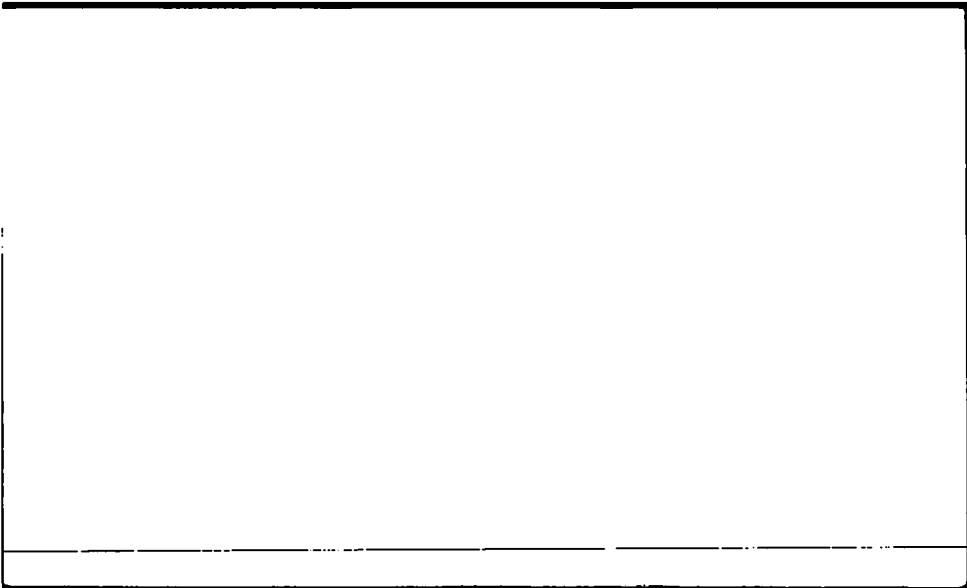
Chicago-Chicago Mercantile Exchange asked nervous traders
not to overreact to sweeping fed. investigation of fraud

Ja 27 A-12-1

chicago-U.S. probes stock trade money launderings & market-
mob ties.

Ja 30 A-3-1

PACs affiliated with exchanges donated millions to pols who
oversee regulation of exchanges.



D 28, C-14-1

PEKING-CHINA MAY GET STOCK MARKET

-1986-

S 27, A-8-1

Peking-1st stock exchange since 1949, opened for business

---1992---

Ag 9, A-6-1

Beijing-Scuffles broke off as Chinese gather to buy new shares on stock exchange in Shenzhen

Ag 11, A-2-6

Shenzhen-Trading on Shenzhen stock exchange suspended after riot to buy shares in southern boom town

Ag 12, E-6-1 D

Beijing-Stock trading suspended in southern Shenzhen, as people lined up for chance to buy stocks

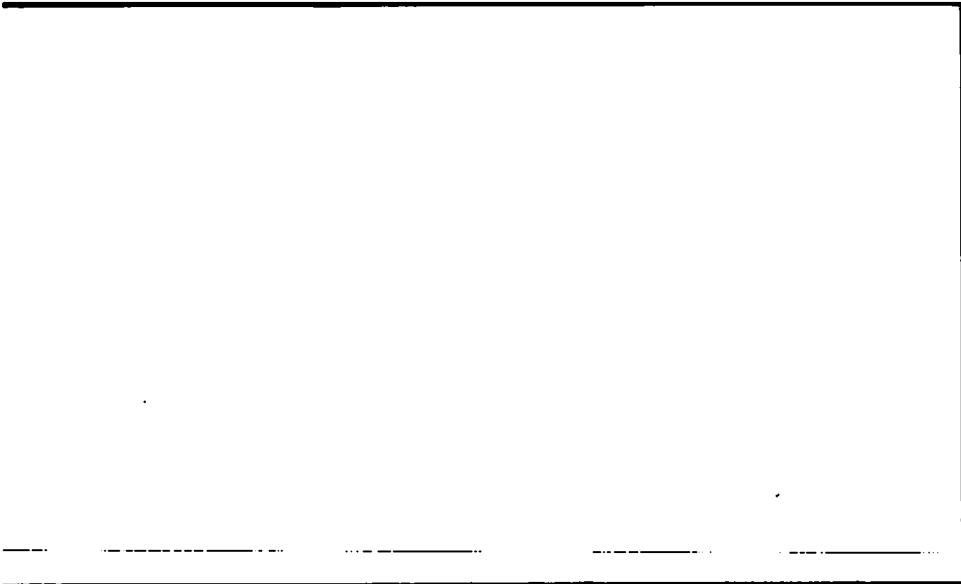
STOCKS: EXCHANGE: COMMISSION: & BUSINESS

1939

Form 1656

AP 4,7-1

SEC TO CONDUCT SURVEY OF FACTORS PREVENTING SMALL BUSINESS FR OBTAIN
ING CAPITAL- MOODY



STOCKS: EXCHANGE: COMMISSION: CHAIRMAN

1935

Form 1656

JOS. P. KENNEDY RESIGNS TO RE-ENTER BUSINESS
JAS. M. LANDIS, ROBT. E. HEALEY OR GEO. C. MATTHEWS TO SUCCEED KENNEDY

S 20, 1-3

S 21, 21-8

S 24, 2-7

JAS M LANDIS NAMED TO SUCCEED JOS B KENNEDY AS CHAIRMAN

--1939--

AP 12, 30-1

ROBT. E. HEALY NAMED ACTING CHAIRMAN

MY 8, 25-6

JEROME FRANK EXPECTED TO BE NAMED CHAIRMAN

MY 18, 39-6

JEROME N. FRANK ELECTED CHAIRMAN - -1941--

F 14, 4-6

EDWARD C. EICHER SLATED FOR JOB OF CHAIRMAN

AP 10, 41-4

EDWARD C. EICHER ELECTED CHAIRMAN OF ABOVE

---1945---

JL 1, 4-5-3

GANSON PURCELL ELECT. CHRM. FOR 4TH TERM

---1946---

JL 223, 22-8 BS 2

JAMES J. CAFFREY ELECTED CHAIRMAN ---1947---

JE 25, 30-6

JAMES J. CAFFREY RE-ELECTED CHAIRMAN

D 18, 62-4

JAMES J. CAFFREY RESIGNS AS CHAIRMAN ---1948---

MY 19, 27-5

EDMOND M HANRAHAN ELECTED CHAIRMAN ---1949---

N 4, 1-2

HARRY A MC DONALD, DET., NAMED CHRMN FED. SECURITIES & EXCHANGE COMMSN-

M. HAYDEN-

---1950---

MY 25, 10-1

HARRY MACDONALD REAPPT. CHAIRMAN BY PRES. TRUMAN

<p>---1951--- HARRY A. McDONALD, DET. TO RESIGN POST FOR OTHER OFFICE-</p>	<p>D 13, 69-6</p>
<p>---1952--- DONALD COOK NAMES AS CHAIRMAN OF SECURITIES & EXCHANGE COM. 1.</p>	<p>F 27, 28-4</p>
<p>-----1953----- CHR. DONALD C. COOK RESIGNS TODAY IKE NOMINATES RALPH H. DEMMLER CHRMN. OF ABOVE</p>	<p>F 24, 33-1 FINAL JE 17, 36-8 58</p>
<p>---1955--- J SINCLAIR ARMSTRONG NAMED CHAIRMAN OF ABOVE J SINCLAIR ARMSTRONG NAMED CHAIRMAN OF ABOVE</p>	<p>AP 16, 78-8BB MY 26, 63-3 5*</p>
<p>---1964--- WASH.-MANUEL F. COHEN APPT. CHAIRMAN BY PRES. JOHNSON</p>	<p>JL 12, 8-9-1</p>
<p>---1969--- WASH.-HAMER H BUDGE TO BE NAMED RECHAIRMAN</p>	<p>F 23, A-21-5</p>
<p>MANUEL COHEN OUSTER HINTS OF SILENCING</p>	<p>F 24, A-10-2</p>
<p>-1972- WASH.-WM J CASEY TO GET SEC TO MAKE DECISIONS ON SERIES OF KEY POLICY POSITIONS BEFORE HE LEAVED CHMNSHIP OF COMM NEXT MONTH. AGE 59.</p>	<p>D 4, B-6-5</p>
<p>-1973- SEC CHAIRMAN G BRADFORD COOK QUILTS POST OVER VESCO CASE.</p>	<p>MY 17, A-2-1</p>

STOCKS : EXCHANGE COMMISSION : CHAIRMAN

1973

JE 21, D-13-3

NY--ROBERT H MUNDHEIM, 40, LAW PROF, AASOMER, JRS, CLEVELAND
ATTY, ALLEN BRAMER, 53, NYATTY, CONSIDERED IN SEC RACE. SOBLE

JL 29, A-12-1

DC--SENATE CONFIRMS RAY GARRETT JR AS CHAIRMAN

-1981-

F 11, A-2-1

JOHN SHAD LIKELY CHIEF -1993-

Ap 28 A 4-6

New York-Arthur Levitt Jr., 62, Clinton's choice for chair
of SEC, says Wall Street Journal



Form 1656

EMMETT F CONNELLY CHARGES ABOVE WITH EVASIVENESS

MR 21, 37-8

REP. E. E. COX ASSAILS SEC ON FLOOR OF HOUSE

MR 21, 37-2-BS

AG 8, 3-3

E. A. PIERCE SAYS SEC ACT WILL STAY IN STATUTE BOOKS

S 24, 27-1

SEC TAKES ISSUE WITH CRITICS WHO CHGD. IT PLACES ARBITRARY SHOOLES ON BUSINESS

O 18, 39-5

JEROME FRANK ANSWERS CRITICS OF SEC

O 22, 31-1

EMMETT F CONNELLY CHGS. JEROME FRANK MISINTERPRETED ATTITUDE BANKERS TOWARD SEC

D 7, 16-6 NITE

PRICE, WATERHOUSE & Co. HITS "HINDSIGHT" BY SEC

---1941---

MR 7, 36-1

SEC DEFENDS STAFF IN ANSWER TO WM M MARTIN

AP 15, 28-7

REP. JAS. W. WADSWORTH PROPOSES CURB ON ABOVE TO END "REIGN OF TERROR"

AP 25, 38-2

ERRORS BY NY LAWYERS CALLED SEC TRAP ---1942---

JA 26, 20-1

AMER. COUNCIL ON PUB. AFFAIRS SAYS ABOVE HIGHLY EFFECTIVE IN PROTECTING INVESTORS

JE 25, 22-4-9-4

---1944---

FIGURES ON CORPORATION SURPLUSES CALLED WRONG

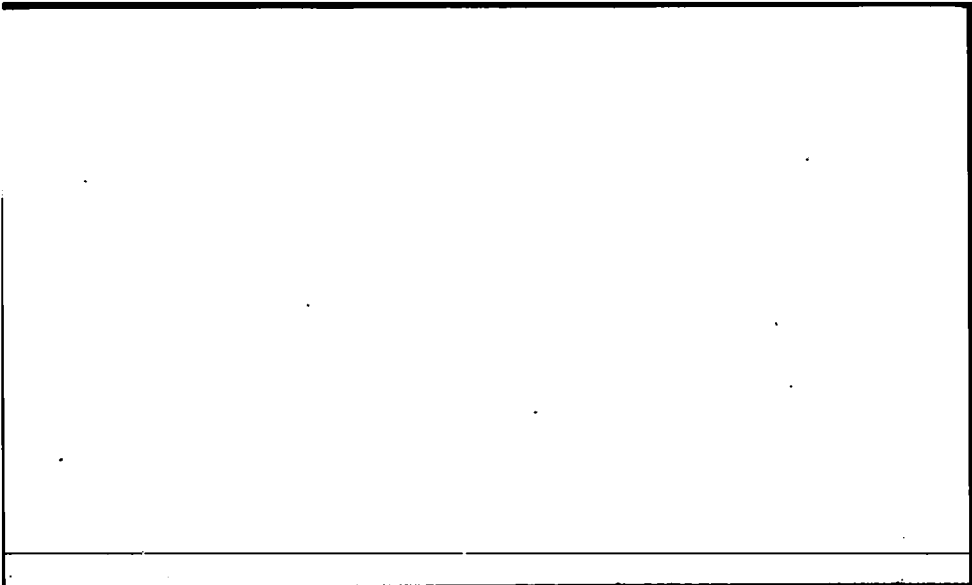
---1949---

JE 3, 45-1 BS 2

C. D. EATON DEMANDS CONG. CURB SEC BEFORE IT "WRECKS" FREE ENTERPRISE SYS

D 5, 37-1

CYRUS EATON DENOUNCES SEC AS "BUREAUCRATIC BLIGHT"

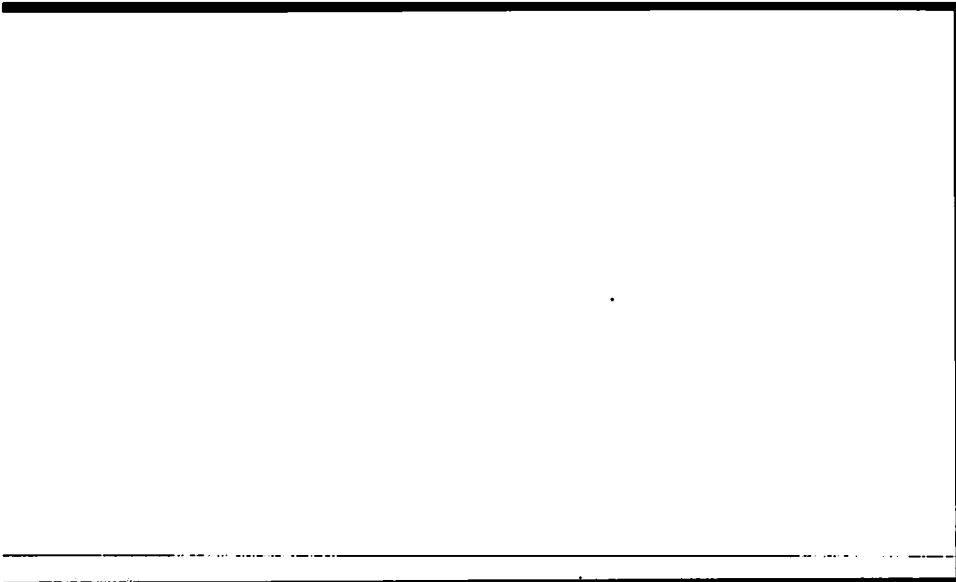


STOCKS: EXCHANGE: COMMISSION: CODE OF ETHICS

1958

JL 26, 8-8

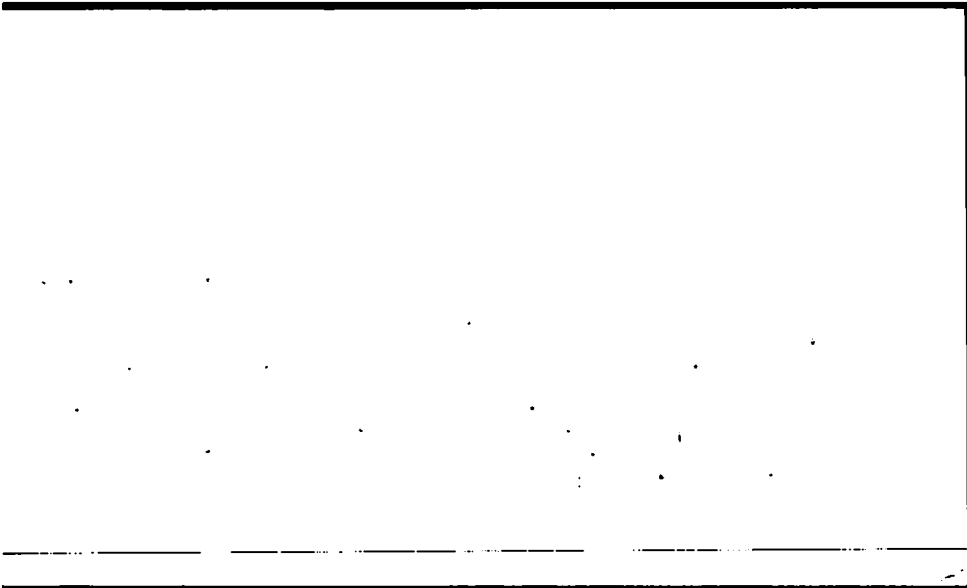
SEC ADOPTS STRONG CODE OF ETHICS



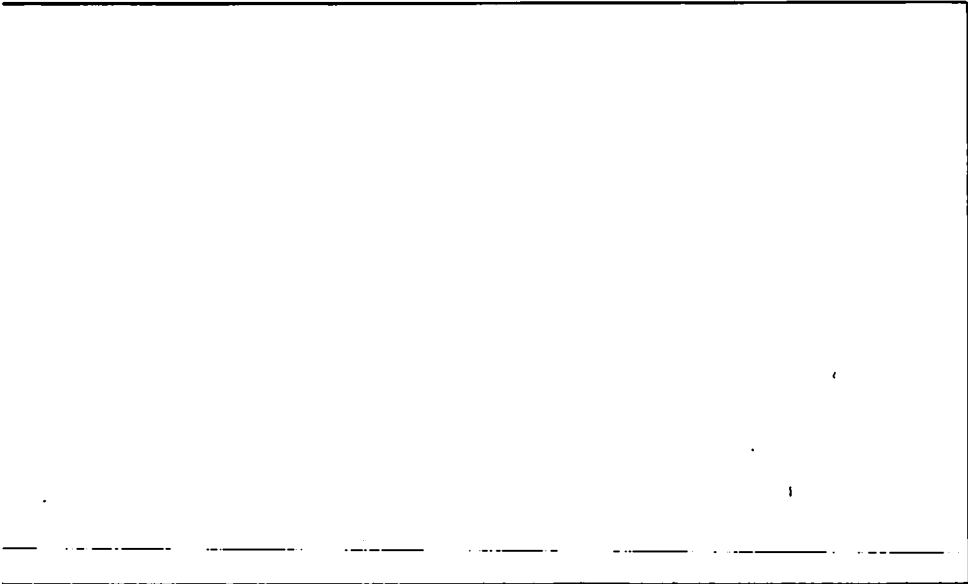
STOCK: EXCHANGE: COMMISSION: DETROIT 1938

Form 1656

SEC CONSIDERS OPENING OF REGIONAL OFFICE IN DET. J 29, 23-3
SEN. PRENTISS M. BROWN URGES SEC TO FORM DET. BRANCH AG 5, 23-6
DANIEL T. MOORE NOW IN DET. INSPECTING DET. SEC OFFICE D 20, 9-3
DAN T. MOORE, CLEVELAND, TO MEET WITH DET. MEMBERS AP 17 AP 15, 7-1
---1943--- JA 11, 20-4
EDWARD A. HEALEY, JR. NAMED HEAD OF OFFICE IN DET.
---1944--- MR 20, 15-6
IN NEW QUARTERS, 1074 FEDERAL BLDG.
---1945--- MR 31, 7-2
ED. A. HEALEY, JR. CELEBRATES 10TH ANNIV AS CHIEF OF SEC. DET.
---1966--- JA 2, 13-1
WILLIAM D. GOLDSBERRY APPT. ATTY FOR DET. BRANCH SUCCEEDING EDWARD H.
RAKOW WHO RETIRED ---1967--- AG 30, C-17-5
& SECURITIES MOVED DET OFFICES INTO NEW QUARTERS AT 230 FED. BLDG.



- Form 1656
PROBLEM FOR THE SEC; UNITED CORPORATION'S REQUEST FOR EXEMPTION FROM
HOLDING COMPANY ACT ---1936--- O 13, 1-6-2
JL 19, 1-6-2
CAMPAIGN QUESTION, SEC-HELPFUL OR HARMFUL
---1937--- AP 10, 6-1
CREDIT DUE SEC FOR KEEPING STOCK MARKETS UNDER CONTROL -N 25, 24-2
SEC'S WARNING TO NY STOCK EXCHANGE-EDIT
---1959--- O 12, A-22-2
JE 25, B-10-1
GUARDIAN OF FOOLHARDY-EDIT. --1970---
RULING ON MICHIGAN CONSOLIDATED GAS CO. DENIAL TO AID
LOW INCOME HOUSING A MYSTERY?!-EDIT
--1985-- Mr 25, A-14-1
Watching the Watchdogs.-Edit.
-1990- -- Je 8 A-10-1
SEC making thinly disguised effort to take over regulation
of Chicago commodities markets.--Edit



STOCK: EXCHANGE: COMMISSION EMPLOYEES

1936

Form 1656

AG 27,41-8-NITE

EMPLOYEES BARRED FR USING INFORMATION OF COMMISSION TO CARRY OUT TRANSACTIONS

---1938---

AG 14,1-8-7

THOS. J. LYNCH APPT. COUNSEL IN FORTHCOMING GENERAL MONOPOLY STUDY

---1939---

AP 5,31-1

SEC BARS FORMER EMPLOYEES FR TAKING PART IN ANY LITIGATION

NY 27,2-2

JD. KELLY, SEC FINANCIAL EXPERT, RESIGNS

---1941---

JE 12, 43-7

DAVID SCHENKER RESIGNS AS DIRECTOR INVESTMENT COMPANY DIV.

---1953---

F 5, 42-4

SEC EMPLOYEES TO ABIDE BY CONDUCTS RULES FOR PRESENT AND PAST COMMISSIONERS AND EMPLOYEES

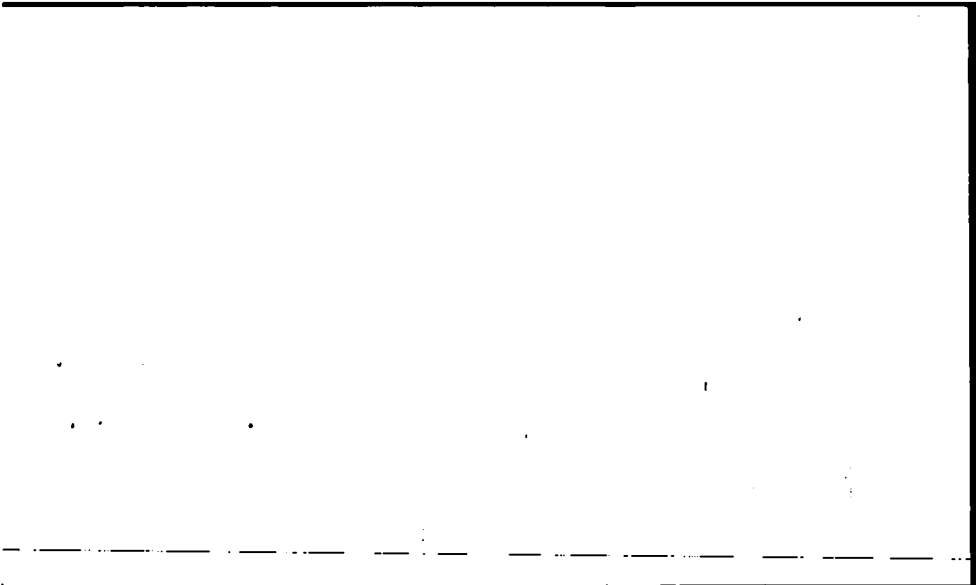
---1961---

JE 12, B-1-1

GEROME A. MOORE NAMED TRIAL ATTORNEY FOR SEC

---1976--- MY 26, B-4-1 STATE

LAW SCHOOL GRADUATES SWAMP SEC ENFORCEMENT DIVISION.



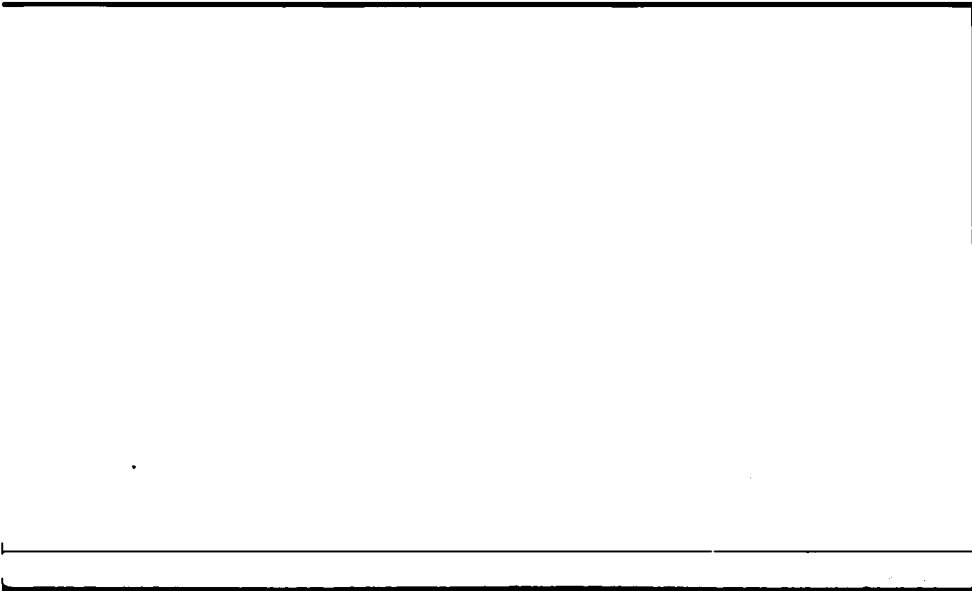
STOCK: EXCHANGE: COMMISSION EXPULSIONS

1937

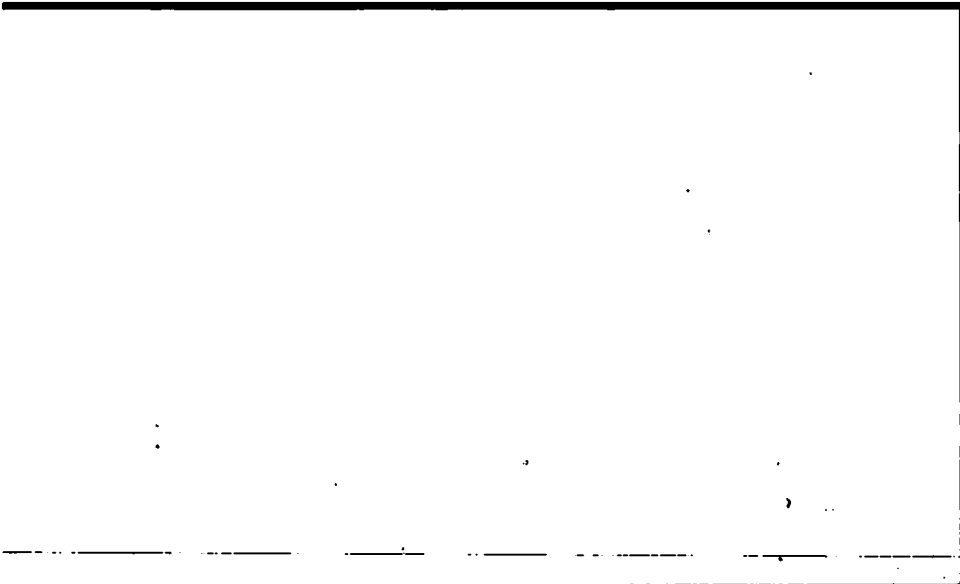
Form 1656

AG 2,1-3

MICHAEL J. NEEHAN EXPELLED FR 3 EXCHANGES FOR MANIPULATION OF STOCK



COST OF SEC SET AT \$2 MILLION - ^{Form 1656} ~~GRIDLEY~~ ^{0 18,39-8}
~~---~~ ⁻⁻⁻ ~~1943~~ ⁻⁻⁻ ~~---~~ ^{F 9, 24-6 BSMO}
 HOUSE COMM. VOTES REDUCTION OF MORE THAN 16% IN 1944 APPROPRIATION
 FOR COMSN. ⁻⁻⁻ ~~---~~ ⁻⁻⁻ ~~---~~ ^{MY 14, 22-1}
 SEC FURLOUGHS EMPLOYEES 1 DAY WITHOUT PAY DUE TO FUNDS SHORTAGE
⁻⁻⁻ ~~---~~ ⁻⁻⁻ ~~---~~ ^{AP 12, 1-4-3}
 BECAUSE OF CUT IN SEC BUDGET SOME PEOPLE WILL BE DEFRAUDED BY PHONY
 SECURITIES IT IS FEARED



STOCK: EXCHANGE: COMMISSION: HEARINGS

1937

Form 1656

AP 25,1-3-1

PRESENT HEARING ON MANIPULATION CHARGES VE W.E. HUTTON & CO. IN ATLAS
TACK CORP. STOCK DEALS, REVEALS WALL STREET'S GOLDEN ERA METHODS HAVE
ENDED-MOODY

MY 9,1-1-5

E.F. HENDERSON TELLS HOW FIRM OF HENDERSON BROS. RAISED \$80,000 TO 30
MILLION IN 3 YEARS

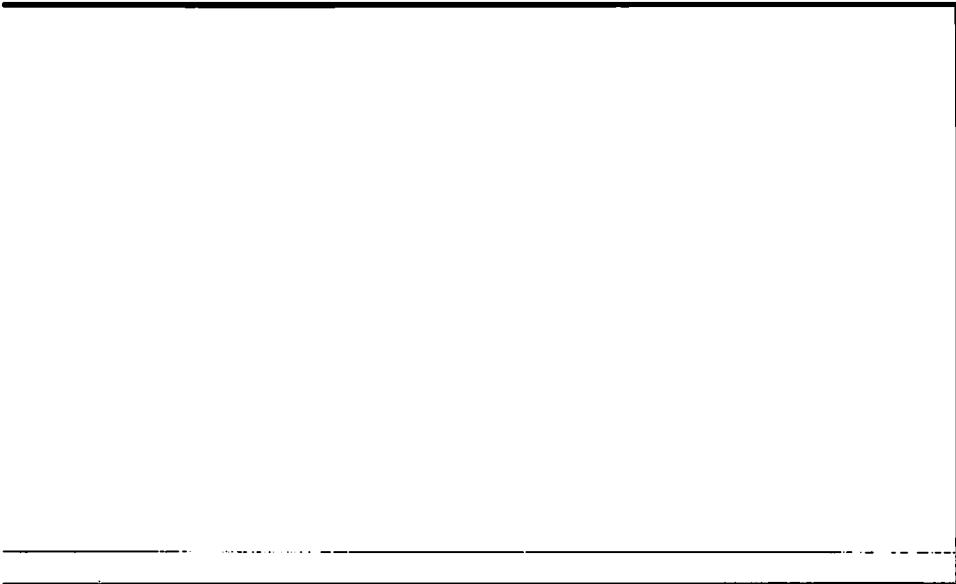


STOCKS - EXCHANGE COMMISSION - HISTORY

1959

TO MARK 25TH BIRTHDAY-ABEL

0 11, 0-11-1



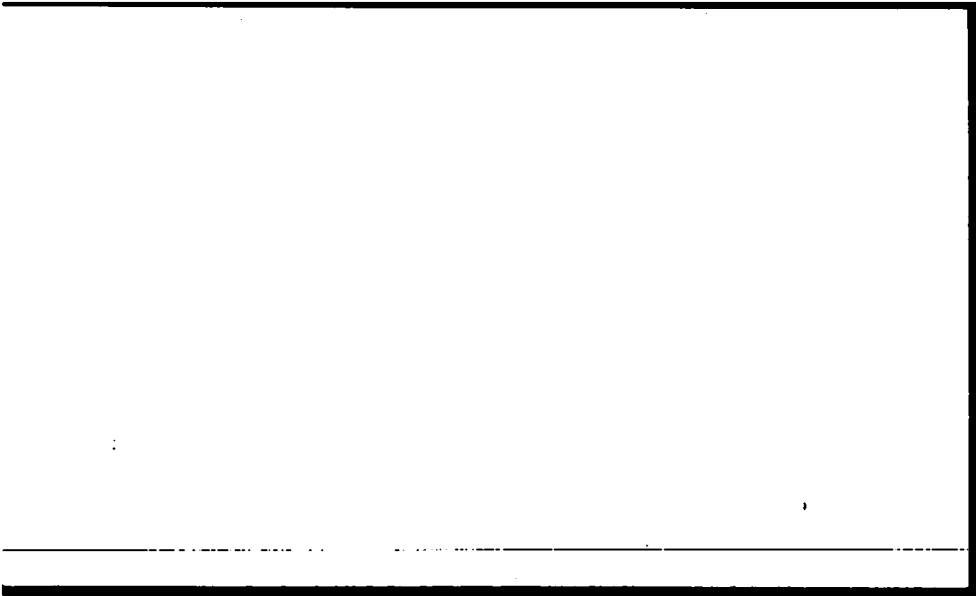
Form 1656

N 27,2-1

EXPANDED LEGAL STAFF DIRECTS COURT FIGHT OVER CONSTITUTIONALITY OF
UTILITY HOLDING COMPANY ACT ---1938---

MY 11,9-5

14 UTILITIES AGREE WITH SEC TO WORK OUT METHODS OF COMPLIANCE WITH
DEATH SENTENCE CLAUSE OF UTILITIES HOLDING Co. ACT



Form 1656

AP 11, 3-8

SEC QUIZZING DET. BROKERS ON MISREPRESENTATION OF STOCKS -- AP 12, 1-1-2
 3 ACCOUNTANTS ADDED TO SEC BROKER INQUIRY IN DET JE 26, 41-4
 SEC INQUIRY WORK RUSHED --1937-- JE 2, 6-3

SEC CHARGES FRAUD METHODS TO 2 DET. FIRMS, QUIZ OPENS O 16, 4-1
 FLORIDA CORPORATIONS FIGHT RIGHT OF SEC TO SUBPENA TELEGRAMS

O 16, 1-6

COURT RULES AGAINST 3 FLORIDA COMPANIES IN THEIR ATTP. TO BAR SEC FROM
 ATTEMPT TO SUBPENA TELEGRAMS --1940-- MR 26, 25-3

INVESTIGATION OF SEC PROPOSED IN HOUSE AP 25, 52-6
 WOMEN INVESTORS IN AMER. ASK INVESTIGATION OF SEC --1951-- AG 23, 1-3

HOUSE COMMITTEE REPORTED READY FOR PROBE

--1952--

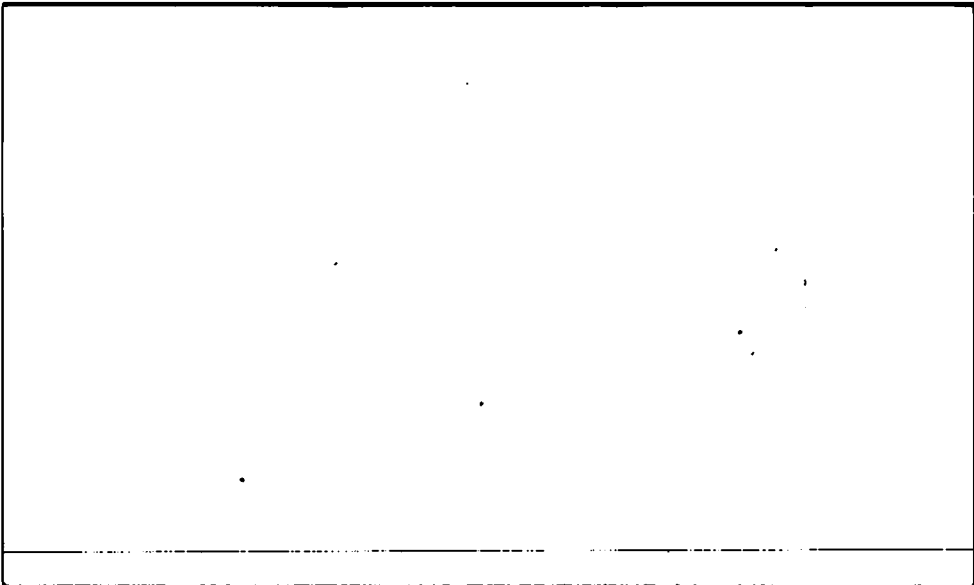
JA 12, 3-3

PRESTON TUCKER TO TESTIFY AT SEC HEARING IN WASH JA 14, 28-7
 HOUSE GRP SPEEDS INQUIRY ON ABOVE & HARRY McDONALD JA 27, 1-1-4
 HARRY A. McDONALD UPHOLDS SEC IN CONG QUIZ

-----1958-----

JA 9, 18-1

TO FACE SENATE INQUIRY--TER HORST

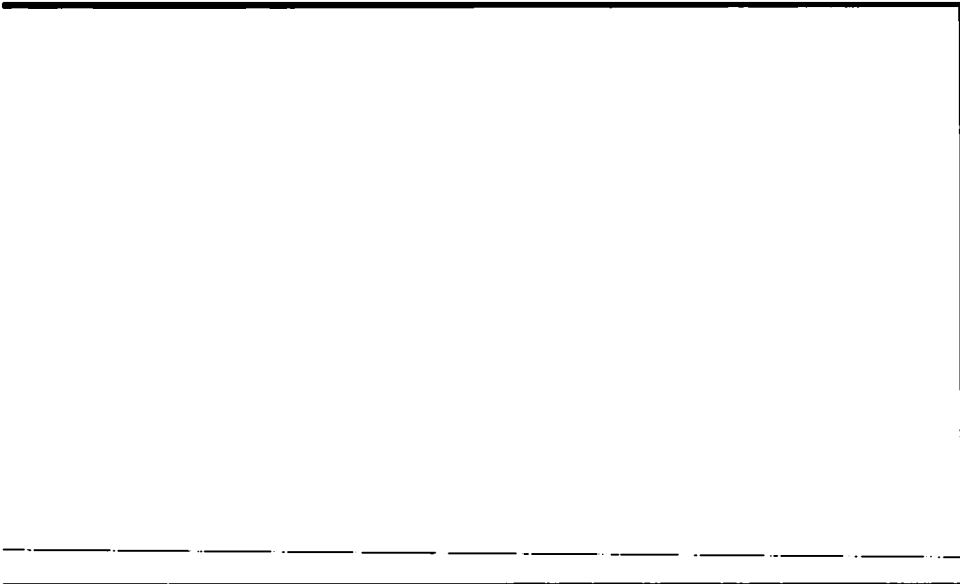


STOCKS - EXCHANGE COMMISSION - INQUIRY...

1961

My 17, C-16-8

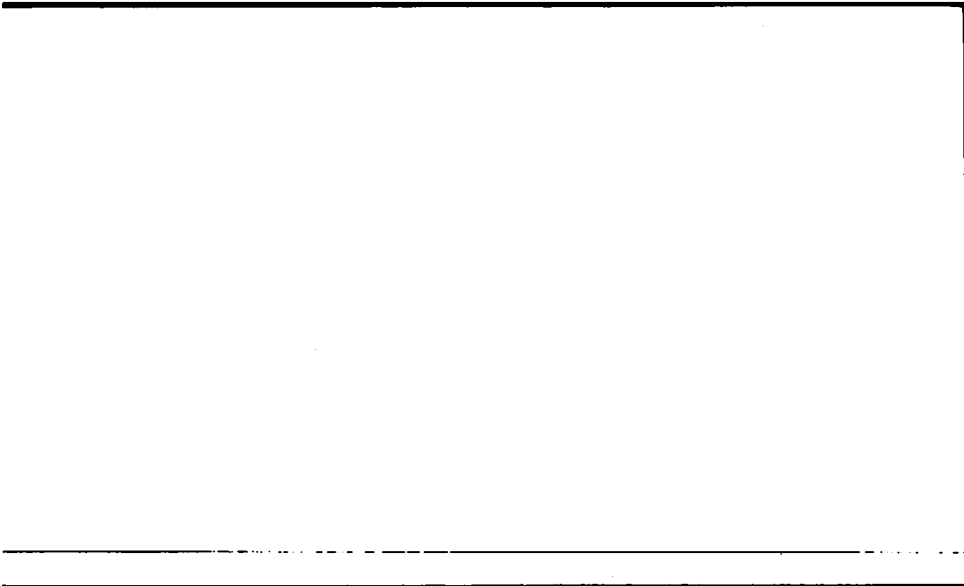
CHRMN MACK JR PLANS GENERAL SURVEY ABOVE



STOCKS : EXCHANGE COMMISSION : LAWS

1940

	Form 1656	JL 26, 24-1
OFFICIALS OF ABOVE TO DISCUSS CHANGES IN SECURITIES LAW	---	
	1942---	JE 19, 38-1
HOUSE ICC WON'T ACT THIS YEAR ON REVISIONS OF LAWS	---	
	1949---	MR 20, 1-1-1
SEN. FERGUSON ASKS REVISION OF LAW - H. S. HAYDEN	---	
	1954---	AG 11, 31-7-5*
BILL TO PERMIT NEWSPAPER ADVERTISING OF CAPITAL STOCK AND SECURITIES		



Form 1656

AG 10,17-1

OKLAHOMA OIL ROYALTIES DEALERS SUE TO TEST CONSTITUTIONALITY OF COMM.

---1936---

MR 9,32-1-BLSR

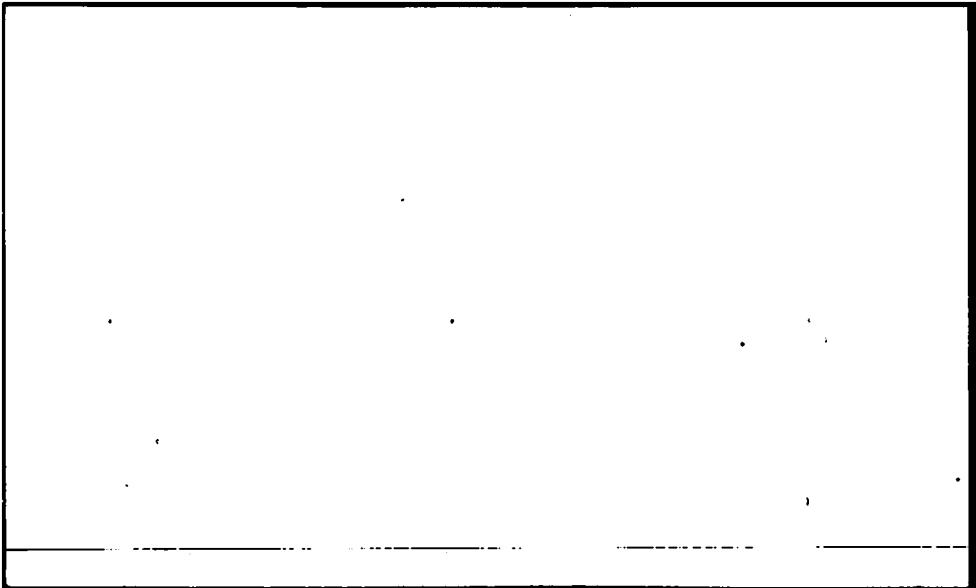
SUPREME CT. ARGUMENTS ON CONSTITUTIONALTY DUE MAR. 10

---1937---

MY 17,32-8

ATLAS TACK CORP. CASE TESTS LEGALITY OF SEC - MOODY 0 1,45-5

COURT RULES THAT CORPORATIONS HAVE RIGHT TO SEEK COURT AID TO PREVENT
DISCLOSURE BY SEC OF INFORMATION REGARDING THEIR ORG. - 0 19,1-4-BLSTFRANK J. RYAN & RYAN FLORIDA CORP. TO PETITION US SUPREME CT. FOR REHEAR-
ING VS SEC



Form 1656

JA 12,9-4

JAS.M.LANDIS TO LEAVE CHAIRMANSHIP OF FEDERAL SECURITIES EXCHANGE COMM.
 ISSION FOR POST AS DEAN OF HARVARD LAW SCHOOL MY 28,4-15-5

JAS.M.LANDIS EXPECTED TO EXTEND HIS RESIGNATION 3 MONTHS IN ORDER TO
 CLEAN UP BUSINESS D 11,22-1

JOHN W.BANES & JEROME FRANK APPT.BY PRES.ROOSEVELT

---1938---

N 29,2-2-BLST

EDWARD EICHER NAMED MEMBER BY PRES.ROOSEVELT

---1939---

AP 5, 12-6 CITY

LEON HENDERSON EXPECTED TO HEAD ABOVE

AP 24,1-7-BLST

LEON HENDERSON APPT.MEMBER BY PRES.ROOSEVELT

MY 4,4-5-BLST

LEON HENDERSON CONFIRMED AS MEMBER BY SENATE

MY 17,33-5-BLST

LEON HENDERSON NOMINATED TO FULL TERM ON SEC

MY 28,1-4-1

SENATE AGAIN CONFIRMS LEON HENDERSON TO SEC POST, FULL TERM

O 7,19-3

EDWARD JONES' \$1,000,000 SUIT VS SEC MEMBERS DISMISSED

--1940--

MR 26,24-7

GEO.C.MATHEWS RESIGNS FR SEC

AP 8,24-8

H.W.PRENTIS, JR. URGES. PRES.ROOSEVELT TO APPT.MAN OF EXPERIENCE IN FINA

MY 22, 34-8 SEC

AGING & BUSINESS TO SEC

ROOSEVELT NOMS. SUMNER T PIKE AS MEMBER OF SEC

-----1941-----
 GANSON PURCELL OF N.Y. MADE MEMBER
 LEON HENDERSON RESIGNS TO CONCENTRATE ON PRICE ADMIN
 EDMUND BURKE, JR. APPT. TO ABOVE BY PRES. ROOSEVELT
 -----1942-----
 ROBT. E. HEALY OFFERS HIS RESIGNATION
 GANSON PURCELL ELECTED CHAIRMAN
 ROBT. H. O'BRIEN APPT. MEMBER BY ROOSEVELT
 -----1943-----
 SEN. CONFIRMS NOMINATION OF JAS. J. CAFFEY AS MEMBER
 -----1946-----
 RICHARD B MCENTIRE TO BE APTD TO FED SECURITIES COMMSN-
 REPORTED RESIGNATION OF GANSON PURCELL WAS ERROR, PRES. TRUMAN WITHDRA-
 WS APPT. OF E. M. HANRAHAN AS MEMBER
 -----1947-----
 HARRY A. McDONALD NOMINATED AS MEMBER BY PRES. TRUMAN
 -----1948-----
 REP BUSBEY INTRO BILL TO RAISE SALARIES TO \$15,000 A YR
 EDWARD I. MCCORMICK APPT. MEMBER BY PRES. TRUMAN
 -----1953-----
 J. HOWARD ROSSBACH RESIGNS FR M ABOVE -----1955-----
 ANDREW ORRICK NOMINATED MEMBER OF ABOVE IS APPROVED BY
 DEMS BLAST APPT OF HAROLD C PATTERSON TO ABOVE

MY 29, 22-4 CM
 JL 10, 20-1
 JL 11, 27-7

JA 15, 41-4 BS
 JA 21, 26-6
 JA 22, 39-8 BS

AP 26, 38-4

AP 15, 20-5
 JE 1, 15-5

MR 7, 31-5 FINAL

MY 7, 49-1

N 2, 50-1 BS 2

F 13, 18-4

MY 25, 22-1
 SENATE MY 24TH
 JL 28, 8-1

STOCK: EXCHANGE: COMMISSION: MEMBERS

1958

PRES. IKE NOM. 'AS. SARGENT TO BE MEMBER OF ABOVE

JE 5, 9-1 1H

RES. IKE NOM. ANDREW ORRICK FOR NEW 5 YR TERM AS SEC MEMBER

---1957---

MY 28, 22-5

SENATE CONFIRMS EDWARD N. GADSBY NOM. FOR 5 YR. TERM.

---1958---

JE 6, 56-7

AUGUSTA, GA. - EARL F. HASTINGS RE-APP'T

---1959---

AP 17, 12-6

ANDREW D. ORRICK RESIGNS AS MEMBER

---1960---

JL 11, B-5-3

O 14, B-10-7

JAMES SARGENT RESIGNS AS SECURITIES AND EXCHANGE COMMISSION MEMBER

---1961---

F 3, A-1-8 LF

RES. KENNEDY NAMES WM. L. CARY TO BE CHAIRMAN & SEN. J. ALLEN FREAR A MEMBER OF SEC

F 5, A-7-1

SENATE J. ALLEN FREAR & WM. L. CARY NAMED TO SEC

JL 19, C-18-8

NORTH ADAMS, MASS. - EDW. N. GADSBY, MEMBER OF SEC RESIGNS

AG 28, R-6-5 FIN 5

EARL HASTINGS, SEC COMMISSIONER, TO RESIGN EFFECTIVE

AG 31ST

MANUEL COHEN SALED FOR APP'T TO ABOVE

S 20, A-10-4

JACK MELVILLE WHITNEY II NOM. TO BE MEMBER OF ABOVE

S 21, C-12-5

---1967---

Mr 15, A-6-4

RICHARD B SMITH TO BE NEXT MEMBER OF SEC

--1969--

S 3, C-18-4

SAN CLEMENTE, CALIF- PRS. NIXON PLANNING TO APPT. A. SYDNEY HERLONG TO ABOVE-

---1971---

JA 27, B-7-4

BIG BOARD OPENS DOOR TO INSTITUTIONAL MEMBERSHIP

-1977-

Mr 31, C-7-1

CARTER TO NOM HAROLD M WILLIAMS TO HEAD SEC

-1978-

N 27, A-12-5

ROBERTA KARMEL, 41, IS FIRST WOMAN APPOINTED TO SEC

-1984-

AP 19, A-7-2

DC-AULANA PETERS, 42 NAMED TO SUCCEED BEVIS LONGSTRETH.

-1985-

S. 15, D-2-3

DC-Joseph Grundfest 34 named to commsn.

-1989-

My 19 E-1-1

SEC. chief David Ruder resigns.

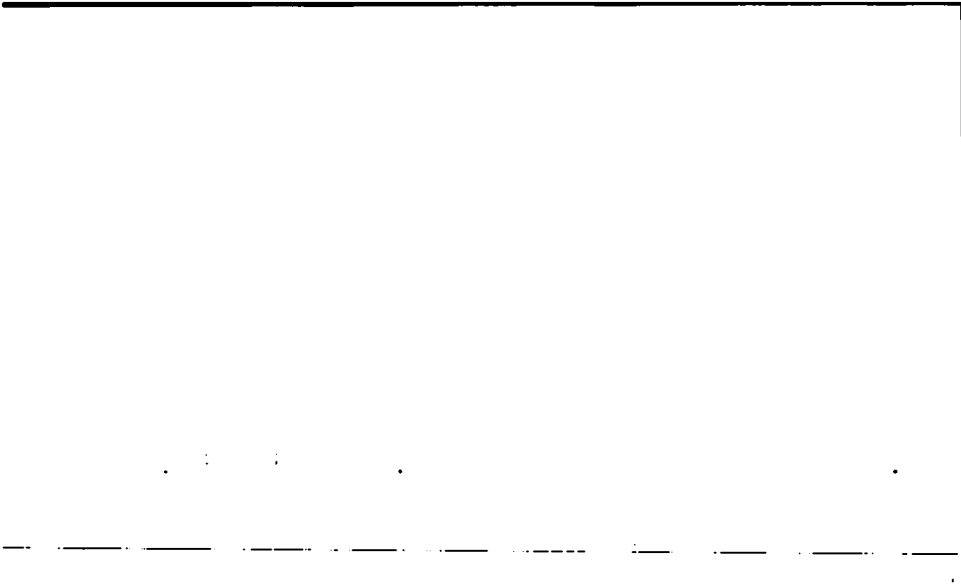
STOCK: EXCHANGE: COMMISSION: MICHIGAN

1937

Form 1656

F 28,5-24-3

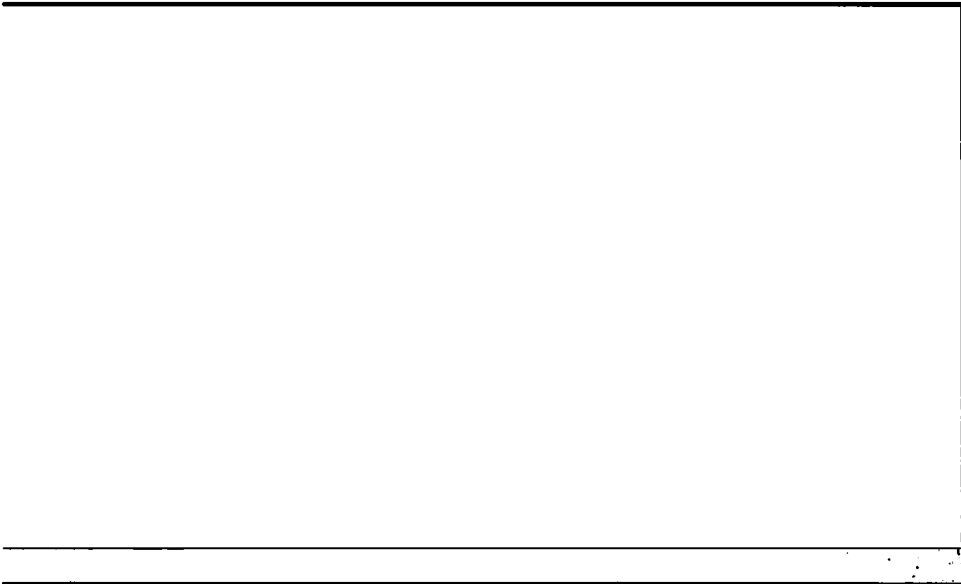
FREDERICK J. RIKER & HAROLD N. SADDLEMIRE, OFFICIALS OF OZARK CORP.,
CHARGED WITH VIOLATION OF SEC LAW



STOCKS: COMMISSION: EXCHANGE: OFFICIALS: INQUIRY

1956

F 13, 30-4
SEN. FULBRIGHT SAYS THAT TWO FORMER SEC. & EXCHANGE COMMSN. OFFICIALS ARE
BEING INVESTIGATED FOR POSSIBLE CONFLICT OF INTEREST WHILE THEY WERE
IN THE GOV'T



STOCK: EXCHANGE: COMMISSION: OFFICERS

1937

Form 1656

JL 1, 20-5-MAIL
S 22, 1-2

JAS. N. LANDIS RE-ELECTED CHAIRMAN
WM. O. DOUGLAS APPT. CHAIRMAN OF SEC

--1940--

F 14, 27-8

WM. P. MALONEY APPT. SENIOR TRIAL COUNSEL FOR SEC-1942--0 16, 37-7
CHAS. J. ODENWELLER, JR. APPT. REGIONAL ADMINISTRATOR

---1946---

N 21, 52-3

MORTON E. YOHALEM NAMED SUCCESSOR OF MILTON H. COHEN AS DIRECTOR PUB. UT-
ILITIES DIV. ---1947---

O 11, 19-8

JULIAN M. MEER RESIGNS MEMBER CORP. & FINANCE DIV.
SAME

O 12, 4-15-3

-----1952-----

AG 29, 20-6

WILBER H. MACK RESIGNS FROM ABOVE

-----1953-----

O 2, 40-4

WM. H. TIMBERS APP'T COUNSEL OF ABOVE

-----1955-----

JE 15, 38-6

ABOVE PROMOTED THOS. MEEKER TO ASSOC. GENR'L COUNSEL

-----1960-----

D 15, D-12-5

PAUL WINDELS JR. RESIGNS FROM ABOVE ---1963---

JA 14, A-8-4

SEC TO CLAMP DOWN ON INFORMAL & UNDISCLOSED CONTACTS BTWN SEC OFFICIAL
& THOSE THEY ARE SUPPOSEDLY REGULATING COHEN

---1963---

O 2, C-22-8 FIN 5

J.ALLEN FREAR RESIGNS FROM SEC BECAUSE OF ILL HEALTH

--1974--

N 25, F-11-4

WM.D.GOLDSBERRY, APPTD. ADMIN. OF SEC CHICAGO OFFICE

-1989-

D 27, A-3-1

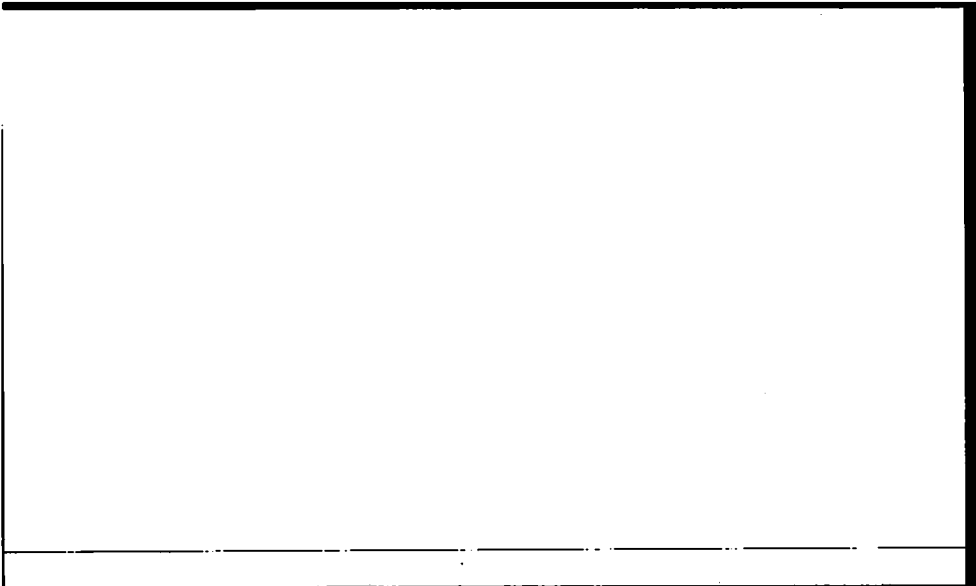
Wm McLucas named to post.

STOCKS : EX CHANGE COMMISSION - & PENSIONS

1949

SEC CONCERNED BY PENSION COST

. D 8, 70-1

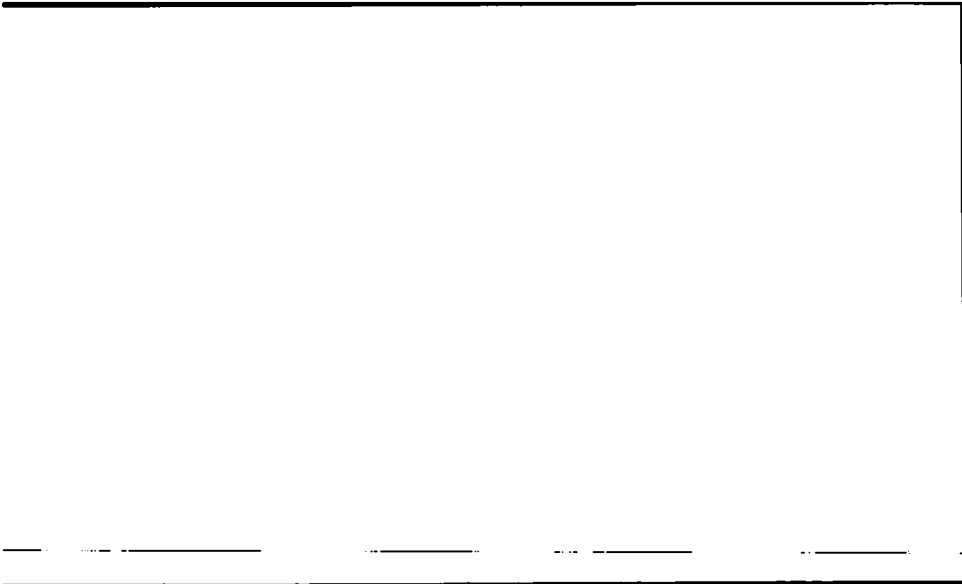


1963

STOCKS: EXCHANGE: COMMISSION: POLICING UNIT

JE 12, C-12-5

CHIC-TO SET UP PERMANENT POLICING UNIT TO KEEP CONSTANT CHECK ON NATION'S SECURITIES MARKETS



STOCK: EXCHANGE COMMISSION: POWERS 1938

Form 1656

JL 1,25-6

US 9TH CIRCUIT CT.OF APPEALS UPHOLDS SUBPENA POWER OF SEC

--- 1959--- J 4, 52-7

SEC ASKS CONG.FOR OK TO REGULATE SOME FIRMS CURRENTLY REGULATED BY
ICC

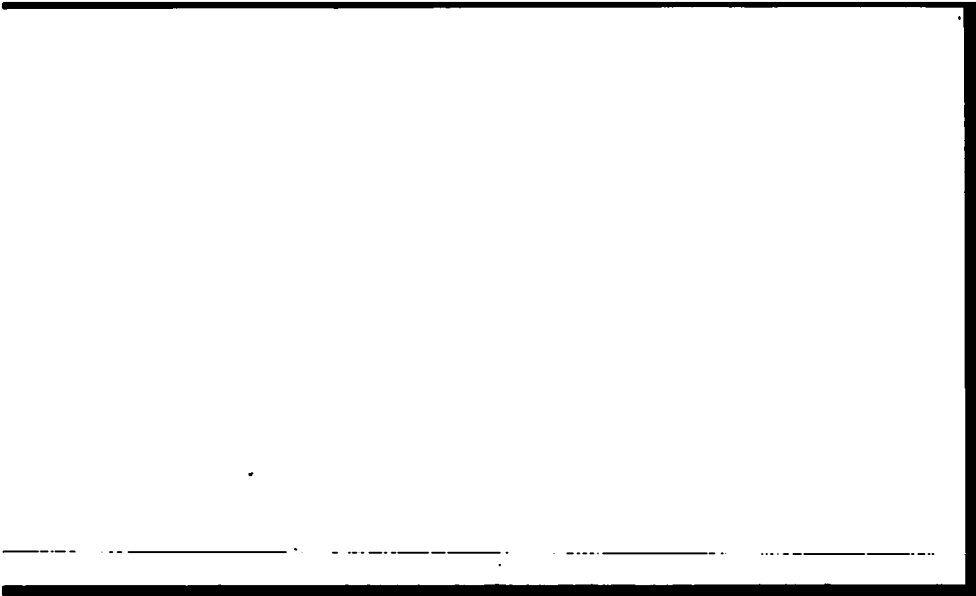
P---1971----

D29, D-14-4

SEC ASKS BROADER POWERS IN CONTROL OVER SECURITIES.

----1972-- - JA24, C-8-2 RACE

WHY SEC SEEKS TO WIDEN ITS POWER OVER MARKET---CUNIFF



STOCK: EXCHANGE: COMMISSION: REGULATIONS

SEE - STOCK: EXCHANGE: COMMISSION: RULES & REGULATIONS

STOCKS : EXCHANGE : COMMISSION : REORGANIZATION

1942

SEC OPPOSES INVESTMENT BANKERS PROPOSAL FOR REORGANIZATION

Form 1656

JA 21, 26-5

AG 20, 39-4

REDUCES STAFF BY ABOLITION OF 4 DIVISIONS

--1961--

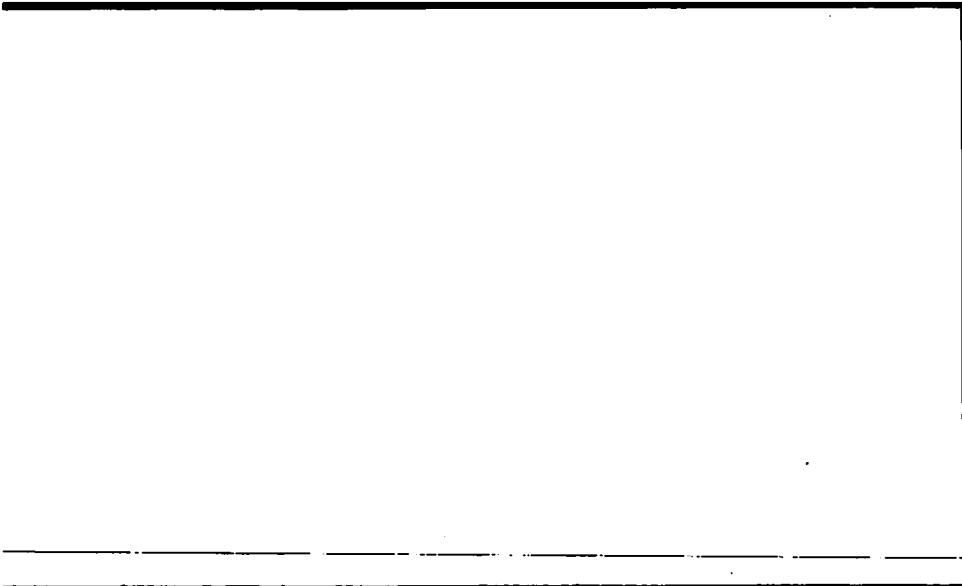
JE 22, D-12-3 MAIL

SEN. VETOED PRES. KENNEDY'S REFORM PLAN

---1965---

JA 7, B-7-3

PRES. JOHNSON CONSIDERING ABOLISHING SEC & MAKING IT A NEW
AGENCY UNDER A SINGLE DIRECTOR-KIKER



STOCK : EXCHANGE: COMMISSION^W REPORT: EDITORIALS 1966

D 6, B-10-1

THE SEC MUTUAL FUND REPORT-WATCHDOG SOUNDS ALARM-EDIT



Form 1656

SEC OUTLINES TRUSTEE CODE JE 19,41-8
 SEC REPORTS BIG HOLDINGS J 17,32-1-CM
 REPORT ON JULY STOCK SALES S 21,29-4
 7,300 SHARES OF SS KRESGE COMMON STOCK SOLD; ALFRED P SLOAN JR SELLS O 1,48-3
 6,436 SHARE OF GM COMMON ---1937--- MR 3,34-8
 SEC DISCLOSES JANUARY SALES. ---1938--- MR 6,4-14-5
 SEC REPORTS MORE BIG STOCK DEALS AG 16,23-8
 SEC REVEALS COST OF FINANCING FOR CORPORATIONS LAST YEAR ---1944--- F 1,21-2
 ANNUAL REPORT DELIVERED TO CONGRESS; 1ST NO STOP ORDER YEAR. ---1950--- MR 7,32-5
 OFFERINGS DROPPED TO \$5,333,362,000 IN 12 MOS. ENDED JE 30, ABOVE REPORTS ---1956--- MR 15,75-5
 SURVEY SHOWS BUSINESS PLANS TO SPEND \$6 BILLION ON THE '56 BOOM ---1959--- D 10, C-18-4
 QUARTERLY REPORT FORECASTS FACTORIES WILL EXPAND, BOOST JOBS NEXT YEAR ---1963--- MR 5,8-10-8
 EDWIN D. ETHERINGTO, PRES OF AMERICAN STOCK EXCHANGE SAID REPORT TO GO TO CONGRESS IN FEW WEEKS WOULD POSE NO THREAT TO INDUSTRY'S ROLE OF SELF-REGULATION-MADE 2 YR STUDY OF SECURITIES INDUSTRY

--1963--

MR 21, C-8-5

NY-ABOVE COMMSNR MANUEL COHEN SAID FITTERS ABOUT FORTHCOMING REPORT OF ABOVE SEC ARE UNFOUNDED

MR 31, A-3-1

TO FILE PART OF ITS REPORT WITH CONGRESS AP 3 ON BEHAVIOR OF STOCK MARKET

AP 3, A-1-8 LF

SEC TELLS OF "GRAVE ABUSES" THAT HAVE POCKMARKED SALE OF STOCK TO UNSUSPECTING INVESTORS

AP 4, C-14-1

'ABUSES' IN STOCK SALES HIT AS US ASKS TIGHTER CURBS

AP 4, C-18-8

WALL STREETERS CAUTIOUS IN INITIAL APPRAISAL OF SEC REPORT CALLING FOR 6 PIECES OF LEGISLATION TO PROTECT PUBLIC

JL 17, A-1-7 LF

SEC SECRETIVE ON REPORT OF THE STOCK MARKET

JL 17, A-1-8 LF

WASH-IN ESTIGATOR S URGED SWEEPING CHANGES IN OPERATIONS OF AMERICAN STOCK MARKETS

JL 18, A-1-8

SUBMITTED 17 MONTH STUDY REPORT TO CONGRESS JL17-DET BROKERS STUNNED & DISMAYED AT THE REPORT ON STOCK MARKETS-SMITH

JL 18, C-14-5

DET STOCK EXCHANGE EMERGED UNSCRATHGED FROM JL 17 BLISTERING REPORT ON STOCK MARKETS

AG 9, B-7-6

SPECIAL COMMITTEE OF SEC URGES STRICKER CONTROLS OVER SCHEDULES & SALES TACTICS

AG 16, B-7-4

SEC SAID CLOSE ANALYSIS ON 6,400 PAGE REPORT ON STOCK TRADING TO BE TAKEN BEFORE THEY MOVE ON RECOMMENDATIONS-COOPER

---1966---

N 4, C-17-5

SEC REPORT EXPECTED TO SHOW SHAKE UP OF MUTUAL FUNDS-RITTER

STOCKS - EXCHANGE COMMISSION - REPORTS

1966

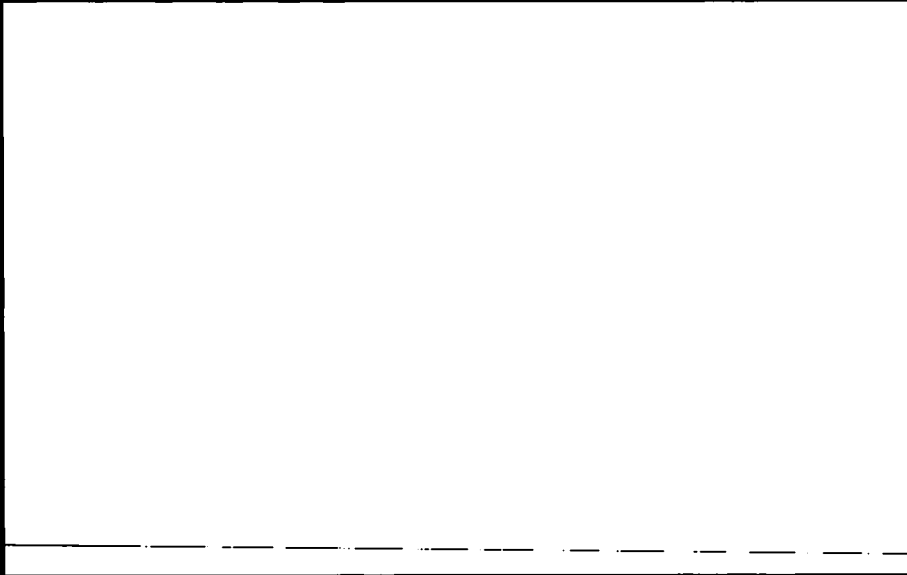
SEC RECOMMENDATIONS ON MUTUALS MAY UNITE SECURITIES BUSINESS-
D 10, A-8-1
D 10, A-8-4

TEXT OF REPORT ON MUTUALS IS BIG SELLE?

---1970--

029,C-16-6RAC

WASH- SEC MUST FILE QUARTERLY DATE AFTER 70'



STOCK: EXCHANGE: COMMISSION: RULES & REGULATIONS

1934

1656
COMM MAILED REGULATIONS; APPLICATIONS TO BE SENT IN BY ^{AG 13, 25-2} S 15
^{AG 14, 29-1}
REGULATIONS FOR REGISTRATION OF EXCHANGES & LISTED ISSUES OUTLINED
^{N 23, 40-5}
PLANS RULE CHANGES; MAY EASE REGISTRATION REQUIREMENTS & PLACE CHE
ON SPECIALISTS ^{—1935—} JA 14, 29-8
NEW RULES MADE TO SPEED BUSINESS EXPANSION JE 29, 18-1
EASES REQUIREMENTS ON SECURITY ADVERTISING S 25, 32-1
NEW RULE AIDS MINORITY STOCKHOLDERS IN PROXY BATTLES
^{D 20, 51-8}
ISSUES RULES TO CORPORATIONS; ANNOUNCES FORM FOR ANNUAL REPORTS
^{D 31, 21-8}
OVER-COUNTER SETUP READY; SEC READY TO REGULATE UNLISTED BUSINESS
^{—1936—} MR 11, 29-4
SEC ORDERS INVESTIGATION OF MARKET MOVEMENTS OF SHARES OF ATLAS TA
CORP. & BUDD WHEEL CO. AP 24, 47-3 WSC
SENATE PASSES BILL FOR PRIVILEGES IN UNLISTED TRADINGS
^{JE 23, 31-6} NITE
EXCHANGE CIRCLES COLD TO SEC PROPOSAL TO SEGREGATE FUNCTIONS OF
DEALERS & BROKERS ON EXCHANGE SOUNDS ^{JL 8, 31-6} NITE
AMENDS REGULATIONS ON BROKER UTILITY DEALS ^{JL 14, 30-8}
USES POWER TO INCREASE SAFEGUARDS BEHIND A BOND ISSUE

	--1936--	O 21, 39-8
SEC RULING ON UNLISTED DEALS AWAITED		N 18, 37-8
SEC RECOMMENDS VS FURTHER LISTING IN NY STOCK EXCHANGE BY BOWER RO R BEARING CO. OF DET.	--1937--	JA 22, 20-3
PLANS NEW RULINGS TO CURB SPECULATION ON NATION'S STOCK MARKETS BY MEMBERS OF EXCHANGES		F 24, 34-5 BLSST
SEC ADVISES STOCK EXCHANGE CURB		F 25, 44-6
EXCHANGES ASKS TO CHECK "UNWARRANTED SPECULATION" OF INSIDERS		JL 6, 33-6
SEC HOLDS NEW MARKET REGULATIONS IN ABEYANCE		Ag 5, 44-8
SEC TO REQUIRE OVER-THE-COUNTER DEALERS & BROKERS AFTER O 1 TO DISC SE THEIR SPECIAL INTERESTS IN TRANSACTIONS BEFORE COMPLETING SALES		Ag 18, 33-6
SECURITIES TO INVESTORS		D 15, 38-1
CHAS. R. GAY URGES GOV'T. TO HALT THE HEAPING OF RULES & REGULATION UPON SECURITIES MARKETS		D 31, 18-7
SEC ACTS TO REGULATE FOREIGN HOLDING UNITS		F 10, 38-5 CM
SEC NEEDS FUNDS TO ENFORCE REGULATIONS--1938--		AP 17, 4-16-6
PROVIDES LIMITED EXEMPTIONS FROM SHORT SALE RULES		AP 23, 6-2
NEW REGULATIONS TO AID LITTLE BUSINESS ANNOUNCED		AP 30, 19-7
SEC HELPS BUSINESS-EDIT.		JE 27, 33-5
PROHIBITS OVER-THE-COUNTER MANIPULATION		Ag 18, 33-6
OVER-COUNTER REGULATION APPROVED, SEC READY FOR NEW JOB		
UNLISTED STOCK PLAN OFFERED BY NATL SECURITY TRADERS ASSN.		

STOCK: EXCHANGE: COMMISSION: RULES & REGULATIONS

1938

PROVISIONS OF MALONEY ACT TO BE EXPLAINED AT MEETING ^{Form 1656} S 19, 25-6 SEPT. 27

FEATURES OF MALONEY ACT OUTLINED TO DET. SECURITY DEALERS S 28, 29-8

SEC MAKES RULES TO PROTECT PUBLIC N 1, 26-8

NEW CLASSIFICATION OF SECURITY BUYERS ESTABLISHED, SUB-UNDERWRITERS D 14, 34-1

SEC TAKES STEPS TO PREVENT REPETITION OF F. DONALD COSTER'S DRUG SWINDLE IN MCKESSON & ROBBINS, INC. ---1939--- MR 13, 23-1

SHORT-SELLING RULES LIBERALIZED MR 20, 23-5

CODE OF FAIR PRACTICE FOR OVER-THE-COUNTER MARKETS DRAWN UP JL 6, 35-2

TO TAKE OVER MORE OF OVER COUNTER TRADE REGULATIONS JL 13, 41-7

ISSUES RULES GOVERNING REGIS. OF NATL SECURITIES ASSN.'S UNDER MALONEY ACT N 9, 44-8

SEC CHECKS PAYMENTS BY UTILITY HOLDING UNITS N 14, 29-4

SEC ADOPTS UNIFORM BOOKKEEPING RULES FOR BROKERS & DEALERS IN SECURITIES ---1940--- JA 3, 24-1

SEC ADOPTS NEW RULE GOVERNING TRANSACTIONS TO STABILIZE SECURITY PRICES IN CERTAIN SITUATIONS

---1940---

JA 12, 28-8

14 CHANGES IN RULES GOVERNING SOLICITATION OF PROXIES ADOPTED

JA 21, 4-13-1

SEC TIGHTENS REGULATIONS ON UTILITY HOLDING UNITS MR 19, 29-8

IBA TO TEST RIGHT OF SEC TO REGULATE UNDERWRITING & SALE OF SECURITIES
OF PUBLIC UTILITY COMPANIES

JE 18, 28-5

SEC INVITES UTILITIES INDUS. TO CONFER ON METHODS SIMPLIFYING SEC
REGULATIONS

AG 6, 22-5

SEC RULES DET. EDISON CO. IS SUBSIDIARY OF NORTH AMER. CO.

AG 9, 23-5

SEC REVOKES REGIS. OF WALSTON & CO.; FRONT FOR GIANNINIS

AG 24, 16-8

SEC GIVEN AUTHORITY TO ACCELERATE REGISTRATION PROCESS ON NEW OFFERING

AG 26, 22-3

E. F. CONNELLY TO ASK CHANGES IN RULES

S 20, 41-1

ASKS SUGGESTIONS ON PROPOSED NEW RULE INTENDED TO HELP SMALL BUSINESSES

N 16, 19-4

SEC ADOPTS RULES ON SECURITY LOANS

D 3, 32-1

SEC SIMPLIFIES REGULATIONS ON PUBLIC SALE SECURITY ISSUES

D 15, 4-19-3

SEC ASKS OPINIONS ON PROPOSED GEN. REVISION OF SEC'S RULES UNDER PUB.

UTILITY HOLDING COMPANY ACT

---1941---

JA 20, 22-7

INVESTMENT BANKEERS ASSN. ASKS SEC NOT TO COMPEL COMPETITIVE BIDDING
ON SALES OF NEW UTILITY SECURITIES UNTIL AFTER FURTHER STUDY

STOCK: EXCHANGE: COMMISSION: RULES & REGULATIONS

1941

SEC REGULATIONS TO BE EXPLAINED AT DET. STOCK EXCHANGE	1656 JA 22, 24-1
SEC TIGHTENS ITS ACCOUNTING RULES	F 6, 36-1
SEC ADOPTS BIDDING RULE STARTING MAY 7	AP 8, 28-6
SEC ADOPTS COMPETITIVE BIDDING RULE	AP 8, 29-5 BS
NY STOCK EXCHANGES CHARGES SEC HAD NO AUTHORITY TO REQUIRE CHANGES IN RULE VS. MULTIPLE TRADING	MY 8, 41-2 CM
CONG. COMMITTEES BEGIN STUDY OF RECOMMENDED CHANGES IN 1933 & '34 SECURITIES LAWS	AG 8, 28-5
GANSON PURCELL OPPOSES 2 AMENDS TO SECURITIES ACT SOUGHT BY INVESTMENT BANKERS ASSN.	N 28, 37-1
RULES ON INVENTORY AUDITS MODIFIED	---1942--- JA 23, 30-6
SEC OPPOSES PROPOSAL TO REPEAL EXISTING RESTRICTIONS OF INSIDER RULE	JA 23, 30-6 BS
NY STOCK EXCHANGE URGES CONG. TURN DOWN SEC REQUEST FOR NEW POWER	JA 24, 20-3
KENNETH SMITH SUGGESTS CHANGES IN SECURITIES ACT DID NOT FULL REPRESENT VIEWS OF SMALLER OPERATORS	JA 27, 23-8 BS
APPEALS FOR POWER TO TAKE ACTION IN CASES SUCH AS N Y CURB EXCHANGE WHICH WAS FOUND LAX	JA 29, 44-6
SUB-COMM. TO DRAFT AMENDMENTS TO SECURITIES ACT OF 1933 & SECURITIES EXCHANGE ACT OF 1934	MR 17, 26-3

---1942---

MY 16, 19-8

SEC RATIFIES HOLDING COMPANY RULING

JL 30, 38-6

RULE PROPOSED REQUIRE OVER-COUNTER DEALERS DISCLOSED BID-ASKING PRICES

S 4, 32-5

DISCLOSE PROPOSALS FOR SWEEPING REVISION OF PROXY RULES

O 29, 51-4 BS

ADOPT MINIMUM CAPITAL RULE FOR DEALERS

D 18, 40-8

SEC ADOPTS SEVERAL CHANGES IN PROXY RULES

---1944---

JA 6, 23-4

3 NEW RULES ADOPTED UNDER INVESTMENT ACT

JL 7, 20-5 BS

NEW RULES ISSUED TO PREVENT ABUSES IN TRADING BEFORE FULL DIVIDEND INFORMATION IS AVAILABLE

---1945---

AP 10, 20-1

BROKERS MUST FILE RECORDS OF EMPLOYEES---1946---

MR 29, 36-6

QUARTERLY SALES REPORT REQUIRED UNDER NEW RULE

AG 28, 31-1

MERCANTILE PROPERTIES, INC. PAYS \$10,000 PENALTY TO ABOVE

D 4, 36-6

JAMES J. CAFFREY, SEC, BEFORE INVESTMENT BANKERS ASSN INVITES THEIR AID

D 6, 46-5

SEC LIBERALIZES STOCK INFORMATION IN 6-MO. TRIAL PERIOD

---1947---

MR 4, 22-4

NO LONGER MUST FILE BI-MONTHLY REPORTS GIVING REASONS FOR CONTINUANCE OR SUSPENSION FROM GRADING, SAYS SEC

MR 31, 24-1 BS 2

SUP CRT UPHOLDS JAIL TERM A.W. YOUNG FOR DEFYING SEC

5
STOCK: EXCHANGE: COMMISSION: RULES & REGULATIONS

1948

CHANGE RULES ON EXTENSION OF CREDIT ON NEW ISSUES OF SECURITIES F 5, 49-1
S 16, 66-4
STUDY POSSIBLE CHANGE IN STABILIZATION RULE N 17, 50-4 BS 2
SEC STUDIES RECOMMENDATIONS BY NY EXCHANGE FOR RELAXING REGULATIONS
ON SHORT SELLING & FLOOR TRADING --1950-- JA 11, 38-8 NITE
SEC ASKS CONG. EXPAND FED. PROTECTION FOR INVESTORS IN SMALLER
CORPORATIONS N 27, 30-5 BS 2
ALBERT T. ARMITAGE, PRES. INVESTMENT BANKERS ASSN. SAYS CONG WILL BE
ASKED TO CHANGE SECURITIES ACT --1952-- JL 10, 26-6
STUDIES RULES CHANGES ON DATA OF NEW ISSUES O 1, 29-2 BS 2
SEC ADOPTS NEW RULE ON OFFERINGS; GIVES INVESTORS A BREAK O 15, 58-1 BS 2
SEEKS TO DEMAND MORE DETAILED INFO FROM BUSINESS CONCERNS --1953-- S 25, 36-5
SEC PLANS TO SIMPLIFY REGULATIONS --1954-- JA 3, 4-7-5
RALPH DEMLER CHR. OF ABOVE TO ANNOUNCE NEW RULES & REGULATIONS WITH
IN 60 DAYS FOR HIS AGENCY --1955-- AP 11, 38-4
TIGHTENS ITS RULES APPLYING TO THE FINANCES OF SECURITIES BROKERS &
DEALERS JL 10, 4-24-4
GOV'T IS ABOUT TO ADM. A SOBERING JOLT TO URANIUM PENNY STOCK BINGE JL 20, 40-4
REP. BENNETT SAYS NEW STOCK SELLING RULES NO GO FAR ENOUGH IN PROTECT
ING INVESTORS IN URANIUM & OTHER RESOURCES

--1955--

JL 21, 22-1

ABOVE HAVE TROUBLE CATCHING URANIUM STOCK CROOKS, BECAUSE PEOPLE
WON'T ADMIT THEY WERE SUCKERS

AG 29, 30-8 5*

SEC EASES ITS RULES ON ADVERTISING NEW SECURITY ISSUES

AG 31, 34-5

SEC PLANS STRICTER REGULATION BROKER OR DEALER DEBT

---1956--

JA 18, 32-8

TIGHTENS RULES ON PROXY FIGHTS

F 14, 23-6 5*

ISSUES REVISES PROPOSED NEW RULES TO PROTECT INVESTORS FR. FRAUD &
MISREPRESENTATION IN URANIUM & OTHER PROMOTIONAL STOCK OFFERINGS

JL 23, 30-1 6*

TIGHTENS RULE ON SMALL STOCK ISSUES & PROPOSES NEW RULE FOR "PENNY
STOCK" ISSUES BY NEW COS.

AG 14, 34-6

PROPOSES SIMPLIFIED PROSPECT USES

S 11, 22-1

SEC ANNOUNCES IT HAS CALLED TEMPORARY HALT TO SALES OF STOCK BY NINE
SMALL URANIUM OIL & RELATED FIRMS

O 3, 71-7 5*

SEC CONSIDERS TIGHTENING RULE ON MERGER FACTS

---1957--

F 17, A-18-5

SEC SEEKS CHANGE IN LAW IN WAKE OF COWELL-COLLIER PUBLISHING CO. CASE

JE 4, 20-8

KEITH FUNSTON ASKS STRICT PROXY RULES

JL 16, 30-8-BB

BILL APPROVED REQUIRING LARGE COMP. WHOSE SECURITIES ARE TRADED OVER
COUNTER TO FILE REPORTS WITH SEC

--1958--

JA 22, 15-7

TRADING STAMPS CONTROL REFUSED

S 15, 27-5 5*

~~SEC STUDIES ADOPTING NEW RULE TO REQUIRE SECURITIES DEALERS & BROKERS
TO REPORT SUBSTANTIAL TRANSACTIONS INVOLVING FOREIGN SOURCES~~

STOCK : EXCHANGE : COMMISSION : RULES & REGULATION 1958

BAL. HARBOUR, FLA-CHR. EDWARD N GADSBY OF SEC WARNS AGST
PUBLICITY OF SECURITY ISSUES BEFORE SEC REGISTRATION

D 3, 78-7

FORD MTR. DEMANDS PROMPT CORRECTION FR. CHR. EDW. GADSBY OF
SEC REGARDING HIS STATEMENT ABOUT PUBLICITY IN PUB. ISSUE
OF FORD STOCK 3 YRS AGO ---1959---

D 4, 3-1

MY 8, 56-6

AMER. STOCK EXCHANGE TO ADOPT ANY RULES SEC & SECURITIES
INDUSTRY WANT TO TIGHTEN CONTROL OF FLOOR TRADERS ON EXC.

---1961---

N 3, C-5-5

LAYS DOWN 5 RULES TO CURB ADVERTISING BY INVESTMENT ADVIS
---1962---

AG 14, B-7-2 FI

PROPOSED ADOPTION OF NEW RULE TO PROHIBIT OFFERING OF RE-
DEEMBALBE SECURITIES BY REAL ESTATE INVESTMENT COS.

---1963---

JA 14, A-8-4

SEC TO CLAMP DOWN ON IFORMAN & UNDISCLOSED CONTACTS BETW
SEC OFFICIAL & THOSE THEY ARE SUPPOSEDLY REGULATING-COHEN

AP 18, C-10-5

CELVELAND-SEC PROPOSAL TO EXTEND DISCLOSURE REQUIREMENTS
STOCKS TO UNLISTED MARKETS IS IN PUBLIC INTEREST

---1964---

JA 10, D-8-8

SEC PROPOSES NEW RULE TO PERMIT IT TO PLAY MORE DIRECT ROLE
IN OPERATION OF MAJOR STOCK EXCHANGES

MR 12, C-18-8

WASH. -PROPOSED NEW RULE INVOLVING CASH INVESTORS LEAVE NO
DEPOSIT WITH BROKERS & DEALERS

AP 9, A-2-2

WASH-SEC ANNOUNCES IT PLANS TO PUT RIGID CONTROLS ON FLOOR
TRADERS IN NY STOCK EXCHANGE

AP 10, A-24-1

NY-EXCHANGE COMM. RULES THAT ORDINARY TRADER IN STOCKS GET
SERVED IN MARKET PLACE BEFORE STOCK EXCHANGE MEMBERS CAN
SERVE THEMSELVES-DAWSON

AP 14, C-8-6

WASH-SEC PROPOSED NEW RULE TO GIVE LIFE INSURANCE COMPANIES
MORE LATITUDE IN SELLING VARIABLE ANNUITY INSURANCE POLICIES
TO PENSION FUNDS

MY 14, C-11-8 F

WASH-NAT'L ASSN OF SECURITIES DEALERS HAS PROTESTED
AGAINST PROPOSAL WHICH IT SAID WOULD REQUIRE MANY FOREIGN
COMPANIES TO REGISTER WITH SECURITIES & ABOVE BEFORE SELLING
STOCKS IN US

JE 3, C-18-1

SEC FORMALLY ADOPTS RULE PUTTING STRONG RESTRICTIONS ON
FLOOR TRADING ON NY STOCK EXC., EFFECTIVE AG 3

JL 1, B-13-7

OFFICIALS OF SEC SAID THEY & AMER. STOCK EXC. HAVE COME TO
TERMS ON PLAN FOR "FLOOR TRADING" BY EXC. MEMBERS FOR THEIR
OWN ACCOUNTS

STOCK : EXCHANGE : COMMISSION : RULES & REGULATION 1964

SEC PROPOSE RULE FOR OBTAINING MORE INFORMATION ABOUT STOCK
BROKERS ABOUT SO-CALLED "THIRD MARKET"

JL 9, C-17-2

---1965---

JA 15, D-5-8

PROPOSED RULE REQUIRING MOST SECURITIES FIRMS TO HAVE NET
CAPITAL OF AT LEAST \$5,000---1966---

N 25, C-12-1

NY-SEC SEEKS POWER OVER MAJOR FUNDS-EXTENDES ONLY TO MUTUAL
FUNDS NOW-GOULD

---1967---

My 9, D-7-3

WAEH-EYEING MORE INFORMATION ON FIRMS EARNINGS DATA

My 21, B-10-1 1*

WABH-EASE FOREIGN RESTRICTIONS

My 23, C-11-5

CONTROL FLEXIBLE ANNUITIES

JL 26, C-13-2

WASB-SEC MAJORITY OK'S STATE UTILITY DEAL

---1969---

Ap 9, B-16-1

MISLEADING NAMES? TRY THESE (THAT WOULD MISLEAD POTENTIAL INVESTORS)-
EDIT.

---1970---

MR 10, B-8-8 ONE DOT

WASH- PROPOSED KEEPING RECORDS ON MICROFILM INSTEAD OF PA-
PER

---1971-----D26, F-6-2

SEC RULE CHANGE PROPOSAL CONCERN GM CRITICS-SHERE

—1972—

JE 14,C-9-6

DC--
BROKER-DEALERS NOW REQUIRED BY SEC TO KEEP MORE MONEY ON
HAND IN CASE FINANCIAL DIFFICULTIES ARISE.

AG16, D-9-6 1Dot

WASH---PLANS TO GIVE COMPANIES BETTER GUIDANCE AS TO WHEN
THEY CAN MAKE PRIVATE PLACEMENTS OF THEIR STOCK

-1977-

F 25, B-3-1

DC-MAJOR STOCKHOLDERS FACE DISCLOSURE RULE

-1978-

JL 27, D-9-1

SEC CHANGES OLD POLICY ON MUTUAL FUNDS-GREER-KANDEL

STOCK: EXCHANGE: COMMISSION: RULINGS**1936****SEC RULING ON UNLISTED DEALS AWAITED****1936****O 21, 39-8****SEC RECOMMENDS VS FURTHER LISTING IN NY STOCK EXCHANGE BY BOWER ROLLER BEARING CO. OF DET.****---1937---****N 18, 37-8****MR 12, 41-5****SEC RULES CERTAIN RIGHTS MAY BE TRAMPLED ON TO GET FACTS IN W.E. HUTTON & CO. INQUIRY****MR 27, 21-1****TO RULE ON SPECIALISTS WITHIN A WEEK****S 16, 49-5****LICENSE OF 3 HOUSES REVOKED, ANOTHER SUSPENDED****S 24, 41-1****SEC TAKES STEPS TO BLOCK COMPANIES FR IMITATING NAME****---1938---****JA 24, 1-4 BLST****SEC ADOPTS RULES DESIGNED TO PROHIBIT SHORT SELLING****F 8, 26-8 CM****SHORT SELLING CURB GOES INTO EFFECT****JE 6, 27-2 CM****HOUSE REFUSES TO ACT ON OVER-COUNTER BILL****S 26, 23-8CM****GEO. C. MATHEWS IN DET. TO EXPLAIN MALONEY OVER-THE-COUNTER AMENDMENTS****---1939---****AP 27, 41-8****HEARING MAY 8 TO DETERMINE IF WALSTON & CO. SHOULD BE EXPELLED FROM NY STOCK EXCHANGE****---1940---****D 14, 17-4****CITIES SERVICE CO. HELD SUBJECT TO PUBLIC UTILITY HOLDING CO. ACT BY SEC****---1941---****MR 20, 9-5****SAYS COMMONWEALTH & SOUTHERN CORP MUST GIVE UP ALL BUT ONE OF ITS MAJOR UNITS UNDER HOLDING CO. ACT.**

	--1941--	AP 23, 38-8
SUSPEND 3 FIRMS, BARRETT & CO., SATTERFIELD & LOHRKE & BOND & GOODWIN INC.		MY 23, 30-1
REJECT REQUEST OF AMER. GAS. & ELECTRIC CO. TO BE DECLARED NOT SUBSIDARY OF ELECTRIC BOND & SHARE CO.		MY 28, 11-2
SEC RULES COLUMBIA GAS & ELECTRIC CORP. CONTROLS PANHANDLE EASTERN PIPE LINE CO.		JE 12, 42-5
MORGAN STANLEY & CO. APPEALS SEC RULING PREVENTING STOCK BUYING IN OWN SUBSIDY		AG 24, 4-13-6
ORDERS PROMPT DISSOLUTION OF NATL. POWER & LIGHT CO.		D 31, 16-7
ORDER LIQUIDATION OF NORTH AMERICAN LIGHT & POWER CO.		F 26, 41-1
	---1942--	
BAR PREMIUM IN UNITED LIGHT & POWER CO. DEBENTURE REDEMPTION		AG 25, 24-4
ORDER DISSOLUTION AMER. POWER & LIGHT CO.		AG 29, 16-8
INSTITUTE PROCEEDING VS. NIAGARA HUDSON POWER CO. & 6 SUBSIDIARIES		JL 7, 30-1
	---1943--	
SUSPENDED SPECIAL OFFERING PLANS		AG 10, 22-8
REVOKES BROKER-DEALER REGISTRATION OF HERBERT L. HONOHAN, CHICAGO		AG 16, 20-5
SEC REJECTS PLAN TO ELIMINATE SUBSIDIARIES		
	---1944--	
COMPETITIVE BIDDING ORDERED FOR UTILITY STOCK		MY 6, 13-7
CITIES SERVICE TOLD TO DISPOSE OF UTILITIES OR OIL		MY 6, 13-5

- ORDERS AMER. UTILITIES SERVICE CO. TO RECAPITALIZE JE 22, 36-2
- COURT UPHOLDS REFUSAL TO GRANT BANKERS SECURITIES CORP. EXEMPTION FROM INVESTMENT COMPANY ACT N 22, 14-1
- PHIL-SEC HOLDS 5% SPREAD POLICY OF NASD ISN'T RULING N 26, 4-8-6
- 1945--- JA 20, 13-8
- WM. W. WERNTZ OUTLINES FORMULA FOR GOODWILL WRITEOFFS F 20, 18-8
- DENIES NY CURB EXCHANGE PLEA TO EXTEND UNLISTED TRADING PRIVILEGES ON 5 ISSUES AP 3, 19-5
- KIDDER PEABODY & CO. SUSPENDED FROM NATL. ASSN. SECURITIES DEALERS, INC. FOR 10 DAYS JE 4, 17-4 FINAL
- SUP. CT. UPHOLDS SAM OKIN REQUEST FOR REVIEW OF SEC RULING JL 3, 14-3
- RULES ADVISERS ON SECURITIES CAN'T BID ON SAME SECURITIES JL 6, 23-1
- RULE CENTRAL & SOUTHWEST UTILITIES CO. MUST SELL ICE PROPERTIES OF 2 SUBSIDIARIES AG 28, 18-5
- PROHIBITION FLOOR TRADING ON NY STOCK & CURB EXCHANGES BEING WITHHELD BY SEC ---1946--- MR 1, 32-7
- VAN ALSTYNE NOEL & CO. GIVEN 10 DAY SUSPENSION IN DEAL WITH HIGGINS, INC.

JUSTICE JACKSON HITS CASE WHICH COURT UPHELD ABOVE ORDER PREVENTING
MANAGEMENT OF A CO. UNDERGOING REORG FOR PROFITING BY PURCHASES OF
SECURITIES DURING THE REORGANIZATION --1957-- JE 27, 67-1
SEN. BANKING SUBCOMM OKS TIGHTER CONTROLS ON SECURITIES

SEC SET FORTH RULES UNDER WHICH COMPANIES SELLING VARIABLE ANNUITIES
MUST OPERATE --1960-- F 26, C-11-5
--1961-- MR 7, A-12-1

BOTH SOL DANN & CHRYSLER SEE VICTORIES IN ABOVE STOCK RULINGS
MY 5, A-11-1

SEC EXILES FROM WALL STREET TWO PROMINENT MEMBERS OF AMER. STOCK EXCH
ANGE --1962-- D 28, B-4-8

RULED SHAREHOLDER MAY ASK IT TO DETERMINE WHETHER DIRECTORS OF MUTUAL
FUND ARE CONTROLLED ILLEGALLY BY FUND'S INVESTMENT ADVISERS & MAIN
UNDERWRITERS --1963-- JA 24, D-12-5

RULES THAT INSURANCE COS. SELLING VARIABLE ANNUITY POLICIES ARE SUB-
JECT TO INVESTMENT CO. REGULATIONS --1964-- MR 21, A-8-4

WASH.-SEC ORDERED NEW ENGLAND ELECTRIC SYSTEM TO DISPOSE OF 8 OF ITS RE-
TAIL GAS SUBSIDIARIES IN MASS. MY 10, D-8-3

WASH.-AMERICAN STOCK EXCHANGE BALKED AT ACCEPTING SEC PLAN FOR RESTRICT-
ING FLOOR TRADERS MY 13, C-18-6

WASH- & NY STOCK EXCHANGE OPENED DISCUSSIONS ON REVISING ROLE OF
SPECIALISTS

STOCK - EXCHANGE - COMMISSION - RULINGS

1964

JE 3, C-18-1

WASH.-SUP.CT.DECLINES TO REVIEW SEC RULING THAT INSURANCE CO.WANTING TO SELL VARIABLE ANNUITIES MUST SUBMIT TO SEC SUPERVISION

D 24, A-13-2

WASH.-SEC ADOPTS NEW REGULATIONS TO SUPPLEMENT LEGAL REQUIREMENTS DEALING WITH DELIVERY OF PROSPECTUS TO PURCHASERS OF NEW ISSUES STOCK

--1965--

MR 10, C-17-6

NY-SEC DEFERS ACTION ON PROPOSED REGULATION TO REQUIRE PUBLIC DISCLOSURE OF MORE DETAILS ON "INSIDER" DEALINGS BY CORP.EXECS.

N 26, C-7-1 3*

WASH.-STAFF ATTYS.RECOMMENDED THAT FIRSTNATL.CITYBANK OF NY BE PERMITTED TO SET UP ACCOUNTS SIMILAR TO MUTUAL FUNDS

--1966--

JA 2, D-13-4

WASH-ADOPTED REGULATION TO PROVIDE INVESTORS WITH MORE INFORMATION ABOUT PUBLICLY HELD COMPANIES

JA 20, B-4-5

WASH-SEC ORDERED 10 DAY SUSPENSION IN EXCHANGE & OVER-THE-COUNTER TRADING OF ASSOCIATED OIL & GAS CO

F 5, A-8-3

WASH 11 COMPANIES HIT IN '65 BY SEC TRADING BAN

---1966--- Ap 11, B-11-4
WASH-ANNOUNCED 10 DAY SUSPENSION OF TRADING IN SECURITIES OF A.C.
GILBERT CO. OF NEW HAVEN, CONN AND WRATHER CORP. OF BEVERLY HILLS, CALIF

---1968--- S 5, C-10-3
MORE INFO BY COMPANIES PROPOSED BY SEC S 13, A-18-1
SEC GIVES 10-DAY DELAY IN TIP CASE FOR ALLEGED USE OF IM-
PROPER INFORMATION N 4, A-21-1
SEC TO OUTLAW BACKWARD PRICING ON FUND SHARES

---1969--- F 26, C-17-1
HAMER H BUDGE SEES NEED FOR STRICTER RULES ON SECURITIES
AG 7, C-8-7

WASH-ABOVE PLANNING TO DEVELOP RULES TO PREVENT USE OF COMPU-
TERS TO MANIPULATE STOCK MARKET- S 19, D-10-5
CONSIDERING NEW RULES TO REQUIRE PUBLICLY-OWNED COMPANIES TO REVEAL
MORE INFORMATION ABOUT FINANCIAL AFFAIRS-W.L. SMITH S 19, D-10-7
WASH-ADOPTED MODIFIED PROPOSAL TO BROADEN RULES ON OFFICER'S STOCK
HOLDINGS O 25, A-10-7

WASH-OPERATIONS OF STEINER, ROSUE & CO. AND INDICATOR DIGET
AND TECHNICAL STOCK REPORTS RESTRICTED FOR VIOLATIONS
OF ANTI-FRAUD PROVISIONS- JE 23, A-1-1LF
SEC REFUSED TO ALLOW GAS CO (MICH. CONSOLIDATED) TO ENGAGE IN
ANY MORE FINANCING OF HOMEBUILDING IN MICH.-LOCHBILER

JE 24, C-13-1

NY- BIG BOARD HALTED DEALINGS IN 21 RAIL ROAD BONDS.

JE 24, C-5-1

GAS CO(M¹CH. CONSOLIDATED) FIGHTS SEC EVICTION FROM HOUSING FIELD.-HENSEN.

----1972--

F15, C-9-4

WASH--ABOVE TELLS NY STOCK EXCHANGE IT DOESN'T OBJECT TO IDEA OF BIG BOARD MEMBERS SELLING LIFE INSURANCE

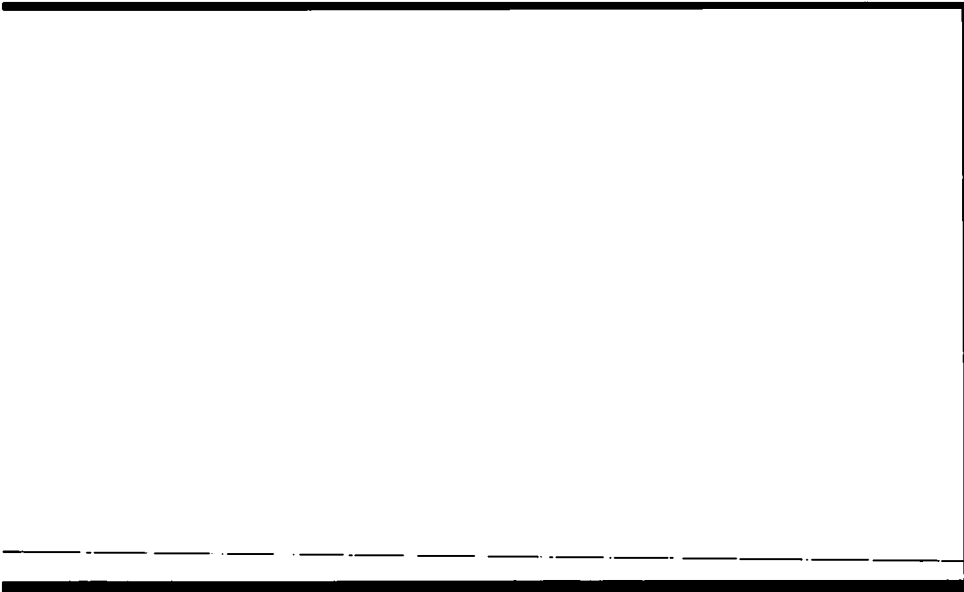
F24, C-10-6

WASH--WILLIAM J. CASEY SAYS ABOVE WILL GO AHEAD WITH ITS PLAN TO REQUIRE UNIFORM RULES COVERING STOCK EXCHANGES MEMBERSHIP FOR MUTUAL FUNDS AND OTHER BIG INVESTORS

---1976---

O 15, A-12-3

HAS NEW RULE THAT REQUIRED FIRMS WITH \$100 MILLION& OVER OF ASSETS TO ADD FOOTNOTE TO ANNUAL REPORTS TO SHOWS COST TO REPLACE ASSETS-W.B.SMITH



STOCK: EXCHANGE: COMMISSION: STATISTICS

1936

Form 1656

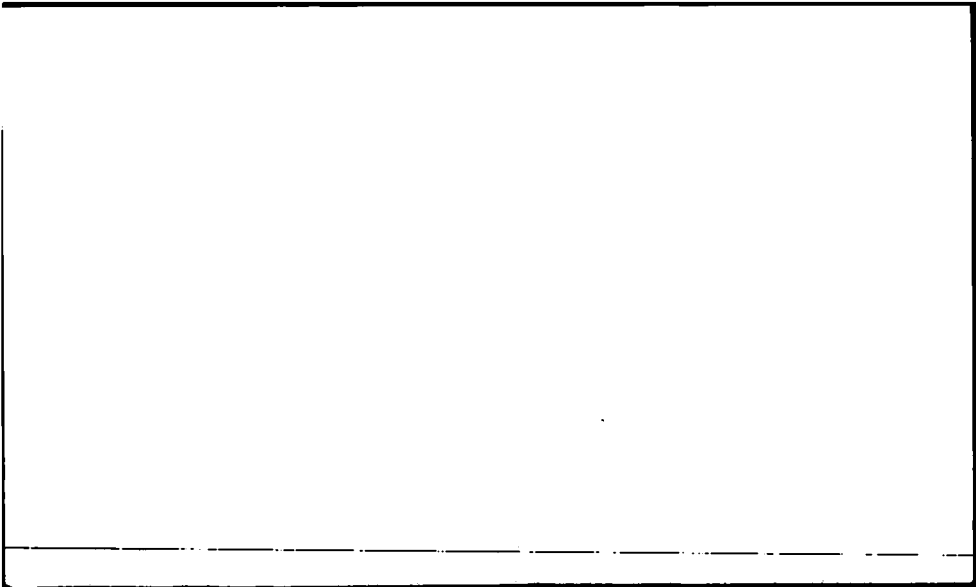
D 6,4-21-6

1936 REGISTRATIONS TO TOTAL NEAR 6 BILLION

---1941---

F 15, 19-1

**SECURITIES REGISTERED WITH SECURITIES COMM. IN 1940 TOTALLED \$2,124,-
429,000**



Form 1656
 UTILITIES ZERO HOUR NEAR; OPPOSITION OF HOLDING COMPANIES UNBROKEN
 D 1,1-2-3
 D 19,29-3 VSC

STARTS SUIT VS GLENGARY MINING Co

---1936---

AP 5,4-16-1

ALLIED CHEMICAL & DYE CORP. SEEKS TO RESTRAIN SEC FROM PUBLISHING CERTAIN "CONFIDENTIAL FINANCIAL DATA"

AG 26,28-8-MAIL

SUES LESTER S. & AARON S. SAPHIER, CHARGES THEY ATTEMPT TO MANIPULATE THE STOCK OF GENERAL BRONZE CORP. ---1937---

JA 20,31-1

SEC UPHOLD IN STOCK QUIZ, ENGEL & CO. MUST REVEAL STOCK OPERATIONS OF VINCENT J. MCMAHON

JA 27,32-1

CONSENT ORDER SIGNED DISCONTINUING SUITS VS AARON S. SAPHIER & LESTER S. SAPHIER

F 20, 2-1

SEC GETS PETITION CHARGING OZARK CORP. WITH VIOLATION OF STATUTORY PROVISION

MY 12, 25-5

SEC FILES SUIT VS 10 MEMBERS OF CERTAIN-TEED PRODUCTS CORP.

---1957---

S 4, 63-2 5*

SUITS DISMISSED AGAINST VARIABLE ANNUITY LIFE INSURANCE CO. & EQUITY ANNUITY LIFE INSURANCE CO., BY SEC REQUIRING THEM TO REGISTER

--1972--

JE 21,C-11-1

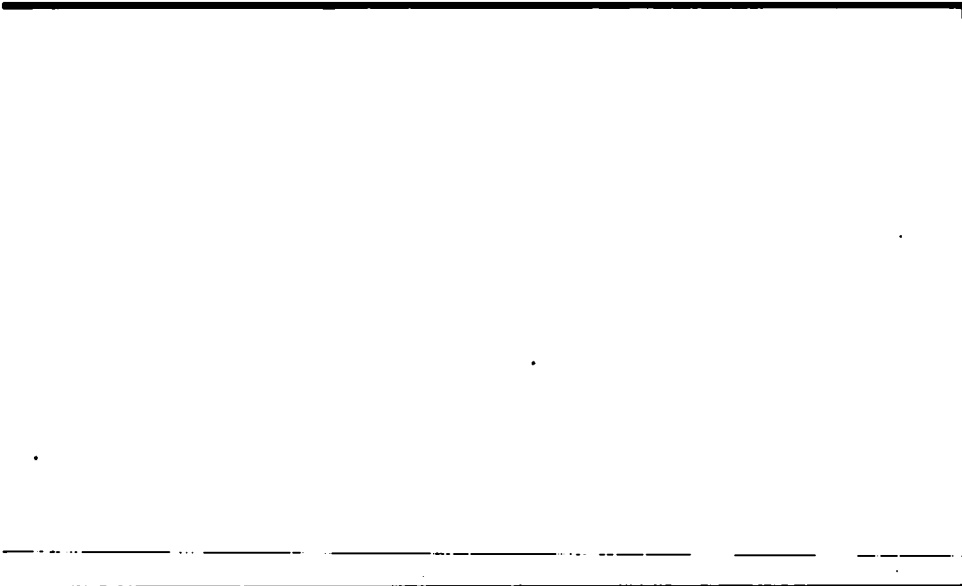
NY--ITT & LAZARD FRERES & Co. ANSWER SEC CHARGES, OF BREAKING
SECURITIES LAWS.

STOCK: EXCHANGE: COMMISSION: UTILITIES DIVISION 1937

Form 1656

O 7,53-4-CITY

SEC MOVES TO USE "DEATH SENTENCE" SECTION OF PUBLIC UTILITY HOLDING
CO. ACT



STOCK: EXCHANGE: COMMISSION**1934**

	1656	JE 27, 30-4
FERDINAND PECORA TO BE CHAIRMAN OF ABOVE 1 YR	JL 1, 4-14-8	
JOS. P. KENNEDY, GEO. C. MATTHEWS, JAS. M. LANDIS, ROBT. E. HEALY & FERDINAND PECORA NAMED TO COMM BY ROOSEVELT	JL 3, 21-4	
JOS. P. KENNEDY NAMED HEAD INSTEAD OF PECORA-COMM STARTS WORK	JL 6, 30-7	
EMPLOYEES DENIED TO SPECULATE IN MARKETS	JL 9, 29-6	
ALL MEMBERS FAVOR STRICT CONTROL OF GAMBLING ELEMENTS	JL 10, 31-2	
SECURITIES DIV. WORK TURNED OVER TO ABOVE	JL 10, 31-6 CM & BB	
PRELIM ORG. COMPLETED; APPT OF POSTS SOON	JL 12, 38-71 HOME	
QUARREL OVER CHAIRMANSHIP ENDS	JL 17, 28-5	
OFFICIALS NAMED; JOHN J. BURNS GENL. COUNSEL	JL 18, 32-5 CM & R	
DONALD E. MONTGOMERY & DAVID SAPERSTEIN NAMED AIDS	JL 20, 30-4 1 HOME	
COMM TO USE MODERATION IN DEALING WITH INDUSTRY	JL 20, 30-4 1 HOME	
EXPENSE OF REGULATION TO BE GIVEN BY INVESTORS	JL 25, 27-5 M & BB	
JOS. P. KENNEDY SAYS THERE WILL BE NO RACKET IN COMM.	JL 27, 27-5 M & BB	
PECORA TO ASK ABOVE ABOUT THE WAR RUMOR	JL 30, 25-7 1 HOME	
DUTIES DISTRIBUTED AMONG MEMBERS OF COMM.	AG 3, 29-6 1 HOME	
BALDWIN B BANE CHARGES UNDERWRITING FIRMS LAX IN ADDING ABOVE		

---1934--- AG 7, 26-7 1 HOME

COMM. REMAINS UNOFFICIAL; INVITES CO-OP BUT, THAT'S ALL

AG 14, 28-1

LEON COHEN & FRANK J. MEEHAN APPT ASST CHIEFS OF TRADING & EXCHANGE
DIVISION

AG 17, 30-5

RICHARD WHITNEY SAYS NEW RULES ARE OK

AG 24, 32-6

FRANCIS F. BRASSOR NAMED SEC'Y

S 2, 4-11-7

EXPECTED TO CUT WATERING OF STOCK

S 7, 40-6 BR & M

ALDRICH BLAKE DEFIES POWER OF SEC

S 19, 33-4

COMM IS EAGER TO HELP FLOW OF CAPITAL IN INDUSTRY

S 25, 30-6 1 HOME

WINS 1ST COURT CASE-VS NATL INVESTMENT TRANSCRIPT, INC.

S 28, 39-5

FREDERICK A. TILSON RETAINED AS ACCOUNTING ADVISER

O 1, 28-8

WALL ST. EMBARKS ON ERA OF CONTROL, SUBDUED BUT HOPEFUL-GARDNER

O 15, 27-7

EXCHANGE CONTROL GOES INTO EFFECT

O 19, 42-7

NEW RULES MADE TO EASE FLOTATION OF SECURITY ISSUES

O 22, 28-6 EXTRA

TO SCRUTINIZE SALESMEN'S CLAIMS

O 30, 28-4

CRACKS DOWN ON HIGH APPRAISALS; ATTACKS SALE OF STOCK BY HAD-A, DIS-
LLERS CORP.

N 3, 18-6

4 CHANGES WITHDRAW OBJECTIONS TO PUBLICITY

N 11, 4-13-8

PLANS CONTROL OF DIRECT SALES-VRACKETT

N 13, 31-8

~~TO WIDEN ITS OPERATIONS; ESTABLISH 7 BRANCHES FOR ADMINISTRATION OF~~

T

STOCK: EXCHANGE: COMMISSION

1934

	1656	N 16, 46-8
HAS SCHOOL FOR DETECTIVES TO RID FRAUD OFFENDERS	N 19, 29-6	
QUESTIONS PLEAS OF SOME CORPORATIONS FOR PRIVACY	N 19, 29-6	
REAL STOCKHOLDER CONTROL IS AMONG OBJECTIVES-BRACKETT	N 21, 28-5 1H	
ASKS STATES TO HELP CURB STOCK RACKETS	N 28, 20-8	
MAKES SPECIAL SECTION FOR PROTECTION OF OIL INVESTORS ROYALTIES	D 1, 21-3	
12 EXCHANGES GRANTED EXEMPTION EXTENSION	D 3, 29-5	
AUTHORITY OF ABOVE TO VALUE CORPORATION PROPERTY IS VITAL PROBLEM,	D 4, 30-8	
BUSINESS MEN SEEK ANSWER TO THEIR AUTHORITY		
W. BOERICKE APPT. TO SPECIALIZE IN MINING SECURITIES		
	---1935---	JA 10, 4-3 CM
NOMINATION OF JOS. P. KENNEDY AS CHAIRMAN OF ABOVE GIVEN TO SENATE	JA 10, 35-4 SF	
BY ROOSEVELT		
CUT OF HOUSE APPROPRIATIONS COMM. THREATENS WORK OF ABOVE	JA 11, 33-8	
PUBLISHES LIST OF LARGEST STOCK HOLDERS IN US	JA 12, 12-6	
HOUSE ALOTTED \$2,000,000 FOR ABOVE	JA 12, 19-4	
LARGE STOCKHOLDERS IN US EXTENDED LIST	JA 15, 28-8	
SENATE APPROVES NOMINATIONS OF 5 MEMBERS OF COMM.	JA 16, 27-5	
MEMBERS EXPECTED TO HOLD UP RULES PENDING MORE ROBUST MARKET		

--1935--

SENATE CONFIRMS NOMINATIONS OF 5 MEMBERS	JA 16, 2-2-SF
ASKS CONGRESS FOR AUTHORITY OVER DEALINGS IN UNLISTED STOCKS	JA 20, 4-12-5
	JA 25, 36-8 CM
SEC TELLS SENATE THAT STOCK EXCHANGES SHOULD CHANGE RULES OF ELECTION OF OFFICERS	JA 28, 27-6
SEC PROPOSAL TO DECENTRALIZE MANAGEMENT OF EXCHANGE HOLDS PROMISE OF FIGHT	MR 7, 41-6
SEC MAKES LIAISON WITH STATES, TIGHTENS FRAUDERS	MR 25, 25-6
SEC LIST OF SWINDLERS NEAR COMPLETION; FAST ACTION PROMISED	AP 11, 44-5
SEC CRITIZES BALDWIN LOCOMOTIVE WORKS, PHILA., FOR MISLEADING INVESTORS IN FINANCIAL REPORT	AP 15, 2-9-6
NEW FORMS OF SEC SIMPLIFY REGISTRATION PROBLEMS	MY 3, 44-5
NEWSPAPER AD RULES ARE MODIFIED BY SEC	MY 6, 25-8
READY TO PUT TRADING IN UNLISTED SECURITIES UNDER US CONTROL	MY 20, 29-8
SEC PILING UP BUSINESS DATA; MASS OF REPORTS EXPECTED TO PROVIDE BASIS FOR CORPORATION STANDARDS--GARDNER	JE 6, 41-5
DEFENDS ITS AUTHORITY; DEFINES NY EXCHANGE AS INSTRUMENT OF INTERSTATE COMMERCE	JE 11, 33-4 1 HOME
KEEP BUSY WITH COMPLAINTS OF FRAUDULENT SECURITY DEALS	JE 13, 46-5
EXAMINERS HOLD HEARINGS IN DETROIT	JE 14, 43-8
COMPLETE FILES ON BUSINESS OWNERSHIP REVEALED	

STOCK: EXCHANGE: COMMISSION

1935

	1656	JL 2, 30-8
JOS. P. KENNEDY RE-ELECTED TO CHAIRMANSHIP		S 14, 21-8
BROKERS AID SEC QUIZ; FURNISH ACCESS TO MARKET ADVICE		S 22, 4-15-8
TO NAME OWN SUCCESSOR TO JOS. P. KENNEDY, CHAIRMAN, RESIGNED		S 30, 27-8
SEC ASKS INVESTMENT BANKERS TO FIGHT FRAUD		O 2, 31-6 NITE
COMPILES ROGUES GALLERY OF 30,000 NAMES TO AID IN WEEDING OUT FAKE		O 13, 1-15-2
STOCK SALESMEN & PROMOTERS		O 15, 28-6 WSC
INCREASES FORCE OF TRADING INVESTIGATORS-GARDNER		O 27, 4-14-8
SEC DENIES ADVISING SALE OF STOCK IN CHRYSLER CORP.		N 13, 31-3 WSC
SEC MAY OUST M.J. MEEHAN FROM EXCHANGES		N 26, 33-5 CM
SEC MOVES TO EXTEND CONTROL OVER MARKETS		D 8, 4-17-7
ORDERED BY COURT TO GUARD "TRADE SECRETS"		D 11, 34-5 NITE
INSIDERS' REPORTS TO SEC BARREN OF TRADING CLUES		D 30, 25-5
REPORTS OVER 6,000 BROKERS HAVE REGISTERED, NEW FIELD OF REGULATION		
NEW QUIZES SEEN; INVESTIGATORS SPEED UP MANIPULATION CHECK		
	---1936---	JA 7, 27-8
JAS. M. LANDIS DEFENDS SECURITIES ACT		JA 16, 36-8 WSC
WM. O. DOUGLAS APPT BY PRES ROOSEVELT		JA 17, 10-2
SAME		

EVANS PRODUCTS CO SUES TO TEST POWER OF COMMISSION TO DEMAND ACCOUNTING OF SALES, ETC.	--1936-- JA 19, 1-5-2
SEC RUSHES WORK ON NEW LEGISLATION	F 12, 27-8
LIST OF SECURITIES DEALS IN JAN	MR 19, 39-4
RESTRAINED FROM MAKING SLARY LISTS PUBLIC	MR 27, 49-4
REP. WILCOX PROPOSES CONTROL OVER PROTECTIVE COMMITTEES BY ABOVE	MR 30, 29-7
SEC REVEALS INSIDE TRANSFERS OF STOCK BY GM & CHRYSLER	AP 1, 37-1
1 933 SECURITIES ACT RULED OUT BY SUP CT ; J. EDWARD JONES REFUSES TO APPEAR BEFORE SEC AS TO TRUTH STATEMENTS MADE IN REGISTRATION APPLICATION FOR OIL ROYALTY PARTICIPATION CERTIFICATES	AP 6 -1-4
SEC WORRIED OVER SUP. CT. DECISION, SAYS '33 SECURITIES ACT, IN GENERAL, WILL REMAIN UNCHANGED	AP 7, 20-4
SEC TAKING STRONG HAND ON CITIZENS, ENDS BY SUP. CT. RULING-EDIT.	AP 8, 22-3
BARS TRANS-LUX DAYLIGHT PICTURE SCREEN CORP. STOCK SALE BY FIRM OF TORR & CO., INJUNCTION SUIT	AP 13, 28-1
BILL WHICH WOULD MODIFY PROVISIONS OF SEC LAW COMPLETED BY CONGRESS	MY 26, 35-2
JAMES M. LANDIS OF ABOVE DENIES TRADERS ARE GOING TO LONDON	JE 11, 47-5
SEC HEARING VS BROKERS TRANSFERRED TO LA	JL 12, 4-17-7 3*

STOCK: EXCHANGE: COMMISSION**1936**

LOWER CT. UPHOLDS 1933 SECURITIES ACT	1656 O 6, 38-6 WORLD S.EXT
WALL STREET TRADERS SUFFERING FR SEC'S GRIP	N 23, 33-5
ROOSEVELT ACCEPTS RESIGNATION OF JOHN J. BURNS AS EN. COUNCEL OF SEC	D 29, 1-3
--1937--	JA 31, 4-16-5
JAS. M. LANDIS SAYS "SPURIOUS" ADVICE WAS GIVEN UTILITIES IN ADVISING THEM NOT TO REGISTER UNDER HOLDING COMPANY ACT	F 18, 39-4
WILLIS J. BALLINGER CHIEF OF SPEC. STUDIES RESIGNS ABOVE BY REQUEST	MR 19, 41-4 CM
JOHN J. BENNETT, JR. NYC, OPPOSES DUPLICATION OF SEC WORK BY STATES	MY 11, 37-5
ASKS POWER IN REORGANIZATION OF BANKRUPT BUSINESS PROPERTIES	MY 15, 19-4
RECORDS OF SEC INQUIRY INTO W.F. HUTTON & Co. BEING CHECKED, LOCK BROKEN ON ROOM	JE 11, 45-7
EDWARD B. HALL WARNS CONG ON BILLS ADDING POWERS TO ABOVE	AG 9, 2-7
WM. O. DOUGLAS, YALE PROF., SLATED TO BECOME CHAIRMAN OF SEC	S 10, 43-8
JAS. M. LANDIS REPORTS IN PROGRESS OF SEC	S 14, 34-2 CM
DAVID SAPERSTEIN RESIGNS AS DIRECTOR OF TRADING & EXCHANGE DIVISION	O 5, 4-2
KEMPER SIMPSON RESIGNS AS ECONOMIC ADVISER FOR SEC	

	—1937—	0 17, 1-23-1
62% OF INVESTORS THINK GOVT. REGULATION OF STOCK EXCHANGES HAS HELPED INVESTORS, ALOP POLL-GALLUP		N 24, 1-3
SEC TELLS NY STOCK EXCHANGE TO GET READY FOR A FED. HOUSE-CLEANING		N 26, 41-5
SEC GIVES NATION'S STOCK MARKETS 6 MONTHS TO CARRY OUT REFORMS DEMAND ED BY WM. O. DOUGLAS, SEC CHAIRMAN—1938—		MR 20, 4-12-8
SEC MAY DEMAND REGULAR STATEMENTS OF FINANCIAL CONDITIONS FR BROKERS		MY 24, 29-8
J E ^U WARD JONES SUES JOS. KENNEDY, OTHERS FOR \$1 MILLION AS MEMBERS OF ABOVE		JE 28, 4-4 CM
ALLEN E. THROOP RESIGNS ABOVE —1939—		F 23, 48-8
TO BE GIVEN AMENDMENTS TO EXCHANGE ACT BY NYC STOCK EXCHANGE		F 28, 24-1
SEC'S CONTENTION THAT AN INQUIRY INTO THE TRUTHFULNESS OF A STOCK RE GISTRATION STATEMENT WOULD BE STIFLED IMPROPERLY IF ITS PERMITTED THE STATEMENT TO BE WITHDRAWN, UPHELD BY COURT		MR 15, 29-5
CHAS. W. JONES OPPOSES COMM'SNS RULES FOR ACCOUNTANTS		MR 16, 39-6
WM. O. DOUGLAS ASSAILS PROPOSAL FOR RELAXATION OF FEDERAL REGULATION BY 16 EXCHANGES		MR 21, 29-5
SEC TO HEAR EXCHANGE'S SUGGESTIONS FOR IMPROVING THE SECURITIES ACT		MR 30, 40-5
LEON HENDERSON MENTIONED TO SUCCEED WM O. DOUGLAS		

STOCK: EXCHANGE: COMMISSION

1939

PAUL P. GOURRISH RESIGNS AS ADVISER TO SEC	1656 AP 1, 21-3 NITE
DET. TRADE BEING SURVEYED BY SEC TO DETERMINE NEEDS OF SMALL BUSINESS	AP 21, 8-1
COMM'SN ESTABLISHED SPECIAL UNIT TO EXAMINE REGISTRATION STATEMENTS OF MINING COMPANIES	May 12, 37-8
EDWARD C. EICHER DEFENDS SEC. TRUTH AIMS	JE 7, 31-6
SEC CHARGES ABUSES IN ORG. OF MANAGEMENT INVESTMENT TRUSTS	O 13, 39-8
FILES OF SEC BROKEN INTO TWICE IN ATTP. TO SPY ON FED. MONOPOLY INQUIRY	N 16, 2-8
-----1940-----	JA 3, 24-1
NATL. ASSN. OF MFGR.S ASKS MODIFICATION OF SECURITIES EXCHANGE ACT OF 1934	JA 16, 25-1 BLST
CHAIRMAN JEROME FRANK SAYS STOCK MANIPULATION HAS ENDED	MR 14, 45-3
NY STOCK EXCHANGE TO FIGHT ANY INTERFERENCE THAT RISK HAS BEEN ELIMINATED FROM SECURITY TRADING	MR 20, 35-8
SEC STIRRED BY CRITICISM OF INVESTMENT BANKERS ASSN.	MR 20, 35-1 BS
EMMETT F. CONNELLY CHARGES ABOVE WITH EVASIVENESS	MY 4, 17-1
SEC SAYS MAJOR PROBLEM IN SMALL SCALE FINANCING WAS COMPENSATION TO DISTRIBUTORS	AG 14, 25-4
CONG. PASSES BILL PLACING INVEST. TRUST BUSINESS UNDER ABOVE	

---1940---

AG 23, 29-3

PRS. ROOSEVELT SIGNS BILL PLACING INVESTMENT TRUST BUSINESS UNDER REGULATION OF SEC

N 1, 38-8

SEC SPREADS OUT REGISTRATION STATEMENTS WORK

D 29, 5-14-7

NY STOCK EXCHANGE CHALLENGES SEC AUTHORITY ON DUAL TRADING

---1941---

AP 7, 23-8 BS

JACOB GRUBERCHGO CONSPIRACY & TAPPING SEC WIRES

AG 2, 17-8

MARTIN RIGER APPT. DIRECTOR OF REORGANIZATION DIVISION

---1942---

JA 20, 23-4 MKT

INVESTMENT BANKERS ASSN. ASKS CONG. TO EXPAND COMMSN. FROM 5 TO 9 MEMBERS

F 4, 28-7

JOHN W. HOUSER APPT. DIRECTOR OF PUBLIC UTILITY DIV.

F 27, 33-4

APPT. HENRY HELLER DIRECTOR OF INVESTMENT COMPANY DIV. OF SEC

MR 19, 45-1

MOVE TO PHILADELPHIA DISORGANIZES ABOVE

JE 4, 44-7

CHAS. J. ODENWELLER, JR. APPT. ASST. REGIONAL ADMINISTRATION

JL 21, 22-6

FINANCIAL LEADERS CONFER WITH SEC ON FINANCIAL LIFE DURING WAR & POST WAR PERIOD

AG 18, 23-7

PROGRESS REPORTED BY COMMITTEES OF TECHNICIANS & FINANCIAL LEADERS ON PROBLEMS OF MUTUAL CONCERN

S 29, 24-8

COMM. APPT. TO STUDY PROXY REVISIONS SUGGESTED BY SEC

O 2, 38-8

~~PROPOSAL FOR SEC TO ASSUME AUTHORITY OVER MUNICIPAL BOND DEALERS DENIED BY CONGRESS~~

STOCK: EXCHANGE: COMMISSION**1942**

WARNS OF INFLATION DANGER FROM INCREASE IN CASH HOLDINGS
1656
---1943--- N 15, 1-2-8
REVISION OF RULE X-10A-1 ANNOUNCED MY 21, 36-5
NY FINANCIAL WRITERS ASSN ASKS ROOSEVELT NAME PAPERS SEEKING TO KILL
SEC O 21, 34-5
SENATORS SAY STOCK EXCHANGE PEOPLE WOULD LIKE TO KILL SEC
O 21, 34-6
---1944--- MY 18, 39-7
ROBT. K. MCCONNAUGHEY REAPPOINTED MEMBER N 25, 13-8
PHIL-NATL. SECURITIES COMMITTEE ON POSTWAR FRAUDS TO MEET N 28
---1945--- MR 27, 5-1
SEC ATTY. WALTER G. HOLDEN, JOHN J. DEVANEY IN DET. TO PROSECUTE MAIL
FRAUDS AP 28, 13-5
SUBMITTS SURVEY TO CONG. WHICH SHOWS GAIN IN ETHICAL STANDARDS IN
STOCK DEALINGS JE 19, 19-8
TO STUDY STANDBY ACCOUNTS IN UNDERWRITING FIRMS S 5, 28-2
FRANK FIELD NAMED ASST. COUNSEL IN INVESTIGATION NATL. GAS INDUSTRY
---1946--- JA 25, 29-3 BS 2
SAYS STATEMENT REPORTING ITS APPROVAL OF STOCK REGISTRATION WERE IN -
ACCURATE F 25, 25-7
REPORTS PROGRESS IN 11TH ANNUAL ACCOUNTING TO CONGRESS

---1946---

MR 26, 26-1

SEC ASKED TO LIST ATTY WHO LEFT COMMSN & LATER APPEARED BEFORE IT AS
COUNSEL FOR PRIVATE CONCERNS
REP. BORIN BILL WOULD BAR SEC IN MUNICIPAL FINANCING

AP 16, 23-4 BS 2

MORTON E. YOHANEM APPT. ASSOCIATE DIRECTOR OF PUB. UTILITIES DIV.

MY 29, 17-3

ASKS EXTENSION PROTECTIVE PROVISIONS OF SEC ACT. 1934

JE 21, 33-1

JAMES J. CAFFREY ELECTED CHAIRMAN

JL 23, 22-8 BS 2

SHERRY I. MCADAM NAMED ASST. TO CHAIRMAN

S 26, 39-1

SEC TO INVESTIGATE FINANCIAL CONDITION REGISTERED BROKERS DEALERS

O 1, 25-5

JAMES J. CAFFREY TELLS NEW PLANS TO SIMPLIFY PROCEDURE SEC

N 19, 28-1

NATHAN D. LOBELL NAMED ADVISER TO COMMSN.

N 24, 4-11-2

TO RETURN TO WASH. FR. PHILADELPHIA NEXT SPRING OR SUMMER

D 6, 46-4

---1947---

JA 23, 24-6 BS 2

PLANS TO MOVE OFFICES BACK IN WASHINGTON IN SPRING

JE 25, 30-6

JAMES J. CAFFREY RE-ELECTED CHRMN OF SEC

AG 15, 30-3 BS 2

SEC MOVES MAIN OFFICE FR PHILLY TO NY BEGINNING NOV. 1

---1948---

JL 3, 16-2

ABOLISH 3 TOP LEGAL OFFICES, RE-ESTABLISH POST OF GEN. COUNSEL

JL 4, 4-10-5

SAME AS ABOVE

1948

STOCK: EXCHANGE: COMMISSION

HARRY A. McDONALD HAILS WORK OF SEC ---1949---
 SEC TELLS SEN. COMM. SOME CASES OF PRICE MANIPULATIONS & OTHER FRAUDS
 ARE GOING UNDETECTED
 SEN. FREAR URGES CONG. BROADEN POWER FOR SEC
 ---1950---
 OTIS & CO. DEMANDS SEC DROP CHARGES THAT OTIS WALKED OUT ON KAISER-
 FRAZER STOCK DEAL
 DONALD C. COOK HINTS NEW CRACKDOWNS BY SEC ON QUESTIONABLE ADVERTISI-
 NG-BALL
 ---1952---
 NEW CHAIRMAN, DONALD C. COOK, OUTLINES CHANGES IN RULES
 SEC DISCONTINUES DAILY REPTSON ODD-LOT TRADING ON NY EXCHANGE
 ROBT. I. MILLONZI RESIGNS AS MEMBER SECURITIES EXCHANGE COMSN
 PROSES TO TIGHTEN ITS CONTROL OVER SMALL STOCK ISSUES
 ---1953---
 SEC. & EXCHANGE COMMSN GETS 10,000TH REGISTRATION STATEMENT
 U S CRT. CLAIMS ORDERS EISENHOWER TO OPEN FILES ABOVE
 PRES. IKE NOMINATES A. JACK GOODWIN & J. SINCLAIR ARMSTRONG TO BE MEM-
 BERS OF ABOVE

N 23, 30-6

My 18, 52-5

JE 8, 54-7

JA 5, 42-1

AP 23, 4-13-6

MR 5, 29-3 BS 2

AP 8, 32-1 BS 2

JE 6, 38-6

AG 15, 42-5

JA 9, 42-4

My 27, 6-1

JL 2, 18-7

—1954—

D 7, 32-6

D 31, 4-6

ABOVE STARTS STUDY OF CANADA ISSUES
SECRETS RECORDS OF ABOVE STIRS COURT BATTLE

—1955—

F 8, 12-1

ATTYS. FOR ABOVE MAKE KNOW THEIR ARGUMENTS IN FIGHT WITH CHIEF FED.
JUDGE ARTHUR LEDERLE ON ADMISSIBILITY OF CERTAIN SEC RECORDS IN COURT
CASES

F 11, 37-5

ABOVE DEFIES COURT ORDER FOR FILES ON RAKOW & HOLDEN

F 11, 16-6 5*

SEC'S ATTY PROPOSES PLAN FOR STEELING CONTROVERSY OVER SEC'S INQUIRY
IN MONROE, MICH PAPER PROD. CO. & WATERBURY, CONN. CONTAINER CORP.

F 12, 16-8

CHIEF FED. JUDGE LEDERLE & SEC BATTLE OVER SEC'S MAKING PUBLIC ITS
RECORDS

MR 2, 50-4

WM. TIMBERS, CHIEF COUNSEL FOR ABOVE, REFUSES TO REVEAL "CONFIDENTIAL"
INFORMATION CONTAINED IN SEC FILES

MR 2, 1-2 LF

WM. H. TIMBERS IN ABOVE INQUIRY IS CHG WITH CONTEMPT OF COURT

MR 3, 22-1

WM. H. TIMBERS TO FIGHT CONTEMPT CHG.

MR. 3, 1-5 LF

WM. TIMBERS IGNORES COURT SENTENCING & RETURNS TO WASH; FED. CRT. JUDGE
LEDERLE SAYS HE MUST BE BACK IN DET. MR 4TH OR ELSE

MR 4, 16-1 5*

FED. JUDGE LEDERLE AWAITS RETURN OF WM. TIMBERS TO DET. AFTER HE DELIBERATELY
LEFT FOR D.C. AFTER GIVEN 60 DAYS FOR CONTEMPT OF COURT

1955

STOCK: EXCHANGE: COMMISSION

TRIAL INVOLVING PAPER FIRM & ABOVE'S FILES ADJOURNED UNTIL MR 8TH	MR 5, 16-6
FED. JUDGE LEDERLE NAMED 2 ATTYS TO REPRESENT COURT IN FIGHT WITH ABOVE	MR 8, 8-5
DET. BEST LAW MAKERS IN FIGHT TO FORCE ABOVE TO GIVE IN TO FED. CT.	MR 8, 23-4 5*
JUDGE LEDERLE	MR 9, 48-1
FED. CT. JUDGE LEDERLE PRAISES ABOVE'S WITNESS WALTER HOLD EN	MR 10, 29-8
THOS. HART, OFFICIAL OF ABOVE, GOES THRU DAY TO TESTIMONY IN FED. CT. IN DET.	MR 10, 32-4
ABOVE'S PLAN THAT CORPORATIONS BE REQUIRED TO SUBMIT SEMI ANNUAL FINANCIAL STATEMENTS IS OPPOSED BY EDW. T. MCCORMICK, PRES.	MR 11, 46-7
FED. CT. IN DET. LETS FINAL ABOVE WITNESS GO	MR 12, 44-2
SEC HAS COMPLETE CONFIDENCE IN WM. H. TIMBERS	
ABOVE WANT'S PROXY FIGHT RULES TIGHTENED	AG 23, 30-8
SEC SEEKS TO AIR FINANCIAL SECRETS OF LARGE FIRMS	MY 18, 4-3
PROPOSES A NEW RULE DESIGNED TO ENCOURAGE PRIVATE INDUSTRY TO TAKE PART IN NUCLEAR REACTOR RESEARCH & DEVELOPMENT	JE 17, 8-10-7

—1956—

---1956---

JL 6, 33-1 5*

SEC RENEWS ITS SUPPORT OF BILL TO PLACE UNDER ITS POLICING 1,200 CORPS
WHOSE STOCKS ARE UNLISTED

JL 15, 8-15-2

EASES RESTRICTIONS ON NON-PROFIT COMPANIES ORGANIZED TO DEVELOP A-
POWER

AG 17, 44-5

ABOVE SETS UP BRANCH OFFICE TO CHECK ON SMALL ISSUES

D 19, 70-1

SEC IS OPPOSED TO PROPOSED HIKE IN TAX IN STOCK TRANSFERS & ISSUES

D 27, 41-1

NYC-NOBODY SHOW AT SEC PUBLIC HEARING ON PEBBY STOCKS

---1957---

AP 5, 42-7

REPORT BY ABOVE STRIKES AT SWISS TRUSTS

MY 8, 39-6

GD. RAPIDS-JOHN B. MARTIN BEING STUDIED BY PRES. IKE FOR APP'T TO ABOVE

---1958---

MY 18, C-25-2

6 TOP SEC OFFICIALS FROM D.C. TO TAKE PART IN M' 20TH SEMINAR ON THE
COMMISSIONS PRACTICE & PROCEDURE

MY 22, 46-8 BB

LOSES VARIABLE ANNUITIES SUIT

JE 27, 1-1 BB

GEO. ROMNEY PRAISES SEC ACTION ON TRANSACTIONS LOUIS E. WOLFSON

S 17, 4-5

HOUSE INVESTIGATORS SAY SEC IS LAGGING ON BERNARD GOLDFINE INQUIRY

---1959---

JA 6, 24-5

SEC THREATENS ACTION ON LATE STOCK REPORTS

O 7, C-16-6

WALTER P. NORTH BEGINS DUTIES AS ASSOCIATE GENERAL COUNSEL OF US
SECURITIES AND EXCHANGE COMMISSION

SEC TIGHTENS PROXY RULES	F 20, R-4-8
GIVES MANAGED FUNDS, INC. OF ST. LOUIS OK TO RESUME SELLING MUTUAL FUND SHARES	JE 9, C-21-4 FIN 5
U.S. SENATE CONFIRMS BYRON WOODSIDE AS MEMBER OF SEC	AG 28, A-3-1
WALTER P. NORTH NAMED GENERAL COUNSEL OF ABOVE	O 25, B-9-5 FIN 5
SEC ANNOUNCES MAJOR REVISION OF ITS COMMON STOCK PRICE INDEX	N 1, D-6-8 FIN 5
SOL DANN GOES TO D.C. TO ASK SEC TO TAKE OVER HIS CASE	---1961--- F 16, R-2-2
SEC TO INVESTIGATE AMERICAN EXCHANGE	MY 16, R-3-3
SENDS QUESTIONNAIRES TO MEMBERS OF AMERICAN STOCK EXCHANGE IN QUIZ	JE 12, B-6-5
KEITH FUNSTON ASKS CONG. NOT TO SIDETRACK ABOVE'S QUIZ ASKS MORE PROTECTION FOR INVESTORS	JE 29, C-12-8
RES. KENNEDY ACCEPTS RESIGNATION OF EDW. GADSBY AS MEMBER OF SEC	AG 13, D-11-5
PETER A. DAMMANN NAMED GENERAL COUNSEL	S 5, C-8-8
QUESTIONS 20 CORPS & 500 BROKER-DEALER FIRMS ON HOT ISSUES	D 20, D-5-5

SEC AIMS AT BRSE CHAR E AT AMEP STOCK EXCHANGE
 SEC SIFTS ROLE OF STOCKS PUBLICITY
 G KEITH FUNSTON HITS SEC LAG IN QUIZ
 SEC MAILING QUESTIONNAIRES TO ALL NY STOCK EXCHANGE MEMBER FIRMS FOR
 INFORMATION ON TRADING OF OWN ACCOUNTS DURING MAY 28 PLUNGE
 AGREES WITH NATIONAL ASSOC. OF SECURITIES DEALERS TO EXPEL VICKERS, CH
 ISTY & CO, NY, FROM MEMBERSHIP FOR RECKLESS HIRING PROCEDURES
 CURTIS H. BINGHAM, PRES, IBA, PRAISED SEC POLICY
 WASH-MADE AMMENDMENTS & S IMPLIFIED PROCEDURES FOR SUSPENSION OF TRADI
 G & DELISTING OF SECURITIES ON NATIONAL SECURITIES EXCHANGES
 PLANS TO ASK CONGRESS TO GIVE IT INCREASED AUTHORITY TO POLICE OVER-
 THE-COUNTER TRADING IN SECURITIES
 PACKAGE OF PROPOSED LEGISLATION TO TIGHTEN SAFEGUARDS FOR INVESTORS IN
 STOCK MARKET READY FOR SUBMISSION TO CONGRESS BY SEC
 SEC ASKS SWEEPING CHANGES IN SECURITIES LAWS
 CURRENCY CONTROLLER JAMES J. SAXON OBJECTS TO PROPOSAL BY SEC TO IM-
 POSE NEW REGULATIONS ON BANKS

---1962--- JA 6, A-1-2
 F 6, 2-11-4
 MY 25, A-1-5 FIN 5
 JL 20, C-11-5
 AG 10, B-8-8
 O 9, C-12-8
 F 6, C-8-8
 MY 1, B-11-6
 JE 4, B-12-8
 JE 4, B-13-4 LF
 JE 6, C-17-3

---1963---

1963

STOCK: EXCHANGE: COMMISSION

JL 1, D-6-4

NY-2ND STANZA OF SEC'S REPORT ON ITS INVESTIGATION OF SECURITIES MARKETS CAN ALMOST BE PLAYED TO TUNE OF ON AGAIN, OFF AGAIN FINNEGAN-GOULD

JL 2, A-4-3

ATTY PHILIP A. LOOMIS NAMED GENERAL COUNSEL OF SEC IN WASH.

JL 10, D-7-7

SEC PROPOSALS FOR EXTENDING FED. SUPERVISION OF SECURITIES TRADING TO OVER THE COUNTER DEALING IN STOCKS WON OK OF SENATE BANKING SUBCOMMITTEE

JL 16, B-12-5

LONG-DELAYED SECOND PART OF SEC STUDY OF SECURITIES MARKETS SCHEDULED TO BE SUBMITTED TO CONGRESS JULY 17

D 21, A-8-5

NAT'L BANK OF DET DENIED CONCEDED THAT ABOVE HAS POWER TO REGULATE POOLED INVESTMENT FUNDS FOR SELF-EMPLOYED INDIVIDUALS

---1964---

JA 24, C-5-1

SEC SAYS NY STOCK EXCHANGE "SPECIALISTS" IN CERTAIN STOCKS FAILED TO MEET RESPONSIBILITIES DURING PANIC SELLING FOLLOWING DEATH OF KENNEDY

F 13, C-8-1

WASH-A.F. CONWILL, NY ATTY, HEAD OF SEC DIV OF CORPORATE REGULATION SAID TO RETURN TO PRIVATE PRACTICE & NOT TO RUN FOR COMM. POST

---1964---

MR 24, R-8-1

SEC GOING ALL OUT TO MAKE CASE FOR ABOLISHING FLOOR TRADERS-GOULD

AP 14, C-6-1

WASH-SEC TO DISCONTINUE PUBLICATION OF WEEKLY STOCK PRICES INDEXES
AFTER JE 1

MY 27, C-19-3

WASH-AMENDED ITS PROXY RULES TO PREVENT CORPORATE ANNUAL REPORTS FROM
MISLEADING STOCKHOLDERS-ALLOW SOME LEEWAY IN PREPARING FINANCIAL STATE
MENTS

JE 22, B-13-6

WASH-MEMBERS ABOVE REPORT WIDESPREAD SPECULATION THAT 2 APPT BY PRES.
JOHNSON TO ABOVE WILL SAP THE AGENCY REGULATORY ZEAL ARE WITHOUT
FOUNDATION

JL 10, A-3-5 FIN 5

PRES. JOHNSON ANNOUNCED MANUEL COHEN WILL BE ELEVATED TO CHAIRMANSHIP

---1965---

MR 23, C-7-2

WASH.-SEC LOSES ROUND IN BATTLE TO EXTEND REGULATORY CONTROLS TO INS.
COS. THAT SELL VARIABLE ANNUITIES

S 8, C-18-3

WASH.-SEN. HART WARNS SEC AGST PROPOSING LEGIS. TO EXEMPT STOCK EXCHAN-
GES FROM POSSIBLE ANTITRUST ACTIONS

S 9, D-9-7

NY-GENESCO INC. SAYS SEC PROBING CO'S PUBLIC RELATIONS & CORPORATE AD-
VERTISING PRACTICES TO SEE IF HAVE HELPED PUSH UP PRICE OF STOCK

---1966---

MY 9, C-8-6

WASH-SEC IS CRACKING DOWN ON SUSPECTED ILLEGAL MISUSE OF SPEC STATUS
OF CHURCH BONDS

---1970---

JE 25, C-15-8

SEC ISSUES GUIDE TO FUND SALES ABROAD.

STOCK - EXCHANGE - COMMISSION

1966

WASH-MANUAL F COHEN SAID HIS DEPT IS STUDYING CURRENT WIDE FLUCTUATION
STOCK PRICES

MY 24, 9-5-1

MY 27, D-6-5

WASH-SEC TO WATCH FOR COMPANIES BUYING HEAVY KNOWN STOCK

AG 12, A-21-6

WASH-OCT 1 SET AS DATE ON INVESTMENT ADVISERS OWN TRADES LIST

S 26, A-17-6

WASH-SEC MAY SEEK MORE INFO ON BIG FIRMS

O 25, C-6-8TH

WASH-MAY EXTEND STANDARDS OF CONDUCT TO ALL BROKERS & DEALERS
IN SECURITIES

D 24, A-8-1

FMBD COMPETITION WORKS AGST INVESTOR-LIVINGSTON

- -1967---

MR 8, D-7-4

CHAS.A. ARCELLS JR. CRITICIZED PROPOSAL BY ABOVE TO CHANGE
BROKERAGE COMM. SCHEDULES & ELIMINATE FEE-SPLITTING BY BROKERS
W.B. SMITH

MY 3, C-22-2

NY-INVESTMENT COMPANY INSTITUTE TO OPPOSE ABOVE RECOMMENDATIONS
GIVEN TO CONGRESS TO SLICE SALES CHARGES ALMOST IN
HALF, ABOLISH FRONT-END LOAD

---1967--- My 8, A-24-6
 WASH-RICHARD B SMITH SWORN IN AS MEMBER JE 28, C-14-1
 EDW S BURR SAYS CHANGES IN RULES THREATEN SECURITIES INDUSTRY
 O 25, C-22-1
 ABOVE USES ANTITRUST THREAT TO SEEK MUTUAL FUND CHANGES
 ---1968--- S 5, C-10-7
 PUT & CALL ADVERTISERS SCOLDED BY SEC S 26, C-4-4
 SEC MAY HOLD COMPANIES LIABLE FOR STOCK TRANSFER
 ---1969--- O 23, C-15-1
 WASH-WARN INVESTORS TO BE WARY ABOUT ALASKAN OIL STORIES-
 ---1970--- MR 19, C-21-6
 SEC RULED THAT GM MUST INCLUDE 2 OF RALPH NADER'S SUGGEST
 IONS IN NEXT STATEMENT (PROXY) TO SHAREHOLDERS-IRVIN
 N14, B-8-2
 SEC CHAIRMAN, HAMER H BUDGE TO RESIGN WHEN CONGRESS' 91ST SESSIONS
 QUILTS ---1971--- JA29, A-18-1
 TAX EXPERT, WILLIAM J. CASEY OFFERED CHAIRMANSHIP, Ap16, C-9-1 1DOT
 WASH-HIGHER INTEREST LURES DRAW SEC WARNING JL30, D-4-6
 SEC CENSURES BACHE & CO., AS IT PREPARES TO OFFER 2,500,000 OF THE
 FIRM'S SHARES FOR SALE TO THE PUBLIC N 11, C-9-1 RACE
 SECURITIES & EXCHANGE COMMSN PROPOSED NEW RULES TO BAR
 BROKERS FROM UNRESTRICTED USE OF CUSTOMERS; FUNDS IN BUS-
 INESSES

1656

F 3, C-5-6

SEC PROPOSES CENTRAL MARKET

F 17, D-9-3

OKS HOME BUILDING BID OF MICH CONSOLIDATED GAS CO. RYAN

MR 23, C-16-8

ASKED CONG FOR BROAD NEW POWERS TO REGULATE & STOCK PROCESS
ING AND TRANSFERS.

AP 4, A-2-1

DC---FINANCIAL STATEMENT ON FILE WITH SEC APPEARS TO SUPPORT
ITT CONTENTION THAT THEY PAID INCOME TAXES FOR 3 YEARS IN
WHICH PRES. CANDIDATE GBO S MCGOVERN ALLEGED IT PAID NONE.

JE 21, C-14-2

DC--PHILIP A HART SAYS PBW STOCK EXCHANGE MEMBERS MAY VIOL-
ATE ANTI-TRUST LAWS.

AG 7, A-2-3

SEC QUESTIONS STOCK SALES BY PENN CENTRAL EXECS, REPORT CRI-
TICAL OF FORMER PRES & STUART T SAUNDERS, FINANCE CHIEF
DAVID C BEVAN, BOTH FIRED WEEK BEFORE DECLARATION OF BANK-
RUPTCY.

-1972-

Ag 21, C-8-7

DC---SEC TO LOOK INTO DIVERSIFICATION BY SECURITIES FIRMS.

S 22, D-10-6

DC---SEC ADOPTS NEW RESTRICTIONS ON PROXY PROPOSALS.

S

D 4, A-13-1 RACE

SEC AVOIDS SILVER DOLLAR FEUD.

D 26, C-14-1

SEC ACCUSED IN BUSINESS DISCLOSURE FUSS; TOO EASY ON DEFENSE FIRMS?

-1973-

F 20, A-12-3

SENATE SECURITIES SUBCOMM DECLARES COMMSN TOO TIMID FOR ADEQUATE REGULATION OF SECURITIES INDUSTRIES.

Mr 19, B-16-2

HOUSE UNIT MAY RELEASE SECRET ITT SUMMARY. My 29, B-8-2

SEC NOM DUE FOR JOHN R EVANS, NIXON ANNCs.

JE 21, A-27-3

LAIRD DEFENDS SEC CALLS.

JL 8, B-15-1

NIXON NAMES RAY GARRETT, JR, CHIC, AASOMMER, JR, CLEVELAND, TO SEC; GARRETT TO BE COMMSN CHAIRMAN.

1973

STOCK : EXCHANGE : COMMISSION

S 14, B-3-7

**SEC FILES SUIT AGST SPANISH SYNDICATE, CHARGING UNREGISTERED
SALES OF SECURITIES IN CONNECTION WITH COSTA DEL SOL CONDO
MINUM SALES.**

D 12, D-8-2

SEC REIN ON CENTRAL MARKET SETUP OKD BY SENATE UNIT.

-1974-

JA 31, A-22-6

**NIXON ANNCES INTENTION TO NOM. IRVING M POLLACK AS MEMBER
OF SEC.**

JL 15, A-13-1

BEGINS PROBE OF CORPORATIONS' POLIT. GIFTS-CURRY

-1976-

JA 15, B-5-1

**DC-SEC PROBE GROWS, INCLUDES 30 FIRMS, BRIBERY KICKBACKS
ILLEGAL CAMPAIGN CONTRIBUTIONS**

JL 21, A-16-1

DC-PRES FORD TO NOM PATRICK J DELANEY AS MEMB

O 3, B-6-1

**DC-REPORT RATES SEC AS MOST EFFECTIVE U.S REGULATORY
AGENCY**

-1977-

AP 12, A-9-3

**DC-SEC WARNS LAW FIRMS THAT ALL SECURITY TRANSACTIONS MUST
BE BASED ON INFO NOT HIDDEN FROM GEN PUBLIC**

-1977-

JE 20, D-9-3

DC-DINGELL CALLS SEC LAX IN PUBLIC UTILITY REGULATION

JL7, D17-5

ROBERTA S. KARMEL NAMED TO SECURITIES AND EXCHANGE
COMM. BY PRES CARTER.

AG 2, A-2-2

MRS. ROBERTA KARMEL NOMINATED TO SERVE ON COMMSN.

S 29, A-4-1

DC-SEC SUES 'FAVORED' LOAN RECIPIENTS, LINKED TO BERT LANCE

O 4, F-1-6

DC-BACKS RIGHT TO LOOK AT YOUR BANKING RECORDS

O 18, A-2-3 1 DOT

SUPREME COURT TO RULE ON AUTHORITY TO SUSPEND PUBLIC TRADING
IN STOCK FOR SUCCESSIVE 10-DAYS PERIOD

---1978---

O 30, A-14-1

US SUP. CT. REFUSED TO BAR SEC FROM MAKING PUBLIC DETAILS OF
\$9 MILLION IN FOREIGN PAYOFFS MADE BY ITT

-1980-

AP 24, C-10-1

REACHED SETTLEMENT WITH BROKER DAVID MARANTETTE. CHGD WITH
VIOLATING SECURITIES REGULATIONS.

STOCK : EXCHANGE : COMMISSION

1980

DC-SEC CHGD PLAYBOY ENTERPRISES WITH ILLEGALLY FAILING TO REPORT BENEFITS. -1981- AG 14, B-16-1E

DC-McLOUTH STEEL CORP OF DET OVERSTATES ITS PROFITS IN THE 1970S.-DUTHIE JE 18, C-13-2

FULL DISCLOSURE-EDIT AG 9, A-18-1

INSIDE DEALS-EDIT

-1985-

N 16, A-10-1
Mr 5, A-6-1

DC-Paul Thayer & Billy Bob Harris pleaded guilty to lying to SEC when it was investigating their 'insider' trading.

Jl 2, B--7-1

To require banks offering securities brokerage services to register with commsn

Ag12, A-1-1

States try to protect businesses fr hostile takeovers. Freedman

-1986-

Ag 15, E-1-1

John SHad leaving SEC

-1986-

N 5, C-1-1

Court overturns SEC on banks, does not have authority to regulate banks as 'broker-dealers'

N 22, C-1-5

DC-SEC defends decision allowing BOesky to sell, make \$400 million

-1987-

Je 17 A-2-1 4-dot

David Ruder nominated as chm, replacing John Shad.

Je 18 C-1-2

DC-David S. Ruder, new chm of SEC will take hard line on insider trading.

S18 F-2-5 1-dot

DC-Ruder seeks power to overrule state securities law.

N 15 J-4-3

DC-Securities & Exchange Commission looking into whether speculation fueldd by corporate takeovers helped cause stock crash.

0 19 C 1-6

SEC approves trading limits-DC

STOCK: EXCHANGE: COMMISSION

1989

Je29 E 3-1

SEC offers bounty on insider traders

Ag 14 A-3-1 3Dot

Pres. Bush nom Richard Breeden to head SEC

-1991-

O 01 A 6-1

Sec.&Exch.Com accused Christopher J. Moran /of using
confidential info.to sell stock

British investor

-1992

F 21 E 1-6

Financial planning industry is so under regulated that most
of the 17,000 firm offering investment advice will never be
inspected for possible fraud

S 17 E 1-1

House rejected proposal to give Comm wider authority to
regulate govt securities market.

O 16 E 1-5

Washington-New ruling allows stockholders to talk more free-
about a company; sheds light on exec pay

-1993-

Ja 20 A 3-3

DC - Consuela M Washington, 44, is a leading candidate to head the commission. - Parker

Mr 12 E 2-1

Arthur Levitt Jr has emerged as the leading candidate to chair the SEC.

Ag 26 A 14-1

EDGAR won't be available for people to use.

--1996--

Ja 9 B-3-6

Securitates & Exchange Comm. bars Gary Smith, Ann Arbor attorney, from investment business

Ag 9 B-3-6

SEC says NASDq's parent borke laws

-1999-

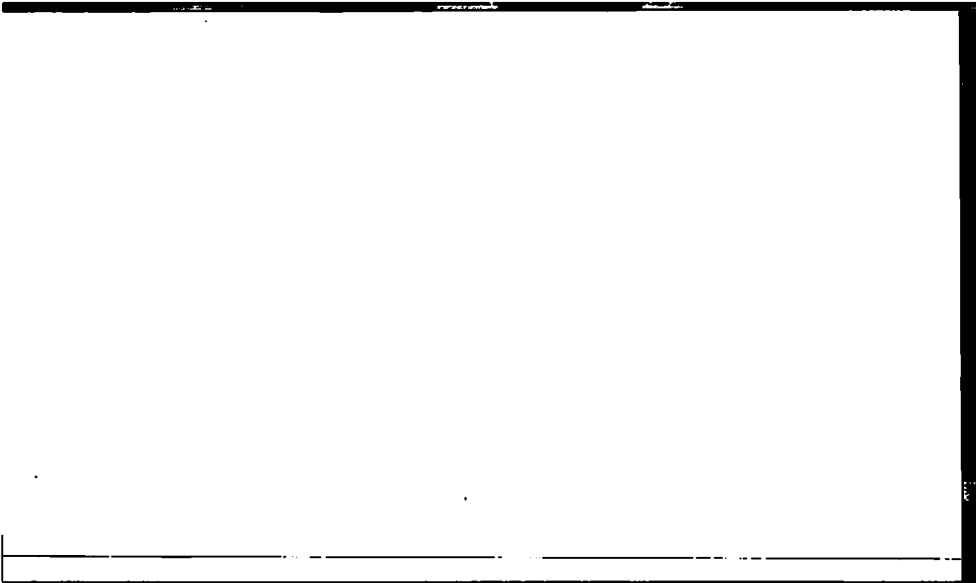
My 14 B-3-2

DC- SEC needs new powers, budget increase to fight investment fraud on Internet.

STOCKS : EXCHANGE : CONFERENCE

1947

DELEGATES FR EXCHANGES IN 11 AMER.COUNTRIES OPENS S 8, 25-5
DET.STOCK EXCHANGE ACCEPTS INVITATION TO HEMISPHERIC CONF. S 15, IN NY
S 12, 44-4 BS 2



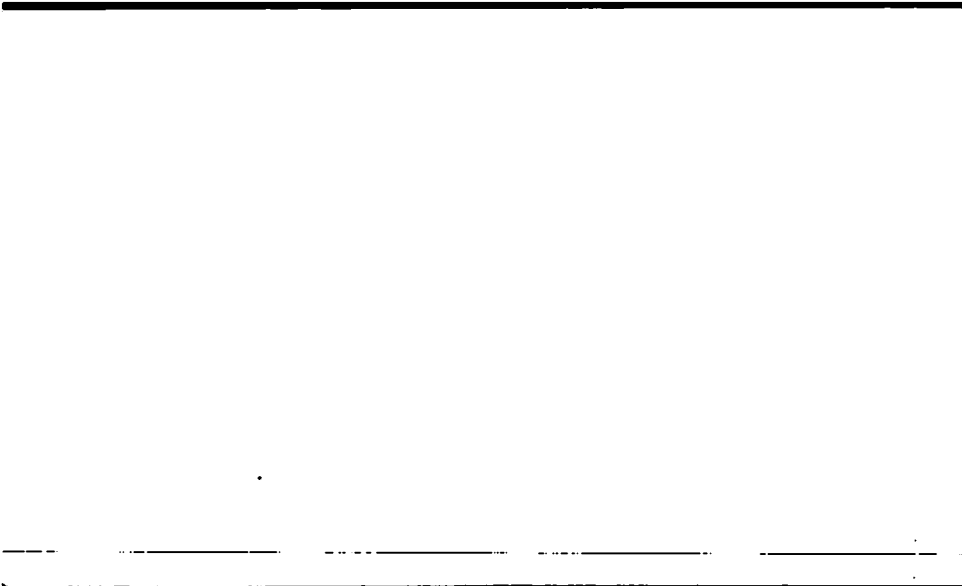
STOCK: EXCHANGE: CREDIT

1937

Form 1656

D 5,4-20-8

FEDERAL RESERVE BD. LOOSENS MARKET RULES TO AID BROKERS & THEIR CUSTOMERS



STOCK : EXCHANGE : CZECH REPUBLIC

1993

Ap 7 E 2-1

Opened officially on Tues.

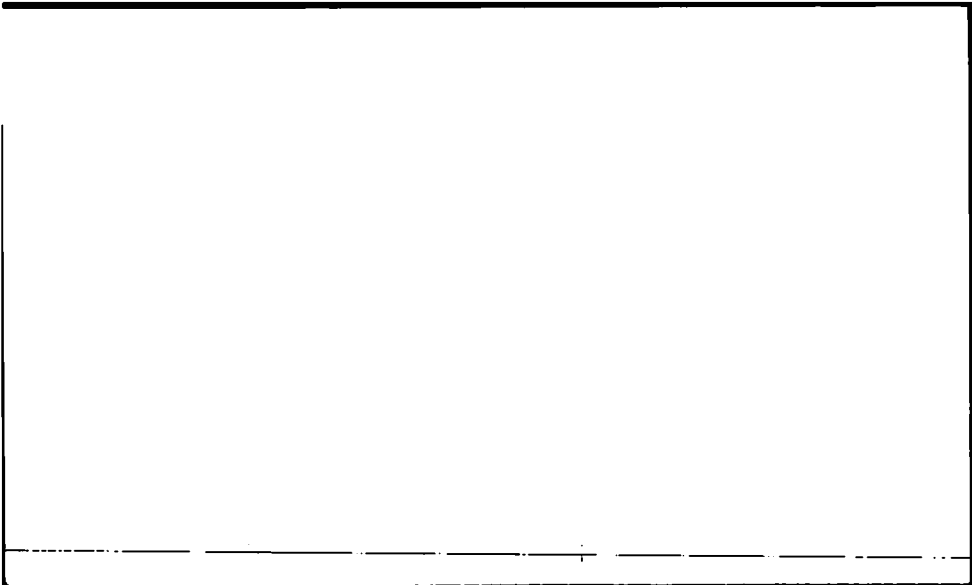


~~DETROIT~~ STOCK EXCHANGE: DETROIT: HISTORY

1957

F 28, 46-5

JOS. M. DODGE OF DET. BANK & TRUST CO. DEFINES STOCK EXCHANGE ROLE OF
ABOVE-TUTTLE



~~DETROIT~~ STOCK EXCHANGE: DETROIT: HOURS

1953

S 28, 34-6

ABOVE'S HOURS NOW FR. 10 AM TO 3 45 PM MONDAY THRU FRIDAY

-----1959----- O 26, R-5-3 5*

TRADING HOURS STARTING TODAY WILL BE FROM 10 AM TO 3:45 PM WITH OFFICE

OF EXCHANGE OPEN FROM 9 TO 5PM

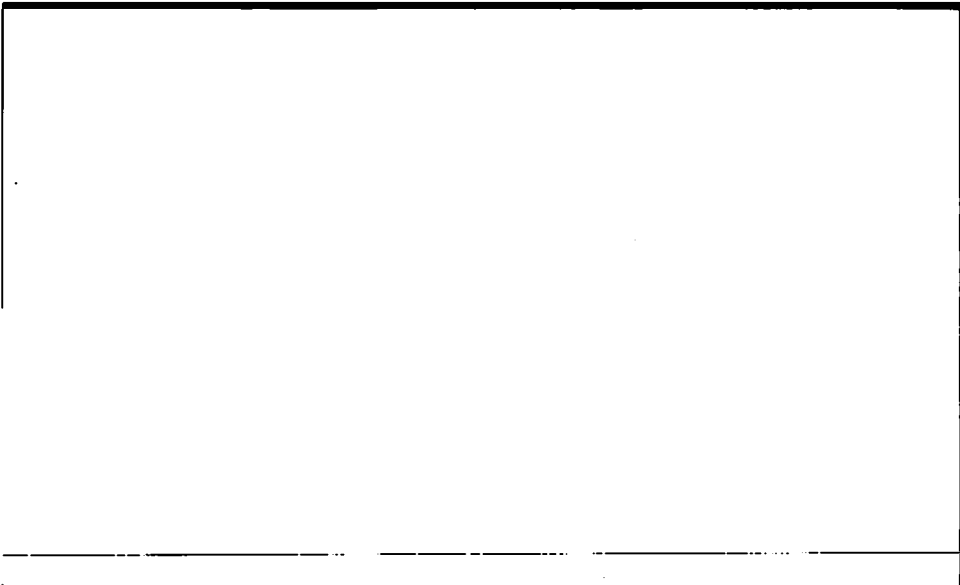
-----1961----- My 19, R-7-7

NEW YORK STOCK EXCHANGE & DET. STOCK EXCHANGE WILL NOT OPEN MY 29TH

-----1966-----

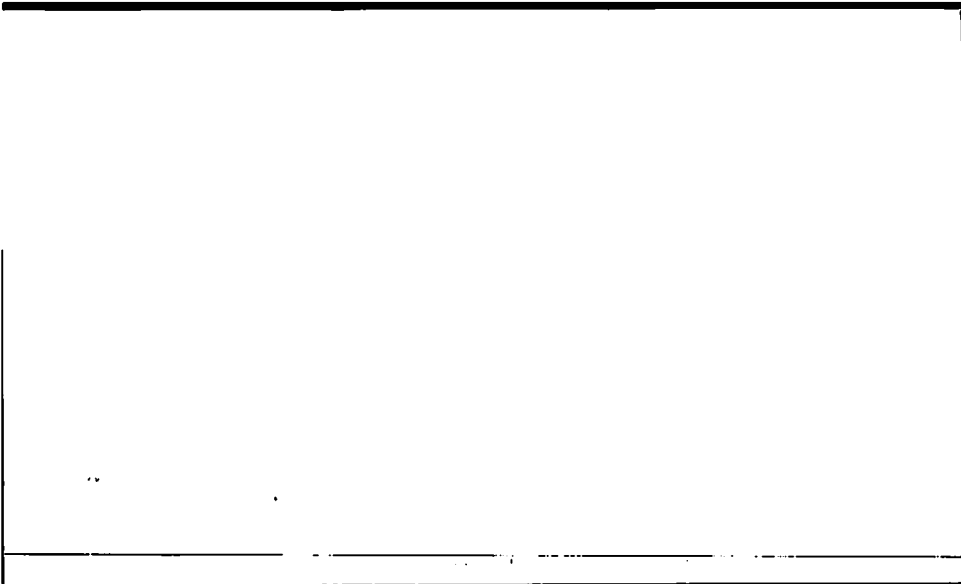
A 11, B-7-6

TO CLOSE AT 2:15 P.M. UNTIL FURTHER NOTICE BECAUSE NYC TRANSIT STRIKE
CAUSE NY EXCHANGE TO CLOSE AT 2 P.M.



~~REXXXXX~~ : STOCK EXCHANGE : DETROIT: MERGER 1949

DET. BANKS, TRUST COS. OPPOSE MERGER ABOVE WITH MIDWEST STOCK EXCHANGE
AT CHI.- 0 26, 342-2



DETROIT:**STOCK EXCHANGE: DETROIT: OFFICERS****1939****Form 1656****ALL OFFICERS RE-ELECTED****ANDREW C. REID ELECTED PRES.****HAL H. SMITH, JR. ELECTED PRES.; OTHERS****HAL H. SMITH, JR. ELECT. PRES.****---1945---****FRED J OPPAT ELCTD SECY OF****JOHN O MAC FARLANE APTD VICE-PRES OF****MILTON A MANLEY ELEC PRES.****NOMINEES FOR 3 GOVERNORS ABOVE CHOSEN****GEORGE A M DOWELL ELEC PRES; OTHERS****---1951---****SEABOURNE R LIVIN STONE ELEC VICE PRES.; OTHERS****---1952---****SEABORNE R. LIVINGSTONE ELECTED PRES- OTHERS ELECTED****---1953---****FRANK E. VOORHEIS ELECTED PRESIDENT OF ABOVE; LIST OF OTHER OFFICERS****---1955---****LAWRENCE H. DILWORTH RE-ELECTED PRESIDENT OF ABOVE****---1956---****TRADING VOLUME ON ABOVE AT HIGHEST LEVEL SINCE 1945****JA 11, 27-3****JA 14, 26-4****JA 13, 26-8****JA 12, 23-1****JE 28, 35-4****O 28, 4-7-5****JA 15, 31-1****JA 4, 38-4****JA 11, 36-2****JA 10, 40-7****JA 9, 43-5****JA 14, 24-4****JA 12, 32-1****JA 22, 8-2-3**

	---1957---	JA 9, 50-5
WARREN A WOOD RE-ELECTED PRES. - OTHERS -		
	---1958---	JA 15, 62-7
ROY W. NEIL, ELECTED PRES.; LOST OF OTHERS ELECTED		N 20, 50-5
FRED J. OPPAT TO RETIRE N 30TH AS EXEC. SECY OF ABOVE		
	---1959---	JA 14, 52-4
RE-ELECTS ROY W. NEIL, PRES.; LIST OF OTHER OFFICERS		
	---1960---	JA 13, B-6-8
CHAS E EXLEY ELEC PRES. ABOVE-		
	---1961---	JA 12, C-17-4
CHAS. EXLEY ELECTED PRES., WALTER BAYER, VICE PRES. & ROY DELANEY, TREASURER; OTHER OFFICIALS LISTED		
	---1962---	JA 10, C-11-6
WALTER BAYER NAMED PRES.	---1963---	JA 24, D-14-3
M. EDWARD DENNY APPT EXEC VICE PRES & SECY		

~~D.H.~~ - DETROIT STOCK EXCHANGE

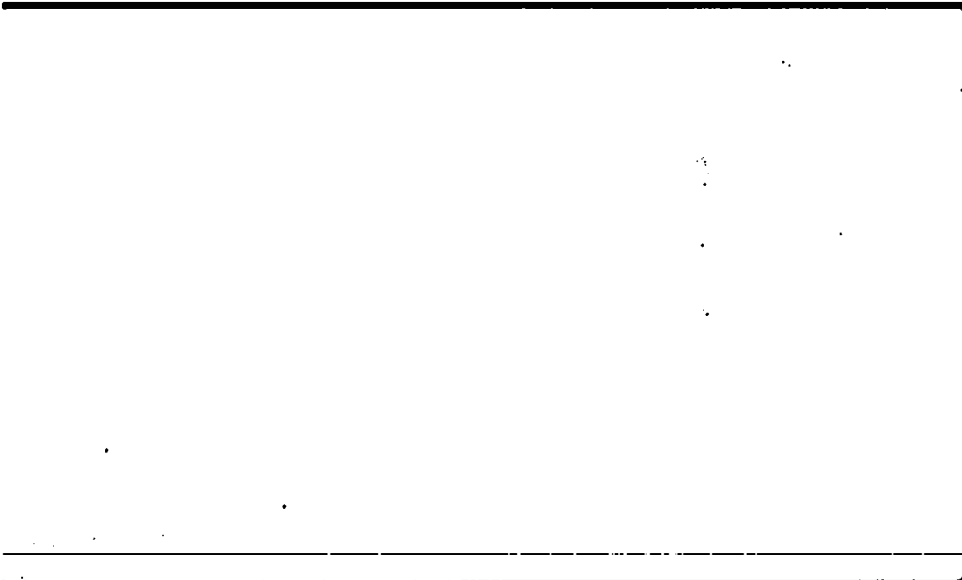
1901

Form 1656

The Detroit News

My 19. 23-1

How first stock exchange went to smash in a tin
pool



Form 1656

FRANKLYN HOBBS OF CENTRAL TRUST CO., ILL., SAYS THERE IS NO REASON TO FE- JA 20, 40-6
AR TOTAL OF BROKERAGE LOANS, DINNER, BOOK-CADILLAC F 6, 29-1
OPERATES IN NEW QUARTERS PENOBSCOT BLDG AP 19, 4-7-1
RICH PRODUCTS CORP., STOCK LISTED JE 14, 44-1
LISTS COMMON STOCK UNION COMMERCE INVESTMENT CO. N 29, 1-1
SEAT SOLD N 28 FOR \$60,200--OF P.E. KAEMMERLEN TO MAX SCHLACHMAN
---1929--- AP 13, 17-1
MAY ADD MEMBERS; 1ST STEP IN PLAN FOR EXPANDING TRADING FACILITIES
---1930--- JA 5, 11-1-3
11,500,000 SHARES APPROXIMATE VALUE, \$775,000,000 CHANGED HANDS IN 1929
STATISTICS AP 14, 20-6
O'DELL & DIEHL, ARCHITECTS HAVE COMPLETED PLANS ON THE NEW BLDG OF ABOVE
TO BE ERRECTED ON GRISWOLD NR JEFF. JE 3, 38-1
GROUND BROKEN, JE 3, FOR NEW HOME; BEST SIDE OF GRISWOLD BETW LARNED & W
JEFFERSON AG 13, 30-6
CORNERSTONE TO BE LAID AG 14 AH 15, 31-4
CORNERSTONE LAID AG 14 ---1931--- F 18, 33-8
M C RONEY ELECTED PRES. F 27, 47-1
NEW BLDG OPENED WITH DINNER F 26 MR 1, 1-21-1
EXCHANGE OPENS IN NEW BLDG MR 2 MR 2, 31-1
INAUGURATE TRADING IN NEW BLDG.

---1932---	JA 13, 28-4
LAWRENCE P SMITH ELECTED PRES.	F 2, 33-4
DET. RESEARCH BUREAU INC ANNOUNCES PUB OF MONTHLY MANUEL CONTAINING	
SUMMARIZED STATISTICAL INFORMATION OF ALL STOCKS HANDLED BY ABOVE	
---1933---	MR 17, 25-8
REOPENS AFTER HOLIDAY SINCE F 14; CAUSED BY BANKS CLOSING	AP 19, 1-1 WSC
CLIMBS WITH EXPANDING PRICES-BASSETT	AP 20, 2-7
NEW YORK BOOM REFLECTED ON LOCAL EXCHANGE	AP 30, 4-12-1
DOMO MINES LTD ADMITTED TO TRADING	JL 22, 1-3
TO OBSERVE SAME HOURS AS NY EXCHANGE & WILL CLOSE	ON SATURDAYS
---1934---	JA 10, 26-1
CHAS B CROUSE ELECTED PRES.	S 11, 3-2
PREPARES TO APPLY FOR REGISTRATION	O 1, 28-5
LISTINGS REDUCED BY REGULATIONS OF STOCK EXCHANGE	COMM.
---1935---	JA 10, 35-8
CHAS. B CROUSE RE-ELECTED PRES.	MR 25, 25-7
DET. PANKS 8TH IN EXCHANGES LISTED BY SEC: FIGURES	JL 16, 26-7
MINE STOCKS & OTHERS REMOVED FROM EXCHANGE	S 18, 31-8
MEYER STEINBERG ELEC TO MEMBERSHIP	N 1, 1-4
OCT. WAS BIGGEST TRADING MONTH IN 6 YEARS	N 7, 39-7
FINANCIAL CLASS, TAUGHT BY FRANK D BOUNTON, TO VISIT EXCHANGE	N 7
---1936---	JA 10, 37-7
JOHN L GOODBODY ELECTED TO MEMBERSHIP	JA 11, 19-4
SET NEW VOLUME RECORD JAN 10	JA 15, 30-3
FREDERICK J WINCKLER ELECTED PRES.	JA 31, 37-3
JAS E RYAN ELECTED MEMBER OF ABOVE	

Form 1656

INSTALLS NEW MANUAL QUOTATION BOARD
 TO ACQUAINT EMPLOYEES OF MEMBER FIRMS WITH NEW TELEPHONIC-MANUAL QUOTA-
 TION BOARD
 NEW QUOTATION SERVICE STARTED MR 28
 CLAUDE G McDONALD ADMITTED TO MEMBERSHIP
 DET. PROBABLE UNDERWRITING CENTER OF FUTURE
 ---1937---
 FRED J WINCKLER RE-ELECTED PRES. OF ABOVE
 COMMITTEE CHAIRMEN NAMED FOR ABOVE; LIST
 FORMULATE PLANS FOR EXPANSION & IMPROVEMENT
 INTERNAL REFORM PLANNED, SEC ACTS TO SUSPEND ABOVE-
 CARL A. OLSON TELLS MEMBERS OF ABOVE PLANS TO REVISE BLUE SKY ACT
 NATHAN STEINBERG, PARTNER OF DART & CO., EXPELLED BY EXCHANGE
 REGARDS EXPANSION OF UNLISTED TRADING AS ESSENTIAL IN OPERATION
 ---1938---
 NEW CONSTITUTION DRAWN UP FOR EXCHANGE
 GEO. A. MILLER ELECTED HEAD OF EXCHANGE

MR 15, 4-16-5

MR 23, 27-6

MR 29, 4-13-1

MY 18, 3-7

D 6, 4-21-6

JA 14, 39-7

JA 24, 4-15-3

F 7, 4-17-2

F 18, 1-1-BLST

F 19, 21-1

AP 20, 38-3

S 10, 43-8

JA 10, 25-5-CM

JA 12, 26-1

---1938---
GEORGE A MILLER ELEC. PRES OF ABOVE
LIST OF COMMITTEE APPOINTMENTS
CLARK C WICKEY APPT EXECUTIVE VICE-PRES OF
EXCHANGE EXTENDS HOURS FOR TRANSACTIONS

JA 16, 4-15-2
JA 23, 4-12-5
MR 13, 4-14-7
AP 5, 32-5

---1940---
DENIS J ALISON ELECTED PRES.
AUTHORIZED BY SEC TO EXTEND UNLISTED TRADING PRIVILEGES

JA 10, 25-5
MY 19, 3-24-8

---1941---
PAUL T BOLLINGER ELEC. PRES.
TO MOVE TO NEW QUARTERS IN PENOBSCOT BLDG. MAY 12

JA 15, 27-2
MY 9, 32-6

ASK THAT 29 STOCKS BE EXTENDED UNLISTED TRADING PRIVILEGES

AG 23, 16-1

TICKER REMAINS IDLE FOR HOUR & HALF AS 23
AUG. '41 SALES ABV. AUG '40 TOTAL. ---1943---

AG 23, 17-6

S 3, 26-1

JOS D FROST ADMITTED TO MEMBERSHIP
SPECIAL OFFERING PLAN IN EFFECT HERE --1944--

MR 14, 4-15-5
N 19, 338-7

JE 4, 4-10-1

DR. WM. ERNEST HOCKING TO SPEAK AT DINNER
---1945--/

JA 11, 35-5

CHAS. PARCELLS ELECT. PRES. ---1946---
CHAS. A. PARCELLS RE-ELECTED PRES.

JA 10, 39-6
F 10, 4-10-4

DET EXCHANGE SEATS SOLD AT \$3,500

TO CLOSE SATURDAYS JUNE THROUGH SEPT
 AUTHORIZED TO EXTEND UNLISTED TRADING PRIVILEGES
 SEAT ON ABOVE SOLD FOR \$5,000
 TO HOLD DINNER AT HOTEL STAELER JAN. 23
 ---1947---
 ANNUAL DINNER SET FOR JAN. 23, DR. MARCUS NADLER TO SPEAK
 ASKS SEC TO TRADE IN 14 ISSUES: HEARING JAN. 27
 DR MARCUS NADLER AT ABOVE DINNER SAYS OUTLOOK GOOD
 GRANTED AUTHORITY TO EXTEND UNLISTED TRADING PRIVILEGES
 ---1948---
 ARMIN H VOGEL ELECTED PRES.
 L.F. WHITTEMORE ADDRESSED MEMBERS AT ANNUAL DINNER, URGES BATTLE ON IN-
 FLATION.
 ---1949---
 DOLLAR VOLUME TRADING IN 1948 SHOWED 6% INCREASE OVER 1947
 ANNOUNCES ELECTION ARMIN H VOGEL AS PRES.: OTHERS
 MEMBERS VOTE AGAINST MERGING WITH OTHER MIDWESTERN EXCHANGES
 HENRY J TAYLOR, BEFORE ABOVE, ATTACKS US SPENDING
 TO CLOSE SATURDAYS THIS SUMMER FR MAY 28-SEP 24
 TRADING SESSIONS ABOVE TO START HOUR EARLIER STARTING APR 25:9 AM

AP 5, 35-1
 J 19, 28-5
 J 23, 22-4
 D 31, 1655

JA 8, 31-1 BS. 2
 JA 11, 15-6
 JA 24, 33-1 BS 2
 MR 25, 24-1

JA 14, 32-4
 JA 15, 50-7
 JA 7, 36-1
 JA 12, 36-8
 JA 13, 24-4
 F 16, 38-4
 AP 1, 52-7 BS2
 AP 20, 44-1

APR 25:9 AM

ISSUES BOOKLET UNDER TITLE "DET STOCK EXCHANGE--MICH MARKET PLACE--
 URGES LOCAL TRANSFER AGENTS. JE 7, 28-7
 DET. BD. OF COMMERCE OPPOSES MERGER DET. EXCHANGE WITH MIDWEST STOCK EX- JL 14, 53-1 BS 2
 CHANGE O 27, 34-2
 ENDS CONSIDERATION OF MERGER WITH MIDWEST STOCK EXCHANGE--N 11, 48-5
 TAKING INCREASED SHARE OF BUSINESS BEING DONE ON NATION'S SECURITIES D 14, 66-1
 EXCHANGES-- JA 5, 32-1 BS2
 ---1950--- JA 8, 4-17-4
 TRADING ON DET EXCHANGE SETS NEW HIGH IN DEC. F 22, 34-6
 ANNUAL DINNER ABOVE AT STATLER FEB 21 AP 23, 4-11-2
 HARRY A. McDONALD TELLS 43RD ANNIV. DINNER EQUITY CAPITAL AVAILABLE FOR
 GOING BUSINESSES--TUTTLE MY 11, 68-2 N
 TRADING SESSION ADVANCE HOURS WITH TIME EXCHANGE IN EAST--JA 2, 28-1 FINAL
 DET EXCHANGE BIDS FOR BROADER MARKET --1951-- JA 4, 50-8
 TRADING VOLUME ON ABOVE IN 1950 TOTALED 4,749,910 SHARES--JA 9
 MEMBERS TO ELECT 5 GOVERNORS AT ELECTION F 2, 38-1 BS 2
 TRADING VOLUME SETS 15-YR. PEAK IN JAN. WITH \$10,568,305--F 21, 53-1 BS2
 CLARK M. CLIFFORD TELLS ABOVE MUCH CREAM RUSSIA FIGHTING FORCES BEING
 DESTROYED IN KOREA-- AP 3, 32-5 BS 2
 TRADING TOPS 1950 ON DET. EXCHANGE; FIGURES --1952-- JA 3, 38-1 NITE
 LIST NOMINEES FOR 3 GOVERNORS & NOMINATING COMM. JA 22, 22-4
 ---1953---
 BOYLSTON A. TOMPKINS, TO SPEAK AT ANNULA DINNER OF DET. STOCK EXCHANGE
 FEB. 11

DETROIT X - STOCK EXCHANGE -- DETROIT

1656

MY 29, 24-6

ABOVE ELECTS WARREN A. WOOD A GOVERNOR OF ABOVE N 1, 4-20-2
 FOR 1ST TIME IN HISTORY OF JUNIOR ACHIEVEMENT STOCK OF 50 JA COS. WILL
 BE SOLD AT ABOVE BEGINNING NOV. 6

---1954---

JA 3, 4-15-6

DET. REDFORD BRANCH LIBRARY TO GIVE COURSE IN SERIES OF TALKS ON FINANCING
 IN STOCKS & BONDS STARTING JAN. 5

F 18, 49-4 5*

JULY TRADING VOLUME ON ABOVE RISES

---1955---

JA 9, B-2-3

BUSINESS ON DET. STOCK EXCHANGE RISES TO HIGHEST LEVEL SINCE 1946

JA 10, B-18-2

ABOVE HAS BIGGEST DAY IN $\frac{1}{2}$ CENT. ON JULY 6

---1956---

N 18, ROTO 44

FRED J. OPPAT, EXEC. SECY. OF ABOVE, TELLS STORY OF BABY SITTER'S INVESTMENTS
 IN STOCKS

---1957---

JA 6, D-17-6

'56 ON DET. EXCHANGE 2ND BEST YEAR OF DECADE

N 24, A-18-1

BROKERS INTERVIEWED, SAYS LITTLE PANIC SELLING IN DET. - CROWE

---1958---

JA 12, F-12-6

URNS OVER 4,588,422 SHARES OF STOCK IN '57

DETROIT STOCK EXCHANGE TRADING HOURS ARE FROM 9AM TO 2:45 PM DUE TO CHANGE IN TIME IN EAST	---1958---	AP 28, 30-7
REPORT ON '58 SITUATION IN DETROIT STOCK EXCHANGE-FIGURES	---1959---	JA 11, G-4-1
ANNOUNCES THE ADMISSION TO UNLISTED TRADING PRIVILEGES, UPON OK OF SEC. OF 8 STOCK ISSUED WHICH ARE LISTED		MR 6, 24-1
JOHN J. MICHAEL CONDUCTS COURSE ON STOCK EXCHANGES IN DET.-J. SULLIVAN		AP 5, ROTO 28
HAROLD W. SCHMIDT & HARRY A. McDONALD JR., ELECT MEMBERS	---1960---	JA 14, C-10-5
ADMITS LEONARD A. FRISBIE & MURRAY FRUMIN TO MEMBERSHIP		MY 18, C-15-5 FIN 5
FORMS DET. STOCK CLEARING CORP. WITH NEW DATA PROCESSING SYSTEM	---1961---	JE 21, C-17-6
TELEPHONE COMMUNICATION INSTALLED TO LINK WITH 32 MEMBER FIRMS		JL 18, D-6-5 LF
ADMITS 21 ADDITIONAL SECURITIES TO UNLISTED TRADING PRIVILEGES; NAMES LISTED		AG 23, D-7-3
END OF '62 SHOWED TURNOVER & RISING TREND IN EXCHANGE-HEADS ARE OPTIMISTIC FOR '63	---1963---	JA 6, B-23-4
M. EDWARD DENNY EXPLAINS MARKET FUNCTIONS OF DETROIT STOCK EXCHANGE-SMITH		F 2, A-12-3

~~DETROIT~~ STOCK EXCHANGE -- DETROIT

1963

ADDS 21 STOCKS TO ITS LISTINGS-LIST

F 19, B-15-5

MAYOR CAVANAGH, SPEAKING AT DINNER OF ABOVE, SAYS DET. IS FINE PLANCE TO INVEST AS WELL AS TO LIVE

F 20, C-15-3

My 10, C-9-7

GOVERNING COMMITTEE ADMITS TO MEMBERSHIP PETER C. BANNES

JE 5, C-17-4

INCREASE IN NUMBER OF COMPANIES LISTING SHARES ON ABOVE PREDICTED AS RESULT OF SEC PROPOSALS FOR OVERHAUL OF FEDERAL SECURITIES LAWS-SMITH

JL 18, C-14-5

EMERGED UNSCRATCHED FROM JL 17 BLISTERING REPORT ON STOCK MARKET BY SEC INVESTIGATORS

--1964--

JA 12, H-17-3

TRADING VOLUME ON ABOVE IN '63 WAS HIGHEST IN 34 YRS-8,784,334 SHARES WERE TRADED

MR 17, C-10-1

LYNN A. TOWNSEND, ADDRESSING ANNUAL DINNER OF ABOVE, ENVISIONS END OF "BOOM-AND-BUST" CYCLES OF AMER. ECONOMY

--1965--

JA 10, F-15-1

ROY F. DELANEY, PRES. ABOVE, SAID TOTAL 11,540,000 SHARES TRADED IN '64- BIGGEST YEAR YET-SMITH

F 24, C-18-4

PROF. PAUL W. MCCracken, ADDRESSING ANNUAL DINNER OF ABOVE, SAYS 1965 WILL BE YR OF "STERN TESTING" FOR AMERICA'S ECONOMIC POLICY

---1969--

JA 12, E-10-1

DET EXCHANGE JARRED BY RULE CHANGES-SMITH

AG12, B-3-1

DET. EXCHANGE TIRES SPECIALIST BROKERS IN ATTEMPT TO INCREASE & IMPROVE THE HANDLING OF ORDERS-SMITH.

---1970-----JA 18, J-4-1

DETROIT STOCK EXCHANGE BIDS FOR COMEBACK IN 70-

~~1970~~ 1971

AG7, A-8-6

MARTIN REPORT'S PROPOSAL TO COMBINE ALL STOCK EXCHANGES INTO A SINGLE NATIONAL MARKET FOR LISTED SECURITIES IS UNDER STUDY BY OFFICERS OF ABOVE

S26, E-7-5

DET.STOCK EXCHANGE LEADERS VERY WARY OF SINGLE NATL. SECURITIES MARKET-SMITH

031, E-7-4

DETROIT STOCK EXCHANGE "THE MOUSE" IS ROARING.- SMITH

---1972---

MY 14, E-12-5

ANNOUNCED NEW POLICY TO GRANT COMMISSION DISCOUNTS OF UP TO 50% TO NON-MEMBER BROKER DEALERS

MY 30, C-7-6

PLANNING PROMOTIONAL CAMPAIGN TO GET MORE INDIVIDUAL INVESTORS TO CHALLENGE NEW YORK

09, A-15-5

DETROIT AND NY EXCHANGES AGREE TO ALLOW BROKERAGE FIRMS WITH MEMBERSHIPS ON BOTH EXCHANGES TO DELIVER SECURITIES TO EACH OTHER THRU CENTRAL CERTIFICATE SERVICE.

STOCK : EXCHANGE : DETROIT

1973

BOOM MAY HELP DET EXCHANGE.SMITH

MR 11, F-7-3

D 16, E-6-2

TRADING VOLUME HIGHER ON DETROIT STOCK EXCHANGE.SMITH

--1974--

D 1, G-5-5

JULIUSPOCHELON ELECTED PRES.;OTHER OFFICERS

D 3, D-13-7

SLOWED BY BIG D1 SNOWSTORM-GAINOR

-1976-

F 3, A-13-6

ROBERT A BENTON JR ELECTED BD CHRMN

HY 16, E-5-1

TO END AFTER 69 YEARS-SMITH

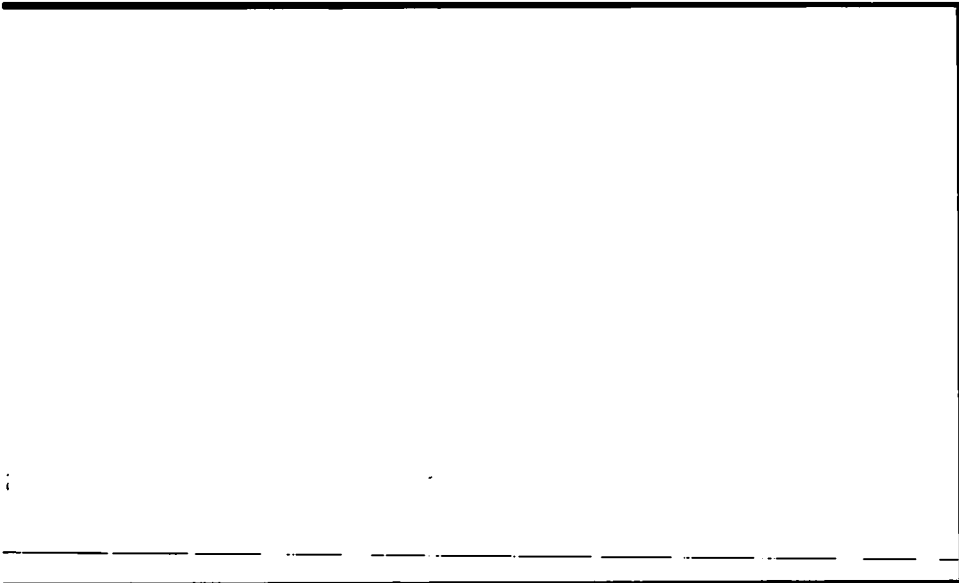
-1996-

S 13 B 1-3

**State Sen.Mike Rogers wants to revive Det.Stock Exchange;
in new form-Pepper**

S 13 B 1-5

List of stock exchanges in U.S. and internet home pages



STOCK: EXCHANGE: EDITORIALS

1937

Form 1656

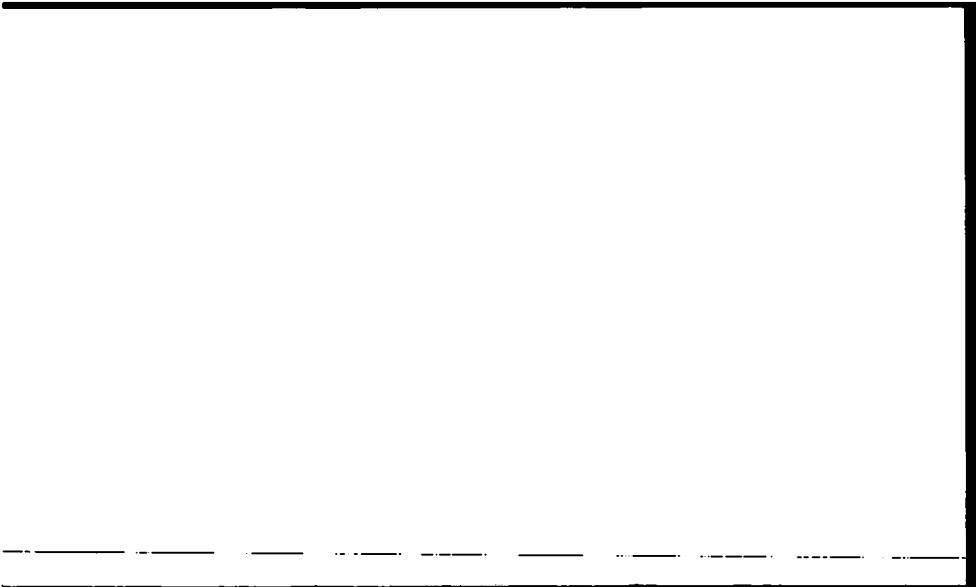
AP 10,6-1

CREDIT DUE SEC FOR KEEPING STOCK MARKET UNDER CONTROL

---1971---

MR 7,E-3-1

SPEACK UP, SMALL INVESTORS--~~B~~EDIT

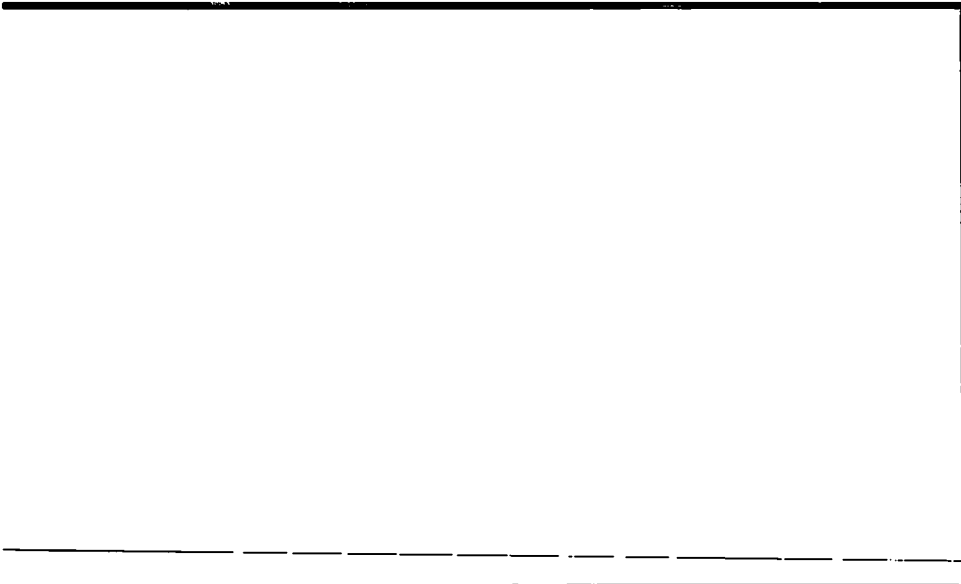


STOCK: EXCHANGE: EMPLOYES

1969

N 20, C-10-7

NEWYORK-FEDERAL JUDGE WEINFELD UPHELD LAW REQUIRING FINGERPRINTING FOR ABOVE--



STOCK: EXCHANGE: EQUIPMENT

1961

STOCK QUOTATION BOARD SAID BY ITS PRODUCER TO BE FIRST MAJOR DEVELOPMENT IN QUOTATION BOARDS IN 20 YRS

U 14 D-12-1
---1968---b D 22, F-5-1

NASD SIGNED 7 YR CONTRACT WITH UNKER RAMO FOR AUTOMATED QUOTATIONS SYSTEM FIR OTC DEAL

100% 90% 80% 70% 60% 50% 40% 30% 20% 10% 0%

STOCKS : EXCHANGES : GERMANY

1943

Form 1656

F 11, 43-5 BS

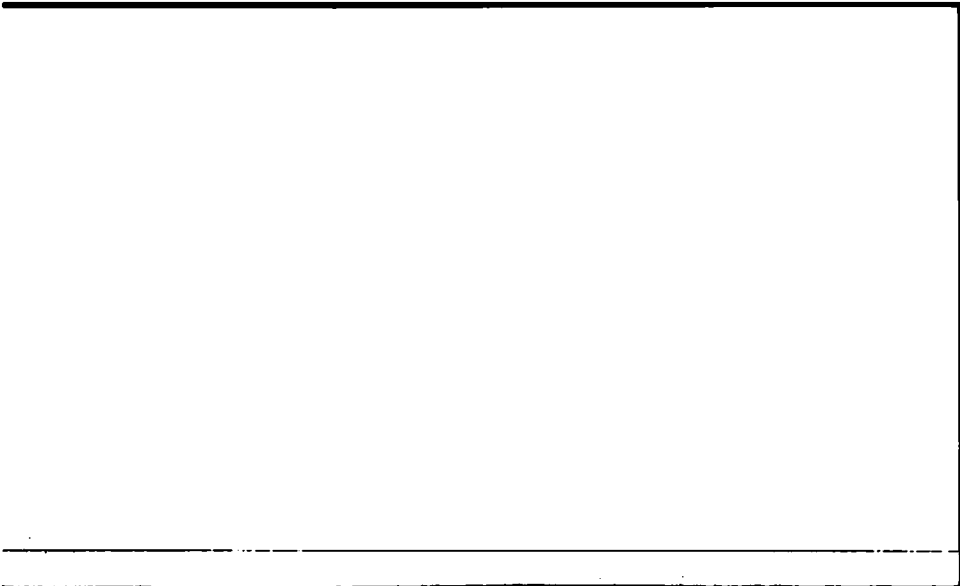
EXCHANGES TO CEASE ACTIVITY TO LARGE EXTENT EFFECTIVE

FEB. 13

~~1956~~

S 27, 66-6

STOCKS OF US COMPANIES BACK TO TRADING ON FRANKFURT STOCK EXCHANGE FOR
1ST TIME SINCE 1931

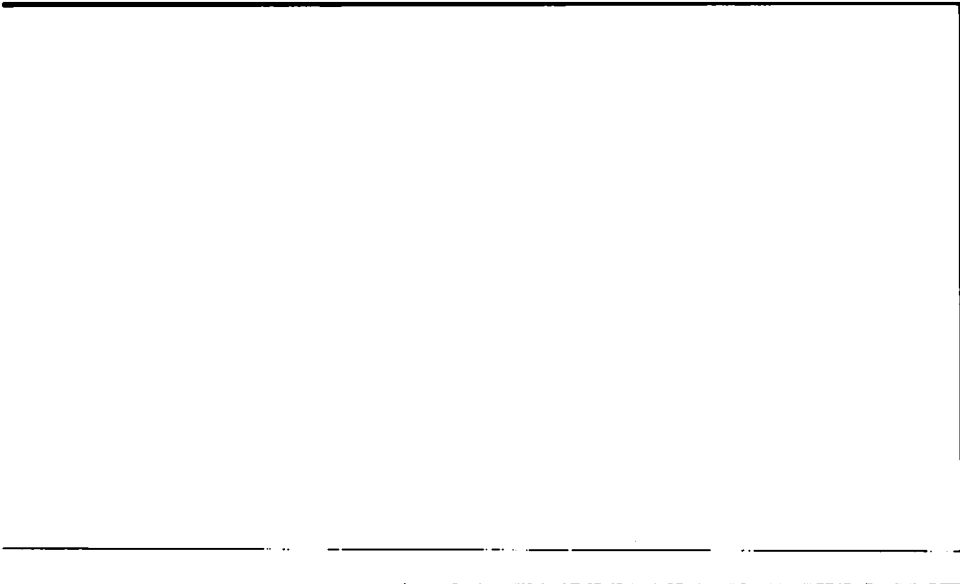


STOCK EXCHANGE MEMBERS: GT.BRITIAN

1956

FILES FOR ENTRY INTO BIRMINGHAM, ENG. STOCK MARKET WHICH IS FOR EN ON¹₂
LY

AG 24, 3-1



1964

STOCK - EXCHANGE - GREAT BRITAIN

D 23, B-6-6

LONDON-LONDON STOCK EXCHANGE COUNCIL TIGHTENS REGULATIONS TO PREVENT ATTEMPTS TO CREATE FALSE MARKETS IN SECURITIES

---1966---

JA 25, P-7-4

LONDON-8 MEMBERS OF LONDON STOCK EXCHANGE SUSPENDED, 5 FIRMS CENSURED IN ALLEGED TAX EVASION SCANDAL --1970--

029, A-1-2

LONDON-LONDON STOCK EXCHANGE ATTACKED FOR SEX DISCRIMINATION- NO WOMEN

-1986-

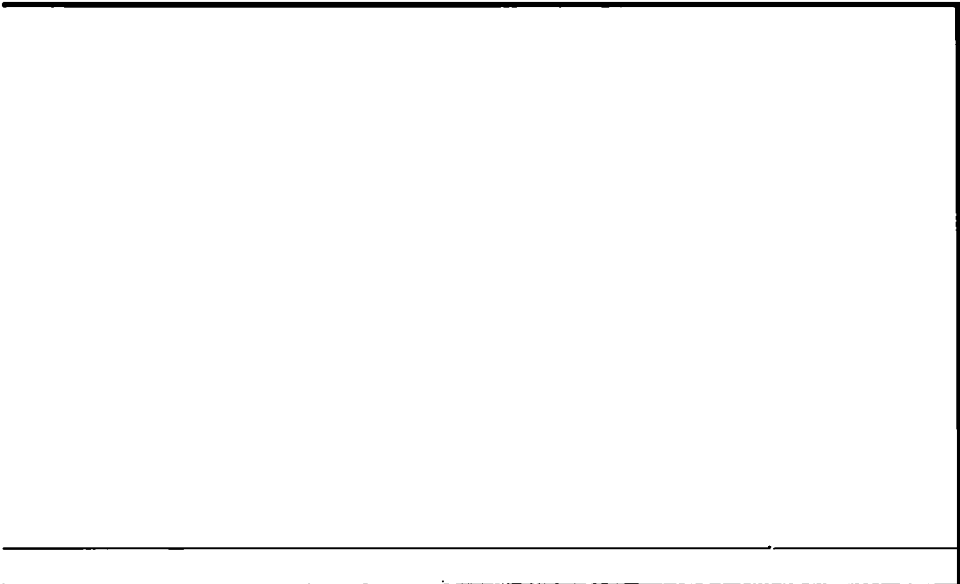
0 28, D-1-2

London-Breakdown in computer system causes confusion

-1990-

J1 20 A 3-1

An explosion caused extensive damage at the London Stock Exchange following a telephone warning.



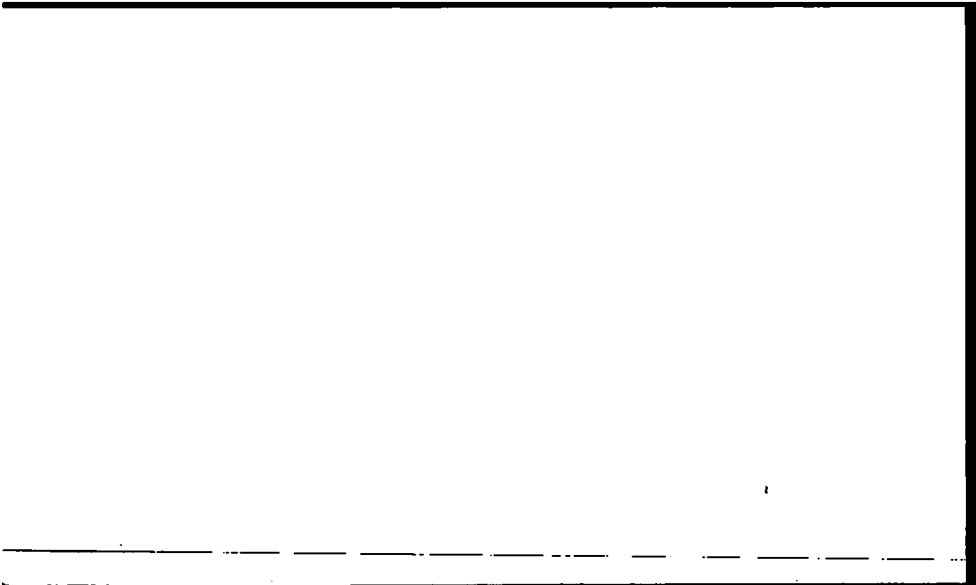
STOCK : EXCHANGE : HISTORY

1936

Form 1656

O 29, 57-4 W S C

U 29 MARKS 7TH ANN. OF CRASH OF 1929

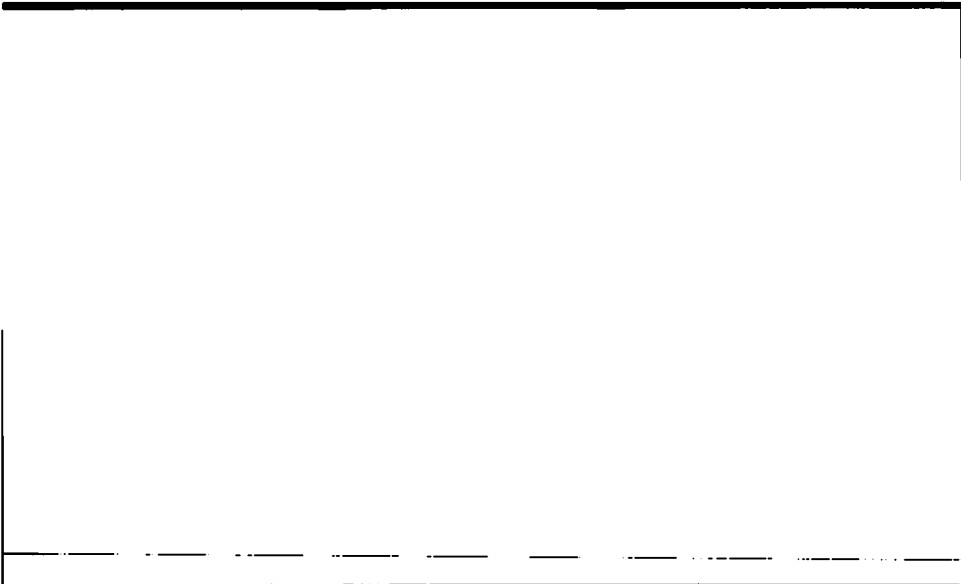


STOCKS : EXCHANGES : HOLLAND

1946

BROKERS ON AMSTERDAM BOURSE REFUSE TO MAKE QUOTATIONS

0 11, 37-4 BS 2



BANKING CIRCLES FAVOR A 5-DAY WEEK

---1948---

TRADING TO BE RESUMED ON SATURDAYS, OCT. 2

---1949---

MAJOR EXCHANGES BEGIN SUMMER SCHEDULES, CLOSE SATURDAYS THRU SEPT. 24

MARKETS RESUME SATURDAY TRADING: EW S TO PUBLISH CLOSING QUOTATIONS

---1950---

SECURITY EXCHANGES TO CLOSE SATURDAYS THRU SEPT

---1952---

CHI-MIDWEST EXCHANGE TO EXTEND TRADING HALF HR. LONGER

---1958---

NY, AMER. & DET. EXCHANGES TO CLOSE DEC. 26

TO CLOSE DEC. 26TH & OPERATE NORMALLY JAN 2ND

NYC-STOCK MARKETS TO CLOSE NEW YEAR'S DAY

---1959---

TO REMAIN OPEN ON LINCOLN'S BIRTHDAY F 12TH

MARKETS TO CLOSE F 23RD FOR WASHINGTON'S BIRTHDAY

STOCK EXCHANGES TO CLOSE MR 27TH, GOOD FRIDAY

NB, 40-1

O 1, 40-1 BS 2

MY 28, 14-5

S 30, 50-1

JE 2, 44-1 BS2

MY 22, 36-1

N 21, 50-1 LF

D 22, 32-6

D 30, 19-1

F 11, 66-8

F 18, 32-7

MR 24, 30-1

—1968—

AG 30, A-2-6

CONTINUE ON 4 DAY WEEK CLOSED WEDNESDAYS

O 1, B-3-6

NY-STOCK MARKETS MAY SOON RETURN TO REGULAR 5-DAY TRADING WEEK

O 11, D-11-4

NY-NY & AMER. STOCK EXCHGS. TO CONT SPECIAL WED. CLOSINGS THRU OCT.

N 1, C-8-1

NY-4-DAY WEEKS FOR NATION'S STOCK MARKETS CONT. THRU NOV.

N 22, D-5-1

NY-WILL CONT. TO BE CLOSED 1 DAY WEEK THRU DEC.

—1969—

JA 2, D-6-1

NY-WILL TRY TO RETURN TO 5-DAY WEEK BEGINNING JAN 6 DESPITE HEAVY TRADING VOLUME

JA 17, D-4-3

WILL CONTINUE 5 DAY WEEK TO REPLACE 4 DAY WEEK.

F 13, C-6-4

NEW YORK- EXCHANGES EMPLOY OUT HELP TO SEEK END TO PAPER WORK LOGJAM.

F 19, C-17-1

NY-EARLY CLOSING OF MARKET TO CONTINUE THRU MARCH

F 21, C-7-6

BOARD MAKES NO CHANGES IN TRADING HOURS

MR 30, F-1-6

STOCK EXCHANGES CLOSED MR 31 IN MOURNIGN FOR EISENHOWER.

STOCK: EXCHANGES: HOURS

1969

JE 29, H-8-1
STOCK TRADING HOURS TO BE EXTENDED FOR 30 MINUTES DAILY,
STARTING JULY 7- JL 18, D-5-5

ABOVE TO BE CLOSED JL 21 FOR THE MOONLANDING HOLIDAY-

---1970--- AP 10, D-11-1
TO GO BACK ON FULL TRADING DAY OF 5½ HRS MY 4 AP 24, C-5-4
MARKET TO BE OPEN MY 29 THIS YEAR AP 30, D-8-1

EXCHANGE TO RETURN TO 5½ HOUR TRADING DAY FOR FIRST TIME IN
22 MONTHS ---1971--- F10, C-15-2 1DOT

MERRILL LYNCH CHAIRMAN, DONALD I REGAN, CITES NEED
FOR SLOWDOWN IN TRADING HOURS. MY28, D-7-2

ALL STOCK EXCHANGES CLOSED MONDAY IN OBSERVANCE OF MEMORIAL DAY

--1974-- S 3, A-16-1
NYSE BD. TO MEET S12 TO CONSIDER PROPOSAL THAT TRADING HRS.
BE EXTEND BY ½ HR. TO 4PM S 11, F-7-4

NYSE NOTES S12 ON 4PM TRADING DAY EXTENSION PROPOSAL-DEIR-
DREDONNELLY S 15, G-3-3

DET. BROKERS SAY DECISION TO EXTEND TRADING DAY TO 4PM PROBABLY
WON'T ADD MUCH BUSINESS IN THIS AREA

--1974--

0 3, F-7-2

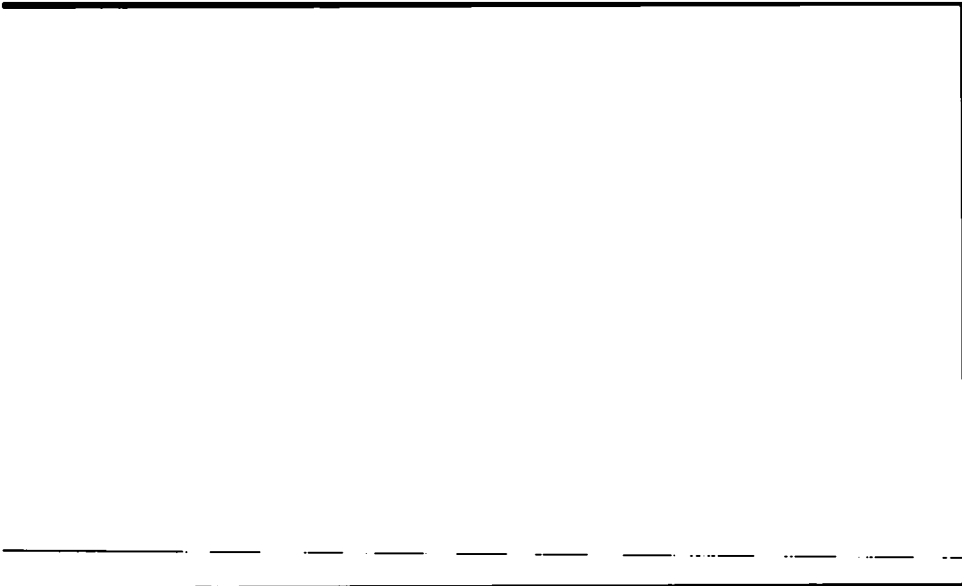
DET. BROKERS & NYSE OFFICIALS SEE LITTLE DIFFERENCE IN TRADING
VOLUMES WITH ADDED $\frac{1}{2}$ HR. - GAIN OR

STOCK: EXCHANGE: HUNGARY

1990

Je 22 E 2-4

The first stock exchange in post-Communist Eastern Europe opened in Budapest, Hungary



STOCK - EXCHANGE- INDEXES

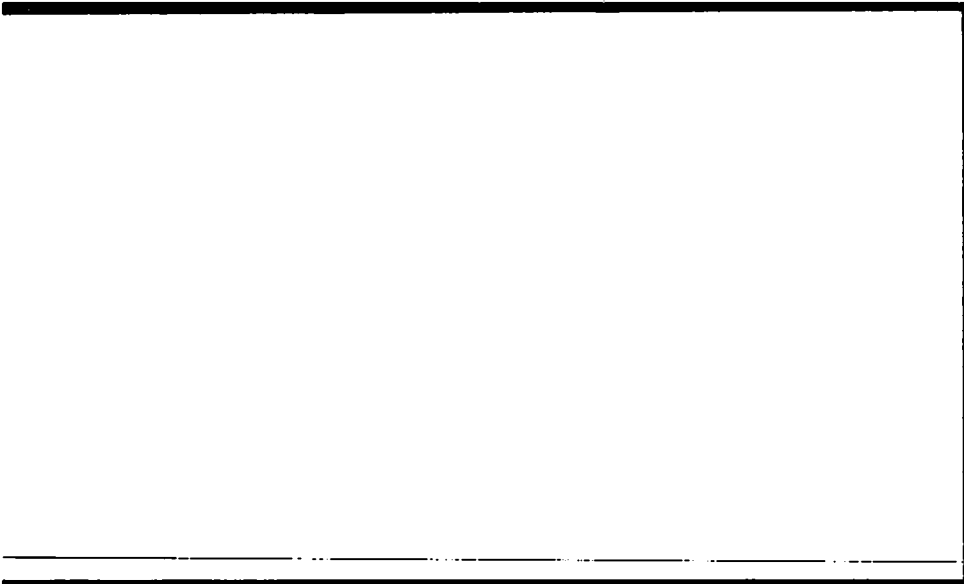
1966

JL 20, G-18-8

TO BE PUBLISHED IN DET NEWS FOR ALL 5 X~~XX~~



STOCK CHIEFS CALLED TO HOUSE QUIZ	JE 26, R-6-8
RE. MACK ALLEGES RIGGING OF STOCK MARKET	JE 28, R-8-8
SEN. BANKING COMM. OK'S A \$750,000 INVESTIGATION BY SEC	AG 24, C-18-5 FIN 5
HOUSE OK'S INVESTIGATION OF MARKETS	AG 25, C-4-1
OK STUDY OF STOCK EXCHANGES --1965--	S 6, C-14-5
WASH-JUSTICE DEPT. ANTITRUST-DIV. BEGUN INQUIRY INTO NY STOCK EXCHANGE & OTHERS	MR 28, C-19-1
---1969---	F 27, C-9-4
REP JOHN MURPHY SAYS HINTS OF ORGANIZED CRIME IN STOCK MARKET	



1965

STOCK - EXCHANGE - ISRAEL

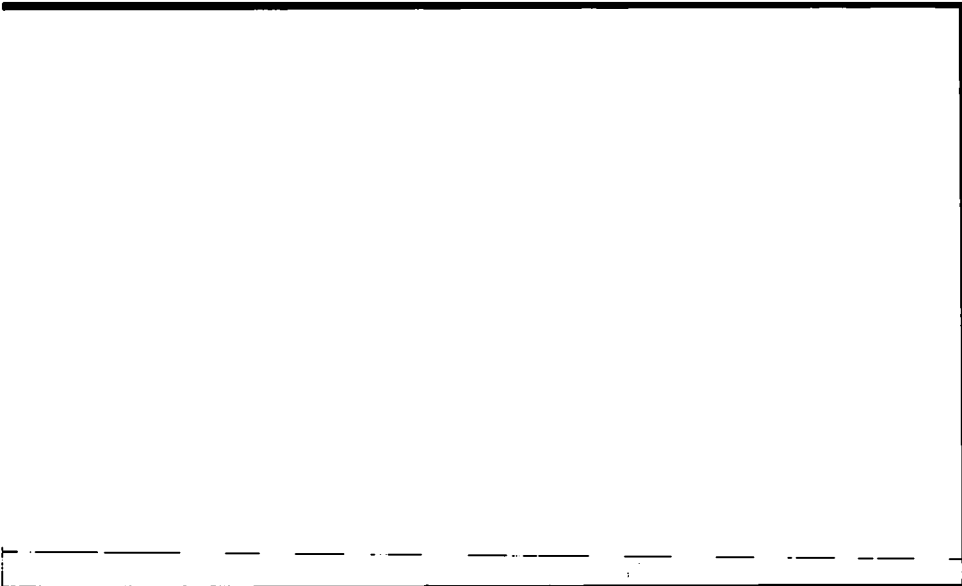
JL 9, A-7-2

JERUSALEM-ISRAELI PARLIAMENT FINANCE COMMITTEE APPROVES PROPOSAL TO
ABOLISH CAPITAL GAINS TAX ON STOCK EXCHANGE TRANSACTIONS .

--1966--

JE 20, C-10-1

TEL AVIV-THE TEL AVIV STOCK EXCHANGE STARTED IN 1953 IS DOING FLOURISH-
ING BUSINESS-THERE ARE 44 MEMBERS COMPARED WITH 15 AT START



STOCK: EXCHANGE: ITALY

1936

Form 1656

MR 24, 1-6

MARKETS IN MILAN & ROME CRASH AFTER MUSSOLINI ABOLISHES LARGE PRIVATE INDUSTRIES

---1953---

AP 2, 44-5

LIMITED SLUMP HITS ITALIAN STOCK MARKET DURING PAST FEW WEEKS

AP 3, 22-2 CITY

MONEY SCARCITY HITS ITALIAN STOCK MARKET



STOCK : EXCHANGES: JAPAN: TOKYO STOCK EXCHANGE

1963

TRADES 100 MILLION SHARES DAILY-SHUNPU ONOYE OF OSAKA SECURITIES EXCHANGE WHICH TRADES 50 MILLION DAILY IS IN DET ON VISIT-SMITH

-1991-

J1 8 A 3-1

Tokyo - Finance Minister Hashimoto ordered Japan's 4 leading brokerage houses to restrict business for 4 days as a penalty for shady dealings that have rocked the financial industry.

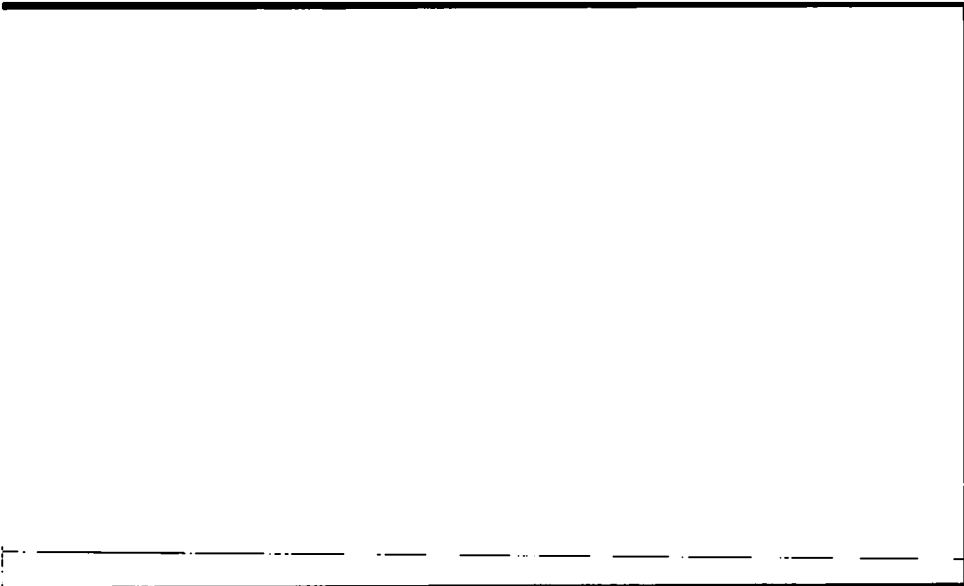
J1 29 A 1-1

Tokyo - Top brokerages reluctantly revealed the names of 200 clients they said were compensated for huge stock losses in a major scandal.

-1998

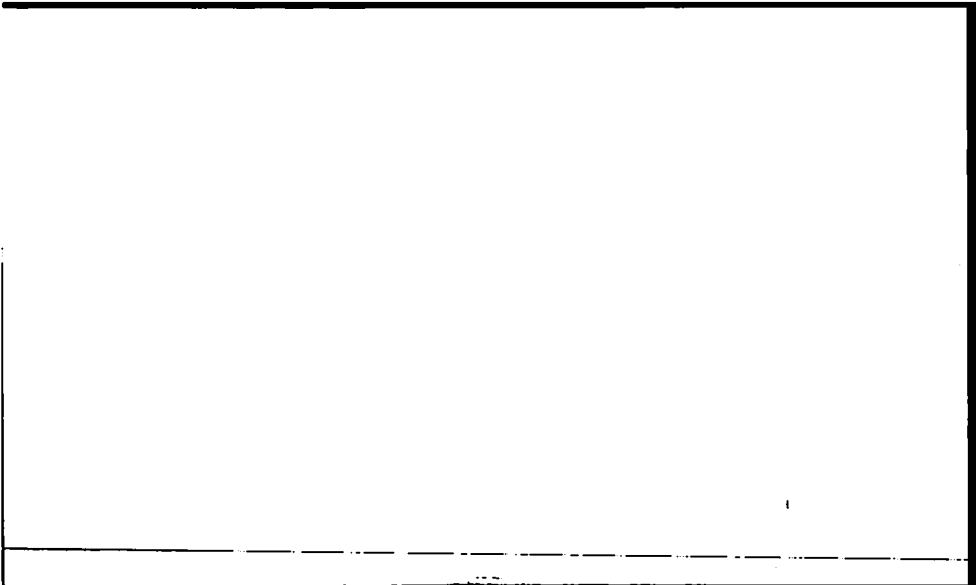
Ja 13 A 6-2

Tokyo-Armed intruder took Masahiro Abe, Finance Minis.official, hostage; freed 6 hours later, at stock exchange



SEN. McCARRAN INTRO LEGIS TO REWRITE 1933 SECURITIES ACT'S RESTRICTIONS
ON SALE STOCK IN NEW ENTERPRISES

AP 16, 39-3



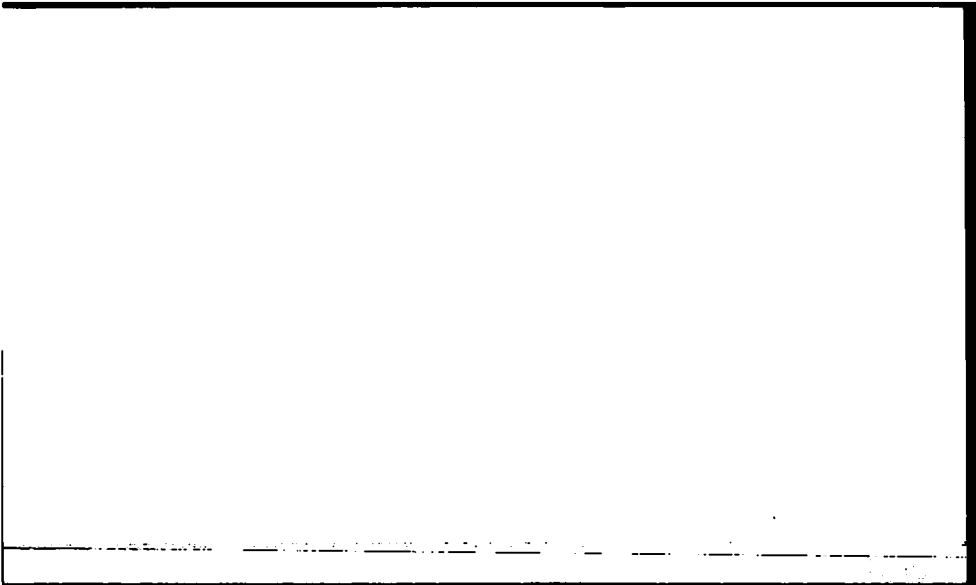
COMM OF SEC PROPOSES DRASTIC LAW CHANGES

JE 6, 36-8

-1988-

S 14 A-3-2

DC-House will consider tougher penalties for inside traders
--Peterson

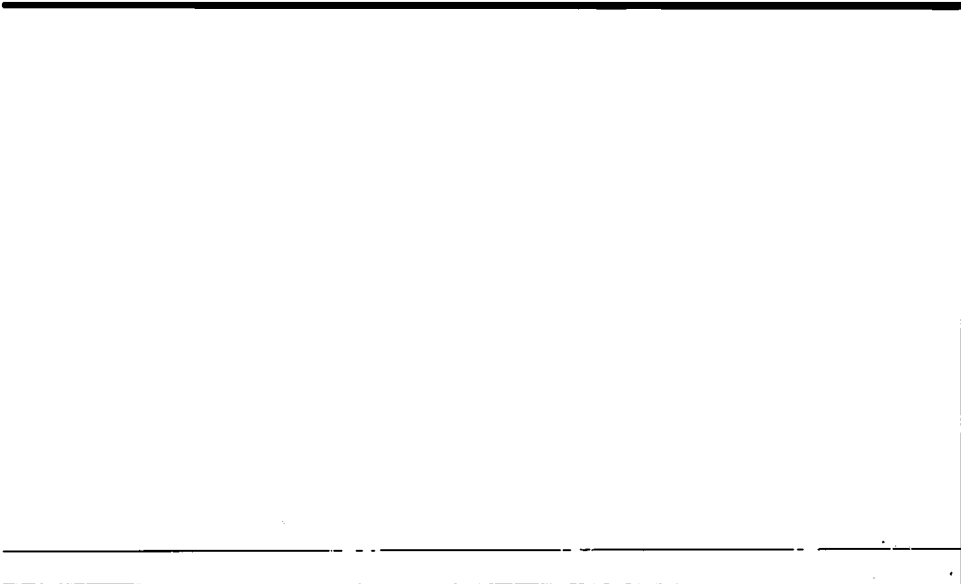


STOCK - EXCHANGE - LEBANON

1965

0 27, 0-9-1 5*

BEIRUT-EXCHANGE HERE CLOSED BY STRIKE OF STOCKBROKERS PROTESTING
A SLUMP IN BUSINESS



NEW ORLEANS EXCHANGE ASKED TO BE INCLUDED IN STUDIES LOOKING TOWARD
 FORMATION CONSOLIDATED REGIONAL EXCHANGE - ~~1949~~ N 10, 52-5 BS 2
 NEW ORLEANS, PITTSBURGH & BOSTON EXCHANGES TO STUDY MERGER JA 8, 16-6
 MEMBERS 7 EXCHANGES WITHIN 60 DAYS TO CONSIDER ESTABLISHING CENTRAL E
 EXCHANGE JA 21
 MEMBERS OF 9 CITIES TO DECIDE ON ONE CENTRAL MIDWEST MKT JA 22, 16-5
 PHILADELPHIA & BALTIMORE EXCHANGES MERGE Mr. 7 F 6, 4-11-5
 PROPOSED MERGER MIDWESTERN EXCHANGES ASSURED MY 26, 68-1 BS 2
 PHILA.-BALTIMORE EXCHANGE MERGER CALLED SUCCESS JE 3, 44-8 CITY
 MERGER ST LOUIS STOCK EXCHANGE WITH 3 OTHERS HIT BY GEO C SMITH
 JOHN ISAACS DEFENDS ST LOUIS EXCHANGE MERGER JE 27, 30-6 BS 2
 4 MORE ST LOUIS BROKERAGE FIRMS BLOCK MERGER JE 30, 52-6
 MIDWEST STOCK EXCHANGE ASSURED: CLEVELAND JOINS JL 7, 53-5 BS 2
 CHI-MIDWEST EXCHANGE TO SET MERGER DATE AT MEETING JL 21, 56-4 BS 2
 MINN-ST. PAUL EXCHANGE SEEKS MERGER WITH CONSOLIDATED MIDWEST XCHANG AG 6, 10-2 NITE
 DELEGATES OF 5 MIDWEST EXCHANGES GATHER IN CHI TO MAP MERGER AG 15, 22-7
 S 15 SET AS EFFECTIVE DATE FOR MERGER OF 5 IN MIDWEST AG 15, 26-1
 S 15 SET AS EFFECTIVE DATE FOR MERGER OF 5 IN MIDWEST AG 16, 33-4 BS 2

---1949---

Ag 29, 28-1 BS 2

NEWLY MERGED SECURITIES MARKET NAMED MIDWEST STOCK EXCHANGE--

S 13, 34-6

CINCINNATI EXCHANGE VOTES VS. PROPOSAL TO MERGE WITH NEW MIDWEST EXCHANGE

O 26, 42-2

DET STOCK EXCHANGE MERGER OPPOSED BY ALL BANKS, TRUST COS. N 11, 48-8
DET STOCK EXCHANGE OFFICIALLY ENDS CONSIDERATION MERGER

---1961---

Ag 18, A-10-2

EILEEN EVERS UNDER STUDY FOR APP'T AS MEMBER OF SEC

I 18, 9-13-6

NY & AMER EXCHANGES DEVISE NEW PLAN TO KEEP TAB OF COMPLAINTS

---1971---

S 26, E-7-13

DET. STOCK EXCHANGE LEADERS WARY OF SINGLE NATIONAL SECURITIES MARKET.-SMTTH

---1972---

F3, C-5-6

SEC PROPOSES CENTRAL MARKET.

F3, C-5 51DOT

ROBERT HAACK URGES AUTONOMY IN UNIFIED MART-

D 2, B-10-1

NYSE CHIEF JAMES NEEDHAM ENDORSES SECURITY INDUSTRIES ASSN SUGGESTION THAT NYSE AND AMERICAN EXCHANGE MERGE.

STOCKS : EXCHANGES : MEXICO

1946

LOWER HOUSE OF CONG. APPROVES BILL TO SET UP NATL. SECURITIES COMMISSION.

JA 23, 29-3 BS 2

AP 18, 41-8 BS2

STOCK EXCHANGE COMMISSION CREATED

-1966--

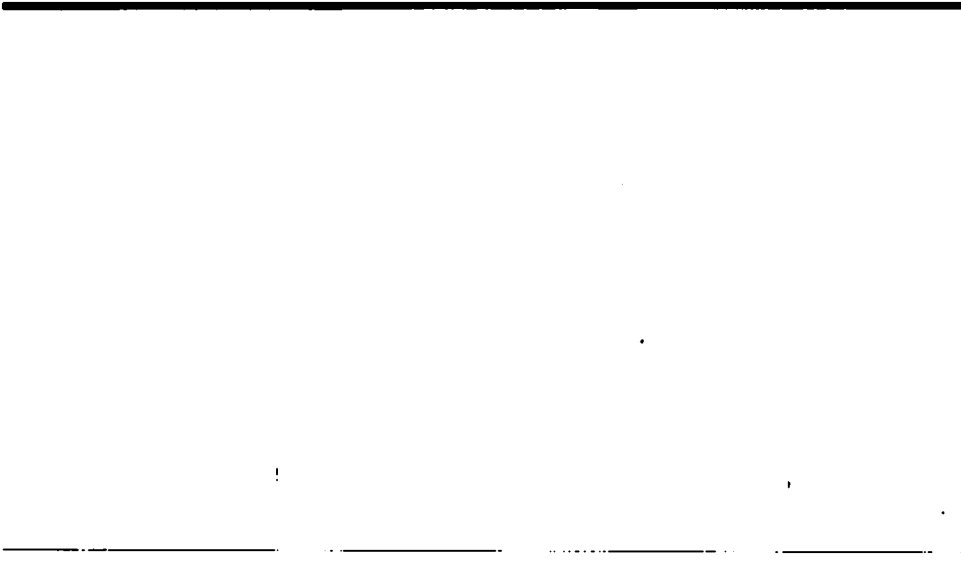
JE 9, D-10-1

MEXICO CITY--MORE AMERICANS BEING LURED TO MEXICAN STOCK EXCHANGE--NON-MEXICANS NOW BUY TENS OF THOUSANDS OF THE 10 MILLION SHARES TRADED EACH YEAR--JONES

-1995-

Je 6 B 3-6

Hundreds of peasants blockaded Mexican stock market, preventing trading



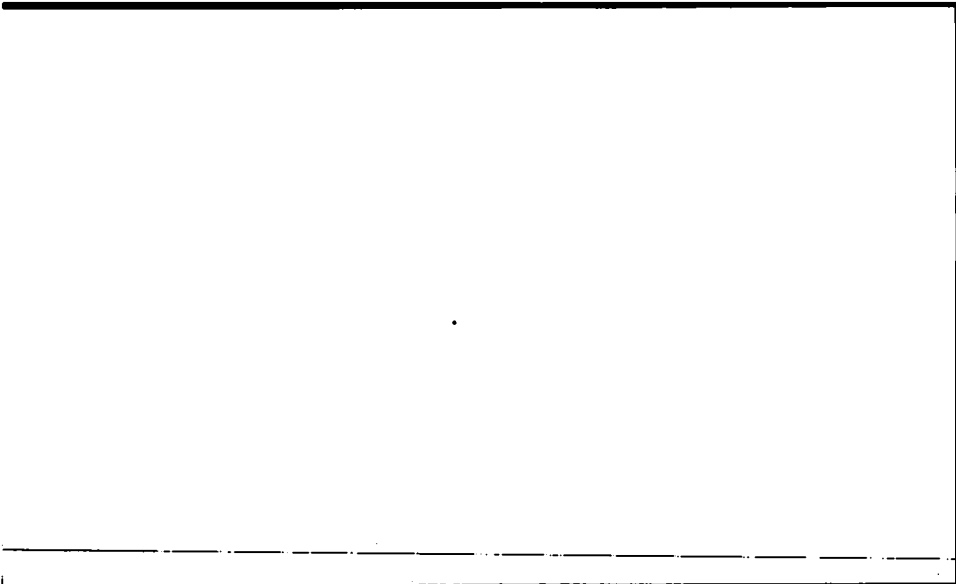
NEWLY MERGED SECURITIES MARKET IN HI. NAMED ABOVE- AG 29, 28-1 BS 2
 SPECIAL ELEC OF DIRS FOR ABOVE TO BE HELD N 25 N 16, 54-1
 CHI-HOMER P. HARGRAVE ELECTED CHRM BD GOV. N 27, 4-19-3
 CHI-17 SEATS OPENED ON EXCHANGE D 2, 51-4 BS2
 CHI-TO ASS 25 SEATS TO EXCHANGE D 17, 18-1
 ---1950---
 ANNOUNCE APPT. 6 ADVISORY GOVERNORS JE 15, 64-1 BS 2
 TRADING VOLUME INCREASED 167% 1ST 8 MOS. OF THIS YR. O 13, 50-5 BS 2
 ---1951---
 JOHN A ISAACS JR MADE VICE-CHRM BD GOVERNORS ABOVE JE 5, 37-6
 ---1953---
 REUBEN THORSON NAMED BD. CHR. OF ABOVE JE 2, 30-6
 TO PEN S 14TH S 12, 10-5
 ---1954---
 WM. C. RONEY ELECTED TO BD. OF ABOVE JE 8, 32-6
 ---1955---
 CLARENCE HORN ELECTED FOR 3 YR TERM AS GOVERNOR OF ABOVE MY 4, 62-5
 ---1956---
 GEO. E. BARNES ELECTED CHR. JE 8, 66-6
 ---1957---
 WILLIAM T BOWLER SUSPENDED BY ABOVE J 19, 46-1
 ART C ALLYN ELEC CHAIRMAN BOARD OF GOVERNORS OF ABOVE- JE 4, 21-5 5*

NEW ORLEANS STOCK EXCHANGE HERE VOTES TO CONSOLIDATE WITH MIDWEST STOCK EXCHANGE IN CHICAGO
-----1959----- O 14, C-15-5
CHICAGO-SUSPENDS TRADING IN COMMON STOCK & DEBENTURES OF MONROE CHEMICAL CO. PENDING INQUIRY OF FIRM'S FINANCIAL POSITION
-----1960----- Ap 3, C-17-6
MIDWEST STOCK EXCHANGE ELECTS NORMA FREEHLING CHAIRMAN
-----1961----- Je 8, C-21-1 LF

STOCKS: EXCHANGES: ~~NEW YORK~~ NATIONAL STOCK EXCHANGE

1959

NAT'L STOCK EXCHANGE INC. FORMED TO TRADE SECURITIES IN YOUNG, SMALL
FIRMS S 17, D-6-1 LF
NEW YORK CITY IS GOING TO HAVE A THIRD STOCK EXCHANGE TO BE NAT'L ST-
OCK EXCHANGE INC. ---1960--- AG 17, 9-8-5
---1961--- EXCHANGE INC. S 28, C-23-1
LAURENCE H. TAYLOR NAMED CHAIRMAN ---1962--- F 26, B-4-1
APPT'S ALFRED J. JOSEPH VICE PRES. ---1965--- AG 31, B-6-3
EDWARD T. MCCORMICK NAMED PRES. -1973- MR 11, F-7-3
BOOM MAY HELP DET EXCHANGE. SMITH. --1974-- D 11, C-15-1
FACES EXTINCTION IF ITS 96 MEMBERS VOTE TO DISSOLVE
-1986- S 29, D-1-6
DC-No tourist attraction, NASDAQ's a quiet giant-Hindes



Form 1656

AP 14, 49-7

EXCHANGE CHARGES RULES ON NWSF ADVERTISING BY MEMBERS -0 7.19-4
 EXCHANGE PLANS BIG ADVERTISING CAMPAIGN

---1949---

F 14, 27-6

TO EMBARK ON NATL ADVERTISING CAMPAIGN IN NEAR FUTURE-

-----1955-----

O 25, 58-8 6*

NYC-ABOVE MAKES PUBLIC ITS STANDARDS ON ADVERTISING MARKET LETTERS ON
 SALES LITERATURE FOR MEMBER FIRMS

-----1956-----

AG 30, 46-6

ABOVE TO SPEND \$ 00,000 IN 2ND HALF OF '56 IN AD DRIVE IN NEWSPAPERS

-----1958-----

JA 21, 30-7

TO SPEND \$500,000 ON ADVERTISING

---1959---

F 3, 32-7

PLANS TO SPEND MILLION TO WOO NEW INVESTORS

F 4, 54-7

REPORTS PLANS TO SPEND MILLION DOLLARS THIS YEAR TO WOO NEW INVEST-
 ORS

AP 8, 32-1

TO CONDUCT A SPECIAL ADVERTISING DRIVE THIS MONTH TO CAUTION PUBLIC
 TO INVEST ONLY ON A SOUND BASIS

---1962---

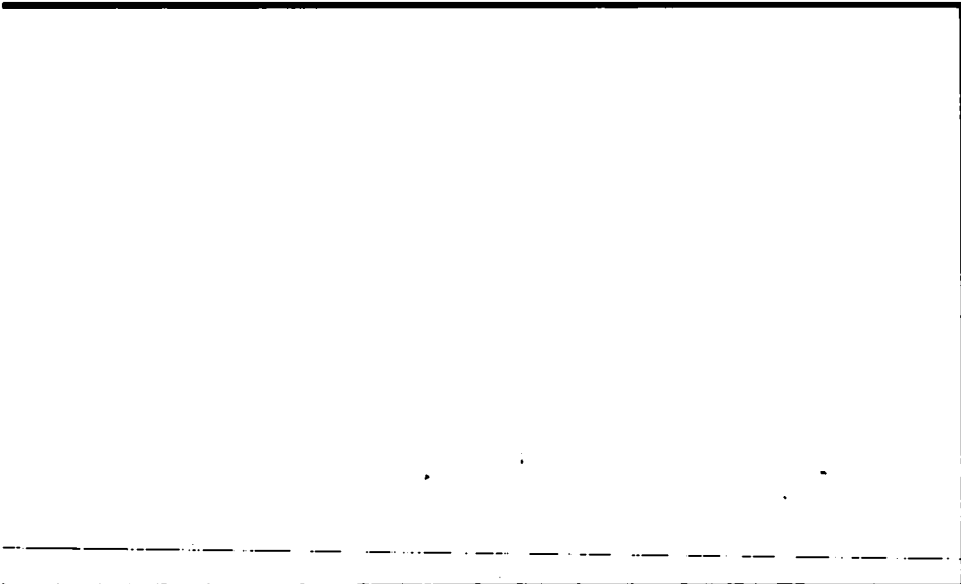
JA 17 R-10-8 FIN 5

TO CONTINUE ADS ON HOW TO INVEST

---1967---

S 18, B-15-6

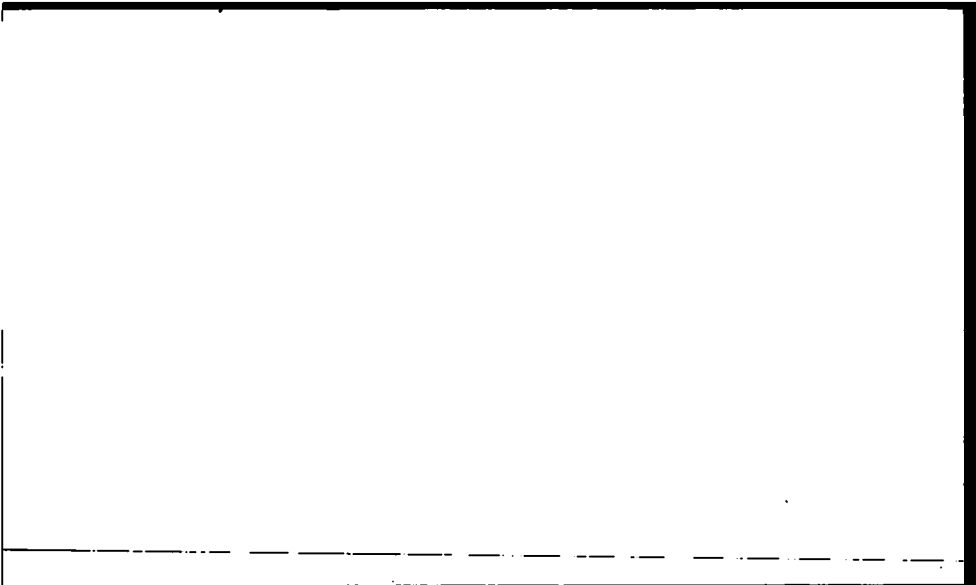
FALL ADVERTISING CAMPAIGN STRESSES OWNING STOCKS



Form 1656

JA 11,27-6

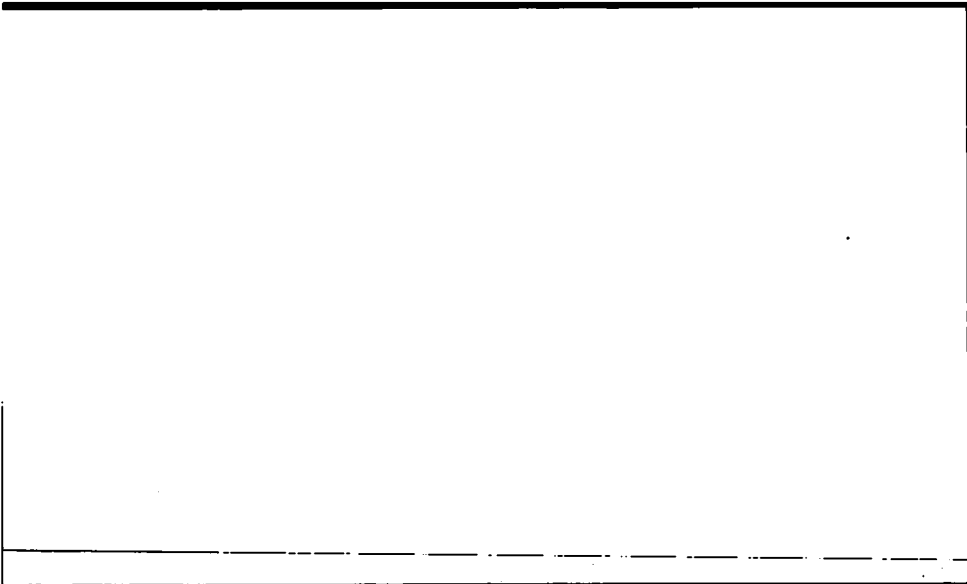
EXCHANGE STUDIES PLAN FOR "FARM SYSTEM"



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE BUILDINGS~~

1961

- SAYS ITS BOARD OF GOVERNORS PLANS TO CONSIDER INCREASING ANNUAL MEMBERSHIP DUES, INITIATION FEES & REGISTRATION CHARGES TO HELP DEFRAY COST OF A PROPOSED NEW LOCATION FOR EXCHANGE N 22, A-12-8
RD. OF GOVERNORS OF NEW YORK STOCK EXCHANGE OK PLAN TO RAISE \$20 MILLION IN NEXT FIVE YEARS AS AN INITIAL STEP TOWARD FINANCING A NEW LOCATION FOR THE EXCHANGE D 26, 9-10-5
NY-ADDS OVER \$1 MILLION TO NEW BLDG RESERVES IN 9 MONTHS ENDING S 30
NY, MRS. MAGGIE WALSH PETERSEN & OTHER PROPERTY OWNERS SUING TO PREVENT NYC CONDEMNING PROPERTY TO BE USED FOR ABOVE BUILDING N 6, B-8-2
NY- NEW COMMITTEE TO STUDY ABOVE BUILDING NEEDS, ROBT. WL HABCK PRES. OF EXCHANGE ANNOUNCED S 17, C-14-2
NY-WILL OPEN 1ST MAJOR EXPANSION OF ITS HISTORIC TRADING FLOOR XM O 6, B-13-5
JL 6, F-2-1
- 1962---
---1965---
----1967----
---1969---



STOCKS. EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK CITY STOCK EXCHANGE~~ CENTRAL DEPOSITORY

1938

Form 1656

NY 28,20-6

EXCHANGE SEEKS VIEWS ON PROPOSED CENTRAL DEPOSITORY

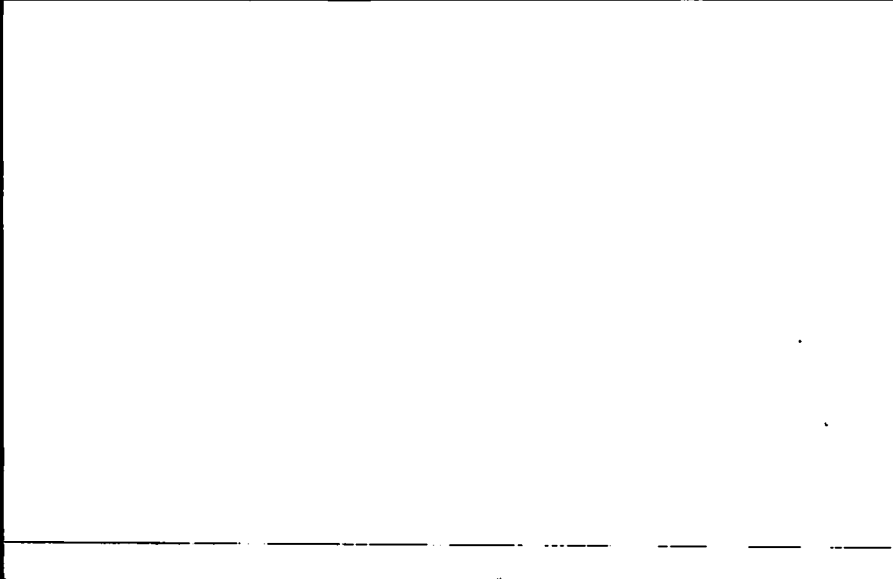
---1939---

JA 6,31-2-BLST

CENTRAL DEPOSITORY PLAN GETS MORE SUPPORT

JE 29, 41-5

HASKINS & SELLS APPROVES CENTRAL DEPOSITORY



STOCKS: EXCHANGE: NEW YORK STOCK EXCHANGE:
~~NEW YORK CITY XXX STOCK EXCHANGE~~ COMMENT

1938

Form 1656

MR 1,9-4-CN

BERNARD M. BARUCH WARNS PUBLIC AGAINST WALL STREET

---1940---

JA 18, 37-2

SURVEY OF WHAT PEOPLE THINK ABOVE IS AMAZES STOCK EXCHANGE -F 15,41-5

POLL OF MEMBERS FAVORS REGULATION BY GOVT.

S 18, 32-8

"THE EXCHANGE" WONDERS WHY BROKERS REMAIN BROKERS WHEN TRADING
BECOMES CHRONICALLY DULL

---1941---

JA 29, 27-2 VISC

MR. McC. MARTIN, JR. SAYS N.Y. STOCK EXCHANGES STRUGGLING TO KEEP IN BUSINESS



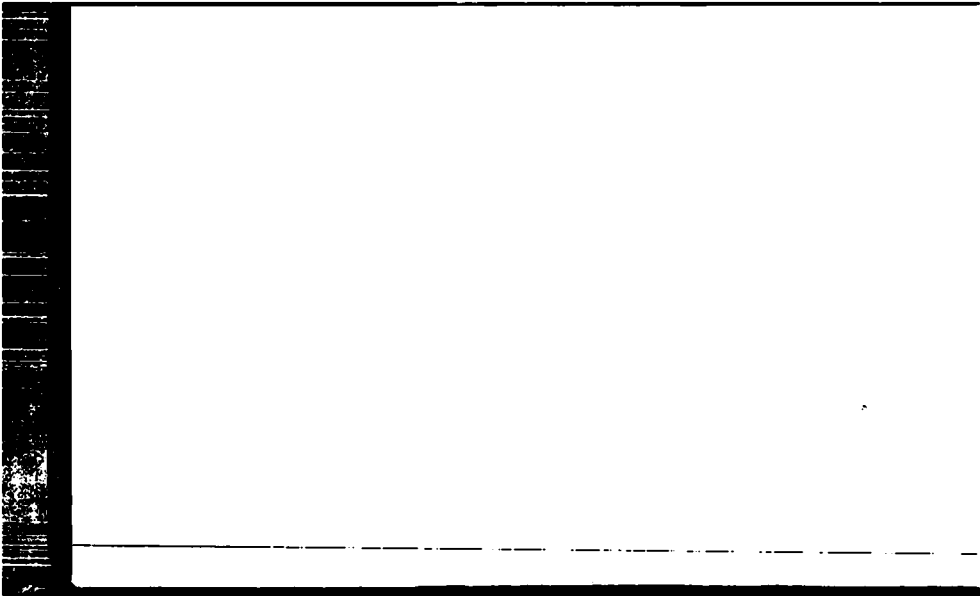
STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~XX~~ COMMITTEE

193

Form 1656

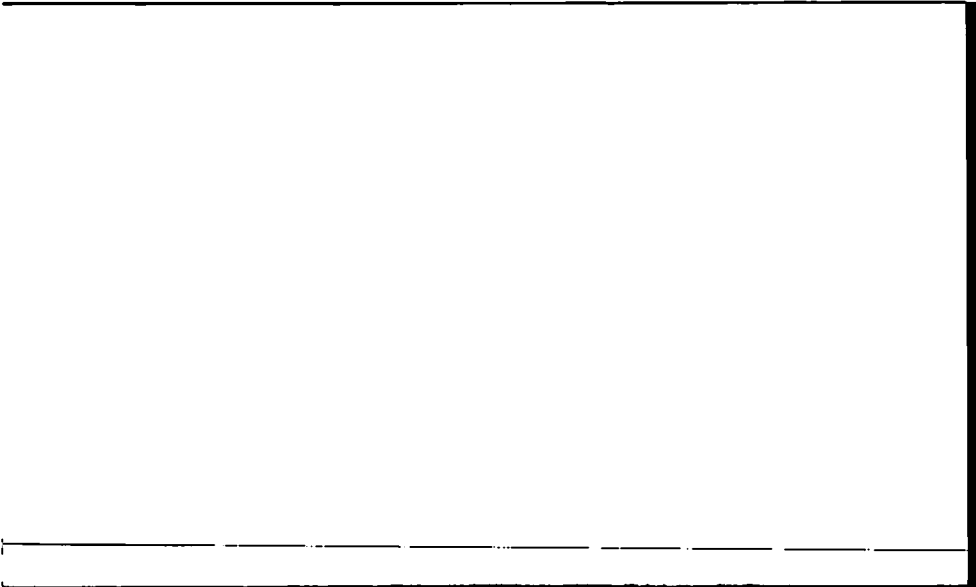
JE 30, 16-6 MAIL

ALLEN L LINDLEY RESIGNS AS CHRMN OF BUSINESS CONDUCT COMMITTEE



STOCKS - EXCHANGES - NEW YORK STOCK EXCHANGE - COMPUTERS 1972

NY 12, D-15-4 100T
NY-SAYS COMPUTER INSTALLATION MAY DISRUPT SERVICE IN MARKET DATA SYSTEM

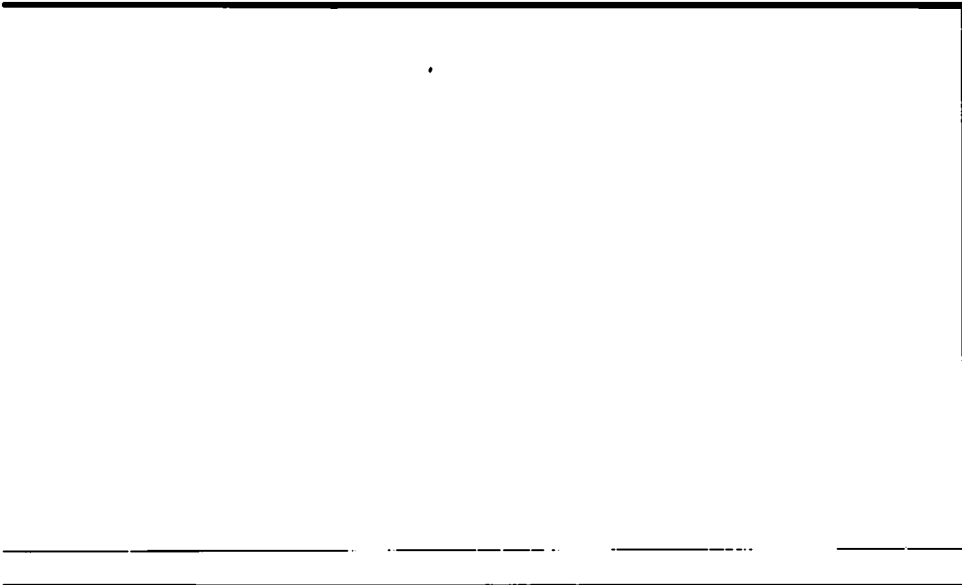


STOCK: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ CONFERENCES

1963

F 1, B-6-5

SCHEDULED MONTHLY CONFERENCES FOR MEMBER FIRMS TO DISCUSS THEIR ADVERTISING, MARKET LETTERS & SALES LITERATURE



1928

Mr 28, 4-1

Nobody says anything about the fact that
tho some are winning millions on stock market,
others are losing them-----1934----- J. 4. 10-2

WALL STREET IS ASLEEP - EDIT

JL 4, 10-2

WALL ST. WHISPERS; LOOSE TALK THAT ARTIFICIALLY INFLUENCES PRICES MUST
STORE-EDIT

JL 31,16-2

---1938---

MR 8.18-2

EXCHANGE NOT DISCOURAGED DESPITE BUSINESS OUTLOOK

1940

JE 2, 1-6-2

STOCK MARKETS TO KEEP OPEN

~~SECRET~~ - 1953 -

D 13. B-22-1

PAY AS YOU GO STOCK BUYING-EDIT.

---1959---

LA 2, 22-1

TO SMALL INVESTORS;RELAX OR QUIT-EDIT.

-1978-

JL 24, A-16-1

STOCK-TAKING COMMENTS ABOUT THE BIG BOARD-EDIT

-1988-

Ja 12 A-6-1

The big whimper.--Edit

STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK CITY STOCK EXCHANGE~~ EMPLOYEES

1934

Form 1656

CUTS SALARIES 10%	---	1938---	O 11, 38-4
STOCK EXCHANGE JOBS REDUCED 8.6% DURING 1937			MR 4, 31-8
NY STOCK EXCHANGE TO CUT SALARIES	---	1942---	AP 14, 40-8
SLACKS FOR GIRLS MAY BE ISSUED OFFICIALLY TO YOUNG LADIES REPLACING			JA 19, 23-4
"QUOTE BOYS IN EXCHANGE			JA 30, 30-4 MKT
SALARIES RAISED	---	1943---	AP 22, 44-5
EQUAL PAY ASKED FOR UNION, NONUNION WORKERS			MY 10, 27-5
300 FLOOR WORKERS STRIKE FOR 10 MINUTES			JL 11, 4-15-2
WOMEN TO BE EMPLOYED ON EXCHANGE FLOOR FOR FIRST TIME	---	1944---	F 25, 23-6
154 EMPLOYEES GRANTED 15% PAY INCREASE	---	1945---	JL 29, 4-7-3
ADOPTS INCENTIVE COMPENSATION BONUS PLAN	---	1946---	AG 14, 32-4
MEETING UNITED FINANCIAL EMPLOYEES THREATENS TO DELAY ABOVE QUOTATIONS			N 15, 42-1
UPWARD REVISION IN WAGE INCREASES OFFERED BY ABOVE EXTENDS CONTRACT			N 19, 28-1
ABOUT 900 EMPLOYEES GET NEW CONTRACT, 12% WAGE INCREASES	---	1947---	AP 15, 28-5 BS 2
ANNOUNCES PLANS FOR PARTNERS OF MEMBER FIRMS TO ACT AS CLERKS IN STRIKE EVENT			

---1947---
 EXCHANGE ANNOUNCES APPROVAL CONTRACT WITH UNION
 EMPLOYEES ABOVE TO RECEIVE BONDS THIS WEEK
 ---1948---
 AFL WORKERS IN EXCHANGE VOTE FOR UNION SHOP--
 AFL EMPLOYEES THREATEN TO STRIKE
 UNION AGREES ON 2NF NEGOTIATION SESSION R-
 UNITED FINANCIAL EMPLOYEES PLAN STRIKE ACTION VS ABOVE-
 THREAT STRIKE VS NY CURB EXCHANGE EASED
 UNITED FINANCIAL EMPLOYEES DELAY STRIKE AT ABOVE
 FINANCIAL EMPLOYEES, THREATENING STRIKE ACTION, MARK TIME-
 UNITED FINANCIAL EMPLOYEES LOCAL 205 TALKS DEADLOCKED-
 FINANCIAL EMPLOYEES TO MEET MR 18 IN STRIKE THREAT
 EMPLOYEES SET TO STRIKE AFTER UNION MEETING
 EMPLOYEES STRIKE :ORDERS HELD DESPITE WALKOUT
 UNIONISTS FORM HUMAN BARRIER AT EXCHANGE-
 POLICE GUARD WALL ST: PICKETS NOISY BUT ORDERLY
 UNITED FINANCIAL EMPLOYEES ASK STOCK EXCHANGE ROW INQUIRY-
 STRIKE SPREADS TO 2 BROKERAGE HOUSES
 PICKETS MOVE ON 3RD BROKERAGE HOUSE IN 6-DAY STRIKE-
 SAME AS ABOVE
 EMIL SCHRAM CHARGES RED TACTICS IN STRIKE
 EMIL SCHRAM, PRES., SAYS WORKERS RETURNING SLOWLY
 FRANK FENTON, AFL LEADER, CALLED IN TO DIRECT STRIKE-
 37 PICKETS FINED \$25 EACH FOR DISORDERLY CONDUCT

AP 30, 37-6 BS 2
 D 12, 52-1

F 16, 22-3 BS 2
 F 24, 27-5

F 25, 35-5 BS 2

MR 1, 24-3

MR 2, 11-3

MR 2, 29-5

MR 3, 5-1

MR 10, 36-2

MR 16, 26-7 BS 2

MR 19, 37-4 BS 2

MR 29, 1-5

MR 30, 1-6

MR 31, 4-1

AP 1, 1-1 BS 2

AP 2, 36-5 FINAL

AP 3, 22-5

AP 4, 1-4-2

AP 5, 16-6

AP 5, 26-5 BS 2

AP 7, 45-7

AP 8, 48-3

NEW YORK STOCK EXCHANGE EMPLOYEES
STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:

1948

EMERGENCY STRIKE METHODS MAY RESULT IN SAVINGS FOR ABOVE
POLICE & PICKETS AGAIN CLASH
STRIKERS GIVEN PEACE FORMULA
STRIKE CONTINUES
AFL EMPLOYEES REFER STRIKE TO MAYOR
OFFICIALS OF EXCHANGE TURN DOWN ARBITRATION PROPOSAL
MEETING OFFICIALS OF UNION & EXCHANGE ENDS IN DEADLOCK
AFL UNION VOTES TO RETURN TO WORK AT ABOVE
STRIKERS RETURN BUT JOBS ARE 100 FEWER THAN BEFORE
FINANCIAL UNION WORKERS TO DINE WITH EXCHANGE EXECT.
CONTRACT WITH UNION EXTENDED TO JUNE 30
RENEW UNION CONTRACT
MEMBERS UNITED FINANCIAL EMPLOYEES UNION DEMONSTRATE TO PROTEST 5 $\frac{1}{2}$ -DAY WEEK
KEITH FUNSTON, PRES OF ABOVE, SAYS THAT XMAS BONUS OF 3 WEEKS SALARY WILL BE PAID BY 14TH TO ALL ACTIVE EMPLOYEES OF THE EXCHANGE
EMPLOYEES TO GET 4 WEEK PAY CHRISTMAS BONUS
NYSE BACKS FINGERPRINTING FOR WALL STREET.

AP 8, 64-6 BS 2

AP 15, 64-5

AP 15, 2-5 LATE FINAL

AP 16, 41-6

AP 17, 16-6

AP 22, 60-1

AP 24, 18-7

AP 29, 1-8

AP 30, 36-1 BS 2

F 8, 28-6 BS 2

AP 24, 4-15-2

JL 1, 33-7 BS 2

O 7, 1-39-3

N 21, 8-13-6

D 7, 8-7-5

1969-M 18 D-6-4

R

--1969--

MY 19, A-14-5

NEW YORK-REACHED AGREEMENT ON NEW 30-MONTH CONTRACT SUBJECT
TO RATIFICATION BY EMPLOYEES

JB 11, C-20-5

NEW YORK-WATER PISTOL FIGHTS BANNED FROM ABOVE-

---1970---

AP 29, D-11-1

ABRAHAM JACOB GOLDSTEIN FILED SUIT OF DENIAL OF RIGHTS DUE
TO HIS BEING JEWISH IN NOT BEING ALLOWED TO WEAR YAMULKA
WHILE WORKING

MY 18, D-6-7

DECIDED TO ALLOW ABRAHAM GOLDSTEIN TO WEAR RELIGIOUS SKULL
CAP DURING WORKING HOURS

JL 13, A-11-7

NY EXCHANGE TOLD TO END HIRING BIAS

--1973--

MY 22, B-4-1

STAFF CUTBACKS EXPECTED AS 3RD CONSECUTIVE QUARTERLY LOSS IS
SUFFERED

-1987-

N 10, F-1-5-3DOT

Y-Wall St clerical workers picket, after voting to strike

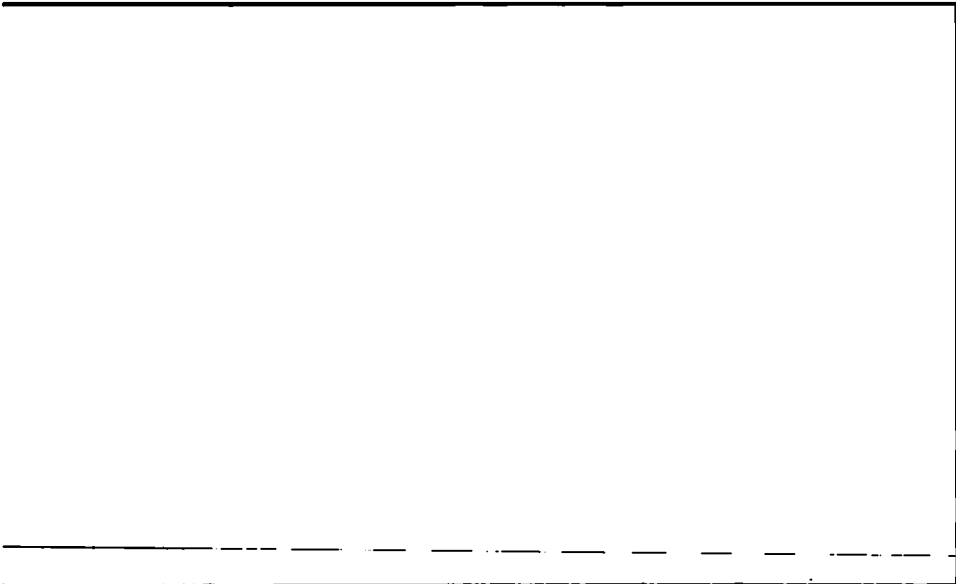
N 11, C-1-4

Strike enters its 2nd day

STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE EQUIPMENT~~

1964

NY-BEGAN USING NEW HIGH SPEED TICKER SYSTEM NAMED "900" D 1, C-9-1

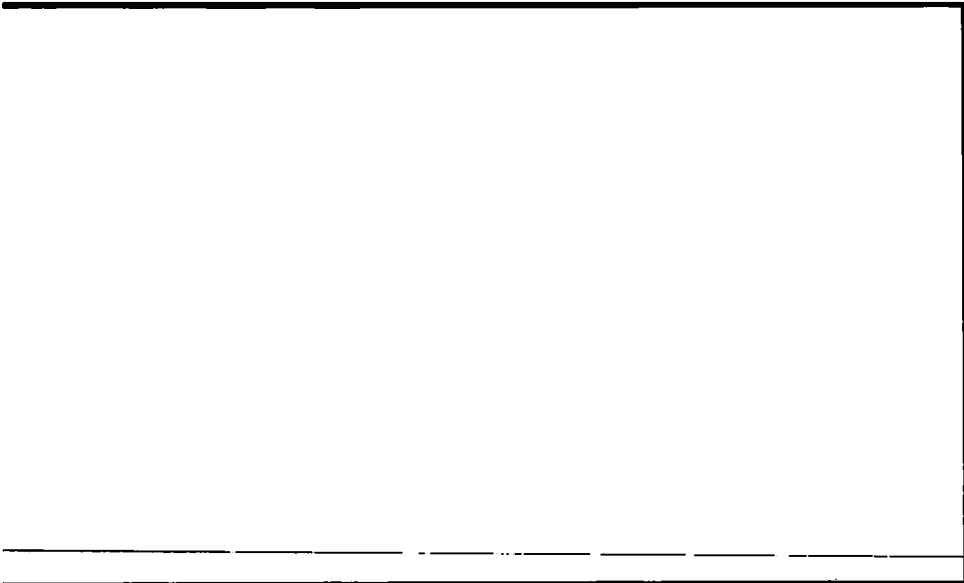


STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ EXHIBIT HALL

1957

F 15, 44-5

PLANS TO OPEN F 25TH



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~XX~~ **FEEES**

1939

SCHEDULE OF FEES FOR LISTING SECURITIES REVISED

Form 1656

JA 13,29-5

---1965---

F10, C-18-1

**MERRILL LYNCH,..PIERCE, FENNER & SMITH ISSUE STATEMENT OPPOSING HIGHER
COMMISSIONS & MANDATORY SERVICE FEES FOR ABOVE-W.SMITH**



Form 1656

REPORTS LOSS IN 1933	0 29,25-4
SHOWS 1934 OPERATING DEFICIT OF \$1,060,980; CASH HOLDINGS	MR 30,21-1
OUTS LOSS IN 1935 TO \$209,325	JA 28,27-6 NITE
REPORT CONSOLIDATED NET LOSS OF \$140,377 IN 1937	F 17, 35-6
TAKES FIRST STEP IN PROGRAM TO GIVE GREATER ECONOMY IN BROKERAGE OPERATIONS	JL 14, 35-6
1938 LOSS SET AT \$1,548,417	F 5,4-12-8
WM.M.MARTIN, JR. NAMES FINANCIAL REPORT TO MEMBERS	JE 21,29-1
EXCHANGE ASKS MEMBERS AID IN BALANCING BUDGET	F 3, 16-1
EXCHANGE SHOWS \$1,149,373 DEFICIT FOR 1939	MR 26,25-5
REPORT OPERATING LOSS OF \$9,802 FOR 6-MOS. ENDING	AG 20, 24-5
REPORT 1940 NET OPERATING LOSS OF \$275,301	JE 30
REPORT TOTAL LOSS FOR 1942 WAS \$815,972	F 26, 26-7
REPORTS FIRST PROFIT SINCE 1936	MR 7, 4-15-5
1947 NET LOSS, \$116,171	F 3, 34-1
	F 27, 36-8

---1948--- O 16, 18-2
REPORT NET LOSS OF \$162,630 IN SEPT. QUARTER
---1949--- JL 18, 27-1
SHOWS FIRST PROFIT SINCE 1947: FIGURES
---1952--- F 12, 26-7
EARNINGS IN 1951, DROPPED TO \$326,982
---1955--- N 2, 62-8 1H
ABOVE REPORTS IT EARNED NET PROFIT OF \$1,066,379 IN FIRST 9 MOS OF '55
---1960--- F 24, 8-8-8
REVENUE ROSE 26 PER CENT LAST YEAR FROM 1958 TO \$20,518,000
---1967--- AG 21, A-17-4
STOCK MARGIN DEBT TO MEMBERS SET RECORD LAST MONTH
---1972--- F24, C-10-6
NY--ABOVE REPORTED A \$10.7 MILLION LOSS IN 1971

STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE: FIRE

1956

~~NEW YORK STOCK EXCHANGE~~

MO 6,1-1-3

CREW OF 100 TELEPHONE MEN WORK AROUND CLOCK TO RESTORE COMMUNICATIONS
LINES AFTER FIRE IN ABOVE

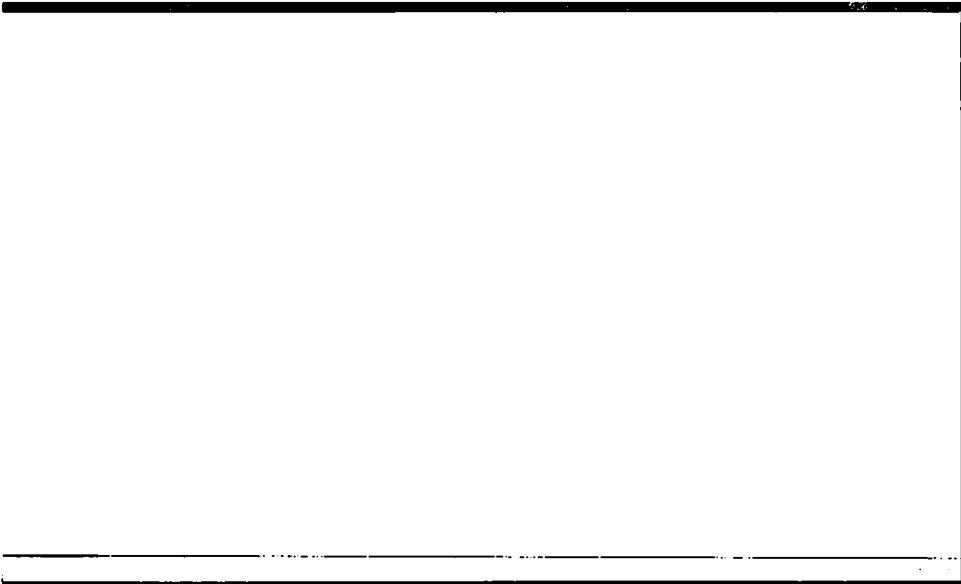
MY 7, 1-6

NYC-OPENS FOR BUSINESS TODAY DESPITE FIRE WHICH DISABLED THE EXCHANGE
QUOTATION SERVICE

-1990-

D 28 E 1-2

Explosion disrupts nation's stock trading

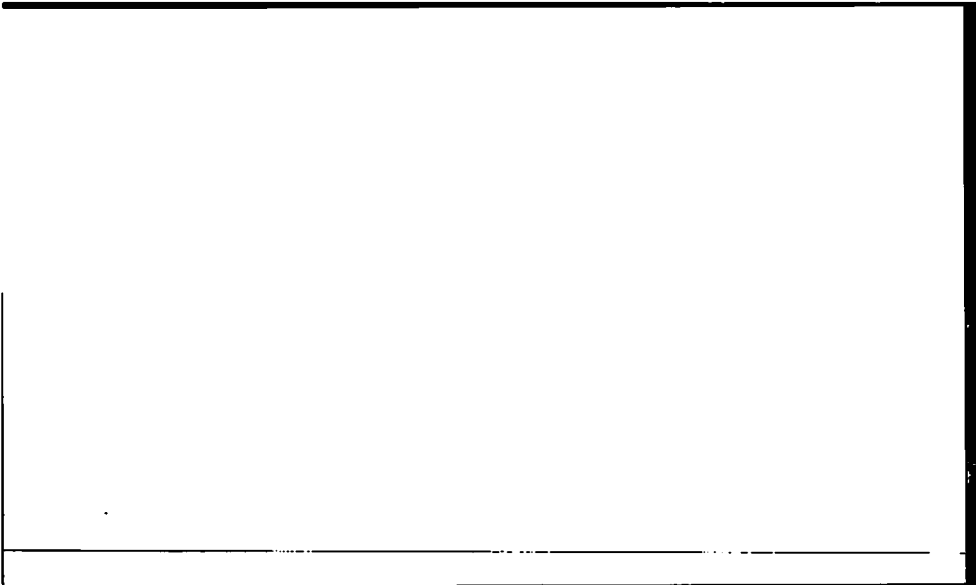


1977

~~STOCK: EXCHANGE: NEW YORK: GAMBLING.~~

AP5, C-9-2

BOOKMAKING IS THRIVING BUSINESS ON BIG BOARD'S TRADING
FLOOR- GREER



Form 1656

MY 17, 27-4
 S 29, 45-5
 D 18, 5-16-8

GOVERNORS DISCONTINUE OWN FEES

ROBT M HUTCHINS, GEN ROVT E WOOD, CARLE C CONWAY NAMED TO ABOVE

ROBT.M.HUTCHINS RESIGNS AS GOV. ON EXCHANGE BOARD

--1939--

GOVERNORS ENTITLED TO PAY FOR TIME THEY SPEND AT MEETINGS

F 10, 33-7

7 NEW GOVERNORS ELECTED: LIST --1940--

MY 9, 30-5

RUSSELL E.GARDNER, JR.& EDGAR SCOTT NOMINATED FOR GOVERNORS

AP 9, 27-8

ROSWELL MAGILL NAMED GOV. ---1941--

JL 25, 40-7

ROBT.L.STOTT SELECTED CHAIRMAN BD.OF GOVERNORS

AP 15, 27-5

ROBT.L.STOTT ELECTED CHAIRMAN WITH TOTAL 763 VOTES

MY 13, 30-1 CM

CURTIS E.CALDER & ROSWELL MAGILL RESIGN AS GOVERNORS

O 1, 31-2

JOHN W.WATLING, DET., NOMINATED AS EXCHANGE BD.OF GOVERNORS

AP 9, 11-7 BS 2

---1945---

JOHN A COLEMAN RENOMINATED FOR CHAIRMANSHIP OF BOARD GOVS-

P 8, 21-5 BS 2

JOHN A.COLEMAN RE-ELECTED CHAIRMAN BOARD OF GOV.

MY 14, 27-8

1947

F 27, 50-1

JOHN A.COLEMAN TO RETIRE AS HEAD BD.GOV.

---1956---
 JAMES C KELLOGG III ELEC CHRMN Bd. GOVERNORS-
 NY 15, 70-1

-----1957-----
 JAS. CRANE KELLOGG III HAS BEEN RENOM. FOR 1 YR TERM AS CHR. OF ABOVE
 NY 24, 48-6

JOHN D. BIGGERS ELECTED PUBLIC GOVERNOR
 -----1959--- Ap 13, 34-6
 EDW. WERLE RENOM. FOR SECOND ONE YEAR TERMS AS CHR. OF ABOVE S. Bd. OF
 GOVERNORS
 NY 22, 58-7
 DR. COURTNEY BROWN ELECTED PUBLIC GOVERNOR OF ABOVE D 18, C-10-5

MICHAEL W. MCCARTHY, ELECTED GOVERNOR ---1960--- Ap 13, B-8-7
 WM C RONEY NOMINATED FOR 3 YR TERM ---1961--- Ap 10, B-10-1
 J. TRUMAN BIDWELL TO SERVE 1YR TERM AS CHAIRMAN OF BOARD
 NY 24, C-21-6 FIN 5

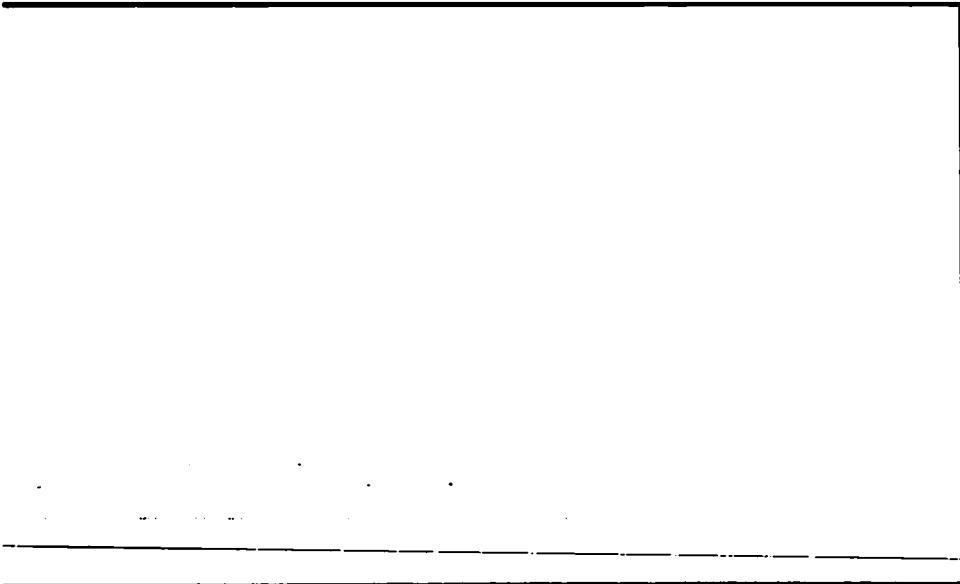
CHAS. KELLSTRAOT ELECTED PUBLIC GOVERNOR OF NY STOCK EXCHANGE
 ---1962--- MR 6, C-10-3
 GEORGE F. HACKL ELECTED VICE CHAIRMAN OF ABOVE Bd. OF GOVERNORS
 NY 22, B-8-5
 DR. MILTON E. EISENHOWER ELECTED PUBLIC GOVERNOR OF ABOVE
 ---1963--- MY 14, B-7-6 FIN 5

NY-HENRY M. WATTS JR RE-ELECTED CHAIRMAN OF THE BOARD OF GOVERNORS-OTHERS OFFICERS LISTED

STOCKS. EXCHANGES. NEW YORK STOCK EXCHANGE: GOVERNORS 1965
~~NEW YORK STOCK EXCHANGE: GOVERNORS~~

JE 22, B-7-5
DR. GAYLORD P. HARNWELL, PRES. INIV. OF PA, WAS ELECTED A GOVERNOR,
SUCCEEDING DR. MILTON S. EISENHOWER

---1967--- AP 11, B-5-6
NY-GUSTAVE L LEVY TO HEAD BD OF GOVERNORS



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:

~~NEW YORK STOCK EXCHANGE~~

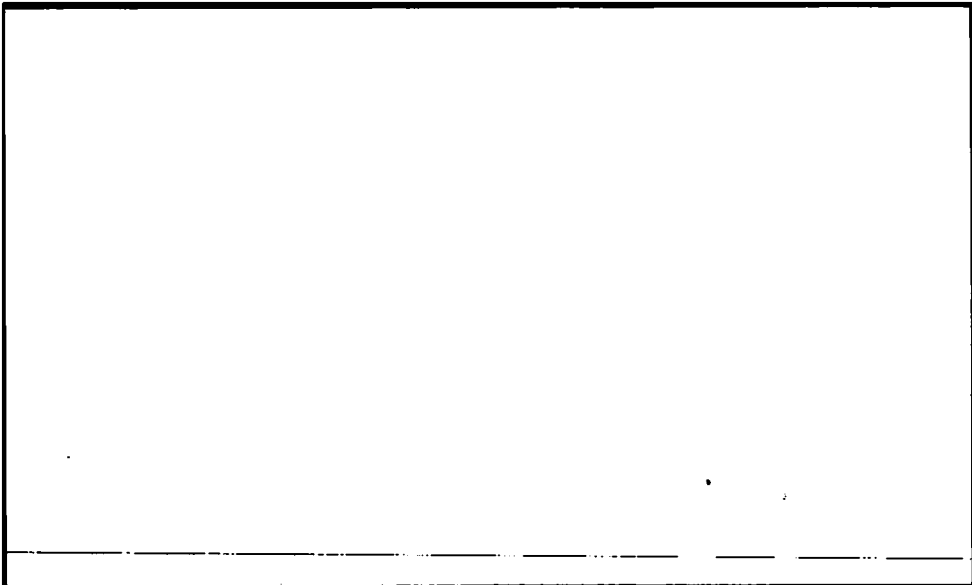
GRATUITY FUND

1938

Form 1656

JL 8,27-3

EXCHANGE ACTS TO INCREASE FUND SAFETY



MAY ABANDONED WALL STREET QUARTERS, FOR OUTSIDE NY STATE
 --1963-- JL 20, C-14-8

ASKED CITY FOR OK TO PURCHASE & DEVELOP A SITE FOR NEW HDQR AT BATTERY
 PARK AT LOWER TIP OF MANHATTAN AP 2, A-13-8

ADVICE TO ROMNEY, CAVANAGH: THINK BIGGER, BOYS! -W. MULLER
 --1965-- AP 11, B-14-7

BOUGHT \$7 MILLION SITE IN WALL STREET SECTION AT WATER, BROAD & SOUTH
 STREETS & COENTIES SLIP FOR ITS NEW HDQR. MR 29, B-8-1

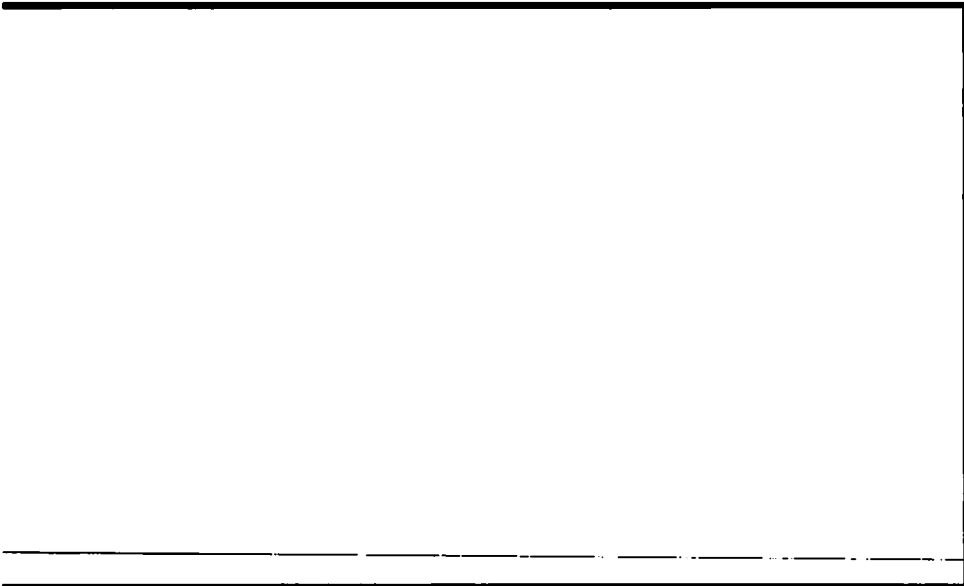
WARREN DEVELOPERS OFFER ABOVE LAND FOR RELOCATION
 --1966-- AP 21, E-3-4 Z 6

LANSING-MICH SENATE SEEKS ABOVE SHIFT TO MICHIGAN AS RESOLUTION CREAT
 ING SPEC COMM TO SEEK RELOCATION PASSED SENATE MY 6, C-24-3

SANTABARBARA-KEITH FUNSTON STILL LOOKING FOR NEW SITE, MAY MOVE TO
 MIDWEST JE 28, R-13-1

NY-WILL MOVE ELECTRONIC SYSTEMS CENTER OUTSIDE NY STATE
 --1969-- JL 22, R-8-1

NEW HDQTRS EYED BY BIG BOARD ON EAST RIVER MR 13, C-11-3



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK~~ ~~STOCK EXCHANGE~~

HISTORY

1939

Form 1656

MY 17,32-5

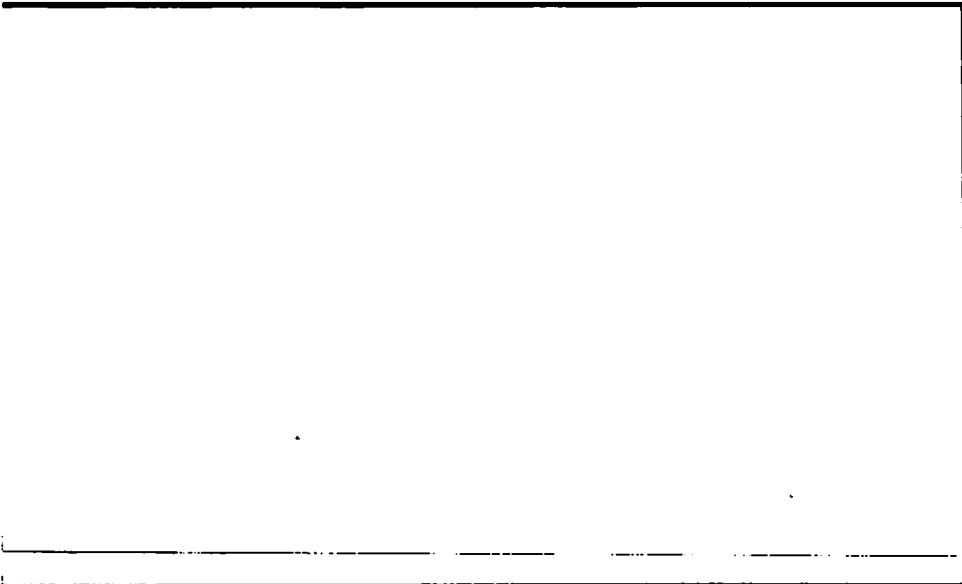
EXCHANGE STARTED 147 YEARS AGO MAY 17

---1942---

OBSERVES 150TH ANNIV. MAY 18 ---1967---

MY 17, MY 18, 23-4
C-21-5

TODAY IS 175 YRS BIRTHDAY OF ABOVE



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
NEW YORK CITY STOCK EXCHANGE HOURS

1939

Form 1656

6-HOUR DAILY SESSION CONSIDERED WITH SATURDAY'S CLOSED

JE 20, 24-5

BROKERS DIVIDED ON SATURDAY CLOSING PROPOSAL

JE 25, 4-10-7

---1941---

F 14, 35-6

BD. OF GOVERNORS REJECT PROPOSED EXTENDED SESSIONS

---1947---

MR 7, 31-3

GOVERNORS VOTE IN FAVOR 5-DAY TRADING WEEK FOR JUNE THRU SEPT

JL 11, 33-5

GOVERNORS ABOVE DECIDE TO RESUME SAT. TRADING OCT 4

- -1948---

AP 9, 36-1

COTES FOR 5-DAY SUMMER SCHEDULE

---1949---

MR 20, 4-12-3

COMM. TO STUDY QUESTION OF OPERATING LONGER HOURS DAILY & CLOSING SAT.

MY 13, 56-1

TO RETURN ITS 10 TO 3 SCHEDULE ---1950---

AP 7, 38-2

VOTES SATURDAY CLOSING FOR 4 MOS THIS SUMMER

O 3, 34-1 BS 2

TO RESUME SATURDAY TRADING THIS WEEK AFTER 4 MONTHS

N 17, 52-1

VOTES TO CLOSE DEC 23-SATURDAY BEFORE XMAS

---1951---

AP 12, 36-1952

DECISION ON CLOSING SATURDAYS POSTPONED

AP 13, 50-1

GOVERNORS VOTE TO REMAIN OPEN SATURDAYS EXCEPT IN SUMMER

---1951---

MY 13, 1-17-1

NEW MOVE TO CLOSE SATURDAYS ALL YEAR STARTED
BIG BOARD VOTE LIKELY ON SATURDAY CLOSINGS
CHANGE PETITION SEEKS CLOSING EVERY SATURDAY
TO VOTE ON SATURDAY CLOSE
MEMBERS VOTE VS YEAR-ROUND SATURDAY CLOSINGS
QUESTION SAT. TRADING ON ABOVE, FAR FROM SETTLED

MY 15, 37-1
MY 25, 42-1
JE 15, 40-1
JL 10, 34-1
O 2, 32-1

---1952---

JA 7, 23-3 BS2

DEBATE BITTER ON CHANGING TRADING HOURS

AP 18, 43-1

TO BE CLOSED SATURDAYS DURING SUMMER MONTHS BEGINNING MAY 31 AND
ENDING SEPT. 27TH

JL 10, 26-1

NY STOCK EXCHANGE TO VOTE ON 5DAY WEEK-& LONGER HRS

JL 18, 16-8

VOTES TO EXTEND DAY; 3:30 PM CLOSE STARTING SEPT. 29TH

-----1953-----

D 18, 40-1

BD. OF GOVERNORS OF ABOVE VOTES TO RESUME TRADING ON 3 OF ITS HOLIDAYS
LINCOLN'S BIRTHDAY, COLUMBUS DAY & ARMISTICE DAY

-----1954-----

D 17, 38-1

VOTES TO CLOSE ALL DAY D 24TH & TO OPEN D 31ST

-----1960-----

JE 24, 9-12-5

GOVERNORS VOTE TO SUSPEND TRADING EFFECTIVE AT J 5TH OPENING

---1961---

MY 19, B-7-7

TO CLOSE MY 29TH FOR 4-DAY MEMORIAL DAY WEEK-END

JE 16, 7-5-3

TO BE OPEN FOR BUSINESS J 3RD

~~STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:~~
~~NEW YORK STOCK EXCHANGE HOURS~~

1966

TO CLOSE AT 2 PM JA 6 & 7 DUE TO TRANSIT STRIKE IN NYC JA 5, A-1-6 6*
SAME JA 6, C-18-5

TO CLOSE AT 2 P.M. DAILY DUE TO TRANSIT STRIKE UNTIL FURTHER NOTICE
--1967-- JA 11, B-7-6

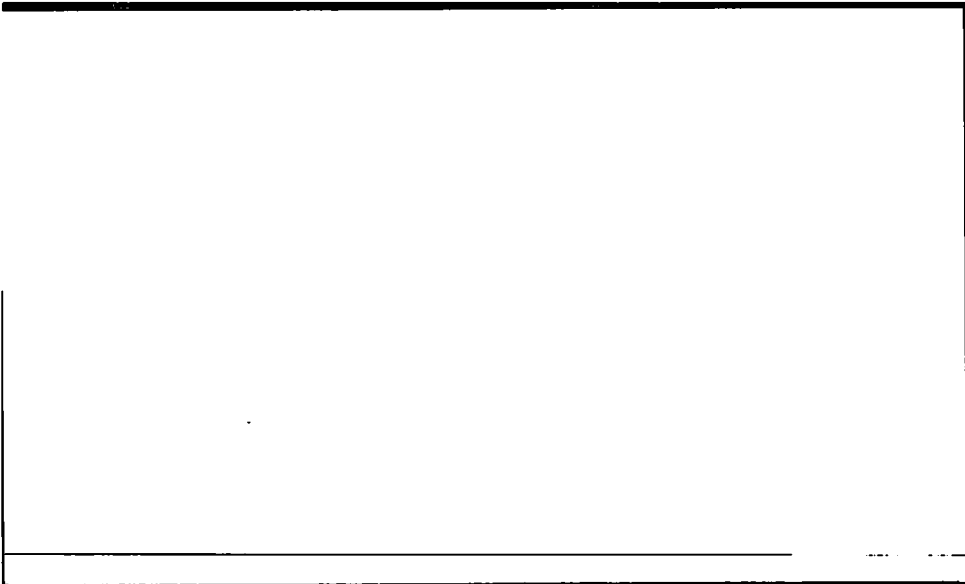
NY-STOCK EXCHANGES GOING BACK TO 3:30 P.M. CLOSE
AG 18, C-10-2

-----1969----- F7, C-8-4
ROBERT W. HAACK, PRES OF NYSE SEES PROGRESS IN PAPER JAN.
-1972- N 8, C-14-6

LONDON--JAMES NEEDHAM, CHMN, PROPOSES THAT STOCK EXCHANGE
CONSIDER LONGER TRADING HOURS.

-1990- Je 14 E 1-6
The NY Stock Exchange will begin trading stocks outside its
normal hrs and off its trading floor later this yr.

Je 15 E 3-4
Aft-hrs trading likely-NY



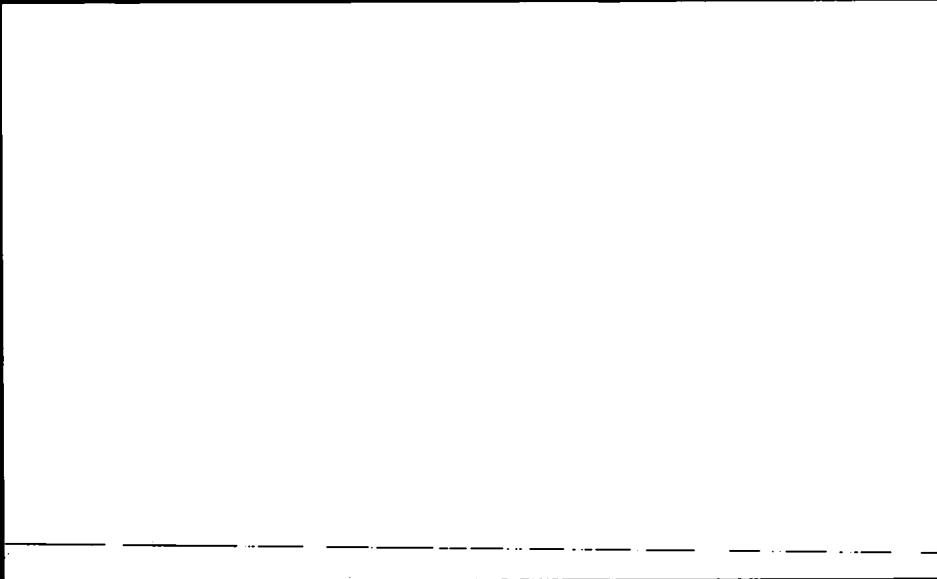
*****NEW YORK STOCK EXCHANGE*****

1966

~~LA 813, C-13-5~~

INDEX THREATENED BY LACK OF HISTORICAL COMPARISON-SEDERBERG

JL 20, C-18-1



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ INQUIRY

1933

Form 1656
SENATE COMM PLANS PROBE

The Detroit News

JE 19,1-3

---1938---

MY 13,33-7

SEPARATION OF CUSTOMERS' FUND FR GENERAL FUNDS URGED AFTER INVESTIGATION OF RICHARD WHITNEY

-----1962----- F 17, A-3-6

GOV'T OPENS BROAD STUDY OF ABOVE WITH A 16 PAGE QUESTIONNAIRE FOR EXHAUSTIVE'S 350 STOCK SPECIALISTS

MR 8, C-14-8

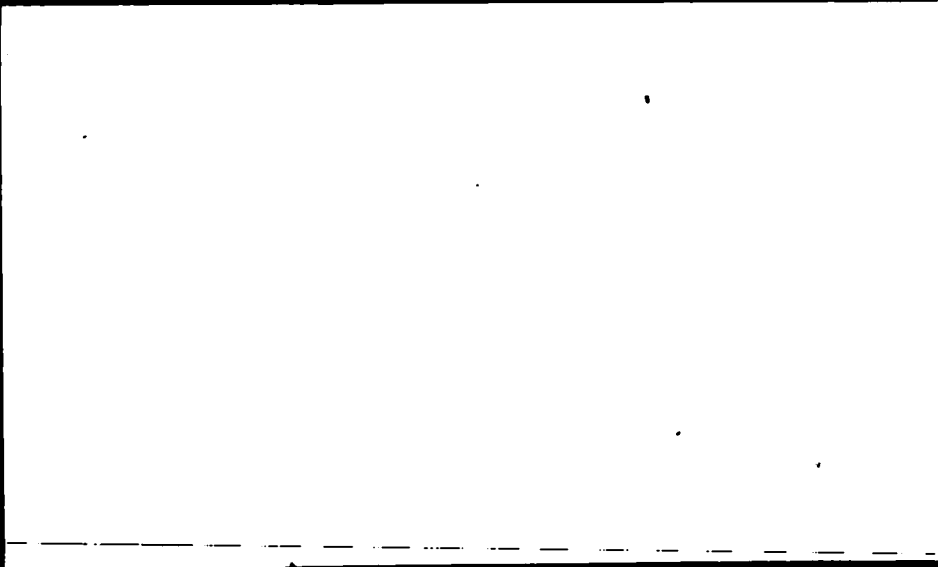
HOUSE COMMERCE SUBCOMM. TO VISIT ABOVE ---1962---

MY 25, A-1-5 F

NY STOCK EXCHANGE ACCUSES SECURITIES & EXCHANGE COMM. OF FAILING TO COOPERATE FULLY IN INVESTIGATION ---1965---

MR 28, C-19-1

WASH-JUSTICE DEPT ANTITRUST-DIV. BEGUN QUIZ INTO ABOVE & OTHER SECURITIES -INDUSTRY TRADING MARKETS

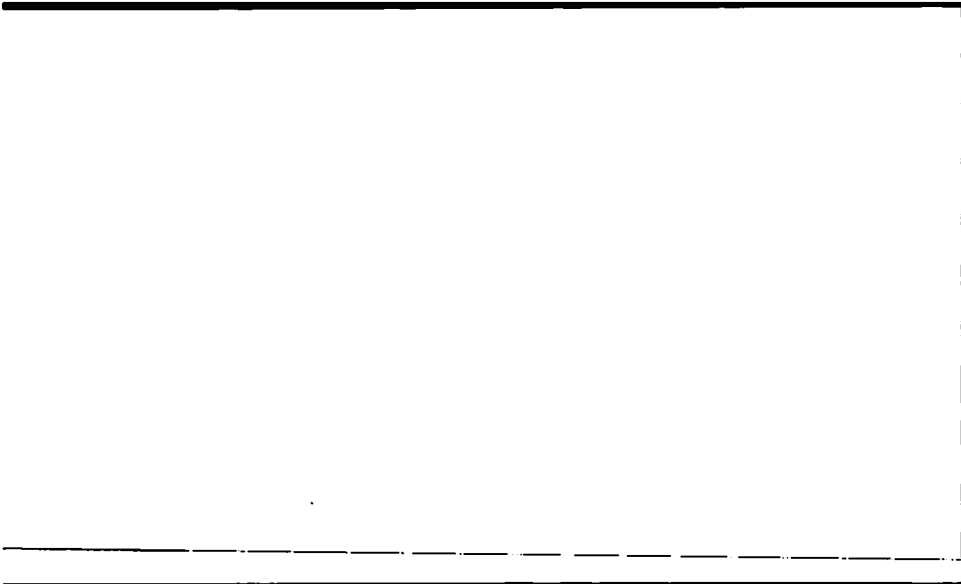


STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ INSURANCE

1961

MF 23, C-21-7

TAKES OUT 10 MILLION INSURANCE POLICY TO PROTECT INVESTORS AGST LOSS
ES THROUGH FRAUD



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK XXXXXX STOCK EXCHANGE~~ **LISTINGS**

1938

Form 1656

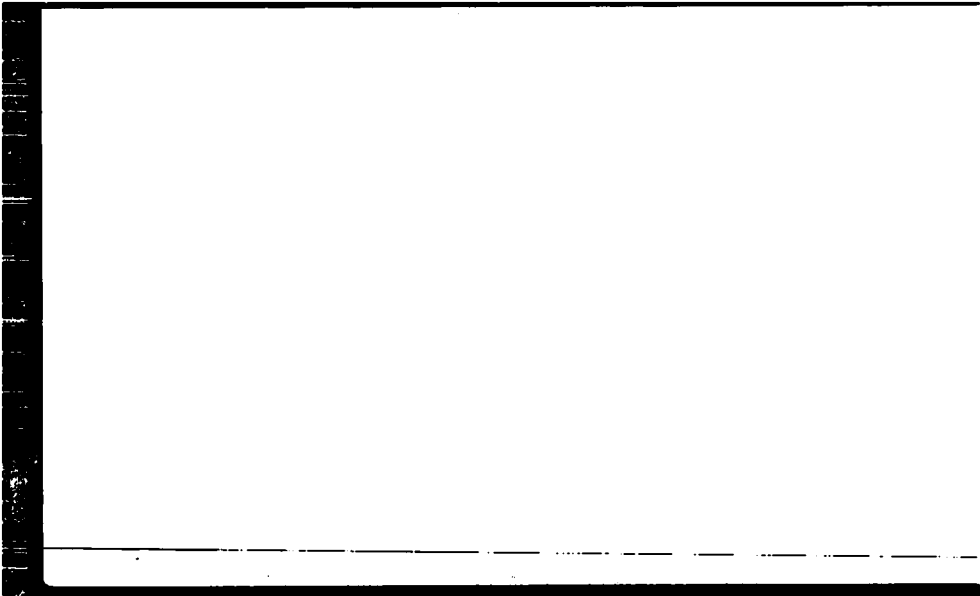
JA 5, 25-5 C M

MARKET VALUE OF LISTED SHARES JAN 1 WAS \$38,869,140,625: COMPARATIVE FIGURES

-----1956----

JL 6, 32-8

NYC-PUTS UP NEW BARRIERS AGST FIRMS OBTAINING A "BACK DOOR" LISTING ON EXCHANGE BY BUYING ANOTHER FIRM ALREADY LISTED



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ MEMBERS

1933

Form 1656

The Detroit News

6 SUSPENDED FOR PAYING GRATUITIES TO EMPLOYEES SANS PERMISSION

JA 26,30-3

MY 6,15-8

3 SEATS SOLD

MY 25,39-4

F D FRANKEL SUSPENDED

O 11, 28-6

JOHN B STETSON, JR EXPELLED O 11

O 26, 33-2

GED M LABRANCHE SUSPENDED FOR 2 YEARS ---1934---

MR 27,31-1

PRICE OF SEAT DROPS \$29,000 OVER NIGHT

U 17,30-6 C M

ARRANGEMENT MADE FOR SALE OF SEAT FOR \$90,000, ADVANCE OF \$5000

O 30,27-8

PRICES OF SEATS DROP TO \$81,000 FROM \$87,000, LAST PREVIOUS SALE

O 30,28-6 C M

VALUE OF SEATS SLUMP ON 2 SALES

N 26, 30-6-1H

MOVEMENT TO REDUCE SEATS ATTRACTS WALL STREET

1935

1-18,35-8

\$90,000 SALES OFF \$10,000 FROM LAST YEAR.

S 7,19-3

SEAT SOLD FOR \$135,000

O 23,32-5 MAIL

SEAT BRINGS RECORD PRICE OF \$140,000 FOR 1935

SEAT SELLS FOR \$155,000

SEAT SOLD FOR \$173,000

SEAT SELLS FOR \$173,000

SEAT SELLS FOR \$174,000

JEROME T. NEIGHAN SUSPENDED

MEMBERS COLLATERAL BORROWINGS AMOUNT TO \$969,997,839 TO MY 13

FIRM OF W.E. HUTTON CO. FACES OUSTER, CHARGED WITH STOCK MANIPULATION

SEAT SOLD FOR \$110,000

SEAT TO BE SOLD FOR \$110,000

ARRANGEMENTS MADE TO SELL ABOVE MEMBERSHIP AT \$75,000 - S 10,45-5-CM

WM. J. DENMAN ELECTED MEMBER OF EXCHANGE

BEGINS COUNT OF MEMBERS' EMPLOYES

CONSIDERS REDUCTION IN NUMBER OF MEMBERSHIPS

4 MEMBERS STUDY PROPOSAL FOR ASSOCIATE MEMBERSHIPS - MR 13,4-15-8

EXCHANGE CENSURES GOODBODY & CO. & HERRICK, BERG & CO. MEMBERS, LAXITY CHARGED

VALUE OF MEMBERSHIP UP 33% IN LAST 2 WEEKS

EXCHANGE OFFERS TO CONTROL NON-MEMBER PARTNERS

J. A. SISON OUSTED BY EXCHANGE -1939-

JOHN J. CARAGHER ESPELLED FR EXCHANGE

MEMBERS ASKED IF 1,375 TOO MUCH MEMBERSHIP FOR EXCHANGE

---1936---

JA 11,19-5

JA 23,34-4-WSC

F 13,36-4

F 20,38-2 WSC

MR 31,33-2-WSC

JE 4, 44-2

TO MY 13

N 13,1-5-BLST

---1937---

F 7,4-15-1

AP 18,4-17-6-3*

S 1, 35-4

S 10,45-5-CM

D 30, 25-8

---1938---

F 11, 32- 6

MR 13,4-15-1

MR 13,4-15-8

MEMBERS, LAXITY

CHARGED

J 5,24-8

O 12,30-4

D 29, 33-4

F 22,23-5

MR 2, 36-8

STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ MEMBERS

1939

Form 1656

MAY 25, 52-8

HENRY C ELFAST EXPELLED AS MEMBER
--1940--

MR 12, 27-4

2-THIRDS OF MEMBERS ARE PAST 40

MR 28, 45-8-BS

MEMBER FIRMS GIVEN RIGHT TO FORM AFFILIATED UNDERWRITING CORPORATION

JE 11, 33-4

J S MORGAN TO SELL NY STOCK EXCHANGE MEMBERSHIP FOR \$40,000

JL 27, 16-8

STUDIES PLAN TO REDUCE MEMBERSHIPS

S 13, 41-1

SOLDIER-MEMBERS GIVEN RIGHT TO NAME ALTERNATES

O 28, 24-8

ARRANGEMENTS MADE TO SELL SEAT FOR \$43,000

---1941---

AP 10, 41-4

ANNOUNCE EXPULSION OF EDWARD W. KING, BUTLER, N.J.

AP 19, 18-8

8 MEMBER FIRMS TO DISSOLVE, 5 NEW ONES TO BE FORMED

D 6, 20-1

MEMBERS GIVEN LEGAL SANCTION TO CHANGE EXCHANGE CONSTITUTION

D 31, 17-2 BS

PROPOSE AMENDMENTS TO EASE SITUATION OF MEMBERS IN ARMED SERVICES

---1943---

O 3, 4-16-7

W M C RONEY & CO. ADMITTED TO MEMBERSHIP

MR 3, 29-1

BENJ. YELIN EXPELLED FROM MEMBERSHIP

---1944---

N 8, 22-2

TO HAVE CLOSER CHECK ON MARKET ACTIVITIES OF ITS MEMBERS

---1945---

Ag 2, 34-6

SURVEY SHOWS OPEN MARGIN ACCOUNTS REDUCED
SEAT SOLD FOR \$89,000

N 9, 36-1
D 14, 34-3

BROKER SUSPENDED BY EXCHANGE FOR "LOOSE TALK"

---1947---

JA 31, 30-3

W.M.H. HASKELL, CUSTOMERS MAN, REINSTATED BY EXCHANGE

N 7, 46-5

GOVERNORS SUBMIT MEMBERSHIP PROPOSAL TO PERMIT CORPS TO BECOME MEMBERS

N 18, 33-4 BS 2

INCRP. PLAN OPPOSED BY BROKERAGE FIRMS

N 22, 14-3

MEMBERS DISAPPROVE PROPOSAL TO ALLOW CORPORATIONS TO BECOME MEMBERS

---1948---

MR 5, 43-2 BS 2

PAUL J. EAKIN SUSPENDED FOR 6 MOS. FOR VIOL. RULES

---1949---

S 23, 54-1

EXCHANGE VOTES "NO" ON CORPORATE FIRMS

-----1953-----

F 3, 28-6

SPECIAL COMMITTEE OF ABOVE RECOMMENDS THAT CORPORATIONS BE ADMITTED
TO MEMBERSHIP IN ABOVE

F 20, 35-5 BS2

BD. OF GOVERNORS OF ABOVE GIVE OK TO AMENDMENT TO THE CONSTITUTION
WHICH WOULD ALLOW PRESENT & FUTURE MEMBER FIRMS TO BECOME CORP.

MR 6, 38-4

MEMBERS OK PLAN TO MAKE THE CORPORATE FORM OF DOING BUSINESS AVAILABLE
TO PRESENT & FUTURE MEMBERS FIRMS STARTING MAY 1ST

MR 31, 18-4

ABOVE ROUNDS OUT ITS 14TH YEAR WITH A 100 PCT. SOLVENCY RECORD IN '5

MY 24, 1-45-3

WOODCOCK, HESS & CO. APPLIES TO BECOME FIRST CORPORATION MEMBER OF

NYC-STOCK CHANGE MEMBERSHIPS REDUCED BY 9

PRICE OF ABOVE RISES ---1956---

STEPHEN PECK, STUDENT, HAS MEMBERSHIP

NYC-GEORGE STEIN EXPELLED AS MEMBER OF ABOVE
 SEAT PRICED AT \$113,000-HIGHEST IN 20 YEARS

ANNOUNCES A MEMBERSHIP HAS CHANGED HANDS AT \$90,000 OFF \$15,000 FR PRE
 VIOLUS SALE JE 18, ---1957---

SEAT ON EXCHANGE SELLS FOR \$88,000

NYC-ABOVE MEMBERSHIP SOLD MY 24TH FOR \$89,000 FOR NEW HIGH FOR '57

NYC-NEW YORK STOCK EXCHANGE GOVERNORS VOTE TO BROADEN RETIREMENT PLAN

"MEMBERS OK CONSTITUTIONAL MANED. HIKING NUMBER OF MEMBERSHIPS

-----1958----- S 22, 26-8

BAKER, SIMONDS & CO. ADMITTED TO MEMBERSHIP IN ABOVE

---1959--- F 23, 25-2

ABOVE TO VOTE ON REVISIONS IN MINIMUM COMMISSION RATES

JA 10, B-2-3

AG 17, 31-4 5*

MR 11, 1-23-4

MY 11, 58-1 6*

MY 20, B-17-5

JL 12, 30-6

JA 20, D-9-3

MY 26, D-17-3

JE 25, 20-5

JL 8, 29-2 5*

---1959---

N 20, C-5-6

SUSPENS JACOB M. ALKOW FOR VIOLATION OF COMSN. LAW

---1960---

F 9, R-8-5

MEMBERSHIP HAS BEEN TRANSFERRED AT \$135,000 DOWN \$15,000 FROM PREVIOUS TRANSACTION JAN. 18TH

Ap 20, D-6-5 5*

CUSTOMER NET DEBIT BALANCES WITH NEW YORK STOCK EXCHANGE MEMBER FIRMS

S 28, D-5-5 FIN 5

NY STOCK EXCHANGE EXPELLS MEMBER, ANTON E. HONSEY, FOR FRAUDULENT ACTS

0 7, B-10-5

FIRST OF MICH. CORP., ADMITTED TO ABOVE

0 10, A-22-1

HONEST BROKERS-EDIT.

N 27, C-14-3

ARRANGEMENTS MADE TO TRANSFER TWO NEW YORK STOCK EXCHANGE MEMBERSHIPS AT \$152,000 EACH

D 11, C-19-6

ARRANGEMENTS MADE TO TRANSFER TWO NEW YORK STOCK EXCHANGE MEMBERSHIPS

---1961---

Ap 9, B-11-2

A MEMBERSHIP TRANSFERRED FOR \$200,000, OFF \$25,000 PREVIOUS SALE

JE 11, D-8-6

NEW YORK STOCK EXCHANGE MEMBERS TRANSFERRED FOR \$205,000

D 8, C-15-4

MEMBERSHIP ON ABOVE CHANGES HANDS

---1962---

JA 5, B-10-8

VOTES \$500 ANNUAL DUES INCREASE & \$3,500 INITIATION FEE RISE

STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE INDEX~~ MEMBERS

1963

AG 7, C-15-4

NY-COMMISSION FAILED TO COVER FOR MORE THAN HALF THE MEMBERS OF ABOVE
IN MARKET-BREAK YEAR OF 1962

D 23, B-7/3

NY-NEW YORK STOCK EXCHANGE MAY UP THE FEE OF ITS MEMBERS

---1964---

JA 31, D-6-8

MEMBERS OKD INCREASING TEMPORARILY CERTAIN MEMBERSHIP CHARGES IN ORDER
TO RECOVER EXPENDITURES IN CONNECTION LIQUIDATION IRA HAUPT CO

F 4, C-4-5

PRES. G.KEITH FUNSTON SAID CUSTOMERS OF MEMBER FIRMS CANNOT COUNT ON E
XCHANGE TO MAKE GOOD ON LOSSES THEY SUFFER THROUGH FINANCIAL DIFFICULT
IES OF THEIR BROKERS

F 7, D-11-5

N-ABOVE DISCIPLINE 2 MEMBERS FOR FAILING TO PERFORME ASSIGNED DUTIES
IN FIRST HALD HOUR AFTER ASSASSINATION OF PRES.KENNEDY

---1965---

JE 23, C-28-8

NY-MEMBERSHIP ON BILLIONAIRES' CLUB ROSE TO 69 LAST YR., NEW MEMBERS
ARE FEDERATED DEPTSTORES, CATERPILLAR TRACTOR, DOW CHEMICAL, ALILIED CHEM
& ALUMINUM Co.

JL 16, D-7-4

NAUMAN VANDERVORT INC. NEWLY NAMED DET. SECURITIES FIRM WITH OFFICES
IN FORD BLDG., JOINED ABOVE

---1966---

JL 13, C-18-6

NY-FUNSTON STILL WORRIED DESPITE FACT THAT BROKERS PROFITS UP IN '65

NY-TRANSFERRED 2 MEMBERSHIPS
NEW YORK-MEMEBRS OF ABOVE ALLOWED TO GO PUBLIC AND SELL
SHARES IN THEMSELVES-

---1967---

0 9, A-1941

--1969--

JL 18, D-5-8

Ag 28, C-8-5

N.Y.-CHARLES PLOHN&CO.FINED \$150,000-

0 25, A-10-7

NEWYORK-JEROME F.TEGELER SUSPENDED AS MEMBER OF ABOVE--

D 1, C-5-2 RACE

STOCK EXCHANGE MEM BERS GETTING YOUNGER.

---1980---

JL 7, C-8-1

CRISPUS ATTUCKS SECURITIES PROBABLY TO OBTAIN NYSE SEATXBX
BECOMING FIRST NEGRO-OWNED FIRM TO DO SO

JL16, C-11-5

JANE R LARKIN 1ST WOMAN ON NEW YORK STOCK EXCHANGE BIG
BOARD- CAROLE ^MMARTIN.

Ag 14, ~~Qx~~/Qx C-7-1

DISCLOSED NAMED OF 5 FIRMS TERMINATED PUBLIC BUSINESS IN
LAST MONTHS DUE TO CAPITAL PROBLEMS

D 1, C-10-2

EXPELLED BURT IS KLEINER & RALPH J SHAPIRO OF MEMBER FIRM
KLEINER, BELL & CO OF BEVERLY HILLS

JA 11, D-7-1 RACE

NYSE SAYS \$69 MILLION NEEDED TO AID MEMBERS

JA 14, C-14-5

DREYFUS CORP APPLE IS TO BE NYSE MEMBER

STOCK : EXCHANGES: NEW YORK STOCK EXCHANGE : MEMBERS 1971

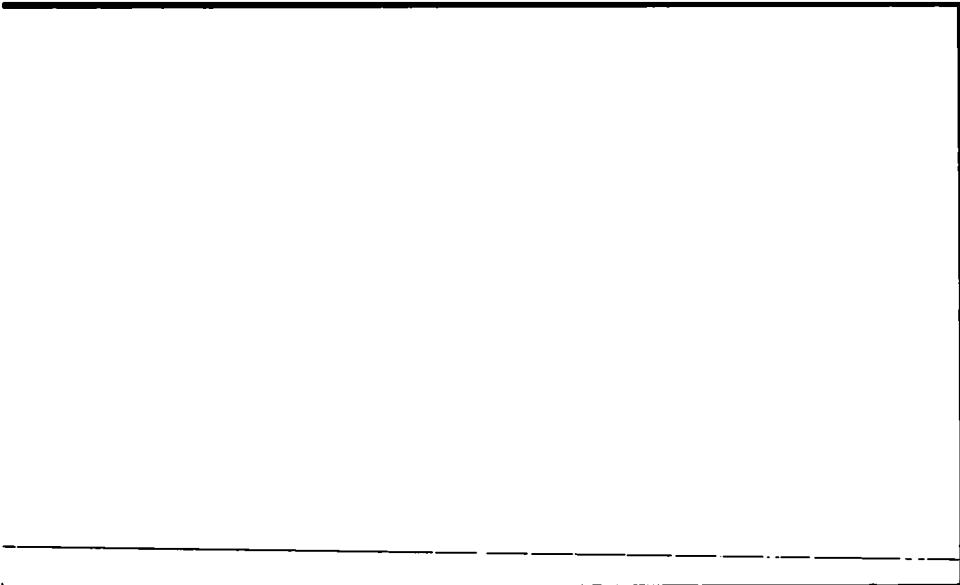
NY- BIG BOARD OK'S MEMBERSHIP OF 1ST BLACK FIRM, DANIELS
AND BELL INC." --1972--

EXPULSED MEMBER, ROBT. W. STARK JR. & HIS FIRM VIOLATED
RULES EFFECTIVE JUL 17

NYSE UPHOLD IN RIGHT TO SUE MEMBER FIRMS

--1985--

DC-John Fedders, top law enforcement officer resigned
under cloud.



STOCK : EXCHANGE : NEW YORK : MERGERS

1972

D 1, C-11-6

**BOCA, RATON, FLA--OFFICIALS OF SECURITIES INDUSTRY ASSN CALL
FOR SERIOUS CONSIDERATION OF MERGER BETWEEN ABOVE AND AMER
STOCK EXCHANGE**

-1977-

AP 10, E-3-3

NY-NY & AMERICAN EXCHANGES TALK OF MERGER

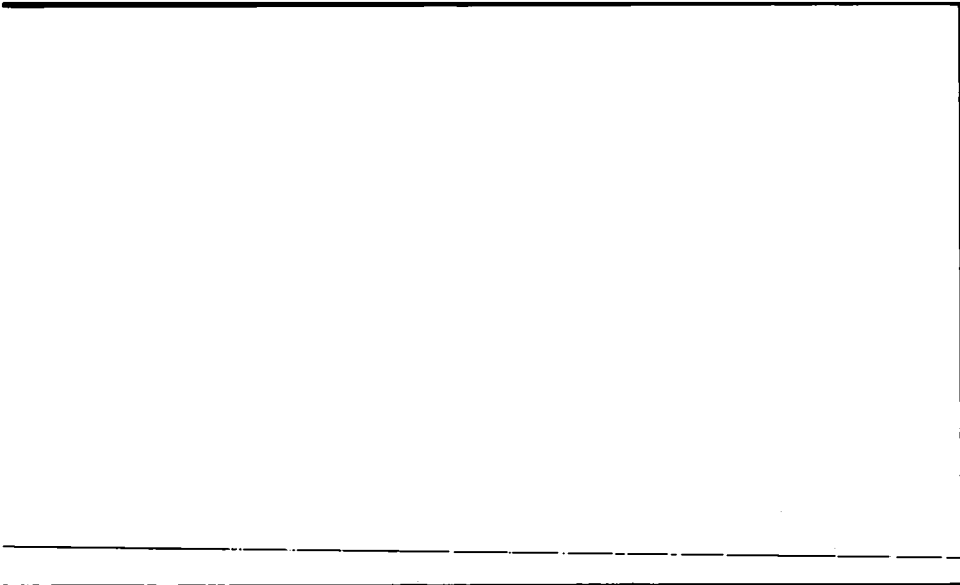
MY 24, A-17-5

**&AMER.STOCK EXCHANGE MERGER DOUBTFUL SAY INSIDERS-GREER/KN-
KANDEL**

-1978-

O 15, E-2-3

NYSE & NYCOMMODITY EXCHANGE TALK MERGER



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:

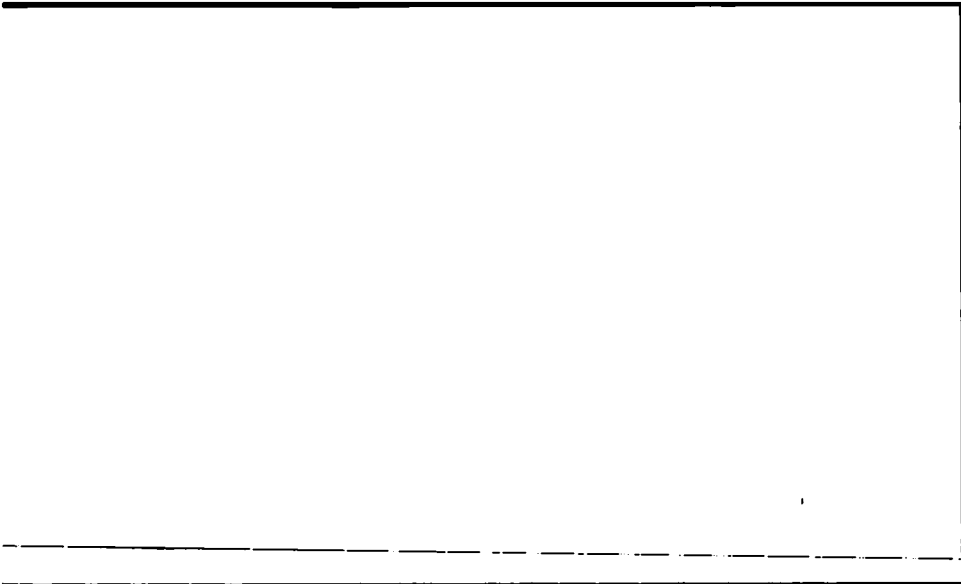
~~NEW YORK STOCK EXCHANGE~~ MULTIPLE TRADING

1940

Form 1656

AG 30, 26-8

SEC STUDIES BAN ON MULTIPLE TRADING



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
X NEW YORK STOCK EXCHANGE OFFICIALS

1933

Form 1656

The Detroit News

RICHARD WHITNEY RE-ELECTED PRES; OTHER OFFICERS

MY 9,31-1 1HOM

---1935---

MR 5, 30-5

RICHARD WHITNEY, CHAS. R. GAY & JOHN W. HANES CANDIDATES FOR PRESIDENCY

MR 18,25-6

BATTLE OVER PRESIDENCY IN PROSPECT
SEC KEEPS HANDS OFF EXCHANGE ELECTION

MR 19,25-5

MR 25,24-3

CHAS R GAY WON'T HEAD INDEPENDENT TICKET FOR PRESIDENCY
COMMITTEE OPPOSES COMMISSION REDUCTION

AP 1, 28-8

CHAS. R. GAY CHOSEN AS PRESIDENT

AP 4, 46-1

RICHARD WHITNEY WILL NOT RUN FOR RE-ELECTION AS PRES.

AP 6, 21-4

CHAS R GAY FORMALLY NOMINATED FOR PRESIDENCY-WINGET

AP 8,27-6

GAY ACCEPTS NOMINATION; PROMISES FRIENDLY ERA

AP 9,29-5

SINGLE BALLOT ORDERED IN VOTE FOR PLACES IN MANAGEMENT

AP 29,26-6

ELECTION DISSENSION LINGERS

MY 8,31-5-1H

CHAS R GAY TAKES OFFICE MY 14 AS PRESIDENT

MY 14,31-5

20 NAMED FOR NOMINATING COM

D 16,30-2 WSC

---1936---

MEMBERS BALLOT FOR OFFICERS

MY 11,31-7

ALLEN L. LINDLEY ELECTED MEMBER OF GOVERNING COMMITTEE

MY 11,2-2-BLST

SAME-; CHAS. R. GAY RE-ELECTED PRESIDENT

MY 12,33-1

---1937---

AP 12,30-4-OM
MY 11,37-7-NITE
O 14, 45-1

CHAS.R.GAY RE-NOMINATED FOR PRES.
CHAS.R.GAY RE-ELECTED PRES.; OTHER OFFICERS
NY-AUSTIN BROWN NAMED GOVERNOR.

---1938---

CHAS.R.GAY ELIMINATES HIMSELF AS CANDIDATE FOR SALARIED PRESIDENCY
WHICH IS BEING CREATED FOR EXCHANGE
O.MAX BARDNER WILL BECOME PRES., RUMOR
CANDIDATES FOR PRES.OF EXCHANGE NAMED
COMMITTEE ASKS BAN ON OLD GUARD CANDIDATES
SEC HAS NO CANDIDATES FOR NEW BOARD OF GOVERNORS
WM.M.MARTIN, JR.HEADS SLATE TO BECOME HEAD OF EXCHANGE
NEW BOARD OF GOVERNORS BEING ELECTED MAY 9
WM.M.MARTIN ELECTED HEAD OF BD.OF GOVERNORS
VOTE IS HEAVY FOR OFFICIALS
WM.M.MARTIN TO BE TEMPORARY PRES.OF EXCHANGE
CLARENCE A.DYKSTRA ADDED TO LIST FOR CONSIDERATION AS PRES.

JA 21,31-5
F 9,28-7
MR 20,4-13-6
MR 22,28-8
MR 24,42-7-WSC
AP 11,38-4
MY 9,25-8
MY 10,31-5
MY 10,32-2-BLST
MY 12,45-5-OM
JE 10,33-2

JL 1,25-5

WM.M.MARTIN, JR.STARTED JL 1 AS 1ST PAID PRES.OF ABOVE -JL 21,35-1
EDWARD E BARTLETT JR. ELEC CHRMN OF BD. OF GOVS.
IVAN WRIGHT NAMED SPECIAL EXECUTIVE
DEAN K WORCESTER, ROBT L FISHER RESIGN ABOVE

AG 17,22-8
AG 18,33-6

---1943---

JNO.A.COLEMAN NEW CHAIRMAN BOARD OF GOV. GOVERNORS

MY 11, 28-1 BS

STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE OFFICIALS~~

1938

	Form 1656	AG 25, 37-2
BASIL B ELMER NAMED GOV. OF EXCHANGE	---	
	1939---	MR 23, 42-1
4 KEY MEN NAMED VICE-PRESIDENTS		AP 10, 24-1
EDWARD E. BARTLETT, JR. RENOMINATED FOR CHAIRMAN OF BD. OF GOVERNORS		MY 17, 32-8
OFFICERS ARE RE-ELECTED		JE 13, 26-1
CURTIS E. CALDER TO BE APPT. TO BD. OF GOVERNORS		JE 15, 42-6
CURTIS E. CALDER ELEC. TO BD. OF GOVERNORS		

	1940---	F 22, 34-2
GEN. ROBT. E. WOOD, CARLE C CONWAY PLAN TO RETIRE FROM BD. GOVERNORS		MY 21, 29-8
WM. MCCHESNEY REAPPT. PRES. OF ABOVE		JE 27, 52-1
HOWLAND S. DAVIS NAMED EXEC. VICE-PRES., OTHER CHANGES		

	1941---	F 28, 4-2
WM. MCC MARTIN JR., PRES., TO ENTER US ARMY		MR 20, 39-5
EXCHANGE SEEKS ABLE MAN TO MANAGE ABOVE IN WAR-TIME CRISIS		MR 21, 35-6 CITY
SEEK MAN CAPABLE OF MANAGING STOCK EXCHANGE		AP 10, 41-6
WM. M. MARTIN, JR. SUBMITS RESIG. AS PRES. OF ABOVE		AP 29, 27-1
COMMITTEE MEETS TO DISCUSS JOB OF PRES.		MY 7, 33-1
EMIL SCHRAM APPROVED AS PRES. OF ABOVE		

MEMBERS TO ELECT ROBT.L.SCOTT AS CHAIRMAN BD.OF GOVERNORS	MY 12, 24-1
EMIL SCHRAM FORMALLY PRES.OF ABOVE	MY 20, 31-8 CM
EMIL SCHRAM GREETED AT DINNER, TO ASSUME DUTIES, OF PRES.	JE 26, 40-8
PAUL V.SHIELDS RESIGNS AS A GOVERNOR	JL 22, 26-1 BS
ROBT.L.STOTT RE-ELECTED CHAIRMAN, OTHERS	MY 12, 24-1
ROBT.L.STOTT TO RETIRE AS CHAIRMAN OF BOARD OF GOVERNORS	MR 5, 33-4
JOHN A.COLEMAN NOMINATED FOR CHAIRMAN OF BOARD OF GOVERNORS	AP 12, 24-3 BS
CHAS.KLEM & EDWARD C.GRAY. APPT.ASST.VICE-PRESIDENTS	AP 27, 28-1
SALARY INCREASE TO \$65,000 REQUESTED FOR PRES.EMIL SCHRAM	AP 6, 39-4
INCREASE IN EMIL SCHRAM'S SALARY VETED BY TREASURY.	MY 27, 13-6
SCHRAM REAPPOINTED PRES. FOR YEAR	JL 11, 15-6
EMIL SCHRAM GIVEN CONTRACT EXPIRING DEC.31,1948	N 11, 4-6-6
RUMOR OF RESIGNATION EMIL SCHRAM AS PRES.DENIED	N 26, 20-6 BS 2
ROBT.P.BOYLAN RE-ELECTED CHAIRMAN OF BOARD OF GOVERNORS	MY 9, 26-5

ROBT. P. DOYLAN ANNOUNCES RETIREMENT CHAIRMAN & MEMBER OF BD. OF GOVERNORS
 RICHARD M. CROOKS NAMED CHRMN. BOARD GOVERNORS
 GEORGE K. FUNSTON NAMED PRES.

AP 4, 54-5 BS 2

MY 15, 32-1
 MY 25, 42-6

—1953—

MY 12, 32-5

RICHARD M. CROOKS ELECTED TO 3RD TERM AS CHRMN. OF BD. OF GOV. OF ABOVE

N 4, 34-5

ABOVE NAMED RUDDICK C. LAWRENCE A VICE-PRESIDENT

—1954—

JA 5, 21-6 5*

JOHN J. MANN ELECTED PRES. OF AMER. STOCK EXCHANGE

S 10, 50-7 BH

KEITH FUNSTON PRESIDENT OF ABOVE SIGNS CONTRACT FOR 3 YRS

D 20, 34-4

JOHN R. HAIRE HAS BEEN APP'T SECY OF ABOVE

—1955—

MR 21, 34-4

CYRIL MEANS JR. NAMED ARBITRATION DIR. OF ABOVE

—1956—

AP 9, 32-6

NOMINATES JAS. CRANE KELLOGG III AS CHR. OF BOARD

JL 23, 30-7

FRANK H. SPARKS ELECTED PUBLIC GOVERNOR OF ABOVE

JL 31, 31-3EXT

PROFIT OF ABOVE DECLINED TO \$608,122 IN FIRST 6 MOS OF 1956

- 1956 -

S 25, 22-8

JOHN R. HAIRE NAMED V. PRES. OF ABOVE; EDWIN D. BANNERMAN, SEC.

--- 1958 ---

AP 15, 27-3

EDW. C. WERLE TO BE "A EC CHAIRMAN" OF ABOVE

MY 13, 38-7

EDWARD C. WERLE ELECTED CHAIRMAN

MY 29, 24-6

JEROME W. AMMACK ELECTED VICE CHR.

O 28, 34-1 5*

O 29, 62-8

NAMES MRS. MARY ROEBLING AS A GOVERNOR

NAMES MRS. MARY ROEBLING AS A GOVERNOR

--- 1959 ---

MR 6, 24-8 LF

EDWARD T. MCCORMICK, SIGNS 6 YR. RENEWAL OF HIS CONTRACT AS PRES.

--- 1961 ---

MY 9, C-6-1

ELEVATED J. TRUMAN BIDWELL TO BD. CHR.

--- 1962 ---

F 28, A-1-7

CHG. OF ABOWES BD. OF GOVERNORS, J. TRUMAN BIDWELL, PLEADS INNOCENT TO TAX EVASION CHGS.

AP 9, B-6-8 FIN 5

HENRY M. WATTS JR. NOM AS CHR. OF BD. OF GOVERNORS

MY 15, C-14-4

HENRY M. WATTS JR. ELECTED CHAIRMAN OF BOARD OF GOVS.

MY 25, B-11-7

WALTER N. FRANK ELECTED VICE-CHAIRMAN OF BD. OF GOVS. OF ABOVE

JL 24, B-7-5

EXTENDS CONTRACT OF PRES. G. KEITH FURSTON 5 YEARS, RAISES SALARY FROM

~~\$100,000 TO \$125,000 A YR~~

STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE OFFICIALS~~

1963

J. VICTOR HERD, OF CONTINENTAL INSURANCE COMPANIES OF NYC, WAS ELECTED
A PUBLIC GOVERNOR OF ABOVE--OTHER GOVERNORS LISTED

---1964---

KEITH FUNSTON, PRES. ABOVE & 3 MEMBERS OF BD. OF GOVERNORS, PLAN TO VISIT
PRES. JOHNSON MR. 25

JACK I. STRAUS OF R.H. MACY & CO WAS ELECTED PUBLIC GOVERNOR OF
ABOVE

NY--WALTER N. FRANK NOMINATED FOR 1YR TERM AS CHAIRMAN TO REPLACE
HENRY M. WATTS JR.

---1966---

NY;--KEITH FUNSTON RESIGNATION BECOMES EFFECTIVE SEPT 10, '67
X '67

NY--G KEITH FUNSTON TO QUIT AS PRES ABOVE SEPT 10 '67

---1967---

NY--ROBT. W. HAACK CHOSEN PRES.

KEITH FUNSTON STEPS OUT AS PRESIDENT TO BECOME CHAIRMAN
OF OLIN MATHIESON CHEMICAL CORP.--ROBERT W. HAACK TO BECOME
PRES. ABOVE

ROBERT W. HAACK TOOK OVER AS PRESIDENT OF EXCHANGE
R. JOHN CUNNINGHAM TO BECOME EXECUTIVE VICE PRES. ABOVE

---1971----Ap15,D-13-1

¹⁴
RALPH D DENUNZIO, NOMINATED FOR CHAIRMAN OF BD. OF
GOVERNORS OF NYSE

--- Ap15,D-3-2RACE

YORK AND AMERICAN STOCK EXCHANGES STUDY JOINT USE OF COMPUT
ER

---1972----

MY1, A_12-4

NY---ABOVE AND THE AMERICAN EXCHANGES HAVE MADE FINAL
PLANS TO REVAMP THEIR BOARDS OF GOVERNORS---

GREER
JE 7, C-5-1

JAMES M. ROCHE & MRS. JUANITA M. KREPS NAMED BOARD DIRECTORS

XXXX

~~XXXXXX~~

S 27, C-8-6

JAMES J NEEDHAM ANNCD APPT OF FRANCIS J PALAMARA, 47, AS
EXEC VP OF BIG BD.

-1973-

AP 10, A-14-1

THOMAS E OHARA, ROYAL OAK, AGE 57, NOMINATED FOR 2YR TERM
ON BD OF DIRECTORS. SMITH

-1975---Ap15, C-9-6

THOMAS E. O'HARA DUMPED FR BD OF DIRECTORS. SMITH

--1976----Ap28,C-2-4

CHAIRMAN JAMES NEEDHAM RESIGNS.

~~NEW YORK STOCK EXCHANGE PAGES~~

1956

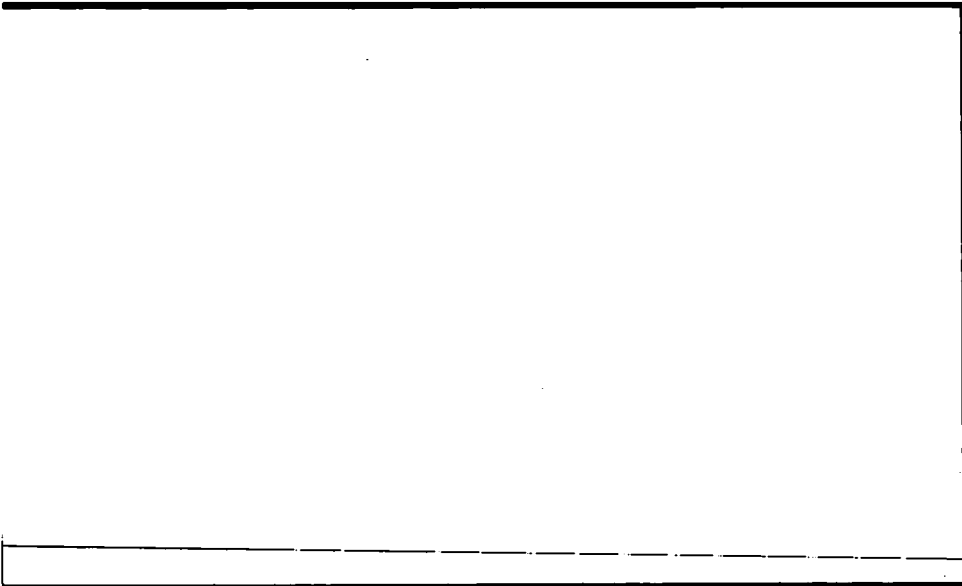
~~STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE: PAGES~~

AP 16, 36-1 LF

ABOVE FIRE 16 YR OLD PAGE FOR PUTTING OUT FALSE REPORTS ON STOCK Ex-
CHANGE TICKER ---1970---

D 3, A-20-6 100

WALL STREET FLOOR BROKERS TO HAVE GIRL PAGES BECAUSE OF
SHORTAGE OF MALES



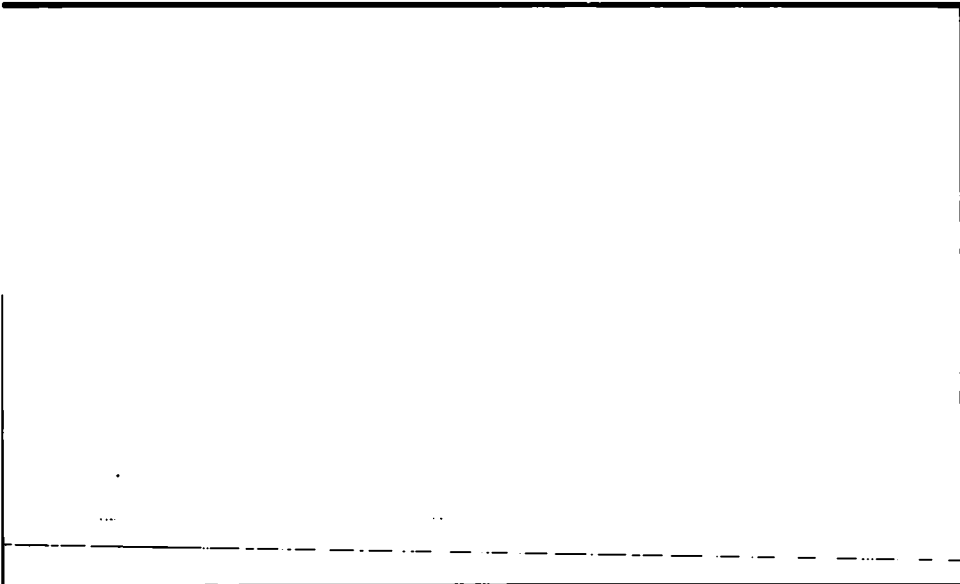
STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE: PICKETING

1965

~~NEW YORK STOCK EXCHANGE PICKETING~~

F 1, A-1-3

NY-CIVIL RIGHTS DEMONSTRATORS PICKETED ABOVE IN APPEAL FOR BOYCOT
AGNST MISS. PRODUCTS AS ECONOMIC WEAPON AGST. SEGREGATION



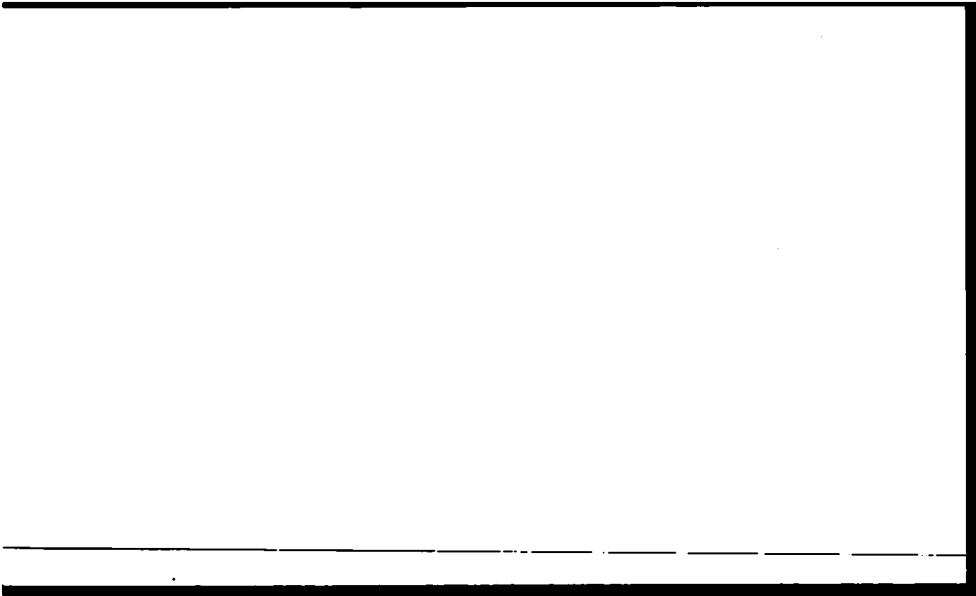
~~NEW YORK: COTTON STOCK EXCHANGE~~ PRICES

1955

~~STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:~~

N 15, 28-8

REPORT ON ABOVE AT NEWS OF PRES. / KE'S ILL HEALTH



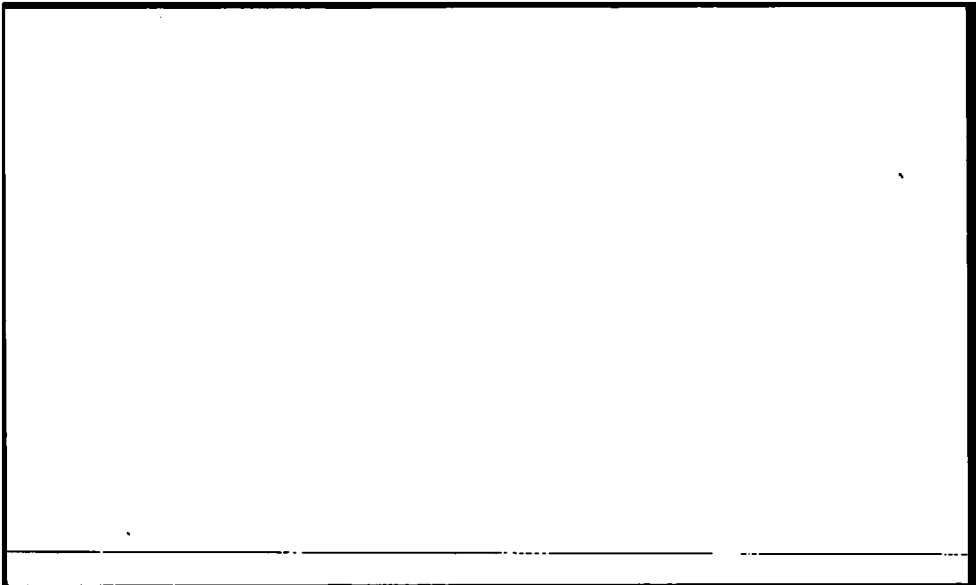
STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ PROPERTY

1941

Form 1656

F 22, 18-1

MAY TAKE \$1,800,000 LOSS THRU SALE OF REAL ESTATE PROPERTY BOUGHT IN
1993

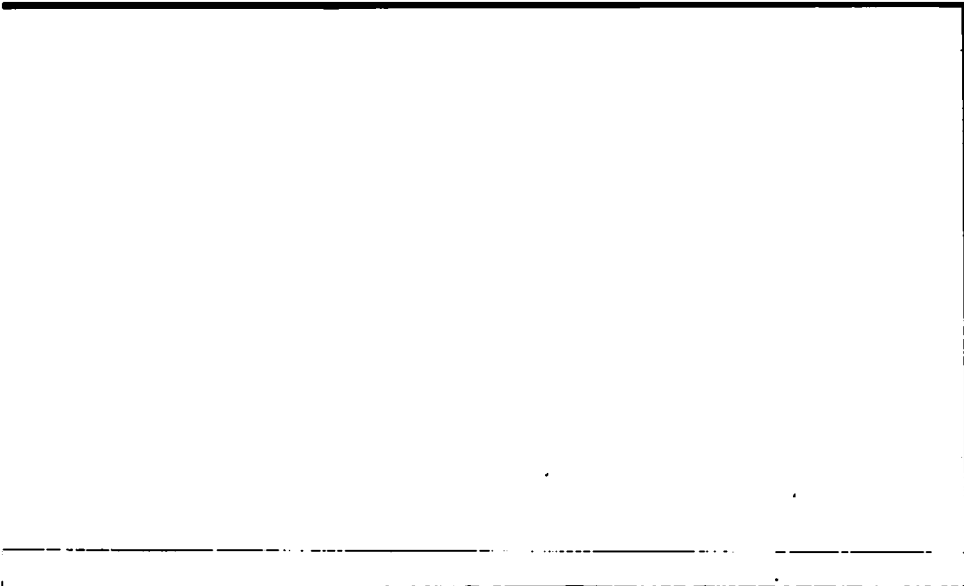


STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ PUBLIC EXAMINING BOARD 1939

Form 1656

JL 20, 30-1

ROSWELL MAGILL CHOSEN CHRMN OF ABOVE Bd.



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ : RADIO

1947

N 7, 46-5 BS 2

SPONSOR RADIO PROGRAM TO EXPLAIN FUNCTIONS OF THE EXCHANGE

STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:

1938

~~NEW YORK STOCK EXCHANGE~~ REGULATIONS

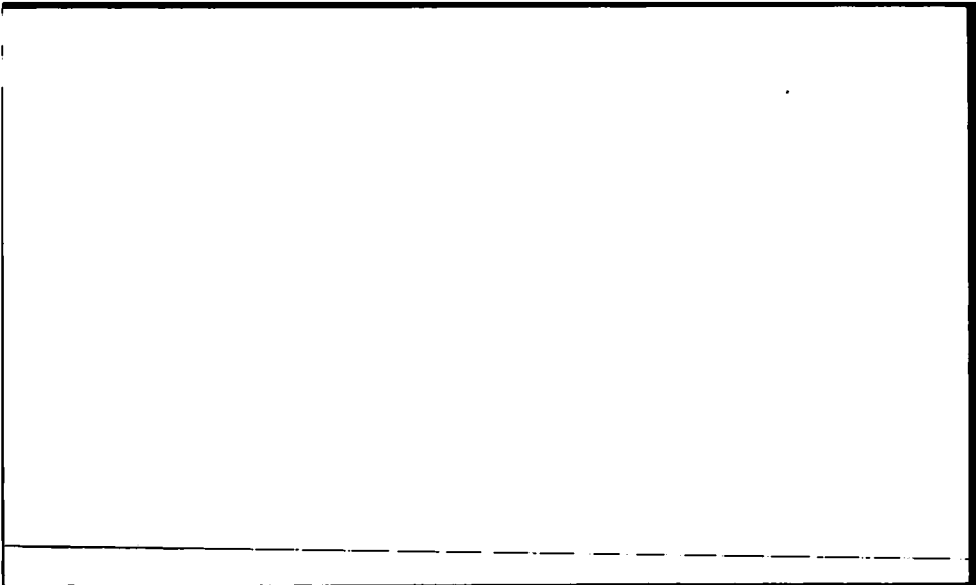
Form 1656

AP 13,10-3

75% OF VOTERS FAVOR FURTHER WALL STREET REGULATION, AIFO POLL-GALLUP

JE 3,32-5

EXCHANGE HEADS DISCUSS REGULATION WITH SEC



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ RE-ORGANIZATION

1937

Form 1656

SEC WARNS ABOVE TO RE-ORGANIZE
WALL STREETERS IRRITATED BY DEMAND TO CLEAN-UP BY SEC
CHAS. R. GAY WARNS SEC ON EXCESSIVE REGULATION OF EXCHANGE
GROUP NAMED TO STUDY NEW SET-UP FOR EXCHANGE
CARLE C. CONWAY HEADS GROUP TO STUDY ADMINISTRATION OF NY STOCK EXCH
---1938---
COMMITTEE DRAFTS NEW SETUP FOR EXCHANGE
THE REFORM PLAN OF ORG.-EDIT
REORG. OF ABOVE FAVORED BY GOVERNING BOARD
EXCHANGE GOVERNORS TO VOTE ON CHANGES
GOVERNING COMMITTEE OK'S AMENDMENTS FOR RE-ORG.
MEMBERS VOTE FOR COMPLETE REORG.
MEMBERS GIVE OVERWHELMING APPROVAL OF REORG/
TAKE ACTION TO ELECT NEW PRES.
GOVERNORS STUDY REORG. PLAN
---1941---
ABV PLAN TO CENTRALIZE POWER IN EMIL SCHRAM PROPOSED
---1971---
WILLIAM MCCHESNEY MARTIN JR NAMED TO HEAD COMM TO REORG
NYSE

N 24,1-3

N 24,1-3

N 30,30-8

D 11,22-1

D 14,29-8-CM

JA 28,31-8

JA 30,1-6-2

F 1,29-3

F 23,27-7

MR 4,30-5

MR 17,1-2-BLST

MR 18,32-8

MR 13,36-8

MY 29,20-1

JL 24,40-8

JA 21,C-10 5 11

1. The first point to be made is that the data presented in the table are not representative of the entire population of the United States. They are only representative of the population of the United States that is covered by the survey. This is a limitation of the data, and it should be kept in mind when interpreting the results.

2. The second point to be made is that the data are self-reported. This means that the respondents are reporting their own behavior and attitudes. This can lead to bias, as respondents may not always report accurately. For example, they may over-report positive behaviors and under-report negative behaviors.

3. The third point to be made is that the data are cross-sectional. This means that the data are collected at a single point in time. This limits the ability to make causal inferences from the data. For example, it is not possible to know if a change in behavior led to a change in attitude, or if a change in attitude led to a change in behavior.

4. The fourth point to be made is that the data are from a convenience sample. This means that the respondents were selected from a group of people who were easy to reach. This can lead to bias, as the sample may not be representative of the entire population.

5. The fifth point to be made is that the data are from a single source. This means that the data were collected from a single survey. This can lead to bias, as the survey may not be representative of the entire population.

6. The sixth point to be made is that the data are from a single country. This means that the data were collected from the United States. This limits the ability to generalize the results to other countries.

7. The seventh point to be made is that the data are from a single time period. This means that the data were collected in 1997. This limits the ability to make inferences about the population at other times.

8. The eighth point to be made is that the data are from a single topic. This means that the data were collected about the topic of health and safety. This limits the ability to make inferences about other topics.

9. The ninth point to be made is that the data are from a single method. This means that the data were collected using a survey. This limits the ability to make inferences about other methods.

10. The tenth point to be made is that the data are from a single source. This means that the data were collected from a single survey. This limits the ability to make inferences about other sources.

STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ REPORTS

1936

Form 1656

JL 2,35-8

REPORT SHOWS 31.9% OF ACCOUNTS ARE 'RESTRICTED'

EMIL SCHRAM IN REPORT SEES FLIGHT OF CAPITAL FROM LISTED SECURITIES

MR 22, 15-7

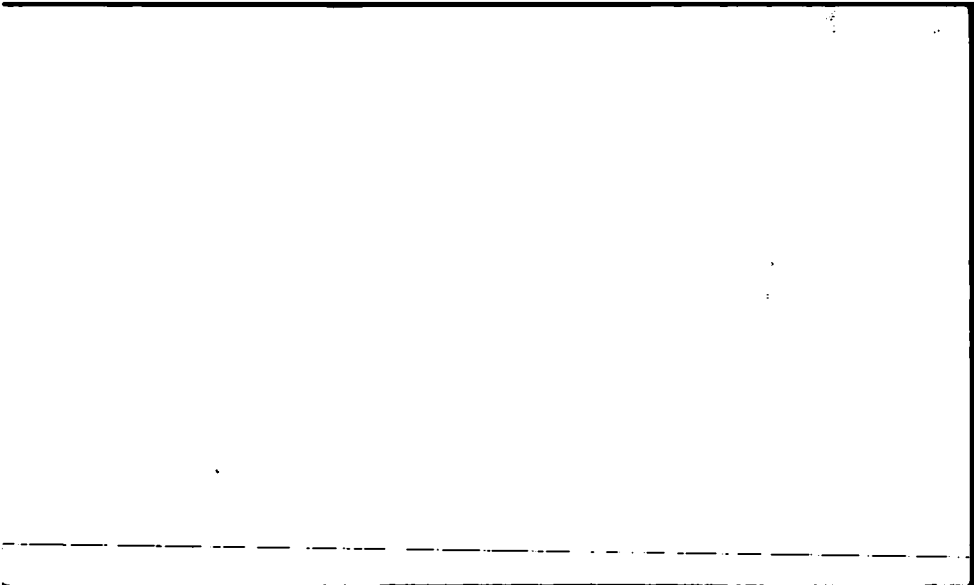
----1958---- JL 24, 40-8

REPORTS ODD LOT SALES TOP PURCHASES ----1964---- JL 6, 8-7-2

NY-ABOVE TO REGULATE MORE CLOSELY RESEARCH REPORTS - ROBARD

JL 13, 8-9-4

NY-BIG INSTITUTIONS SUCH AS INSURANCE COMPANIES, PENSION FUNDS & MUTUAL
FUNDS HAVE TENDED TO SMOOTH UPS & DOWNS OF STOCK MARKET



Form 1656

N 14,39-3 C M

MARGIN RULES ADOPTED IN 'WHEN ISSUED' TRADES

---1936---

AP 9, 48-7

END OF BOND DEALS OVER THE COUNTER EXPECTED

AP 10, 46-4

ALL BOND SALES ORDERED TO FLOOR OF EXCH.

AP 23, 46-4 WSC

APPROVES CONCEALMENT OF ASSETS BY COMPANY REORGANIZERS

AP 24, 46-8

CHANGES RULES AFFECTING CUSTOMERS' MEN

AP 25, 19-1

MARGIN RULE IS AMENDED, EASED FOR SMALL ACCOUNTS

MY 11, 31-5

INTEREST RATE ON CALL LOANS RAISED TO 1% MAY 11

---1937---

JA 15, 39-8

POWER OF STANDING COMMITTEES BROADENED

JE 28, 24-1

GRANTS MEMBER FIRMS PERMISSION TO WAIVE MINIMUM SALARY LIMITS FOR CUSTOMER'S MEN

AG 17, 26-5

BATTLE IMPENDS OVER PROPOSED AMENDMENT TO BOOST MINIMUM BROKERAGE COMMISSION RATES 30%

D 30, 25-8

GOVERNING COM. OF ABOVE AUTHORIZES BOOST OF ABOUT 11% IN BROKERS'

CHARGES

---1938---

MR 12, 20-3

EXCHANGE BROKERAGE FIRMS ORDERED TO MAKE AVAILABLE A STATEMENT OF FINANCIAL CONDITION TO ANY CUSTOMER ON REQUEST

AP 18, 28-8-CM

NEW RESTRICTIONS CONSIDERED ON UNSECURED LOANS

----1938----

RESTRICTIONS POSTED ON ODD LOT SHORTS

WIDENS DISCIPLINARY POWER

W.M.O. DOUGLAS & W.M.C.C. MARTIN TO DISCUSS NEW RULES

EXCHANGE MAKES RULES TO PROTECT PUBLIC

POLICIES ON LISTINGS TO BE MODIFIED

MY 26, 47-5

O 27, 43-4

O 29, 17-4

N 1, 28-8

N 23, 21-8

---1939---

CAPITAL REQUIREMENTS INCREASED TO \$25,000

PARTNERS IN BROKERAGE HOUSES BARRED FR. CARRYING PERSONAL MARGIN ACC-

OUNTS WITH THEIR OWN OR OTHER MEMBER FIRMS

MAIN FIRM PARTNERS DENIED MARGIN PRIVILEGES

MEMBER FIRMS TO MAKE REPORTS ON CUSTOMERS' CREDIT

W.M. McC MARTIN JR., PRES. ABOVE, APPTS. COMMITTEE TO STUDY "DIVORCE" PLAN

F 22, 23-5

JE 6, 25-7

JE 29, 41-2

J 25, 25-4

S 26, 25-1

O 12, 46-3

VOTES TO GIVE Bd. POWER TO PRESCRIBE MINIMUM CHARGES FOR MISC. BROKER-

AGE HOUSE SERVICES

---1940---

NEW SCHEDULE OF 5 MANDATORY MINIMUM CHARGES TO BE MADE ON SPECIAL SER-

VICES PERFORMED FOR CUSTOMERS BY MEMBER FIRMS

MEMBER FIRMS DISCONTINUING MANDATORY SERVICE CHARGES

JA 11, 40-7

MR 28, 44-1

MY 5, 4-18-7

ADOPTS RULES TO PROTECT HOLDERS OF PREFERRED STOCK

O ENFORCE GRADUALLY RULE FORBIDDING MEMBERS FROM MAKING MARKETS IN +

ITS LISTED SECURITIES ON OTHER EXCHANGES

MEMBER FIRMS FORBIDDEN TO ACT AS DEALERS IN ITS LISTED SECURITIES ON

OTHER EXCHANGES AFTER SEPT. 1

MY 8, 33-4

JL 12, 27-2

Form 1656
AGITATION BEGUN FOR LONGER TRADING SESSION Ag 27, 24-7
BD. OF GOVERNORS PUT OFF LONGER DAY ACTION TO LATER DATE Ag 29, 36-7
30 MEMBER FIRM ASK DELAY: WANT LONGER TRADING HOURS -S 4, 33-2
HOURS ABOVE TO REMAIN UNCHANGED -S 12, 52-5
CONSIDERING ADDING ANOTHER HOUR TO TRADING SESSION S 18, 32-7
REMINDS MEMBERS RULES FORBIDDING BETTING ON FLOOR S 20, 40-8
SEC ASKS EXCHANGE TO PERMIT MEMBERS TO TRADE WHERE THEY PLEASED O 25, 39-8
O 25, 39-8
OFFICIALS OF EXCHANGE STUDY SEC REQUEST O 14, 18-7
NEW MOVEMENT TO ADD HOUR TO DAILY TRADING SESSION REPORTED -O 21, 18-8
SEC DEMANDS ABOVE REMOVE BAN VS. MULTIPLE TRADING BY DEC. 28 -O 28, 19-5
NEW PETITION ASKING 1 HOUR LATER CLOSING ASKED
---1941--- JA 6, 21-8 BS
MEMBERS QUERIED ON LENGTHENING OF TRADING DAY JA 25, 19-1
OFFICIALS 4 REGIONAL EXCHANGES TESTIFY VS. ABOVE RULE RESTRICTING ITS
MEMBERS ACTIVITIES ON OTHER EXCHANGES F 25, 26-1
ALL MEMBERS TO BE GIVEN CHANCE TO BID FOR STOCK SOLD OFF THE EXCHANGE MR 10, 25-2
EXCHANGE CONSIDERING FURTHER CENTRALIZATION OF MANAGEMENT -O 7, 27-8
SEC AMENDS CONSTITUTION OF ABOVE TO PREVENT BANNING "MULTIPLE TRADING"
IN ODD-LOTS

DECIDE TO ACQUIESCE IN SEC DUAL TRADING ORDER	---1941---	O 28, 26-4
TO ALLOW CORPORATIONS MORE TIME IN FILING ANNUAL STATEMENTS	---1942---	D 18, 40-3
BANS MARGIN TRADING FOR STOCKS UNDER \$10 EFFECTIVE	---1945---	MR 4, 4-7-4
REVISE RULES WITH VIEW TO REMOVING OBSTACLES TO LISTING FOREIGN SHARES	---1946---	MR 5 O 4, 34-3 BS 2
MEMBERS CLASH OVER BROADENING RULES ON ADMISSION OF MEMBERS	---1947---	F 2, 4-13-2
GOVERNORS LIBERALIZE RULES ON OPERATIONS OF FLOOR MEMBERS		F 6, 47-4
MEMBERS APPROVE AMEND THAT ANY 175 OR MORE MEMBERS MAY INITIATE PROPOSED CONSTITUTIONAL CHANGES FOR SUBMISSION TO MEMBERSHIP		MR 21, 40-7 N 2, 4-17-2
MEMBERSHIP APPROVES INCREASE COMMSN. RATES		N 3 N 8, 29-4 BS 2
GOVERNORS TAKE DRASTIC ACTION TO MAINTAIN FREE & ORDERLY MARKET	---1953---	JL 24, 32-5
COMMISSION RATE BOOST VOTED	-----1957-----	MR 4, 28-7
BIG BOARD PROXY FIGHT RULES TIGHTER		O 22, 22-8
GOVERNORS OK A NEW POLICY TO MAKE VOTING STOCK RULE TIGHTER	-----1958-----	Ap 1, 30-6
BD. OF GOVERNORS OF ABOVE OK SEVERAL PLANS RAISING STANDARD FOR BOTH LISTED STOCK & STOCK TRADING PRIVILEGES ON EXCHANGE	-----1960-----	MR 2, C-6-5
DRAFTS NEW SET OF RULES TO LENDERS TO SMALL BUSINESS		

~~NEW YORK CITY STOCK EXCHANGE~~ RULES

1960

~~STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:~~

MR 29, 6-6-55

GOVERNORS OK 2 NEW RULES TO STRENGTHEN RIGHTS OF STOCKHOLDERS

---1961--- JL 25, 3-10-8

RESCINDS STOP ORDER BANS ---1963---

S 25, 8-11-8

ANNOUNCED STRICTER RULES ON ADVERTISING & ISSUANCE OF STOCK SALES LITERATURE BY MEMBER BROKERS ---1964---

F 23, 8-7-4

NY STRICTER RULES ON COMMODITY TRADING URGED BY SPECIAL EXCHANGE COMM.

STUDYING RAMIFICATIONS OF SALAD OIL SCANDAL

MR 20, D-10-5

NY STOCK EXCHANGE TIGHTENS REGULATIONS COVERING COMMODITY ACTIVITIES BY MEMBER FIRMS

MR 23, B-9-1

NY PLANS TO TIGHTEN RULES GOVERNING FLOOR TRADERS ANNOUNCED BY ABOVE

MR 26, C-19-7

NY HAS RAISED STANDARDS FOR LISTING NEW COMMON STOCK & TIGHTENING CRITERIA FOR CONT. LISTING OF STOCK

JE 3, C-18-1

WASH.-SEC ADOPTS RULE PUTTING SHARP RESTRICTIONS ON FLOOR TRADING ON ABOVE, EFFECTIVE AUG. 3

JL 6, B-7-2

NY-NY STOCK EXCHANGE TO REGULATE MORE CLOSELY THE "RESEARCH REPORTS" - ROBARDS ---1965---

AP 25, B-17-3

EXPECTED TO ANNOUNCE SHORTLY FURTHER TIGHTENING OF ITS STANDARDS FOR NEW & CONTINUED LISTINGS OF STOCKS

—1965—

Ap 26, B-12-4

TIGHTENED ITS STANDARDS FOR NEW & EXISTING LISTINGS OF COMMON STOCKS

Ag 10, R-7-3

NY-BD. OF GOVERNORS DECIDES TO REQUIRE ALL BIG BOARD MEMBERS TO FILE ANNUAL INCOME & EXPENSE RECORDS WITH EXCHANGE

N 22, C-6-8

MAKING CHANGES IN CONSTITUTION TO GIVE OFFICE-MEMBERS GREATER VOICE IN OPERATIONS

—1966—

Ap 22, A-1-1

ANNOUNCED NEW TIGHTER RULES ON MARGINS TO CURB QUICK TRADING

S 16, C-9-8

AGREED TO ALLOW ITS MEMBERS TO ENGAGE IN STOCK TRANSACTIONS WITH NONMEMBER CONCERNS IN STOCKS LISTED ON EXCHANGE

—1967—

N 14, D-10-5

BACHE & CO. CALLS FOR CHANGE IN ABOVE REGULATIONS TO ALLOW MEMBER FIRMS TO SELL SHARES PUBLICLY

—1968—

D 26, C-21-8

NY-REVISED RULE DEALING WITH DISCRETIONARY ACCOUNT TAKES NOTE OF DIVORCES IN CUSTOMER HANDLING

—1969—

JA 17, D-6-1

BD. OF GOVERNORS VOTED TO STRENGTHEN EXCHANGES REGULATORY POWERS OVER MEMBERS & MEMBER ORGS.

Ap 8, B-2-3

JOHN A. KEMPER & PHILLIP A. LITTELL, PARTNERS OF JOHN A. KEMPER & CO. DISCIPLINED FOR VIOLATING EXCHANGE RULES

Ap 19, A-8-7

NY-GOVERNORS ADOPTED NEW RULE TO PERMIT ROUTINE CONSIDERATION OF DE-LISTING COMMON STOCK

STOCKS: EXCHANGES: NEW YORK EXCHANGE: RULES

1969

NEWYORK-HAYDEN,STONE INC, FINED FOR VIOLATIONS DURING '68
PAPER-WORK JAM--
ROBERT W HAACK URGES MAJOR CHANGES IN SECURITIES INDUSTRY
SPECIALIST CURBS FAIL ON NYSE, AMEX, SAYS SENATE PANEL STUD
BIG Bd OKS MEMBERSHIP ROLE FOR INSTITUTION.S
NY--Bd OF DIRECTORS ENDORSES RULE CHANGE ALLOWING INSTS
LIKE MUTUAL FUNDS AND INSURANCE FIRMS TO HOLD SEATS ON NYSE
THRU SUBSID BROKERAGE FIRMS.

S 30, B-3-1

--1970--

N18, D-9-1

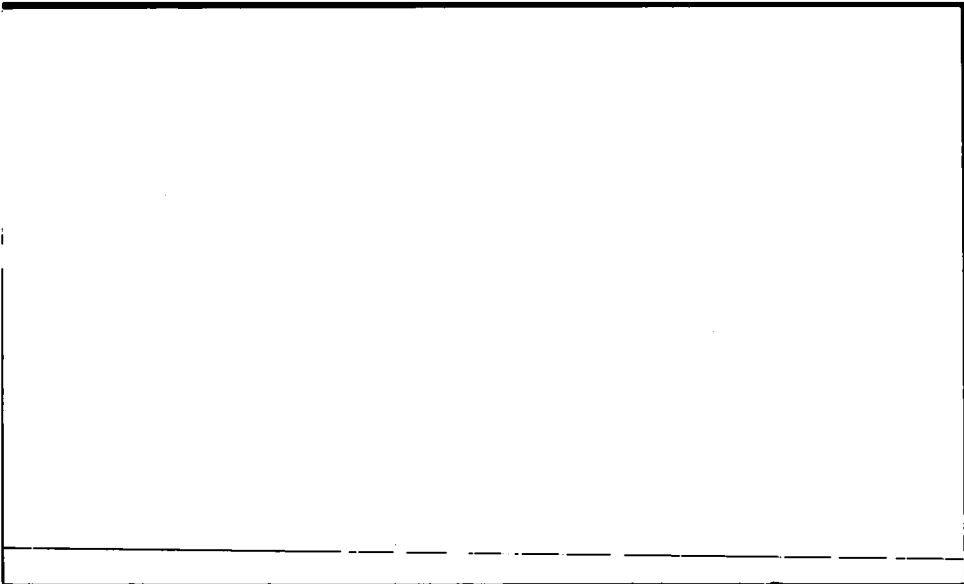
--1972--

O 2, D-9-7

--1973--

JA 19, C-15-6

JA 22, A-16-5 100



~~NEW YORK CITY STOCK EXCHANGE~~ SALES
~~STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:~~

1934

Form 1656

D 1,21-7

NOVEMBER SALES LARGEST SINCE JULY; TOTALES \$21,000,000

---1938---

JE 21,25-5

MORE DETAILED STATISTICS TO BE COLLECTED ON SHORT SALES

---1939---

MR 10,1-1-BLST

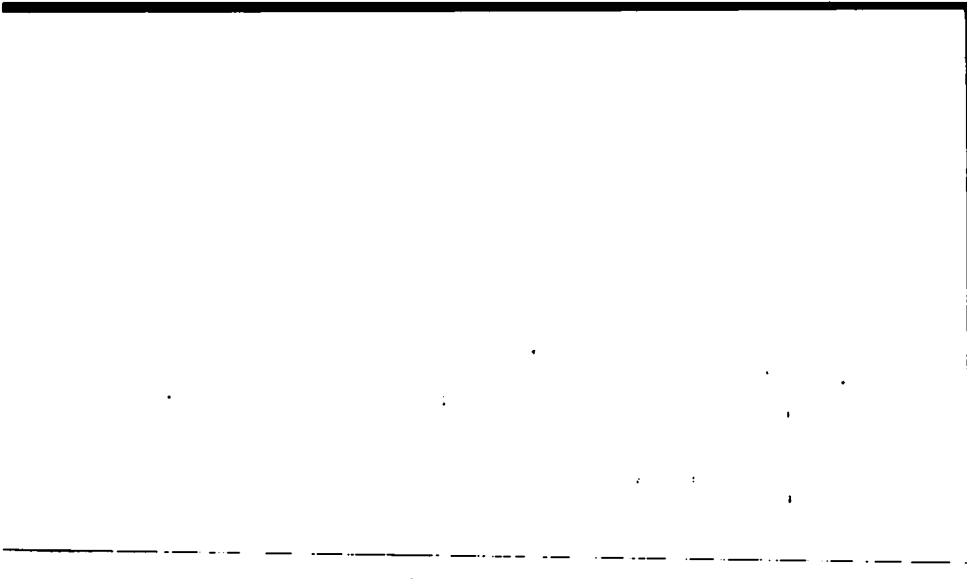
SEC CHANGES RULES ON SHORT SELLING TO AID FREEDOM OF MARKET

---1941---

MR 1, 19-3

STOCK TURNOVER 8,971,205 SHARES IN FEB. --1963-- JA 8, A-20-5

NY-IT HAS INAUGURATED NEW SYSTEM OF MONITORING SALES ACTIVITIES OF MEMBER FIRMS



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ SEATS

1933

Form 1656

The Detroit News

PRICE OF SEAT ADVANCES TO \$250,000 ---1934---

SEAT VALUES OFF ANOTHER \$10,000

SEAT VALUES OFF SHARPLY ---1935---

3 SEATS SOLD JUNE 22 FROM \$99,000 TO \$105,000

---1936---

SEAT FOR SALE FOR \$150,000

SALE OF SEAT FOR \$100,000 ARRANGED

CT. UPHOLDS "SUBORDINATION" IN SEAT LOANS

SEAT TO SELL FOR \$130,000

JAS. A. McALVANAH BUYS SEAT FOR \$104,000

SEAT CHANGES HANDS FOR \$125,000

---1937---

SEAT PRICE DROPS TO \$105,000

SEAT SALE ARRANGED FOR \$106,000

ARRANGEMENTS MADE FOR SALE OF MEMBERSHIP IN ABOVE AT \$96,000

STRANGER CRASHES FLOOR, IS HUSTLED OUT

SALE ARRANGED FOR \$81,000, A \$12,000 DROP

THOS. F. DOHERTY BUYS MICHAEL H. MEEHAN'S SEAT

JE 14, 30-2 COM
MY 11, 35-6
NY 10, 42-1 WSC

JE 23, 4-12-7

AP 1, 37-3 WSC

MY 4, 29-6-BBXTRA

NY 15, 45-4-NITE

JL 20, 27-4-MAIL

D 16, 41-4 WSC

D 20, 4-17-5

JA 25, 28-5-CM

AP 27, 16-8-MAIL

MY 12, 37-5 C M

JE 19, 19-6

AG 22, 5-20-1

O 1, 45-7

---1937---

SEAT TO BE SOLD FOR \$80,000

---1938---

SEAT SOLD FOR \$59,000, LOWEST SINCE 1918

RICHARD WHITNEY'S SEAT SOLD FOR \$59,000

PLANS FOR REDUCTION IN NO. OF MEMBERSHIPS SUBMITTED-JE 5,4-12-3-2*

SEAT SOLD JE 3 FOR \$58,000

TRANSFER 2 MEMBERSHIPS: ONE FOR \$51,000 IS RECORD LOW N 29,24-5

SEAT SELLS FOR \$72,000

SEAT SELLS FOR \$71,000

---1939---

SEAT ON ABOVE SOLD FOR \$69,000

SEAT SELLS FOR \$60,000

HENRY C. ELFAST'S SEAT IS SOLD FOR \$63,000

SEAT SELLS FOR \$68,000

SEAT SELLS FOR \$62,000

SEAT IS SOLD FOR \$60,000

SAME

SEAT SELLS FOR \$60,000

---1940---

SEAT SELLS FOR \$48,000

J. P. MORGAN SELLS HIS SEAT

SEAT SELLS AT LOWEST IN 25 YRS: \$43,000

SEAT SOLD FOR \$39,000: RECORD LOW

SEAT SOLD AT \$40,000

SEAT SELLS FOR \$39,000

N 5,46-8

F 4,31-6

AP 12,2-4-BLST

AP 14,41-6

JE 5,4-12-3-2*

JE 16,38-6

N 29,24-5

D 3,17-1

JA 12,33-1

AP 26,28-8

MY 27,19-4

JE 10,18-1

JE 16,36-6

AG 26,17-1

AG 27,4-11-1

N 1,33-1

F 3,16-1

MR 15,38-8

MY 27,35-3

JE 6,44-6

JE 19,33-3

JE 20,45-4

~~NEW YORK STOCK EXCHANGE~~ SEAT
STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:

1940

Form 1656

SEAT SELLS FOR \$35,000

SEAT SELLS FOR \$35,000

SEAT SELLS FOR \$42,000

---1941---

ARRANGEMENTS MADE FOR TRANSFER OF SEAT FOR \$28,000

WALL ST. CIRCLES TALKING ABOUT BUYING MEMBE SHIPS FOR INVESTMENT PUR-

POSES-GESNER

SEAT SOLD FOR \$25,000 A 43-YEAR LOW

SEAT SOLD AP 2 FOR \$22,000: LOWEST SINCE 1898

2 SEATS SELL AT \$22,000 EACH

SEAT SELLS FOR \$23,000

SEAT SELLS FOR \$21,000

SEAT SELLS FOR \$20,000

SEAT SELLS FOR \$27,000

SEAT SELLS FOR \$28,000

SEAT SELLS FOR \$27,000

SEAT SELLS FOR \$27,000

SEAT SELLS FOR \$30,000

MORGAN STANLEY & Co. BOUGHT SEAT FOR \$25,000 DEC. 18

---1942---

SEAT SELLS FOR \$21,000

SEAT SELLS FOR \$17,000, LOWEST IN 45 YRS.

SEAT SELLS FOR \$18,000

AG 21, 27-3

Ag 28, 29-6

S 26, 52-8

F 27, 38-6 BS

MR 9, 4-15-8

MR 26, 33-8

AP 2, 39-1

AP 3, 51-8

AP 23, 40-8

MY 1, 52-7

MY 5, 24-1 WSC

MY 11, 4-14-5

MY 19, 26-6

MY 28, 36-5

JL 8, 24-8

S 7, 4-13-8

U 21, 4-12-7

JA 20, 23-4

MR 25, 28-4

MY 5, 24-7

---1942---

SEAT SELLS FOR \$25,000

SEAT SELLS FOR \$30,000

SEAT SELLS FOR \$48,000

SEAT SELLS FOR \$44,000

SEAT SELLS FOR \$40,000

SEAT SELLS FOR \$37,000

SEAT SELLS FOR \$47,000

2 SEATS SELL FOR \$45,000 AND \$47,000

---1944---

SEAT BRINGS \$52,000

SEAT BRINGS \$60,000, HIGHEST IN FIVE YEARS

SEAT BRINGS \$64,000

SEAT BRINGS \$67,000

SEAT SELLS FOR \$72,000

SEAT BRINGS \$75,000, HIGHEST SINCE NOV. 1938

SEAT SELLS FOR \$60,000 DROP OF \$14,000 FROM SALE 0 25.

---1945---

SEAT SELLS FOR \$61,000

SEAT SELL FOR \$57,000, DOWN \$2,000 FROM LAST SALE

SEAT SELLS FOR \$55,000

SEAT SELLS FOR \$49,000 OFF \$1,000

SEAT SELLS FOR \$70,000

SEAT SELLS FOR \$75,000-NEW HIGH FOR YR.

SEAT SELLS FOR \$70,000

SEAT SELLS FOR \$61,000

JE 7, 4-15-6
AP 8, 4-14-6
MY 15, 3-2-5
MY 17, 2-4-7

JE 10, 4-4-8
AG 6, 2-2-8 BS
O 21, 3-4-8
D 31, 1-6-8

MR 16, 3-1-6 BS
JE 7, 2-2-8-8
S 1, 2-7-8 BSM02
S 13, 2-7-2
S 22, 3-2-8
O 7, 1-3-8
N 16, 4-1-2 BS

JA 17, 2-3-2
MR 2, 2-8-5
MR 9, 2-8-2

AP 4, 2-3-7
JE 14, 3-4-4 BS2
JE 28, 3-5-8 FINAL
JL 3, 1-4-5
JL 20, 2-5-1

ARRANGEMENTS MADE FOR SALE OF SEAT FOR \$67,000
SEAT BRINGS \$88,000
---1946---SEAT SELLS FOR \$65,000
SEAT SELLS FOR \$67,000
---1947---ARRANGEMENTS MADE FOR SALE MEMBERSHIP FOR \$68,000
---1948---

SALE MEMBERSHIP AT \$50,000 DISCLOSED

BOARD TURNS THUMBS DOWN ON SEAT RETIREMENT PLAN
---1949---FEWER SEATS CHANGED HANDS IN 1948 THAN 1947
NY MEMBERSHIP SELLS FOR \$40,000

SEAT BRINGS \$47,000

SEAT SELLS FOR \$48,000
---1951---ARRANGMENTS MADE FOR TRANSFER OF MEMBERSHIP AT \$56,000
---1952---

ARRANGEMENTS MADE FOR SALE SEAT AT \$51,000

SALE ARRANGED FOR \$47,000 LOWEST SINCE N 15 '50

ARRANGEMENTS MADE FOR SALE SEAT AT \$41,000; LOWEST SINCE JULY '49

MEMBERS OF ABOVE NOTE TO RETIRE A MAXIMUM OF 50 SEATS IN NEXT 5 YRS
FROM PRESENT 1,375 SEATS

AG 28, 18-1 NITE

D 2, 4-12-8

M 5, 29-1

D 1, 4-15-6

AG 1, 30-1

MR 9, 26-1

MY 14, 44-6 BS 2

JA 2, 4-11-2

F 20, 4-14-3

AP 4, 28-3

N 20, 4-21-4

AG 6, 24-1 BS2

JA 11, 34-5

MR 2, 4-11-3

MY 23, 26-6

D 5, 42-1

---1953---

JL 16, 26-7

ARRANGEMENTS MADE FOR SALE NY STOCK EXCHANGE SEATS FOR \$47,000

AG 16, 4-20-2

ABOVE ANNOUNCES THE BUYING OF THE FIRST SEAT UNDER THE EXCHANGE PLAN TO RETIRE UP TO 50 OF THE 1,375 SEATS BY JAN.1,1958

AG 25, 21-5 5*

ARRANGEMENTS MADE TO RETIRE A 2ND EXCHANGE SEAT AT \$43,000

S 9, 32-6

ABOVE COMPLETES ARRANGEMENT TO RETIRE THE 3RD SEATS UNDER PLAN TO HAVE 50 LESS MEMBERS BY '58

---1954---

N.12, 38-6 LF

CONFUSION IN ABOVE WHEN MEMBER OFFERS HIS SEAT FOR SALE FOR \$74,000, THEN CANCELS OFFER & EXCHANGE MISSES HIS CANCELLATION & SELLS HIS SEAT

---1956---

N.28, F.28, 0000

0000000000

MR 9, 48-1

STEPHEN PECK NAMED MEMBER OF ABOVE AT 21, ITS YOUNGEST MEMBER

---1963---

NY 20, A-1-8

WASH.-SUP.CT.RULED THAT SECURITIES EXCHANGE ACT DOES NOT GIVE NY STOCK EXCHANGE IMMUNITY FROM ANTI-TRUST LAWS

S 24, C-9-5

ARRANGEMENTS HAVE BEEN COMPLETED FOR TRANSFER OF MEMBERSHIP ON NY STOCK EXCHANGE FOR \$200,000 DOWN \$5,000 FROM PREVIOUS SALE

---1965---

O 27, D-8-4

NY-COST OF SEAT HAS RISEN TO \$240,000,HIGHEST SINCE 1933

STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE: SEATS

1966

~~NEW YORK CITY STOCK EXCHANGE: SEATS~~

JA 18, B-18-7

NY-GREATEST NUMBER OF SEATS TRADED IN 18 YRS WAS SOLD JA17 FOR RECORD
DOLLARS VOLUME-5 SEATS SOLD WITH 2 OF THEM SOLD AT \$250,000 A HIGH
--1967--

JE 29, C-8-1

NY-BULL MARKET UNDER WAY IN PRICE OF SEATS ON EXCHANGE-WENT
FROM \$220,000 BIG BOARD SEAT LAST JA. TO \$370,000 NOW
---1969---

MR 27, C-14-5

NUMBER OF SEATS TO REMAIN THE SAME

AG 1, C-6-4

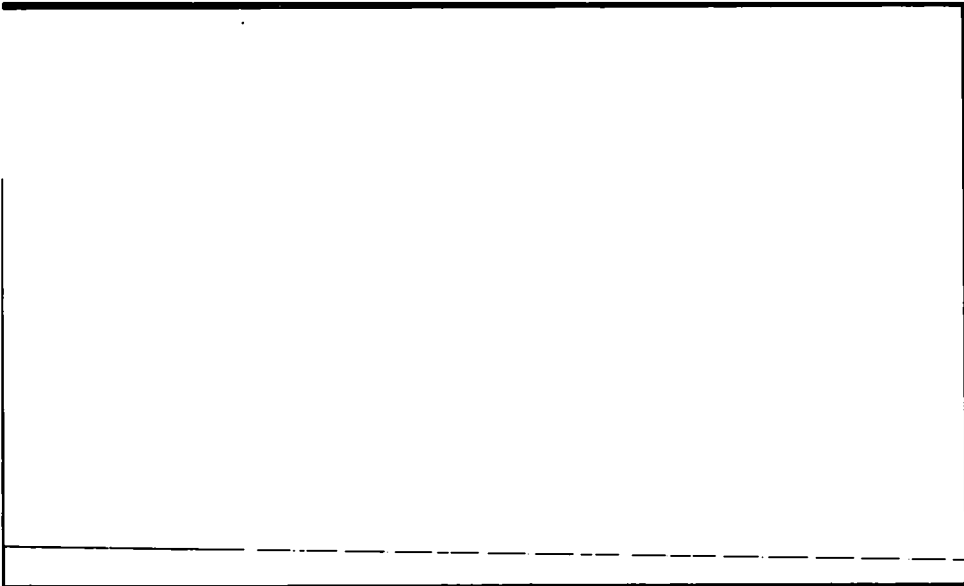
NEW YORK-PRICE BID FOR MEMBERSHIP ON ABOVE COLLAPSED AS SEN.
MCCARTHY PROPOSED BILL TO OPEN MEMBERSHIPS TO ALL STOCK
BROKERS-

AG 2, A-8-2

PROPOSED BILL OF MACCARTHY WOULD APPEAR TO HAVE SLIGHT CHANCE
OF PASSAGE-

D16, D-7-6

DENIS BUTLER WALL STREET BROKER GETS SEAT ON NEW YORK
STOCK EXCHANGE- HIS AGE- 22.



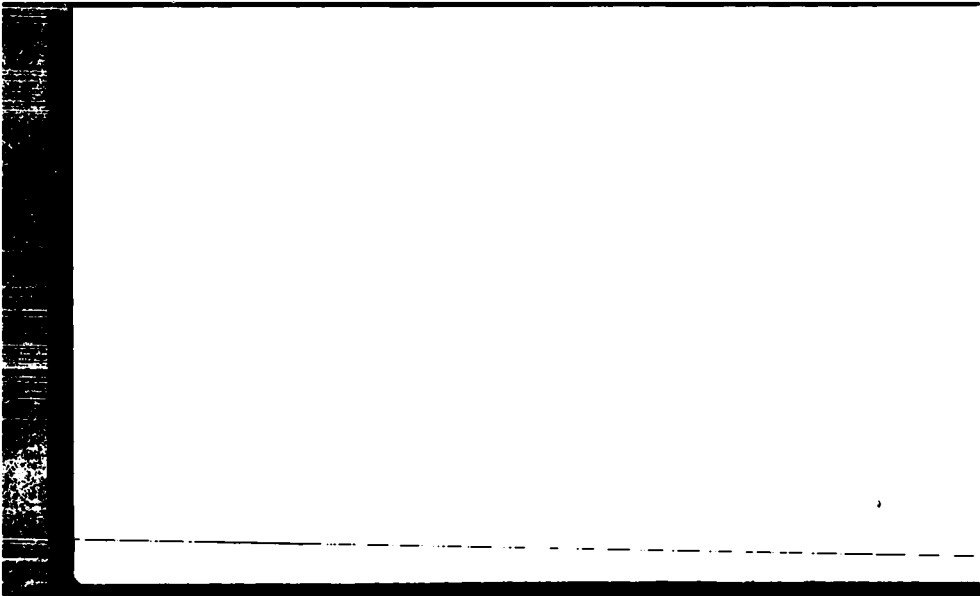
STOCKS • EXCHANGES • NEW YORK STOCK EXCHANGE •
~~NEW YORK STOCK EXCHANGE~~ SECURITIES

1938

SEC PROPOSES CENTRAL SECURITIES DEPOSITORY

Form 1656

NY 21, 21-1



~~XX~~ STATISTICS
STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:

1936

FIGURES REFLECT 1935 TRADING INCREASE

Form 1656

JA 2,31-1 NITE

TRADING IN EXCHANGE TOTALED 297,398,051 SHARES IN 1938

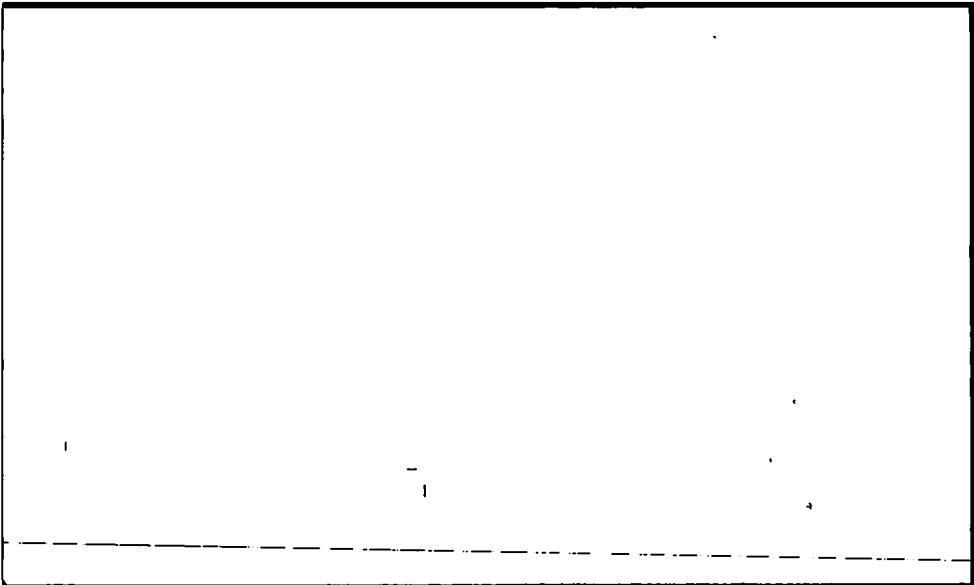
---1939---

JA 1,1-12-8

---1941---

REPORTS AVERAGE PRICE LISTED SHARES INCREASED 16¢ LAST MO.

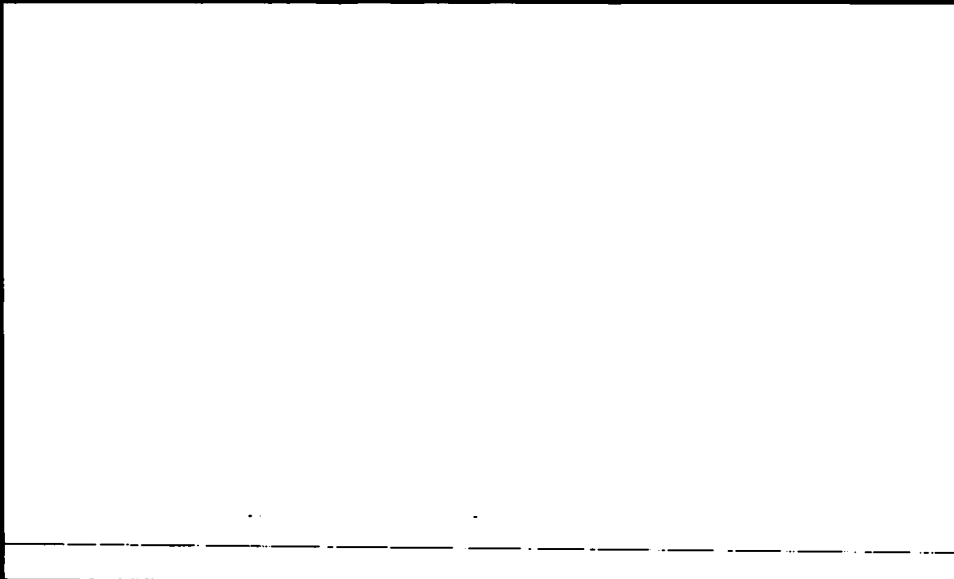
AP 4, 39-1



~~XXXXXXXXXXXXX~~ STUDY

JE 1, C-6-5

NY-1N 13TH 1-DAY STUDY BY ABOVE SHOWS INDIVIDUAL TRANSACTIONS DROPPED TO LESS THAN HALF OF MARKET'S VOLUME



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:

1963

~~NEW YORK STOCK EXCHANGE SUITS~~
NY-RULING BY SUP. CT. SEEMS LIKELY TO RESTRICT NEW YORK STOCK EXCHANGE
IN DENIAL OF SERVICES TO NONMEMBERS-LAN

MY 21, B-5-1

D 16, B-12-8

MILWAUKEE-ASKS US DIST. CT. FOR DISMISSAL OF SUIT FILED BY THILL SECURITIES CORP., SEEKING \$21 MILLION IN TRIPLE DAMAGES

---1964---

MY 19, D-4-8

\$1 MILLION DAMAGE SUIT AGST ABOVE BY MUNICIPAL SECURITIES CO. SETTLED FOR \$375,000

---1965---

MR 19, C-5-5

CHIC.-HAROLD Z. KAPLAN & Lyla DUNN FILE SUIT IN FED. CT. ACCUSING ABOVE & 4 BROKERAGE FIRMS OF ANTITRUST VIOLATION BE SETTING FIXED MINIMUM COMMISSION RATES ON STOCK PURCHASES

O 25, C-11-1

NY-THE EXCHANGE ASKED FEDERAL CT. TO DISMISS CHG. THAT ITS MINIMUM COMM. RATES VIOLATED ANTITRUST LAWS-CHICAGO ATTY, HAROLD Z. KAPLAN

---1966---

F 27, B-8-2

CHICAGO- WON COURT TEST OF RIGHT TO SET RATES OF COMMISSIONS

---1967---

JE 21, C-20-5

TRUSTEES OFF. J. JACOBS CO. FILED SUIT IN FED. CT. HERE, SEEKING TO PREVENT DELISTING OF COMPANY'S STOCK BY ABOVE

N 14, D-8-1

WASH-FEES UPHELD BY SUPREME CT.

---1968---

Ag 21, C-20-1

CREDITOR OF PICKARD & Co. GERRIT L. LANSING SHARES ABOVE

---1970---

ST7, C-16-8

NY-IVAN KEMPNER BROUGHT SUIT IN FED CT AGNST NYSE ASKING
THAT EXCAHNGE BE REQUIRED TO MAKE ;UP POSSIBLE LOSSES
TO CUSTOMERS ARISING FR THAT LIQUIDATION

STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ SURVEY

1939

Form 1656

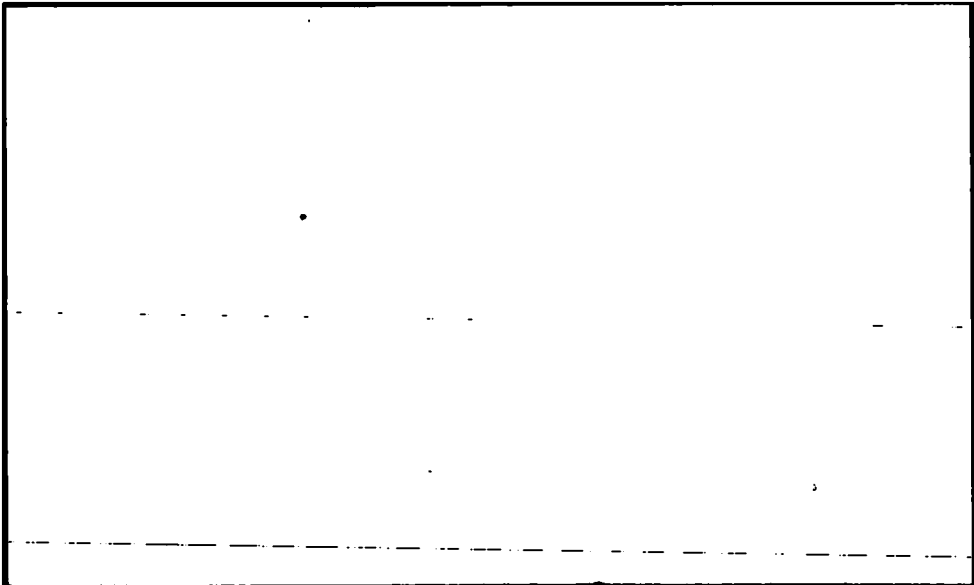
JL 15, 19-1

ELMO ROPER CHOSEN TO MAKE NATL. SURVEY OF PUBLIC OPINION CONCERNING
ABOVE

-----1958-----

JE 9, 24-5

CANTON-PLANS TO POLL SOME 5,000 PUBLICLY HELD FIRMS ON STOCK ISSUES



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ SUSPENSIONS

1938

Form 1656

JA 25,31-7

T.GAILLARD THOMAS, II SUSPENDED FOR 3 YRS

MR 8,1-6

RICHARD WHITNEY & CO. SUSPENDED FR EXCHANGE FOR FAILURE TO MEET ITS OB-
LIGATIONS

--1939--

MR 5,1-4-1

W.E. HUTTON, II & H.H. MICHELS SUSPENDED FOR 90 DAYS FOR MANIPULATING
PRICE OF ATLAS TACK CO. STOCK

AP 1,21-1

STEPHEN PAINE SUSPENDED FOR 3 YRS & FRANK R. HOPE SUSPENDED FOR 6 MON-
THS FOR INVESTMENT TRUST DEALS

--1945--

S 14, 36-6 DS2

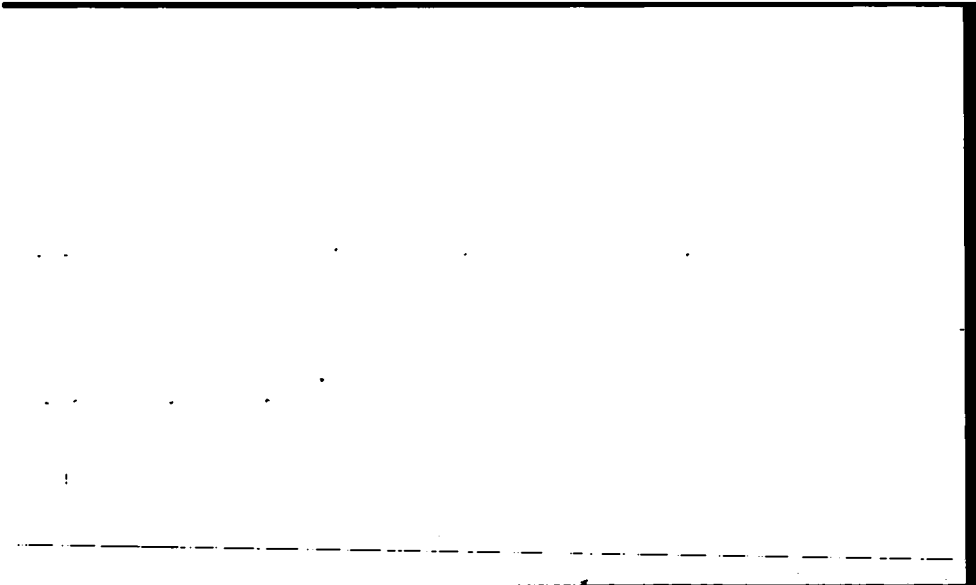
ALFRED L. STAMM, ALBERT FRIED FOR 6-MTHS.

--1948--

MY 1, 18-1

W.E. NULTY, PARTER OF DREYFUS & CO. SUSPENDED 6 MOS.
SAME AS ABOVE

MY 2, 4-14-5



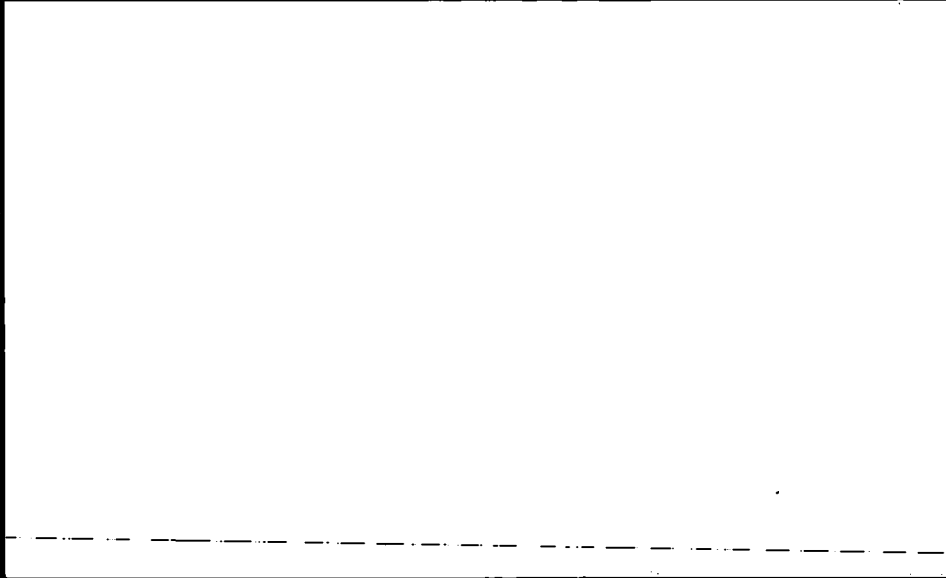
STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ SYMBOLS

1938

Form 1656

N 14,30-8

1ST CHANGES IN STOCK SYMBOLS BECAME EFFECTIVE N 14

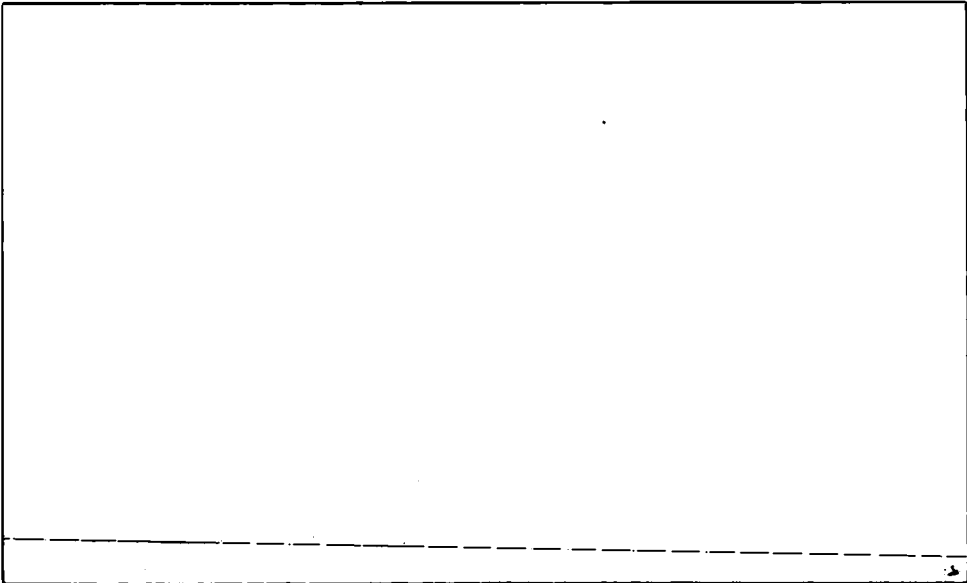


STOCK: EXCHANGE: NEW YORK: TRUST FUND

1971

AG,2, A-13-1

BIG BOARD OF GOVERNORS OK BOOST IN SPECIAL TRUST FUND.

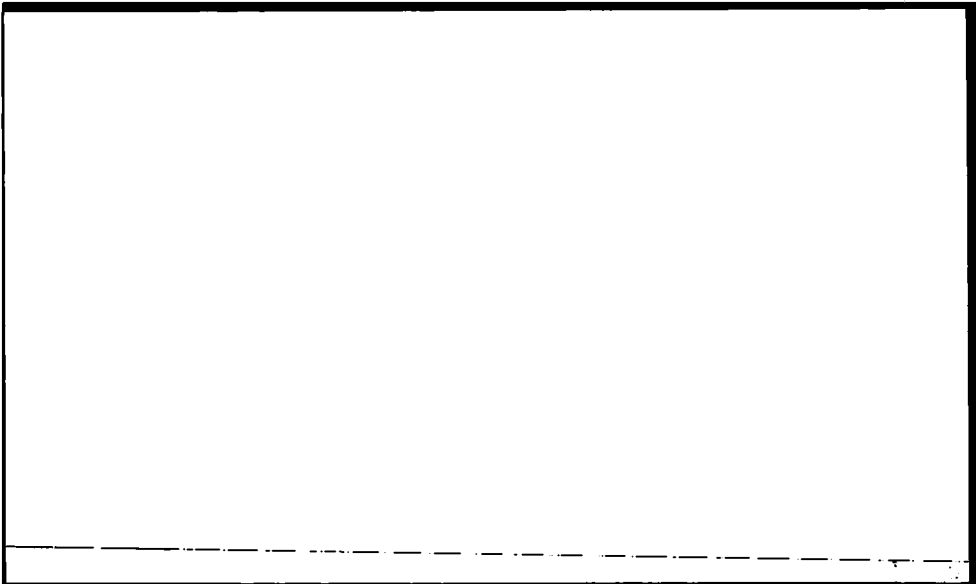


STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK STOCK EXCHANGE~~ TRUST SUITS

1961

~~NY 22, B-11-5 FIN 5~~

ADJUDGED LIABLE FOR DAMAGES IN VIOLATION OF ANTI-TRUST LAWS FOR ORDER-
ING MEMBERS TO DISCONTINUE PRIVATE WIRE CONNECTIONS WITH TEXAS BROKER
HAROLD J. SILVER



STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE:
~~NEW YORK CITY STOCK EXCHANGE:~~ VISITORS

1939

Form 1656

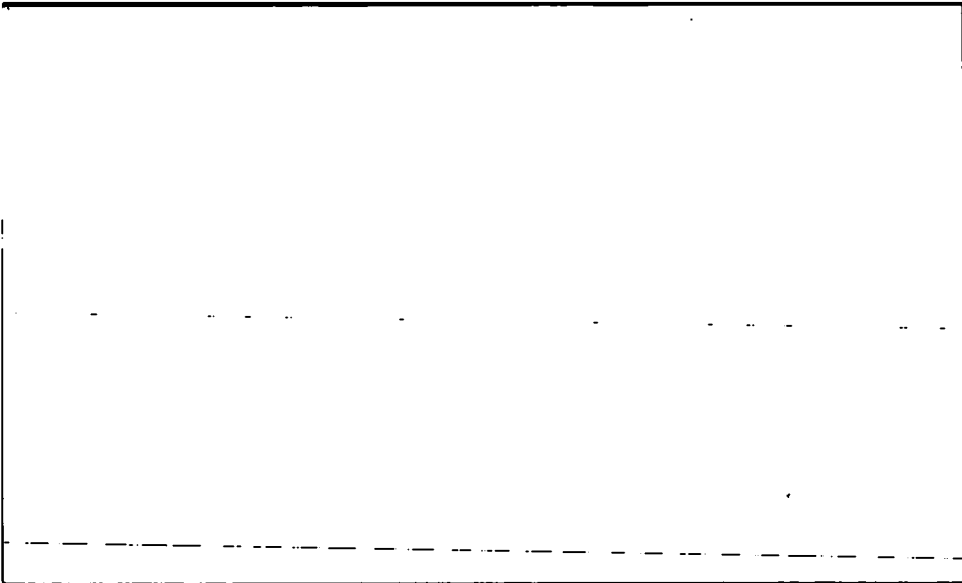
AP 14,50-5

EXCHANGE PREPARES TO GREET VISITORS TO WORLD'S FAIR

--1967-¹/₂

S 25, C-6-8

NY-VISITORS GALLERY WILL BE ENCLOSED IN GLASS LATER THIS YR.



~~STOCK EXCHANGE~~

STOCKS: EXCHANGES: NEW YORK STOCK EXCHANGE

1927

Je 3, 48-1

Hugh McB. Johnston of Chicago bought membership in above Je 3; Malcom D. B. Hunter of New York and Arthur C. Hilmer of St. Louis also bought

Ag 3, 1-3

STOCK RALLIES AFTER FLURRY OVER COOLIDGE CANDIDACY ANNOUNCEMENT; G.M. AMONG STOCK TO MAKE QUICK RALLY-LEMUEL F. PARTON

O 13, 19-1

ABOVE AND FOREIGN STOCKS HAVE BIG EFFECT ON INTERNATIONAL POLITICS-HAYDEN

N 4, 2-3

WM. D. STEWART JR. MAY BE PERMITTED TO BUY SEAT OF WALTER L. ROSS

N 12, 1-6

\$313,500,000 WORTH OF STOCK EXCHANGE SEATS \$285,000 OFFERED FOR LEAST ONE

Form 1656

The Detroit News

---1928---

MR 10, 1-8

GEN'L MO. TRADING REACHES RECORD OF 159 $\frac{1}{4}$, MR 9

---1928---

RISE IN GM STOCK TRACED TO INTRODUCTION NEW FORD MODEL A
& CHEVROLET ACTIVITY-GE. B. HASSETT
RADIO CORP OF AMER. STOCK SENT UP BY FISHER BROS. GEN'L MO
TAME IN COMPARISON
GEN. MO. STOCK REACHES RECORD OF \$168.38
PUBLIC FLOCK INTO MARKET, AFTER REPROTS OF BOOM; DUE FOR A
FALL, SAY EXPERTS-GEORGE B. HASSETT
NEW RECORD FOR SEAT EXCHANGE SET, MR. 17
GARDNER E. STOUT PAYS RECORD PRICE FOR SEAT--MR. 24
MEMBERS ASK 3 DAY HOLIDAY BEGINNING AP 6
STOCKS STILL RISING; DIAGNOSIS DIFFICULT -HASSETT
PRICES DROP SUDDENLY MR. 27; DATA
TRADE SLOWS DOWN AFTER WILD PACE;
ABOVE QUIET AFTER SPLURGE; STORIES OF IMMENSE WINNINGS OR
LOSSES QUESTIONS BY AUTHORITIES
DIZZY PACE CONTINUES, DESPITE BREAK MR 27, -HASSETT
STRUGGLE FOR CONTROL CAUSES DROP AS MARKET OPENS
MARKET STARTS UPWARDS AP 9 ON NEW RAMPAGE RAILROADS, ETC. LEAD

MR 11, 1-1-3
MR 13, 1-7
MR 16, 1-6
MR. 16
MR 18, 1-1-3
MR 18, 1-1-7
MR 23, 52-2
MR 24, 1-1
MR 25, 1-1-7
MR 27, 1-8
MR 28, 1-8
MR 29, 1-1
AP 1, 1-18-2
AP 2, 1-6
AP 2
AP 9, 1-4

STOCKS: EXCHANGES : NEW YORK STOCK EXCHANGE

1928

	1656	AP 12, 1-6
GENL MO. HITS 198; MARKET WILD,	AP 12	AP 19, 47-1
SEAT ON ABOVE SOLD FOR \$395,000	AP 19	AP 20, 1-6
MILLIONAIRES OF STOCK EXCHANGE MEET FOR FAREWELL DINNER IN HONOR OF W.D.DURANT, SAILING FOR EUROPE	AP 21	
	AP 26, 26-3	
HOW FORTUNES ARE MADE AND LOST IN THE CONFUSION OF THE UN-HEARD OF RUSH	AP 27, 1-3	
SPECULATION FOR TEHADVANCE RESUMED ON LARGE SCALE	AP 27	
	AP 27, 2-6	MICH
SEYMOUR B. SEARS JR. PRESENTED WITH SEAT ON ABOVE BY EMPLOYERS, AP 26, IN RECOGNITION FOABILTIIY; ALSO MADE MEMBER OF FIRM, MILLER, HEWITT & DODGE	MY 4, 1-8	
GENREAL MOTORS REACHES \$203; OTHER RISE; DATA	MY 4---	MY 15, 43-
E H.H. SIMMONS RE-ELECTED PRES. ABOVE	JE 13, 1-4	
BILLIONS LOST IN DAY	JE 24, 1-10-8	
POLCE SEEK AUTHOR OF ANONYMOUS THREATENING LETTER,	JE 23	
	JL 13, 1-2	
DISCOUNT NE GRIPS MARKET, RESERVE BD. TIGHTENS HOLD ON STOCK SPECULATION TO PROTECT CREDIT-HASSETT	JL 13-4	
EDIT-TIGHT MONEY HAS BROUGH A HALT TO		

---1928---

AG 15, 2-6

GEN. MOTORS DROBS, CHRYSEER UP AG 14, BECAUSE OF RUMOUR
RASKOB & DUPONT WERE SELLING GEN. MOT. Y& BUYING CHR.

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AG 24, 1-2

WALL ST. PRICES SOARING

AG 15, 1-5

STOCKS PRESS UPWARD CLIMB, GAINS OF \$1 TO \$6 REPORTED; G.M.
IS UP \$2

AG 29, 1-3

MANH ISSUES BOOSTED FROM \$3 TO \$7 IN RUSH OF TRADING

S 4, 13-2

FOOS MANY EXPERTS-J. SINCLAIR

S 7, 1-8 MARK

BUYING ORDERS FLOOD BROKERS, BRIGGS, CHRSLER, & OTHER IN-
DUSTRIALS SET RECORD

S 12, 11-3

ARTHUR W. CUTTEN, CHICAGO, KING OFV SPECULATROS-SINCLAIR

S 11, 1-4

SEAT BRIGGS \$410,000

S 14, 49-8

SEAE SOLD S 14 FOR \$415,000

O 26, 54-8

HENRY R. MONROE E PEELED O 25 AS TAX DOGER INCOME

N 21, 1-4

MEMBERSHIP SOLD FOR \$525,000

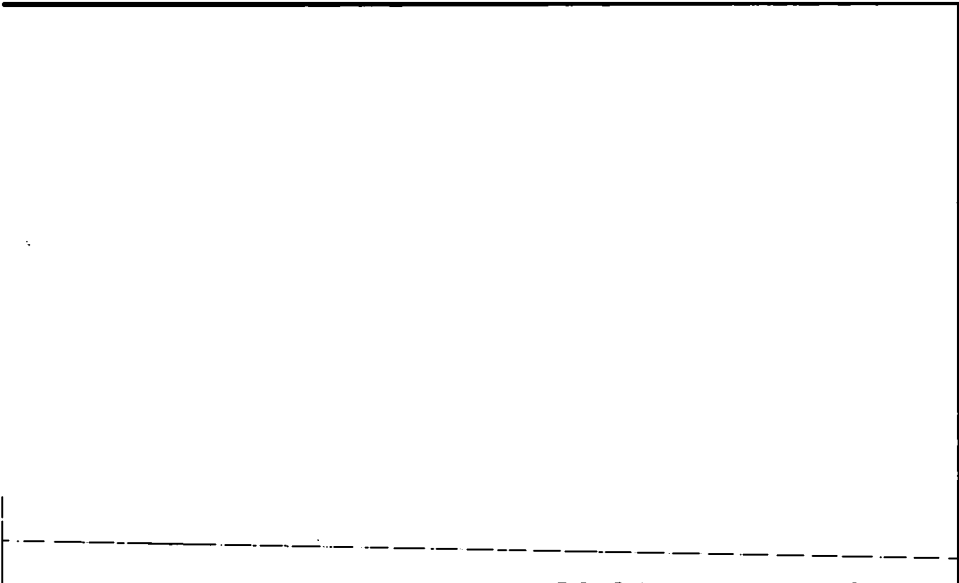
N 24, 3-1

\$550,000 PAID FOR EXCHANGE SEAT THIS WEEK, -SINCLAIR

STOCKS : EXCHANGES : NEW YORK STOCK EXCHANGE 1928

PRICES TAKE ANOTHER DIVE D 8¹⁶⁵⁶ D 8, 1-5
2 DAYS ON MARKET WIP OUT 4 BILLION PAPER PROFIT-SINCLAIR D 8, 5-1
YEAR BOOK OUTLINES ENORMOUS EXPANSION INTRADING D 17, 44-3
-----1929----- JA 31, 43-3
JASON WESTERFIELD PUBLICITY DIRECTOR IN DET. GIVES ADVICE F 8, 1-2
CLOSED F 9, WILL GIVE HARD-HTI TRADERS RESPITE F 24, 1-1-8
WILFRED H. FRITTS FORMER PAGE, BUYS SEAT MY 14, 46-5
E.H. SIMMONS ELECTED TO 6TH TERM AS PRES. MY 13
D 10-1-4
BILLIONS FLOOD US MARKET, RELEASE OF HUGE FUND FOR CRISTMAN
BUYING RELECTS PROSPERITY
-----1930----- MY 13, 44-1
RICHARD WHITNEY ELECTD PRESL OF ABOVE MY 12

FOR CONTINUE SEE NEXT INDEX CARD



STOCK : EXCHANGES : NEW YORK STOCK EXCHANGE
NEW YORK STOCK EXCHANGE

1932

Form 1656

The Detroit News

S 16, 37-4

EXEC COMM ORDERS COPIES OF ALL POLITICAL MESSAGES OF MEMBERS

S 17, 14-4

NAMED IN \$21,000,000 SUIT FILED BY PERNIE SIMONS & CO. BROKERS

O 21, 14-2 MAIL

SEAT SOLD FOR \$120,000

D 15, 37-1 WSC

CHAS H PATTON EXPELLED BY

D 19, 28-8

FRANK F KOLBE BUYS SEAT

---1933---

F 7, 25-5

CAMPAIGN FOR DETA LED AUDITS BRINGS RESPONSE

F 9, 1-1 SF

DEMANDS SENATORIAL QUIZ OF ITS BANKING COMM

MR 4, 15-1

2-DAY HOLIDAY VOTED

MR 6, 19-5

GOVERNING COMM GIVEN FULL POWER TO TAKE ANY NECESSARY STEPS IN PRES-

ENT BANK EMERGENCY

MR 13, 4-2

PERMITS TRADE IN GOVERNMENT BONDS

MR 15, 1-5

PRICES UP \$1 TO \$ 6 AT OPENING

MR 23, 31-5

TAKE STEPS TO CHECK ENTERTAINMENT COSTS

AP 11, 31-8

RICHARD WHITNEY RENOMINATED FOR PRESIDENCY OF

AP 12, 25-6

TIGHTENS LIST OF REQUIREMENTS

JL 22, 22-1 1-3

TO OPEN AT NOON HENCEFORTH; REDUCING HOURS TO 3 DAILY

JL 29, 15-1

MAY CURTAIL TRADING TO 5 DAY WEEK UNDER CODE

—1933—

MARGIN RULES TIGHTENED

TEAR GAS BOMB CLOSES MARKET T NOON Ag 4

SO THE GAMBLERS HAVE DECIDED TO BE GOOD-EDIT

WUGENE DANIELL, HELD IN GAS BOMBING

4 BOMBING SUSPECTS HELD IN BOSTON

DANIELL REFUSES TO ADMIT CRIME, BUT SAYS IT WAS 'EXCELLENT IDEA'

PREDICT CONVICTIONS IN GAS BOMBINGS

ROBT L FISHER NAMED ASST SECRETARY OF

GENE MCCANN & CO SUES ABOVE FOR \$15 MILLION

RESUMES TRADING ON MARKETS, S. 9.

MAY BE TAXED; THREATEN TO MOVE FROM CITY

STETSON & BLACKMAN SUSPENDED

THREAT TO ESTABLISH BRANCH IN NEW JERSEY.

HARRY H. PICKING TO FILE INCORPORATION PAPERS FOR NATL STOCK EXCHANGE
IN NEWARK TO TAKE PLACE OF NY EXCHANGE.

NEW JERSEY MARKET TO BE CARRIED OUT.

RICHARD WHITNEY TO HEAD NEW EXCHANGE.

OPENING OCT. 2; 25 ACTIVE ISSUES.

CHANGED ATTITUDE OF NY CITY OFFICIALS ON TAXES MAY END PROJECTED

NEW EXCHANGE IN NEW JERSEY

TAX VETO ENDS PLANS FOR NEW EXCHANGE

WASHINGTON AIMS AT REGULATION OF EXCHANGE

MARGINS BE ACCEPTED ON STOCKS WORTH LESS THAN \$5

Ag 3, 1-8

Ag 4, 1-5

Ag 4, 18-1

Ag 5, 1-2

Ag 5, 4-1

Ag 6, 1-1-4

Ag 7, 22-8 C S

Ag 24, 34-6

S 6, 29-1 1 HOME

S 8, 39-3

S 12, 48-8

S 13, 27-3

S 14, 33-8

S 16, 1-3

S 21, 39-4 1H

S 21, 38-8 BOM

S 22, 43-8

S 26, 30-8

S 27, 27-8

S 30, 17-4

O 5, 34-6

STOCK : EXCHANGES : NEW YORK STOCK EXCHANGE
XXXXXXXXXX XXXXXXXX

1933

Form 1656
BEGINS LIMITATION OPERATIONS OF MEMBERS AND FIRMS TO SINGLE TYPE OF
BUSINESS. O 11, 31-7 CM
STOCK CLEARING CHANGE BELIEVED PENDING. O 12, 36-3
SENATE QUIZ ASKS BROKERS FOR DATA O 21, 2-3
ENDS SECRECY; POLICY OF PUBLICITY ADOPTED IN EFFORT TO COMBAT PUBLIC D 15, 39-6
ANTAGONISM D 16, 16-8
RICHARD WHITNEY DENIES REPORT OF PUBLICITY CAMPAIGN D 28, 30-5
LISTING RULES TIGHTENED; MOVE TO PREVENT CORPORATIONS FROM MANIPULATING
OWN STOCK ---1934--- JA 4, 27-1
REPORT SWEEPING CHANGES IN ORGANIZATION PROPOSED JA 11, 30-7
STANDING COMM ON BONDS TO BE NAMED JA 11, 31-1
ONLY 20 MEMBER FAILURES SINCE 1929 JA 25, 33-4
MARKET GAINS TOTAL \$3 BILLION SINCE JA 1-JAGGER JA 30, 24-4 C S
CITY CENTER CORP SUES ABOVE FOR \$250,000 D MAHES JA 30, 25-7 C S
SENATE BANK COMM TO DRAFT BILL SOON TO REGULATE ABOVE & OTHER EXCHAN-
GES F 16, 36-4
EXCHANGE INQUIRES INTO AIR STOCK DEALS- F 20, 34-1 H
EXCHANGE OPENS 1 HOUR LATE DUE TO SNOW STORM AP 6, 1-8
EXCHANGE INQUIRES INTO LISTED STOCKS FROM MR 20-AP 5 AP 10, 36-4
NEW PUBLICITY POLICY SEEN IN SHIFT OF GOVERNORS

---1934---

AP 10,38-5 WSC

CHANGES IN PUBLICITY SEEN IN SHIFT OF GOVERNORS

AP 30, 36-1

RULE ADOPTED PROHIBITING TRANSMISSION FROM FLOOR OF EXCHANGE NAMES
OR FIRMS BIDDING FOR OR OFFERING BONDS

MY 1, 1-4

BILLION DOLLAR INCOME OF MEMBER FIRMS BA RED TO SENATE COMMITTEE MY 1

MY 7,26-6 1HOM-

WALL ST FINDS FLOW OF INSIDE INFO FROM TREASURY DEPT HAS BEEN REDUCED

MY 10,41-6 WSC

LONDON CONSIDERS POSSIBLE RESULT IF US TRADERS MOVE THERE WHEN CONTROL

BILL IS PASSED-SHAEPFER

MY 15,35-8

RICH RD WHITNEY RE-ELECTED PRES

MY 15,22-3

EXCHANGE REGULATION ASSURED-EDIT

MY 16,32-7

ALLEN L LINDSEY ELECTED VICEPRES

JE 9,17-4

7 LARGE BROKERAGE HOUSE PLAN SERVICE FEE ON IDLE ACCOUNTS JE28, 47-5

NON-MEMBER PARTNERS TO HAVE VOICE IN AFFAIRS OF

JL 19, 35-4

NEW DEAL BRINGS GRAIN TRADE VALUE ABOVE ALL STOCKS

JL 31,29-3 WSC

WALL ST CONTENDS WAR SCARE PRESENT NOW ONLY IN NERVOUS MINDS-JAGGER

AG 21,26-5

BOND TRADING SPACE ENLARGED

AG 25,17-4

EXCHANGE ASKS DATA ON NEW ISSUES

S 7, 38-4

MOVES TO REGISTER UNDER NATL. SECURITIES EXCHANGE ACT. -S 15, 19-8

EXCHANGE SEAT OFFERED FOR SALE AT \$78,000.

S 27, 41-8

10 OUTSIDE ADVISERS TO SIT WITH GOV'S COMMITTEE OF ABOVE S 27, 42-6

ABOVE TO PAY REGISTRATION FEE BETW OCT. 1 & 5 INCLUSIVE -S 28,40-6-8BM

WALL STREET OFFICIALS STUDY BRITISH STOCK SYSTEM

STOCK EXCHANGES : NEW YORK STOCK EXCHANGE
NEW YORK STOCK EXCHANGE
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1936

Form 1656

EXCHANGE SEATS NOW SELLING FOR \$97,000

---1937---

D 6,4-18-1

JA 16,19-5

STOCK EXCH. FILES BEING STUDIED BY SENATE INVESTIGATORS - AP 25,1-3-1
SEC INQUIRY INTO W.E. HUTTON & CO. DEALINGS WITH ATLAS TACK CORP. REVEALS
WALL STREET'S GOLDEN ERA METHODS BB HAVE ENDED - MOODY - MY 6, 49-1

YOUNG EMPLOYEES OF ABOVE TAKE OVER MANAGEMENT FOR DAY - JL 1, 49-5

BD OF GOVERNORS VOTE TO CLOSE MARKET JL 3

O 28, 56-8

TO GET TICKER NOV 1. TO FLASH PRICES FROM FLOOR

N 24,22-7

JOHN J. PHELAN DISCIPLINED BY EXCHANGE FOR FAILURE TO FULFILL HIS DUTIES AS A SPECIALIST

D 7,38-4

EXCHANGE MEMBERS BROODING OVER WM. O. DOUGLAS'S RECENT SUGGESTION

D 8, 1-1 B S

CHAS R GAY TO APPT COMMITTEE OF 9 TO STUDY ASPECTS OF REORG. OF ABOVE

D 9, 47-4

SWEEPING CHNGES TO BE STUDIED BY COMMITTEE

---1938---

JA 26,1-2

PRICES DECLINE AS HEAVY SELLING HITS MARKET

MY 1, 4-16-2

PERMITTED TO DELIST \$100 PAR VALUE PREFERRED STOCK & \$100 PAR VALUE COMMON OF WUDUTH, SOUTH SHORE & ATLANTIC RAY CO.

MY 17,27-8

WM. M. MARTIN PROMISES "DEMOCRATIC STRUCTURE" FOR EXCHANGE

---1938---

MY 24, 29-8

BROOKINGS INT TO MKE STUDY OF ODDLOT DEALER SYSTEM OF

MY 27, 26-1

SEC OFFICIALS ON FLOOR TO WATCH TRADING

JE 4, 21-2

EXCHANGE & SEC START ROUND-TABLE CO-OPERATION

JE 8, 30-5 C M

REPS OF SEC AND ABOVE TO DISCUSS PROBLEMS ON BOND

TRADING-JE 9, 38-6

BOARD STUDIES PLANS TO BROADEN BOND TRADE

JE 23, 41-3 C M

MILBANK, IWEED & HOPE NAMED COUNSEL

JL 6, 25-5

EDWARD E. BARTLETT, JR. PROPOSED FOR CHAIRMAN OF BOARD

N 2, 33-8

TO GIVE ACTIVE ~~ISSUES~~ ISSUES 2-LETTER SYMBOLS TO SPEED UP STOCK TICK-
ER TAPE

---1939---

JA 2, 25-2

SHIFT FR BEAR TO BULL MARKET MARKS 1938'S GIFT TO TRADERS -F 9, 39-5

VISITORS AT NY WORLD'S FAIR TO SEE ACTUAL FLOOR TRADING

F 23, 48-8

EXCHANGE TO RECOMMEND AMENDMENTS TO SECURITIES & EXCHANGE ACT

MY 17, 32-1

EXCHANGE NEGOTIATING WITH 40 CORPORATIONS FOR 1940 NEW LISTINGS

JE 7, 30-1

EXCHANGE MAY GIVE UP PART OF BLDG. TO REDUCE COSTS

JE 20, 23-1

EXCHANGE SEEKS TEST OF ITS POPULARITY WITH PUBLIC

JE 27, 25-7

EXCHANGE TO ACT ON SATURDAY CLOSING

JE 28, 32-8 C M

VOTES TO KEEP EXCHANGE OPEN FOR BUSINESS ON SATS. DURING J. & AUG. (BO.
OF GOVS.)

JL 11, 23-8

EXAMINED 2,800 SECURITIES S. LESMEN IN 2-HR. WRITTEN TEST -JL 15, 19-1

M. C. MARTIN SAYS SOMETHING MUST BE DONE TO PRESERVE USEFULNESS OF
ABOVE

Form 1656

RICHARD WHITNEY ASKS MEMBERS, ETC TO CO-OPERATE IN CAMPAIGN OF EXCHANGE
 TO REGAIN SOME OF LOST PRESTIGE O 31, 29-5
 SEAT VALUE RISES AT END OF YEAR ---1935--- B 31, 19-5-WSC
 EMPLOYES & OFFICERS DROP FROM 2,621 TO 2,301 IN 1934 JA 11, 33-4
 NY MEMBERS MEET WITH DET. BROKERS, TO GET CLOSER RELATIONS JA 25, 34-8
 SEC PROPOSAL TO DECENTRALIZE MANAGEMENT OF EXCHANGE HOLDS PROMISE OF
 FIGHT JA 28, 27-6
 BD OF GOVERNORS OPPOSED TO CLOSING 'EXCHANGE BEFORE, DURING OR AFTER US
 SUP CT ISSUES ITS GOLD CLAUSE DECISION F 5, 27-8
 NYSE IS IN ACCORD WITH SEC, TO AID PUBLIC F 7, 40-6
 SEC RETAINS SERVICES OF JOHN W. DAVIS F 7, 41-5-WSC
 RICHARD WHITNEY EXPLAINS STATUS OF JOHN W. DAVIS F 9, 20-8
 TO INCREASE BD OF GOVERNORS FROM 40 TO 48 F 21, 42-8
 MAN OF TYPE OF LATE CALVIN COOLIDGE CALLED IDEAL TO HEAD ABOVE F 26, 30-6 WSC
 SEC SEEKS CLOSER RELATIONS WITH PUBLIC AP 3, 33-5-BLST
 RICHARD WHITNEY QUILTS AS CANDIDATE FOR PRESIDENCY AP 7, 4-18-6
 OFFICIAL CALL MONEY RATE CUT TO 1/2 OF 1% AP 15, 28-5
 CHAS. R. GAY, NEW HEAD, BUST WORKING OUT NEW ADMINISTRATION MY 26, 4-188-8

---1935---

SEC DEFENDS ITS AUTHORITY, DEFINING ABOVE AS INSTRUMENT OF INTERSTATE
COMMERCE JE 6,41-5
EUGENE M. LOHKEY APPT. EXECUTIVE ASST. TO PUBLIC RELATIONS COMMITTEE JE 20,42-8
SEC REFUSES ABOVE PERMISSION TO REMOVE HUPP COMMON STOCK FROM LISTING JE 30,1-5-8
SHARE CHANGES PICKING UP, WORKERS BREATH EASIER JL 5,30-5
8 OF ADVISORY GROUP RE-APPOINTED JL 11,37-8
CHANGE TIGHTENS ON FOREIGN BUSINESS AG 16,31-6
SELLING WAVE HITS STOCKS, SHARES OFF \$1. TO 3 AG 24,1-4-CM
CHAS. R. GAY ORDERS INQUIRY ON TRACTION DATA S 12,39-1
STUDIES BRITISH MARKET RULES S 26,49-8
BEING GRADUALLY OVERHAULED-FLANAGAN O 8,32-8
TO CONSIDER CORPORATE MEMBERSHIP N 20,38-4 33
101 ISSUES TO BE DELISTED BY JAN. 1 ---1936--- D 12,51-6
LISTS NEW POLICIES; 3 STEPS TAKEN TO SAFEGUARD TRADERS -AP 20,26-5
CONTEST SEEN IN ELECTION FOR GOVERNORSHIP S 24,46-5 CM
APP'T BRADFORD B SMITH TO EXCHANGE O 7,37-5
BUSINESS OFFICIALS ON PACIFIC COAST TALK OF EXTENDING TRADING TO 4 PM O 16,48-6-1 SC
4 P.M. CLOSING TIME DISCUSSED IN WALL ST. O 24,19-8
ONE FIFTH OF TRADING DONE BY EXCHANGE MEMBERS, REPORT N 11,39-4
NOV. 10 MARKET BROADEST IN HISTORY OF MARKET

STOCK : EXCHANGES : NEW YORK MISC.

~~NEW YORK XXXXXX STOCK EXCHANGE XXXX MISCELLANEOUS~~

1939

Form 1656

JL 18, 24-5

LETTERS SENT PUB EXAMINING BD. TO STRESS CUSTOMER PROTECTION

JL 27, 37-8

EXCHANGE EXTENDS ARBITRATION FACILITIES TO OTHER CITIES

AG 24, 40-8

GOVERNING BD. RECOMMENDS CHANGE IN AUDITING

AG 31, 35-2-QM

CREATION OF SPECIAL RESERVE FUND ADVOCATED FOR EXCHANGE

S 2, 19-2-ISC

WM. C. McMARTIN, JR. SAYS GOVT. REGULATION HAS IMPAIRED THE VITALITY OF THE MARKET

S 28, 49-5

EXCHANGE CONSTITUTION AMENDED SO THAT ORG.'S SPECIAL COMMITTEE MAKE COMPLETE STUDY OF COMPENSATION TO BROKERS

---1940---

JA 3, 23-5

REPORT ON FULL LOT TRANSACTIONS FOR 1939

F 7, 29-5

NEW JERSEY & CONNECTICUT INVITE NY EXCHANGE TO THEIR STATES

F 24, 17-4

EXCHANGE ACTS TO HALT MEMBERS FR DEALING WITH OUTSIDE MARKETS

D 29, 5-14-7

CHALLENGES AUTHORITY SEC ON DUAL TRADING

---1941---

JA 20, 23-5 BS

EXPECTED TO TAKE STRONGER STAND TO COMBAT "DIFFUSION OF THE MARKET"

JA 23, 33-5

TO MAKE MORE DETERMINED STAND VS. DIVERSION OF STOCK BUSINESS TO OVER-THE-COUNTER MARKET

---1941---

F 17, 23-3

NATL. COMM. OF STOCK EXCHANGE PARTNERS START MOVE TO TAKE CONTROL OF ABOVE FR WALL ST. & PUT IT ON "MAIN ST."

MR 6, 36-6

WM. M MARTIN JR. BEFORE ABOVE, SAYS BUSY TRADING DAYS AHEAD-AP 2, 35-1
PLAN PLACING POLICY MAKING CONTROL IN HANDS MEN OUTSIDE WALL ST. CONSIDERED

AP 6, 4-17-8

J BRADFORD ASKS ABOVE HAVE MORE OUT-OF-TOWN MEMBERS

MY 20, 28-5

GEO. REA PRESENTS IDEA FOR REVIVING BUSINESS

JE 4, 34-5

GOVERNORS CONSIDERING REORGANIZATION PLAN

JL 1, 34-5

EMIL SCHRAM TAKES OVER PRES. JOB, SEES BETTER DAYS FOR WALL ST.

JL 7, 25-5

MAKES REPORT ON CORPORATION EARNINGS AND PROFITS

JL 10, 30-7

BOND LISTINGS VALUE UP \$900,000,000 FOR JUNE

JL 14, 22-5

COMMISSIONS ON BUYING & SELLING OF US GOVERNMENTS HALVED

---1942---

JA 8, 38-4

TO INVESTIGATE DEALINGS MADE BY MEMBERS SINCE WAR

F 9, 22-6

TAKE STEP TO BRING BACK THE BIG ORGANIZED TRADING FLOOR, LARGE AMOUNT OF OUTSIDE OFFERINGS

F 20, 30-2

NEW SYSTEM OF "AUCTION BLOCK" MERCHANDISING METHODS, CALLED SUCCESS

F 22, 32-6

BD. OF GOVERNORS FAVOR 25% RISE IN COMMISSIONS

F 27, 33-6 BS

REPORT NET LOSS OF \$2,229,560 FOR 1941

MR 4, 28-2 NITE

SEAT SOLD FOR \$18,000

MR 24, 24-4 BS

URGE BUYING OF DEFENSE BONDS

AP 24, 34-3 BS

MEMBERS VOTE TO REVISE DUES

~~NEW YORK CITY STOCK EXCHANGE~~

1942

~~STOCK - EXCHANGES - NEW YORK MISC.~~

STOCK CLEARING CORP. PLANNING TO ABOLISH OPERATION
REAL BATTLE FOR POWER DEVELOPING BETW. ABOVE & SECURITIES DEALERS
MEMBERS OF ABOVE

Form 1656

AG 25, 25-6 BS

AG 31, 25-2

---1943---

F 20, 17-4

MR 5, 33-4

SEAT SELLS FOR \$34,000 HIGHEST SINCE 1941

EMIL SCHRAM SAYS HEALTHY CONDITION OF MARKET REFLECTING COUNTRY'S
IMISM WITH PROGRESS OF WAR

AP 29, 48-2

GIRLS DOING MEN'S WORK, HAZEL HANZELTIN TELEPHONES PRICES

NY 10, 27-1 BS

EMIL SCHRAM SAYS CLOSING DUE TO WAR NOT NECESSARY

JE2, 34-5

SEAT SELLS FOR \$40,000

JL9, 30-6

MARKET VALUE OF STOCKS UP \$10.54

S 2, 38-8

WALL STREET THINKS WAR WILL END BY FALL OF 1944

S 17, 39-8

SEAT SELLS FOR \$40,000

O 25, 24-1

WAR BOND SALES TOP BILLION MARK

N 2, 25-4

CLOSES FOR ELECTION DAY

D 3, 38-5

GIVES POSTWAR EXPANSION PROGRAM

---1944---

F 11, 25-8

TWO SEATS SOLD FOR \$50,000 EACH

F 26, 15-3

US CIRCUIT OF APPEALS HOLDS EXCHANGE NOT LIABLE FOR MONEY LOST BY
INVESTORS IN RICHARD HITNEY & Co.

INVESTORS

---1944---

STOCK EXCHANGE PAUSED TO HONOR FIGHTERS ON D-DAY
MEMBERS BUY \$270,639,289 5TH WAR LOAN BONDS
SUSPENDS TRADING IN FINNISH SECURITIES
STOCK & CURB EXCHANGES TO CLOSE NEXT 3 SATURDAYS

JE 6, 11-5
JE 27, 20-3
JL 2, 4-9-1
AG 18, 22-1

---1945---

WALL STREET CELEBRATES GER. SURRENDER; TRADING SUSPENDED FOR 5-MIN.
WALL ST. CONT. V-E DAY CELEBRATION WITH TICKER TAPE
TO CLOSE JE 19 2-HRS TO HONOR GEN. EISENHOWER
TO CLOSE SATURDAYS IN JL & AG & SEPT. 1

MY 7, 18-6
MY 8, 19-8 FIN
JE 18, 17-1 BS2
JE 22, 28-5
AG 10, 24-6

TO WORK AS USUAL PENDING OFFICIAL REPT. JAP WAR ENDING
CLOSES AUG 15 & 16 TO CELEBRATE VICTORY OVER JAPAN
TO BE OPEN ON MONDAYS OF DEC 24 & DEC 31
TO CLOSE ON DEC 24

AG 15, 28-2
D 7, 34-3
D 14, 24-1

---1946---

GIVEN CITATION FOR WAR BOND SERVICE
MAY GO ON 5-DAY WEEK DURING SUMMER MONTHS
EMIL SCHRAM URGES NATL. DEBT POLICY IN EXCHANGE'S ANNUAL REPORT

JA 8, 24-3 BS 2
MR 14, 41-6
MR 22, 35-6 BS 2
AP 5, 34-5 BS 2

TO CLOSE SATURDAYS DURING JUNE, JULY, AUG. & SEPT.
CLOSE MY 25 TO START SUMMER SCHEDULE EARLY

MY 25, 11-2
AG 29, 49-3

TO IDENTIFY LISTED SECURITIES OF COMPANIES IN BANKRUPTCY BY LETTER

O 3, 49-1 BS2
N 26, 33-3

TO RESUME ACTIVITY ON SATURDAY'S AFTER SUMMER LAY-OFF
MAJORITY OF MEMBERSHIP ASSN. OF CUSTOMERS' BROKERS FAVOR 5-DAY WEEK

1947

XXXXXXXXXXXXXXXXXXXXXXXXXXXX
 STOCK : EXCHANGE : NEW YORK MISC.

ASSURES JIM HASKELL HE WILL NOT BE PERMANENTLY BARRED JA 10, 30-8
 REJECTS INCORPORATION PLAN JA 17, 31-6
 STUDIES ORIGIN OF TRADING MR 17, 20-6 BS 2
 CLOSED NY 31 INAUGURATING 5-DAY SUMMER WK JE 1, 4-14-3
 GOVERNORS VOTE TO SUBMIT TO MEMBERS PROPOSED FEE BOOST O 18, 20-5
 ANNOUNCES NET LOSS IN LAST 9 MOS OF \$183,601 O 20, 25-7
 TO BE CLOSED NOV. 4 ELECTION DAY N 1, 20-2
 MEMBERSHIP VOTES BOOST IN COMMON RATES EFFECTIVE N 1, 20-4
 TRADING TO BE SUSPENDED SAT. JAN 3 BECAUSE OF STORM JA 2, 1-6 BS 2
 LEADING EXCHANGES CLOSE GOOD FRIDAY, MR 25 MR 25, 28-1 BS 2
 SATURDAY TRADING TO RESUME O 2 O 2, 16-1 NETE
 TO ABANDON INSTITUTIONAL ADS IN FAVOR OF APPEAL TO INDIVIDUAL F 26, 17-6
 TO BE CLOSED ELECTION DAY N 8 & TRINITY DAY N 11 N 3, 62-5 BS 2
 SOUNDS PUBLIC REACTIONS TO PUBLIC RELATIONS PROGRAM N 14, 33-7
 TO CLOSE DEC 24-OTHER HOLIDAY CLOSINGS D 2, 50-1
 INVESTMENT BANKERS ASSN. URGES YR. ROUND CLOSING ON SATURDAY D 9, 64-7
 SATURDAY CLOSING REDUCED TO 2 MONTHS THIS SUMMER MR 3, 42-1 BS 2

---1950---

MEMBERS PROTEST SHORTENING PERIOD DURING WHICH EXCHANGE WILL BE CLOSED SATURDAYS DURING SUMMER

MR 8, 46-1 BS 2

MR 10, 42-1 FINAL

MY 7, 4-12-6

O 17, 36-8 BS 2

APPTS COMMITTEE TO REVIEW TRADING HOURS
NEWSPAPERS OPPOSE LONGER HOURS
LISTS 1,477 STOCK ISSUES :OTHER FIGURES-

---1951---

AP 19, 36-1 FINAL

AG 20, 1-5 BS 2

TO CLOSE 2 HRS AP 20 DURING NY VISIT OF GEN. MACARTHUR -
TICKER BREAKDOWN VEILS STOCK PRICES FOR 33 MINUTES

---1952---

JA 25, 20-7

AP 24, 62-6

AP 28, 30-7

HOLD TO SCHEDULE ON TRADING HOURS
ARRANGEMENTS MADE FOR SALE OF MEMBERSHIP FOR \$46,000
PREVIEW OF STOCK EXCHANGE CARTOON "WHAT MAKES US TICK" TO BE SHOWN WWJ
STATION THEATER 3PM AP 29

MP 30, 30-6 BS2

O 7, 34-5

ANIMATED CARTOON TELLS STOCK EXCHANGE STORY
PRES. G. KEITH FUNSTON SAYS REGULATION OVERDONE

O 10, 38-8

ABOVE ORDERS COMPLETE EXAMINATION OF ALL ITS OPERATION IN CONCERN OVER
DECLINE IN BUSINESS VOLUME

-----1953-----

AP 14, 30-4

MY 12, 32-6

RICHARD M. CR OKS NAMED FOR 3RD TERM AS CHR. OF ABOVE-
ABOVE CONSIDERING PAY-AS YOU-GO PLAN FOR PURCHASE OF STOCKS

MY 22, 38-6

ELECTED WM.E.HUTTON A PARTNER IN W.E.HUTTON & COV., TO BD. OF GOVERNOR

JL 12, 4-10-2

REPORT OF NET PROFIT FOR FIRST 6 MOS OF '53

ABOVE STUDIES FEE SET UP OF "EXCHANGE" \$ 25, 37-6 5*

-----1954-----

HAROLD SCOTT NAMED CHR. OF BD. OF GOVERNORS OF ABOVE AP 12, 34-7

JE 17, 62-7

ALEXANDER R PIPER JR ELECTED EXCHANGE GOVERNOR OF ABOVE

JE 22, 1-7

NYC-TOBACCO SHARES BREAK SHARPLY IN ABOVE AS RESULT OF REPORT TO AME
RICAN MED.ASSN CONV. LINKING CIGARET SMOKING WITH CANCER

AG 6, 1-7 5*

NYC-CHRYSLER CORP.DROPS 5 POINTS PUTTING MARKET IN CONFUSION

D 2, 52-1 8*

NYC-GOVERNORS OF ABOVE OK PAYMENT OF XMAS BONUS TO EMPLOYEES

/ D 8, 71-5-5

4TH PUBLIC TRANSACTION STUDY TO BE MADE THIS MO

-----1955-----

F 1, 28-6

REPORT FR.ABOVE SAYS CASH INVESTMENTS LEADERS IN VOLUME-TUTTLE

CHARLES E WILSON NAMED PUBLIC REPRESENTATIVE MY 20, 54-5

JE 9, 54-8 BB

ABOVE TO END OPERATIONS FOR 10 MIN. JE 15TH IN CD TRAINING EXERCISE

---1955---

ABOVE AND NATIONAL ASSOC. OF MANUFACTURERS TAKE OPPOSITE SIDES IN FED
POLICING OF STOCK TRADING. JE 30, 33-5 5*
TURNS DOWN PEABODY COAL CO. APPLICATION TO LIST SHARES OF NEW COMMON
STOCK. AG 2, 32-8

-----1956-----

CAUTION RULES MARKET AS CAR OUTPUT SAGS-TUTTLE A 22, 8-1-1
NYC-BROKERAGE FIRMS CONDUCT GRASS ROOTS STOCKS PROGRAM. MY 16, 57-1

ABOVE STRENGTHENS AFTER NEWS IKE WILL RUN FOR SECOND TERM J 17, 1-8
OUTLINES EXCHANGES OFFICIAL VIEWS ON PROXY CONTESTS J 12, 30-6

ORDERS HOUSTON OIL DELISTED J 20, 32-8 6*
MRS. CHAS. ULRICK BAY BECOMES PRES. OF A.M. KIDDER & CO. MEMBER OF ABOVE D 2, D-20-1
-----1957----- JA 8, 28-5

AUTHORIZES THE LISTING OF 20 MILLION SHARES OF STOCK IN MONTECATINI CO
AP 28, F-9-4

NYC-MAKING OF MOVIE SHOWING INVESTOR'S ROLE IN FUTURE D 9, 30-1

NYC-TO START ONE YEAR PILOT OPERATION TO REDUCE THE HEAVY VOLUME OF
STOCK CERTIFICATES PROCESSED EACH DAY

GOVERNORS DISCUSS INCREASING FEES. ---1958--- MR 10, 28-1
MR 23, C-21-7

NYC-MERRILL LYNCH, PIERCE, FENNER & SMITH OPPOSE BOOST IN BROKERAGE
COMSN.

NEW YORK STOCK EXCHANGE : NEW YORK : MISC

1958

FDW.C. WERLE NOMINATED FOR ONE YEAR TERM AS EXCHANGE CHAIRMAN

Ap 14, 28-6

N 5, 82-5

RELEASES NEW MOVIE "THE BIG BOARD" TO SHOW PROFESSIONAL INVESTMENT MANAGERS HOW THEY CAN MAKE BEST USE OF EXCHANGE FACILITIES

N 18, 38-5

NYC-FAMED BORSOSHOE SHAPED TRADING POSTS ON FLOOR OF ABOVE MAY BE ON THE WAY OUT

D 25, 12-1

STOCK HITS HIGH OF \$3,800,000,000 ON CHRISTMAS EVE

D 31, 16-1

LISTS THE 5TH BILLIONTH SHARE OF STOCK -----1959-----

N 6, 24-6

COMMISSION CUT VOTED BY ABOVE

MR 23, 28-1

YONKERS CHAMBER OF COMMERCE INVITES ABOVE TO DESERT WALL STREET & MOVE TO YONKERS

Ap 5, ROTO 28

CLASSES ON STOCK INVESTMENTS IN DETROIT-SULLIVAN
TIGHTENS DELISTING RULES COVERING PREFERRED & GUARANTEED COMMON STOCK ISSUES

My 24, D-15-6

S 17, D-6-1 LF

NYC-FORMED TO TRADE SECURITIES IN YOUNG, SMALL FIRMS
ASKS SEC TO OK DELISTING OF PHILLIPS-VAN HEUSEN CORP PREFERRED

N 9, R-4-6

-----1960-----

STOCKS SENT SOARING BY NEWS OF STEEL SETTLEMENT

STEEL SETTLEMENT BOOSTS AUTO RAIL STOCKS
STOCKS DIP, TOP ISSUES AFFECTED

NYC-FEB. STOCK TRANSACTIONS DIP

RE-NOM. EDWARD C. WERLE FOR CHR.

OFFERS \$700,000 TO REIMBURSE CUSTOMERS OF DUPONT HOMSEY CO. IN STOCK FRAUD

VOTES TO SUSPEND TRADING IN AMERICAN SUMATRA TOBACCO CO. COMMON

GOVERNORS VOTE TO RESCIND THEIR ACTION OF LAST MAY WHICH WOULD HAVE SUSPENDED TRADING IN THE STOCK OF MINNEAPOLIS ST. LOUIS R.R. CO.

OK'S EXPANDED MANDATORY FIDELITY INSURANCE COVERAGE
TO HOLD CONF IN DET D 7

RUDDICK C. LAWRENCE TOLD ABOVE CONF. IN DET.; MICH. MAKES GAINS IN STOCK-HOLDER TOTAL-TUTTLE

NYC LIZZARD DELAYS OPENING OF STOCK EXCHANGE TODAY

OPENING OF ABOVE DELAYED DUE TO BLIZZARD

-----1961-----
SUSPENDS TRADING IN BANGOR & ARROSTOCK RAILROAD CO.

JA 4, A-1-5

JA 4, A-1-5 5*

MR 1, B-12-3 5*

AP 11, B-12-8 5*

N 4, D-3-3

N 6, B-10-4

N 7, B-8-5

N 18, D-5-8

D 5, B-14-5

D 7, D-11-4

D 12, A-1-3

D 12, B-14-1

JA 13, B-11-4 FIN 5

JA 17, A-15-4

VOTES TO SUSPEND TRADING IN UNITED INDUSTRIAL CORP.

JL 26, 9-11-7

EFFECTIVE JL 27TH ABOVE HAS RESCINDED PROHIBITIONS AGST ACCEPTANCE OF
STOP ORDERS ON COMMON STOCKS OF AVNET ELECTRONICS CORP, RUNSWICK
CORP, COMPTOMETER CORP LIONEL CORP, ETC

O 13, C-14-8

SAYS A SPECIAL COMM. OF MEMBERS WILL INVESTIGATE EXCHANGE

00000000000000000000

---1962---

F 15, A-1-4

DET. NEWS & ABOVE TO SPONSOR SERIES OF FREE PUBLIC PROGRAM TO EXPLAIN
STOCK MARKET IN FORD AUDITORIUM; SCHEDULE

MR 2, B-6-5

MEMBERS OK EMERGENCY COMM. TO RUN EXCHANGE IN EVENT OF NUCLEAR ATTACK

JE 13, C-13-1

EXPANDED LIST OF SECURITIES ON WHICH "FLASH" PRICES ARE GIVEN IN SUCH
SITUATIONS FROM 30 TO 100

O 5, D-5-8

COMPUTER TO TAKE OVER JOB OF TABULATING STOCK TRANSACTIONS & TRANSMITTING
THE DATA TO BROKERAGE HOUSES AROUND COUNTRY

D 14, C-8-8

TO OPERATE ON NORMAN WORKING HOURS MONDAY DEC. 24

D 28, B-4-5

TO MOVE FROM WALL STREET TO WATER STREET IN LOWER MANHATTAN

NY-IT WOULD HAVE COST ALMOST \$348 BILLION TO BUY UP ALL STOCKS CARRIED
 ON NY STOCK EXCHANGE AT YEAR'S END
 HAS TIGHTENED ITS DISCIPLINARY POWERS OVER REGISTERED REPRESENTATIVES
 & OTHER EMPLOYEES OF MEMBER ORGANIZATIONS
 NY STOCK EXCHANGE CIRCULATES LETTER REMINDING MEMBERS TO TAKE CARE NOT
 TO MISLEAD CUSTOMERS ABOUT WHAT STOCKS MIGHT DO
 TOLD THE STORY BEHIND THE MOST DRAMATIC STOCK MARKET EVENTS IN MORE THAN
 AN 3 DECADES
 CAUTIONED MEMBER BROKERS AGAINST SUPERCHARGED STATEMENTS IN ADVERTISING
 AND MARKET LETTERS
 COMPLETED BUSIEST SECOND QUARTER IN ITS HISTORY, TRADING 303 MILLION
 SHARES
 KEITH FURSTON, PRES OF ABOVE SAID THE ORG HAS STUDIED 6,000 PAGES OF
 MARKET LETTERS IN SPOT CHECKS THIS YR FROM 400 COMPANIES
 MILWAUKEE-THILL SECURITIES CORP. FILED \$21 MILLION ANTITRUST SUIT AGST
 ABOVE
 SAYS NEW TICKER MACHINE NOW IN PRODUCTION
 SHUTDOWN OF ABOVE N25 CAUSED REVISION OF SETTLEMENT DATES FOR TRANSACTIONS
 ON EXCHANGE FROM N19-22
 NY-2 COMMITTEES NAMED TO STUDY PROBLEMS RAISED BY LIQUIDATION OF BROKER-
 ERA GE HOUSE, IRA HAUPT & CO.

1963

JA 8, A-20-1

JA 28, B-6-5

MR 12, C-8-5

MR 18, B-6-5

AP 2, A-11-5

JE 29, A-8-5

JL 20, A-10-1

O 20, D-14-6

O 25, C-7-6

N 26, C-6-4

D 1, D-16-5

STOCK : EXCHANGE : NEW YORK MISC
~~NEW YORK STOCK EXCHANGE~~

1964

- TO BE CLOSED ON 3 FRIDAYS PRECEDING HOLIDAYS THIS YR-F21, MY 29 & JL3
JA 19, D-12-5
F 4, C-6-3
- NY-TO EXTEND ITS STOCK TICKER SERVICE TO 4 CITIES IN SWITZERLAND & TO HAWAII UNDER AGREEMENT WITH WESTERN UNION INTERNAT'L INC.
F 7, D-11-2
- WASH-WALTER FRANK, VP OF BD. OF GOV. OF NY STOCK EXCHANGE EXPLAINED TO HOUSE SUBCOMMITTEE ON COMMERCE EVENTS THAT LED TO CLOSING OF EXCHANGE ON N 22-
MR 24, B-8-1
- SEC GOING ALL OUT TO MAKE CASE FOR ABOLISHING FLOOR TRADERS-GOULD
MR 26, C-18-5
- WASH.-TOP OFFICIALS CONFERRED WITH PRES. JOHNSON & REPORTED THEY COVERED WATERFRONT ABOUT ECONOMIC CONDITIONS & STATE OF STOCK MARKET
AP 21, C-9-4
- FIRST QUARTER OF 1964 BUSIEST 3 MONTHS IN HISTORY WITH 319 MILLION SHARED BEING TRADED
AP 22, C-20-5
- NY-ANNOUNCED PROPOSAL FOR PROVIDING UP TO 325 A MEMBER FIRM GOES UNDER MILLION TO AID CUSTOMERS IN CASE WAY IRA HAUPT & CO. DID LAST YEAR
AP 26, D-8-4
- NY-ANNOUNCED NO MEMBERS MAY ACCEPT STOP ORDERS IN SOO LINE RAILROAD COMMON STOCK IN VIEW OF CONDITION EXISTING IN TRADING

—1964—

WASH-& SEC OPENED DISCUSSIONS TODAY ON REVISING

MY 13, C-18-6
ROLE OF SPECIALISTS
MY 26, C-10-8

EYES LISTING OF 1,800 FIRMS IN 1980

JE 13, A-8-4

NY-STOCK EXCHANGE WORKING HARD TO DISPEL NOTION THAT IT IS PRIVATE
CLUB FOR BENEFIT OF FLOOR MEMBERS-HEBERMAN

JE 22, B-13-3

STARTED SERVICE TODAY WITH ITS NEW 900 CHARACTER-A-MINUTE QUOTATION
TICKER

D 21, D-7-1

NY-GETS HEADACHE FROM LISTING STOCK OF COMSAT BEFORE SHARES WERE EVEN
SOLD & INITIATING TRADE BEFORE STOCK HAD CHANCE TO BE SEASONED-GOULD

—1965—

JA 10, F-18-5

FOR STOCK EXCHANGE & ITS CUSTOMERS BIG QUES. AT START OF '65 IS WHETHER
BROKERAGE HOUSES CHARGE HIGHER COMMISSIONS FOR SMALL & MED. SIZE CUSTOMERS,
& LOWER COMMISSIONS FOR BIGGEST CUSTOMERS-SMITH

JL 25, D-14-4

NY-TO SUSPEND DEALINGS IN COMMON STOCK OF PACIFIC AMERICAN CORP BEFORE
JL 26

AG 15, D-17-2

SCHEDULED SEPT. 14 A PUBLIC HEARING ON CONTINUING TO LIST COMMON
STOCK OF UNITED BOARD & CARTON CORP.

S 17, C-14-3

TO TEST DOUBLEDECK VERSION OF COUNTER-HIGH FLOOR TRADING POST

N 2, A-10-7

NY & AMERICAN STOCK EXCHANGES CLOSED FOR ELECTIONS

STOCK : EXCHANGE : NEW YORK MISC.

1965

~~NEW YORK XXXXX STOCK EXCHANGE~~

N 11, D-9-7

NY-DESCRIBED HOW IT OPERATED AFTER ELECTRICAL BACKOUT THAT GRIPPED E
EAST

D-22, C-14-6

NY-STOCK EXCHANGE IS STEPPING UP CAMPAIGN TO CURB SPECULATION IN ITS
LISTED STOCKS BY DIRECTLY CHALLENGING MEMBERS OF BROKERAGE FIRMS TO
EXPLAIN STEPS THEY TAKE TO DAMPEN TRADING

---1966---

MR 3, D-8-6

NY-THREATEN MOVE TO ANOTHER STATE DUE TO TAX PLAN HERE

MR 9, C-18-5

NY-STUDYING NEW SITE OFFERS

MR 18, A-3-4

NYC-WALL STREET N.J. COMING CLOSE

M

MR 21, C-7-4

ARP-WOULD BE TAX-FREE HOME FOR NY STOCK EXCHANGE IN ARP

MR 22, D-9-4

NYC-PRES FUNSTON MET WITH GOV DEMPSEY OF CONN TO DISCUSS STOCK EXCHANGE
E MOVE IF LEAVES ABOVE

MR 30, C-23-4

IN SALES PITCH FOR INVESTING IN AMERICAN BUSINESS HAS CALLED ATTENTION
TO CASE OF OWNER OF GMC CORP STOCK

MR 31, B-12-3

STATE A HAVEN FOR NY STOCK EXCHANGE?-EDIT.

--1966--

Ap 12, B-8-3

NY-BROKERAGE FIRM OFFICIALS PREDICT THE STOCK EXCHANGE WILL NOT MOVE TO ANOTHER STATE TO AVOID ADDED STOCK TRANSFER TAX SUGGESTED BY MAYOR LINDSAY-SCHNEIDER

Ap 22, B-10-5

CONSIDERING PLANS TO CIRCUMVENT CITY PROPOSED STOCK TRANSFER TAXES BY OPENING 2ND TRADING FLOOR OUTSIDE OF NYC-NO COMMENT MADE ON REPORT TO RE INNJ.

Ap 22, B-10-8

ANNOUNCED TO ESTABLISH OWN INDEX TO MEASURE PRICE MOVEMENTS OF ALL COMMON STOCKS TRADED ON BOARD

Je 6, C-8-1

NY-INAUGURATED SPLIT-SECOND COMPUTERIZED TRANSMISSION OF STOCK PRICES TODAY

Je 17, D-11-2

NY-REDUCED ODD-LOT DIFFERENTIAL-COMM. PAID ON TRANSACTIONS OF LESS THAN 100 SHARES EFFECTIVE JL 1

JL 7, C-10-7

NY-TO REMAIN IN NYC-GOLDMAN

---1967---

K JA 5, C-6-7

CHICAGO-RIGHT TO SET FEES OF ABOVE UPHELD F 19, D-11-1

TO EXTEND TRADING FLOOR BY EXPANDING INTO THE BUILDING NEXT DOOR AT COST OF \$5 MILLION

Ap 20, D-11-1

NY-SHIFT IN CENTER OF SHAREOWNER POPULATION COULD PROVOKE ABOVE INTO SETTING UP TRADING FLOORS AROUND COUNTRY-CUNIFF AG 8, B-8-1

STOCK MARKETS TO CLOSE EARLY FOR REST OF WEEK

S 8, B-7-3

MANUEL F. COHEN OF SEC SAID ABILITY OF ABOVE & MEMBERS TO HANDLE ACCELERATED VOLUME STOCK TRADING IS NEARING DANGER

POINT

STOCK:EXCHANGE : NEW YORK MISC.

1967

NYC-SEAT BEING SOLD FOR \$425,000

0 21, A-8-8

---1969---

JA 20, D-6-4

PAPER JAM UP FOR ABOVE GETTING WORSE

JL 31, C-10-6

N.Y.-INVESTIGATES FALSE RUMORS OF DECLINE IN PRIME INTEREST RATE-

N26, B-2-5

NYSE AIDE, R. JOHN CUNNINGHAM SAYS PAPER CRISIS PAST.

-----1970-----F 1, E-6-4

NLW YORK JOSPEH L SEARLESS 111 MAY BE 1ST NEGRO TO
BE NAMED TO THE NEW YORK STOCK EXCAHNGE.

1971JUN 25, B-2-1

A SOUND STOCK EXCHANGE STUDY HEADED BY LUXMAN, MC CHESNEY
MARTIN JR. IS NEEDED - ED NT

MR 20, C-9-1

NYSE GETS APPROVAL ON LEASE FOR NEW SITE
LONG-TERM WARRANTS GIVEN OK BY BD OF GOVERNORS OF NYSE

MR 21, A-8-4

EXPECTED TO SEEK TEMP EXTENSION OF \$15 SURCHARGE ON SALES OF
1,000 S S OR UNDER

MY 13, D-13-1

---1970---

REPORTED 72% ALL STOCK TRANSACTIONS IN MY PROCESSED BY COM
PUTERS

---1971---

SOUND STOCK EXCHANGE STUDY HEADED BY WILLIAM MCCHESNEY
MARTIN JR NEEDED--EDIT

PLEGGED FULL SUPPORT TO PROGRAM TO TEACH BLACK AMER BASIC
FUNDAMENTALS OF INVESTING ACCORDING TO JAMES H MEBEDITH

MR 14, E-6-1

SOME OF NYSE PAPER WOES EASED BUT OTHER PROBLEMS UNRESOL
-SMITH

MY13, C-14-5RACE

BIG BOARD, AMEX PLAN MONEY SAVER COMMUNICATION SYSTEM

----1972----

19 YR. OLD ABRAHAM TREFF, BLUFFS ABOVE ON PHONE AND
BUYS AND SELLS \$200,000 DOLLARS WORTH OF STOCK WITH ONLY
\$500 IN BANK---GREER

JA18, D-9-4 1Dot

F 11, C-14-6

PLANS TO AUTOMATE PRICE QUOTATIONS OF MOST OF BONDS LIST
ON BIG BOARD & TO AUTOMATE EXECUTION OF CERTAIN BOND ORD
ERS

AP 6, C¹/ 10-6

ANNOUNCED FILING OF LAWSUIT TO RECOVER MONEY PAID TO HLEP
BAIL OTU GOODBODY & B CO

STOCK EXCHANGE:

NEW YORK:

1972

JAMES NEEDHAM ELECTED
NY STOCK EXCHANGE.

JL 14, D-13-8
FIRST FULL TIME CHAIRMAN OF

JL 23, E-7-3

IN ADDITION TO REGULAR TABLE FOR ABOVE STOCK EXCHANGE, SUN-
DAY NEWS TO CARRY DIVIDEND YIELD AND PRICE-EARNINGS RATION
ON EACH STOCK.

Ag 13, BE¹/11-3

SAME

S 11, A-15-6

NY-HAS COME TO AID OF OPPONENTS OF FIXED COMMISSION RATES FOR BROKERS-
GREER

O 9, A-15-5

DETROIT AND NY EXCHANGES AGREE TO ALLOW BROKERAGE FIRMS WITH
MEMBERSHIPS ON BOTH EXCHANGES TO DELIVER SECURITIES TO EACH
THE OTHER THRU CENTRAL CERTIFICATE SERVICE.

-1973-

JA 26, C-11-4 RACE

NY--CHMN NEEDHAM SAYS SE WILL MOVE; WHERE AND WHEN NOT
INDICATED.

F 11, A-14-1

LANB--STATE MAY BUY STOCK EXCHANGE SEAT. ENGLE

AP 13, B-17-1 N

BLOOMFIELD HILLS INN FOX AND HOUNDS ADDS STOCKS TO MENU.
SMITH

U -1973- S 27, MC-10-1
DETROIT BROKERAGE FIRM AND GROUP OF INVESTORS CHARGE NYSE
WITH NEGLIGENCE IN FAILING TO PROTECT PUBLIC IN EQUITY
FUNDING CORP DEBACLE. HADDEN D3. B-3-4

REVENUES FOR 1ST 9 MONTHS OF 1973 WERE \$73.8 MILLION
COMPARED WITH \$72.2 MILLION LAST YR.

-1974- Mr 18, D-5-1
BIG BOARD SOUND OFF IN MOVE TO BAR COMPETITION. GREEN

CLOSING HR. IS NOW 4PM --1975-- O 2, C-5-1
F 13, D-7-2
TO BEGIN FULL-SCALE OPERATION OF COMPOSITE TAPE QUOTE SYSTEM, JE 16-W.B. SMITH
JE 27, F-6-1

SUP CT BACKS NYSE AGAINST GOVT SUIT ON ANTI-TRUST LAWS
D 12, E-5-6

URNS DOWN MERRILL LYNCH, PIERCE, ETC APPLICATION TO HANDLE
ODD-LOT TRADING FOR CUSTOMERS AWAY FROM TRADING FLOOR

---1977---JA 9, E-3-3
~~TRADING OPTIONS COULD BEGIN WITHIN 6-8 MONTHS.~~

STOCK: EXC HANGE: NEW YORK

1977

AN ERA OF GREAT CHANGE UNDER WAY ON WALL STREET.
SMITH

JA 23, J-2-1

JL 15, C-7-4

NY-CLOSES DUE TO BLACKOUT -1978-

AP 23, A-8-4

NY-CLERKS & BROKERS WORK TO CLEAR MESS AFTER RECORD-
SETTING TRADING -1979-

O 30, A-3-3

NY-A-PROTESTERS FAIL TO CLOSE STOCK MARKET
-1983-

D 20, E-1-5

NY-WM M. BATTEN TO RETIRE AS CHAIRMAN & CHIEF EXEC OF NYSE
--1984--

JL 12, E-1-5

OFFICIALS ANNOUNCED THAT GM IS IN NO DANGER OF BEING "EDLIST"
-1985-

Je 8, B-1-2

NY-clerks, pages vote strike
will open earlier at 9:30

Jl 11, D-3-1

Jl 12, B-5-2

Thw proposed merger or joint venture with Pacific Stock
Exchange fell through.

-1986-

S 13, A-1-1

NY-Dow continues plunge-Freilich

O 15, C_2-3

NY-NYSE fire halts Wall St trading

D 13, C_1-6

NYSE bars Ivan BOesky from trading-Smith

-1988-

Mr 20, F_11-1

NY-detectives keep tabs on those who try to cheat-Gladstone

Jl 7 E-2-3

NY-Major post-crash program that bars program trading during times of volatility expected to be dropped. Ag 31 C-1-5

NY-Jesse Jackson booed for ringing bell to start trading a few seconds late.

-1989-

Mr 2, D-13-1N

Wall St style, attitude.

My 3 C-1-1

Chm says Big Board will start off-hours trading if companies & investment firms want it

S 19 E 1-1

Mitsubishi Bank becomes the 1st Japanese bank to be listed on N.Y. stock exchange

STOCK: EXCHANGES: NEW YORK

1989

0 30 D-3-5

Grosse Pte broker Robert Reid starts boycott of NY exchange
--Tompore -1990-- Ag 1 G 1-1

William H Donaldson leading cand to become chairman of the
NY stock exchange. Current chairman, John J Phelan is retir
ing at end of 1990. 0 11 A 6-2

Wall Street agrees to train Soviets and provide other assis
tance as the country struggles to establish to a market eco
nomy D 27 A 3-1

Electrical transformer exploded delaying opening of NY
& Amer Stock exchanges -1991- Ja 3 E 1-1

Year-end listings confusing in 1/1/91 edition because of wrong headings.
See page 2E today for correct column headings F 25 F 1-1

Wm H Donaldson, chairman of NY Stock Exchange wants to fight
competition with expanded services, round-the-clock trading
Seebacher & Tompor

---1991---

My 21, E-1-1

SEC approves pilot program allowing trading stocks after 4 pm closing bell

Je 11, B-4-2

NY-To begin 2 daily after-hours sessions with different rules of computerized trading

Je 13, F-1-1

Closing bell still sounds at 4 p.m., but business to reopen by computer for extra hour and 15 minutes

je 14 E 2-1

The exchange stayed open an extra 1 hr and 15 minutes for fully automated trading at final closing prices

J1 4 A 6-6

NY - Exchange to open trading 30 minutes earlier starting in Sept.

J1 30 A 5-1

Said Monday it will delay plans to open trading 30 minutes early, which was to take effect Sept 9.

-1993-

Mr 31 E 2-1

Daimler-Benz AG will become Germany's first company to list shares on the exchange.

Je 06 B 1-3

To quote stock prices in decimal terms instead of fractions
-Serju

F 26 B 3-5

NY-SEC charges 8 floor brokers, Oakford Corp & 2 executives
with illegal trading;made \$11.1 million

-1999-

My 25 B-4-2

NY- Wall St. wants longer day.-Knox

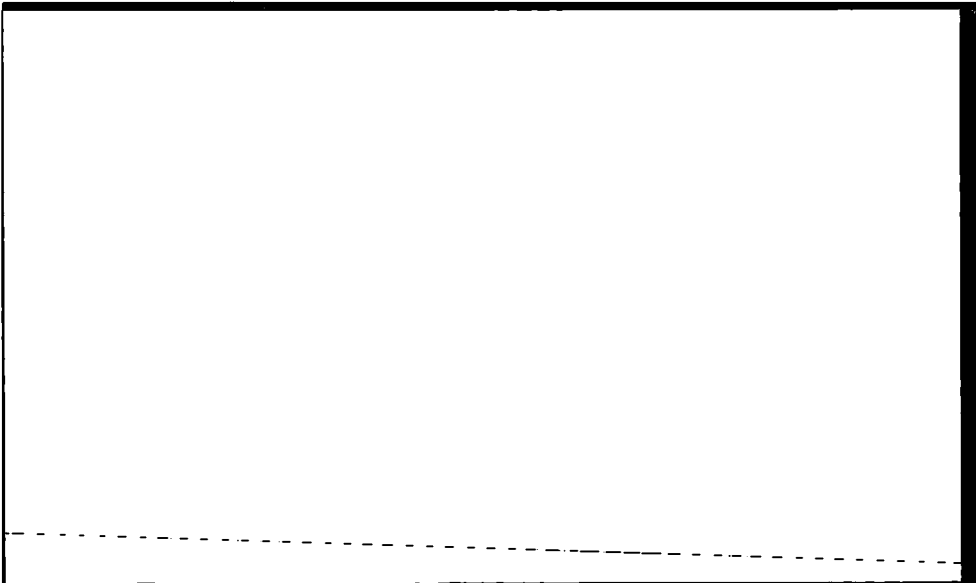
S 2 B 3-7

NY-as it considers selling stock to the public, may have
to split off its watchdog sector from the market

S 3 B 3-1

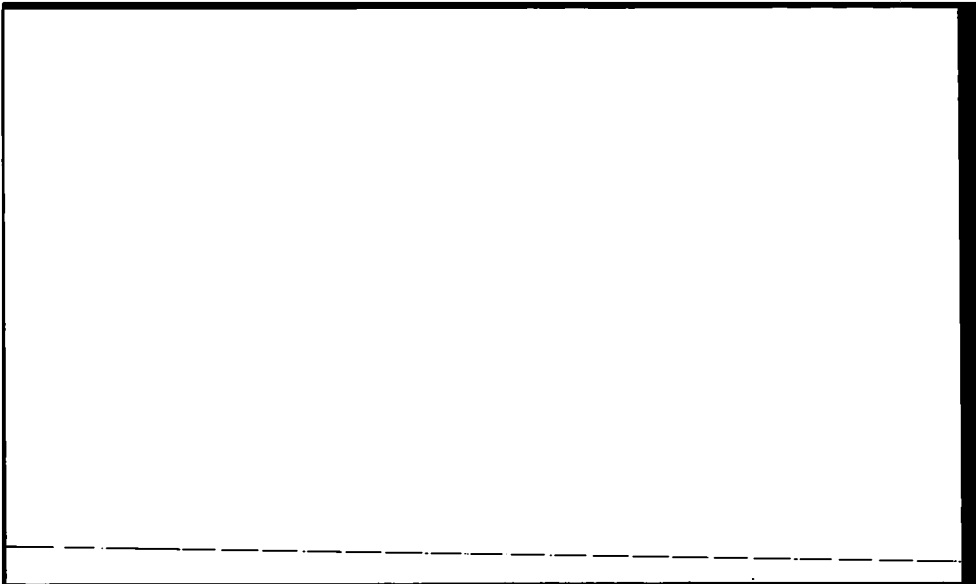
directors postpone sale of stock
voted ^{to} pursue going public next year;ends 2 centuries
of tradition

S 3 B 3-1



ROLE OF MRS. MARY ROEBLING AS CHR. & PRES. OF TRENTON TRUST CO.

N 3, 19-5

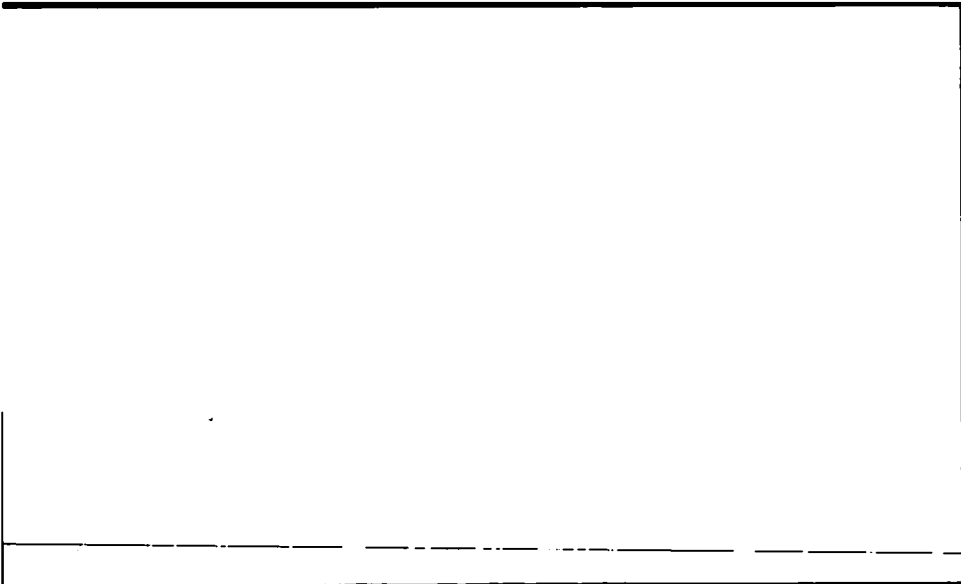


STOCK: EXCHANGES: OVER THE COUNTER

1970

D27, E-6-1

OVER THE COUNTER STOCK MARKET DUE FOR COMPUTER STREAMLINING.
BID AND ASK PRICES WILL BE AVAILABLE TO INVESTORS INSTANTLY.
-HOWARD.



STOCK EXCHANGE : PACIFIC COAST

1956

MEMBERS OF L.A. & SAN FRANCISCO EXCHANGES TO FORM ABOVE

JL 31, 30-6

LOS ANGELES STOCK EXCHANGE MEMBERS VOTE IN FAVOR OF MERGING WITH SAN FRANCISCO EXCHANGE INTO PACIFIC COAST STOCK EXCHANGE

AG 12, 8-12-1

**PACIFIC EXCHANGE TO START NEXT WEEK
WEST COAST EXCHANGES TO MERGE**

D 26, 42-5 5*

D 28, 26-5 1H

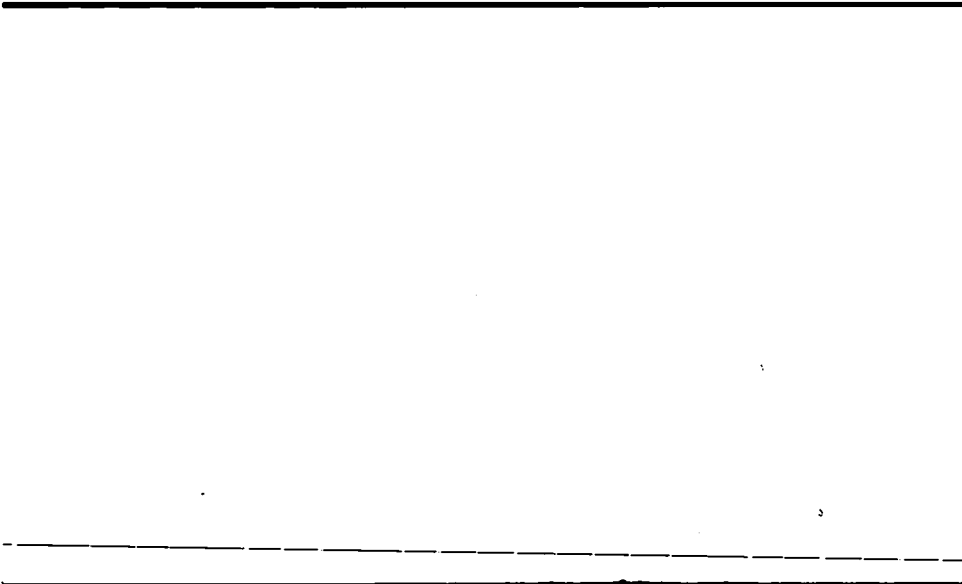
-----1958----- N 18, 38-4

EXPANDING

---1967---

N 1, C-21-7

SAN FRANCISCO-CAZENOVE & CO., LONDON BUYS SEAT ON EXCHANGE

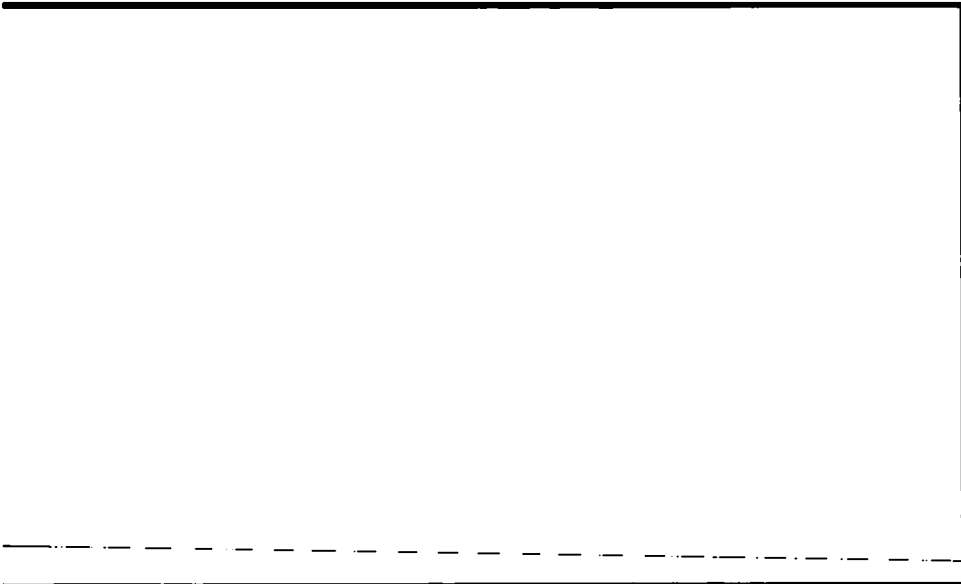


1973

STOCKS : EXCHANGES : PANAMA

MR 4, E-7-3

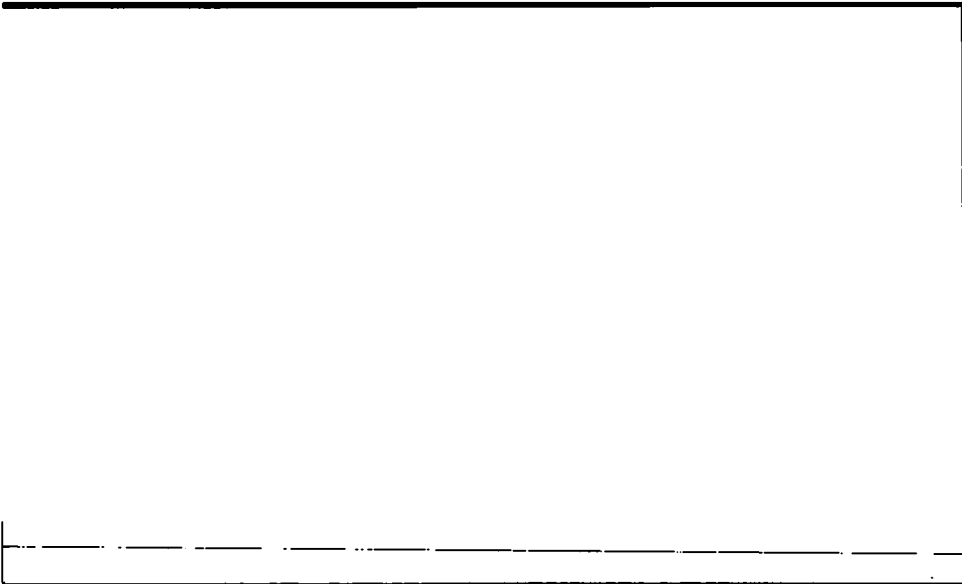
NICK D_E GRAZIA STUDIES POSSIBILITY FOR EXCHANGE THERE. GAINOR



STOCK : ~~EE~~EXCHANGES: PENNSYLVANIA

1969
F 17, A-12-5

PITTS-PITTS OK'S STOCK EXCHANGE MERGER



STOCKS : EXCHANGES : PHILADELPHIA-BALTIMORE

1949

MERGED EXCHANGES BEGIN OPERATION MR 7

MR 7, 27-2

OK PLAN FOR IN ERCHANGE & MARKET FACILITIES WITH BOSTON EXCHANGE

-----1957----- F 26, 32-6

CHANGED ITS NAME TO PHILADELPHIA-BALTIMORE-WASHINGTON STOCK EXCHANGE

---1962--- AG 17, B-11-4

EXCHANGE BEING FORCED TO MOVE BY NEW TAX

---1968--- D 28, A-8-5

EXCHANGE MOVED OUT OF PHILA. INTO SUBURB OF BALA-CYNWYD, PA. TO EXCAPE TAX HIKE

---1969--- JA 3, C-6-4

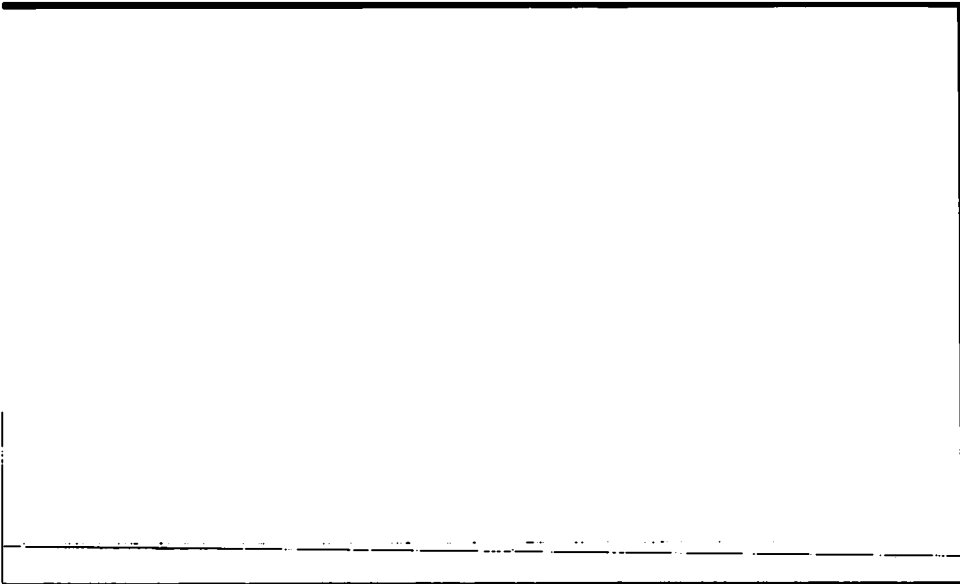
PITTSBURGH STOCK EXCHANGE TO MERGE WITH PHILA-BALT-WASHINGTON XCHANGE

F 17, A-12-5

-1991-

J1 11 E 1-1

Has set up a new schedule of fines for inappropriate behavior on the trading floor.



STOCKS: EXCHANGES: POLAND

1991

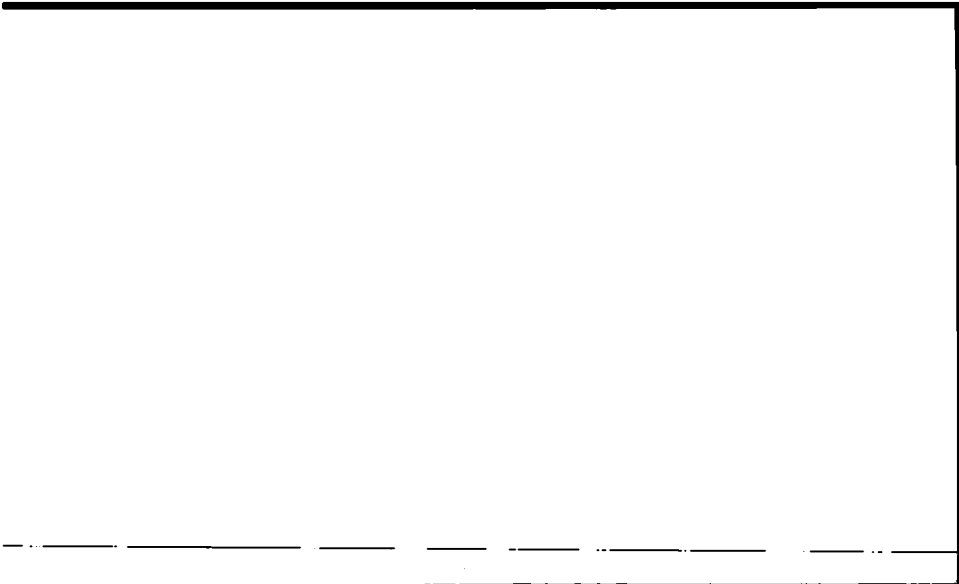
Warsaw- When exchange kicks into action, it won't have
frenzy of Wall St. Ap 14 A-3-5

1974

STOCKS: EXCHANGE: REORGANIZATION

JE 20, F-8-1

**SEC PLANS TO START CENTRALIZED AMER.SECURITIES INDUSTRY IN
EARLY 1975-HOLDEN**



STOCK: EXCHANGE: RUSSIA

1989

0 25 A 1-1

Kremlin may play market -1990-

0 7 B-7-1

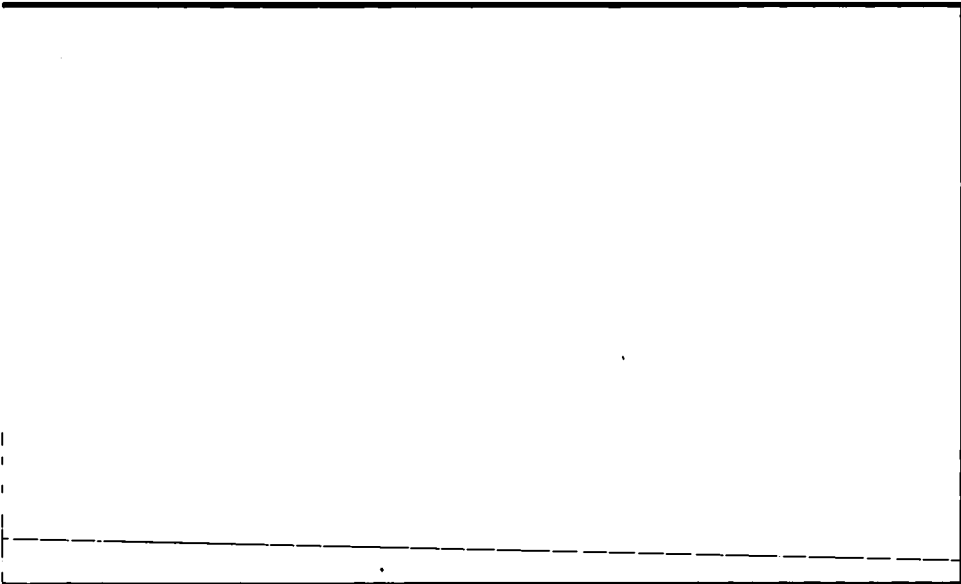
Moscow- Lessons on how to set up stock exchange one of
Soviet capital's hottest attractions. 0 9 E-1-5Moscow- Soviets may have their own Wall St. by next yr
N 13 A-4-1Moscow- Soviet stock exchange opens; country's 1st in 73
yrs.

STOCK - EXCHANGE -SALT LAKE CITY

1958

FRANK E.FREEMAN TO BUY ABOVE

AG 3, C-21-4

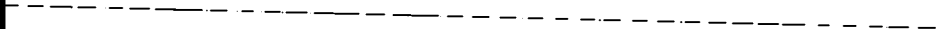


1964

STOCK: EXCHANGE: SCOTLAND

JA 6, B-11-7

GLASGOW-SCOTTISH STOCK EXCHANGE, LARGEST IN BRITAIN, WITH EXCEPTION OF LONDON, WAS LAUNCHED HERE WITH 204 INDIVIDUAL STOCKBROKERS & TRADING FLOORS IN 4 CITIES



STOCK:

EXCHANGES:

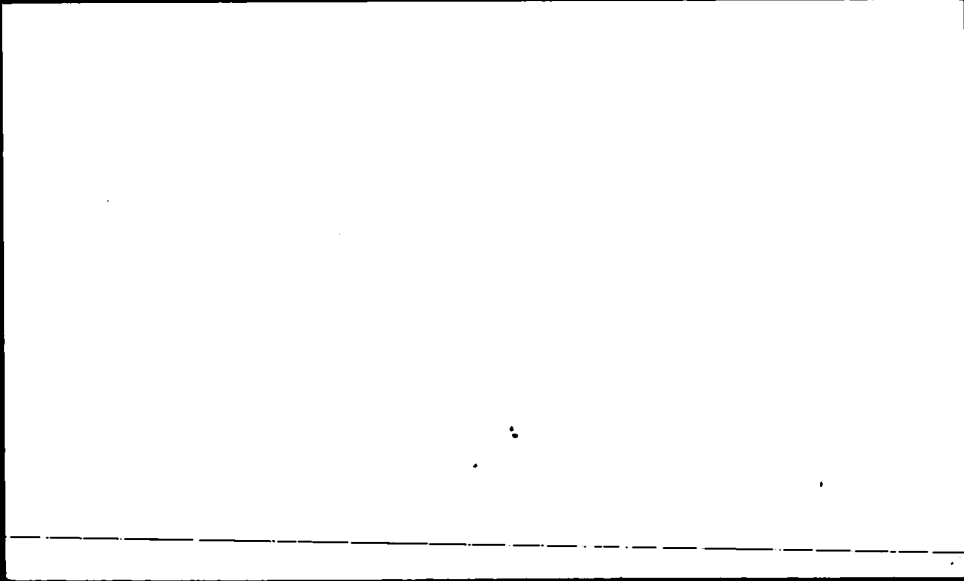
SPAIN

1940

Form 1656

F 26,22-3

3 SPANISH EXCHANGES TO REOPEN MAR.1



STOCKS : EXCHANGES : SWEDEN

1947

S 13, 6-5

STOCKHOLM-NEAR PANIC OCCURS WITH SELLING WAVE ON STATE BONDS & GENERAL
PRICE FALL

STOCKS - EXCHANGES - TORONTO STOCK EXCHANGE
~~XX~~

1928

Form 1656

The Detroit News Ag 16, 12-4

Largest exchange in the world handling only
mining shares JOHN F. Sinclair

---1933---

APR 20, 31-8

CURB SECTION TO BE ABOLISHED ---1934---

F 5, 27-7 ISC

EXCHANGE OPENS AS AMALGAMATION OF TORONTO STOCK EXCHANGE & STANDARD S
STOCK & MINING EXCHANGE ---1935---

F 6, 28-1

ENLARGED EXCHANGE COMPLETES FIRST YEAR

---1945---

MY 7, 18-6

CLOSES DOWN TO PERMIT STAFF TO JOIN IN CELEBRATION OF GERMAN SURRENDER

---1955---

MY 5, 60-6

ONTARIO CANCELS REGISTRATION OF RITTENHOUSE & CO.

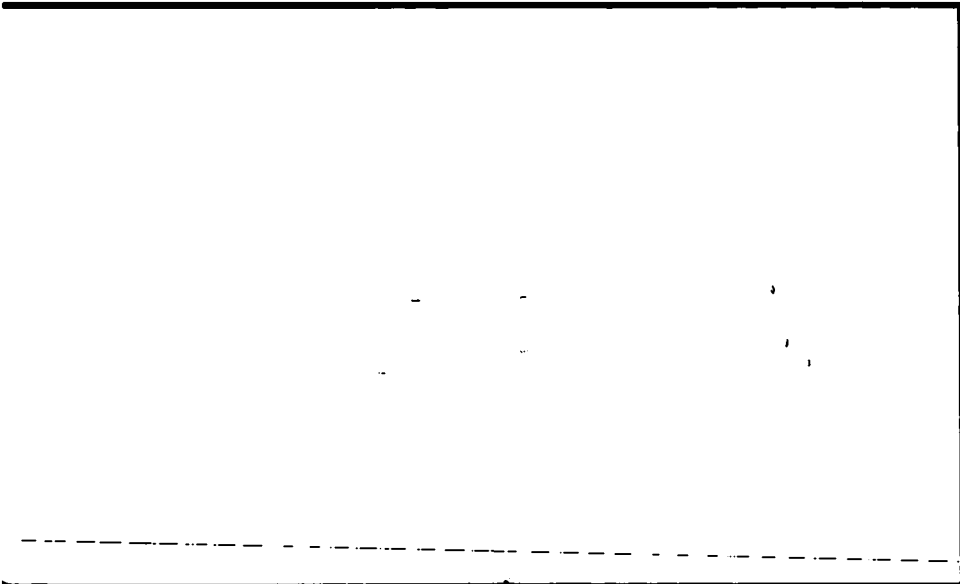
---1964---

AP 19, D-8-4

NEWS OF DISCOVERY OF HUGE ORE DEPOSITS IN TIMMINS, ONT BY TEXAS GULF
SULPHUR CO CREATED WILD TRADING OF MINING COMPANY SHARES IN TIMMINS
AREA ---1967---

MR 16, C-12-1

TORONTO-JOHN R KIMBER IS NEW PRES. OF ABOVE



STOCK : EXCHANGE : VIETNAM

1998

F 10 B-3-1

Hanoi - Plans to launch an eperimental stock exchange at the end of the year, foreign brokerages will be allowed to form joint ventures with local companies.

STOCK: EXCHANGES: YUGOSLAVIA

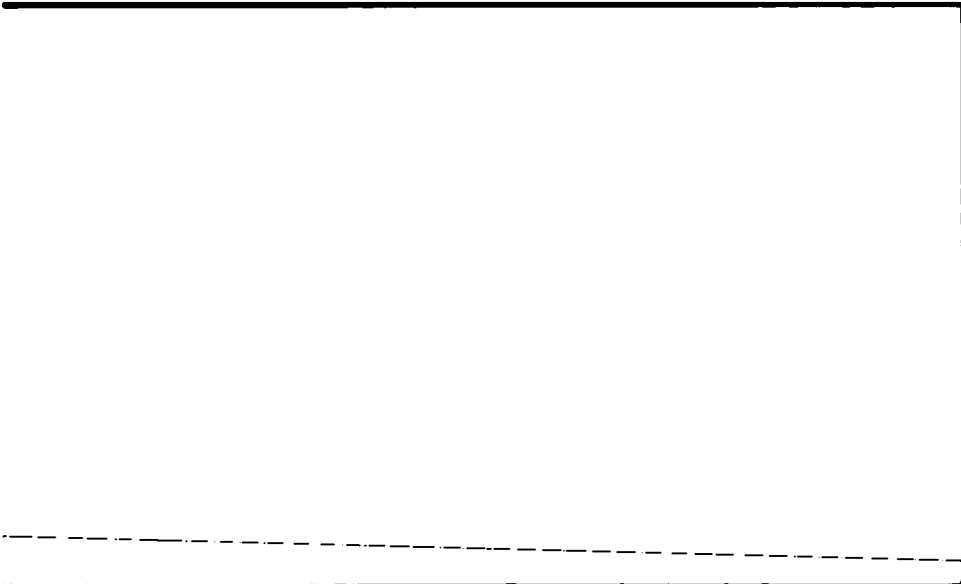
1989

D 27 E-1-1

1st stock exchange in communist Yugoslavia set up in northern city of Ljubljana -1990-

F 27 E 1-1

The Belgrade stock exchange resumed trading aft a break of almost half a century



STOCK - EXCHANGES

1933

Form 1656

The Detroit News

0 27,44-6 WSC

PROBECT OF FEDERAL LEGISLATION TO REGULATE EXCHANGES DISQUIETING TO BROKERS

D 2, 4-6

SENATE STOCK COMM EXPECTED TO RECOMMEND FEDERAL REGULATION
---1934---

JA 24,32-7 C M

ROOSEVELT EXPECTS CONGRESS TO KEEP PLEDGE THIS SESSION IN STOCK TRADING ACT

F 5,28-6 FINAL

DUNCAN U FLETCHER COMPLETING BILL TO REGULATE EXCHANGES THRU LICENSE SYSTEM

F 6,2-2 C S

SEN WM H KING SUGGESTS COMMISSION TO RULE

F 8,2-2

SEN FLETCHER SAYS H S BILL FOR REGULATION WILL ALSO PROVIDE FOR SUPERVISION OF COMMODITY EXCHANGES

F 9,37-4

TWENTIETH CENTURY FUND URGES CURB ON TRADING-JAGGER

F 9,25-4

ADMINISTRATION BILL DRAFTED TO ASK DRASTIC CURB ON SPECULATION

F 9,1-6 FINAL

MAJOR REGULATORY PROVISIONS OF FLETCHER BILL

F 10, 19-1

WALL STREET DISTURBED BY TERMS OF BILL-HUGHES

F 10, 8-6

PRINCIPAL PROVISIONS OF FLETCHER BILL

F 10, 8-7

TEXT OF ROOSEVELT MESSAGE TO CONGRESS ASKING REGULATION

F 10, 8-8

FLETCHER BILL EXPECTED TO STIR CONTROVERSY IN CONGRESS

F 11, 5-11-4

HOUSE HEARD ROOSEVELT MESSAGE DAY LATER THAN SENATE

F 12, 12-2

EDIT-ROOSEVELT HAS BRAVELY TACKLED THE PROBLEM

1934

RULES TIGHTENED; POOLS & SECIALISTS RESTRICTED
 OUT OF THEIR OWN MOUTHS-BROKERS UNKNOWING ENDORSE EXCHANGE REGULATION
 -EDIT
 FERDINAND PECORA BARES ACOCHOL POOL; SAYS STOCK SKYROCKETING SHOWS
 EXCHANGE NEEDS FEDERAL CONTROL
 ROOSEVELT IN NO HURRY TO PASS REGULATION BILL-EDIT
 CURB EXCHANGES PLAN TO FIGHT SECURITIES ACT
 PECORA TO CALL ANOTHER WALL ST. GROUP FOR HEARINGS ON STOCKS BILL
 CHAS C WRIGHT'S TESTIMONY OF MANIPULATION, STRENGTHEN'S SENATE INVESTIG-
 ATORS' CASE FOR REGULATION OF EXCHANGES
 RICHARD WHITNEY PLANS SUBSTITUTE BILL FOR FED. REGULATION OF EXCHANGES
 HEARINGS BEGUN IN FLETCHER RABURN BILL TO CONTROL EXCHANGES-HAYDEN
 EXCHANGE FIRMS ORGANIZE TO FIGHT CONTROL BILL
 HENRY I HARRINAN SAYS CONTROL BILL IS 'POTENTIAL OF REGULATION OF ALL
 BUSINESS'
 BROKERS HAVE A RESPONSIBILITY-EDIT
 DRCE A GOLDENWEISER, FED. RES. SYSTEM, URGES CONTROL
 THOS G CORCORAN, AUTHOR OF CONTROL BILL, SUGGESTS REVISION
 URGE CHANGES IN CONTROL BILL AGAINST QUARTERLY AUDIT SECTION OF MEASUR

F 14,29-4

F 14,16-1

F 15,2-8

F 15,18-2

F 16,36-8

F 18,1-3-7

F 21,10-5

F 22,6-4 C M

F 25,1-5-1

F 26,26-8

F 26,26-8

F 27,16-1

F 27,29-5

F 28,30-8 C M

MR 1,30-7 C M

Form 1656

MR 2,1-1 FINAL

PACIFIC COAST BROKERS OPOSE MARKET BILL

MR 3,19-8

DET PD OF COMMERCE OPOSES NATL SECURITIES EXCHANGE ACT OF 1934

MR 6,15-1 C S

FE JUDGE WM CLARK URGES BAN ON MARGIN TRADING

MR 7,4-4 C S

ARCHIBALD ROOSEVELT OPENS ATTACK ON BILL IN BEHALF OF MUNICIPAL BOND DEALERS

MR 7,15-5

MODIFICATION DIE IN FLETCHER-RAYBURN BILL

MR 8,30-6

MODIFIED DRAFT OF FLETCHER-RAYBURN BILL NEARLY READY FOR SENATE

MR 9,12-7

CHANGE IN BILL WOULD PERMIT CARRYING CUSTOMERS ON 40% MARGIN

MR 12, 11-5 CM

STRONG CONTROL MEASURE SOUGHT BY ROOSEVELT

MR 12, 1-7 2XTRA

SEN. BANKING COMM. MR 12, CONCLUDES HEARINGS ON FLETCHER-RAYBURN CONTROL BILL

MR 12, 29-8

STRONG CONTROL MEASURE SOUGHT BY ROOSEVELT

MR 16, 52-5

REVISED CONTROL BILL NEW BEING PREPARED

MR 20, 31-1

REVISED BILL READY; STARTED ON WAY THRU CONGRESS

MR 21, 30-1

CONTROL BILL CHANGED REVISED TO MINIMIZE ANY DANGER OF DELAYING BUS-

MR 22, 22-5

INESS RECOVERY

NATL INDUSTRIAL CONF BD ASSAILS FLETCHER-RAYBURN BILL

---1934---

MR 23,47-3

FED RES BD APPROVES FLETCHER-RAYBURN CONTROL BILL
ROOSEVELT SAYS HE FAVORS CONTROL BILL WITH TEETH
SEE GOOD CHANCE OF ENACTMENT OF STOCK CONTROLL BILL
ASSOCIATED STOCK EXCHANGES HOLD STOCK CONTROL BILL
-ION
AUTHORS BELIEVE CONTROL BILL AMPLY REVISED & SHOULD BE REPORTED

MR 23,1-5 C S

MR 24,19-4

MR 25,4-13-8

DAMAGING LEGISLAT-

MR 26,28-8

ROOSEVELT URGES QUICK PASSAGE OF CONTROL BILL; FAVORS

MR 27,2-1

TEETH IN LAW

TO REGULATE WALL STREET-EDIT

MR 28,16-1

BROKERAGE EMPLOYEES FIGHT SECURITIES CONTROL BILL

MR 29,82-1

LIST OF CHANGES EXCHANGE WANTS IN CONTROL BILL

MR 29,43-5 C M

SAM RAYBURN TO INSIST ON MARGIN PROVISION IN BILL; ST. LOUIS INDUSTRIAL-

MR 30,41-1 WSC

ISTS THREATEN TO WITHDRAW STOCK FROM EXCHANGES IF CONTROL BILL PASSES;

BROKERAGE HOUSES IN NEW ORLEANS SEND PROTESTS TO DC

MR 31,19-8

N A C C ATTACKS CONTROL BILL

AP 1,4-12-1

NACC FEARS POWERS GIVEN TRADE COMM IN BILL

AP 2,25-1

AMER BANKERS ASSN ASKS THAT CONTROL BILL BE MADE MORE SPECIFIC

AP 3,14-6 HOCKEY

HOUSE COMM REJECTS RICHARD F WHITNEY'S SUBSTITUTE STOCK CONTROL BILL

AP 4,30-1

SEN COUZENS PROMISES CARE TO SEE THAT CONTROL BILL WILL NOT INJURE

AP 4,1-2 FINAL

LEGITIMATE BUSINESS

SEN. COMM MEMBERS, SUPPORTING DRASTIC CONTROL BILL, GET REASSURANCE FROM
ROOSEVELT

Form 1656

NACC CONDENS EXCHANGE BILL AS BUREAUCRATIC MOVE AP 6, 10-6
 APPROVAL OF SAMUEL UNTERMYER'S MAKES BILL LOOK FAVORABLE AP 6, 10-5
 SENATE COMM ADOPTS AMEND TO PERMIT FED TRADE COMM TO EXCLUDE SMALL EX- AP 6, 39-1 WSC
 CHANGES FROM REGULATIO AP 7, 19-4
 CHANGES IN BILL TO QUIET WALL ST SEEN AP 8, 1-14-8 3*
 HAROLD T DONALDSON CALLS CONTROL BILL BOON TO HOME OWNERS WHO DEPEND AP 9, 27-6
 FOR FINANCING ON LOCAL SAVINGS & LENDING INSTITUTIONS
 EXPECT APPROVAL FOR CONTROL BILL SOON; FINAL COMM ACTION TONITE AP 9, 26-8 C M
 COMM VOTES CREATION OF NEW COMMISSION TO REGULATE TRADING AP 10, 1-2
 SAME AS ABOVE; COMM ALSO VOTES TO END PECORA QUIZZES, HAYDEN
 SEN. COMM ADOPTS GLASS AMEND TO ELIMINATE SPECIFIC MARGIN REQUIREMENTS AP 11, 36-1
 FROM CONTROL BILL AP 12, 47-5
 SENATE ABANDONS FIXED MARGIN IDEA AP 13, 47-6
 ACCORD ON MARGIN RULE NEAR AP 14, 19-8
 EXCHANGES LAUD US REGULATION; DENY THEY ARE SEEKING TO PREVENT LEGISLAT-
 ION OR AVOID CONTROL AP 15, 1-4-7
 REVISION COMPLETED BY HOUSE SUBCOM AP 16, 29-4
 UNTERMYER DEFENDS BILL; WARNS AGAINST CHANGES FOR CONTROL OF SPECULATION

---1934---

BILL EXPECTED TO BE DRAFTED TODAY; FEW MINOR SECTIONS TO BE LOOKED AT

AP 17, 37-6
AP 18, 33-7
AP 20, 48-2

BILL HEARING FINAL FORM
RECORD AND RECORD APPROVES FINAL DRAFT

AP 21, 19-2
AP 24, 8-1

REP SAN RAYBURN CHARGES NY EXCHANGE WITH PROPAGANDA AGAINST BILL
AP 25, 38-5 FINAL

HOUSE COM. APPROV S CONTROL BILL

AP 26, 2-4 C S
DUNCAN U. FLETCHER SUBMITTED REPORT URGING APPROVAL OF CONTROL BILL

AP 27, 51-8
AP 28, 19-2

NEW ATTACK ON BILL IN BUDGE FORM OF MINORITY REPORT UNDER DRAFT
HOUSE & SENATE SPONSORS MOVE FOR HARMONY-SEEK TO SYNCHRONIZE BILLS

AP 30, 31-2 1H
PILOTS CONFIDENT OF PASSAGE OF BILL; SEN. DRAFTING AMENDMENT S

AP 1, 34-6
AP 2, 35-6

BIPARTISAN MOVEMENT UNDER WAY IN HOUSE
OPPOSITION TO RAYBURN BILL WEAKENING; LITTLE HOPE HELD FOR AMENDMENT
AP 3, 21-2

PASSAGE OF RAYBURN BILL EXPECTED IN HURRY; OPPOSITION CALLED OFF--
HAYDEN

Form 1656

REP CARL EMAPES REPLIES TO ATTACKS ON FLETCHER-RAYBURN BILL MY 8 4,2-1
 HOUSE PASSED FLETCHER-RAYBURN CONTROL BILL MY 4,280-84 MY 5,4-1
 HOW MUCH CONGRESSMEN VOTED ON FLETCHER-RAYBURN BILL MY 6,1-6-1
 THE SMALL INVESTOR, IN PERSON OF WRITER TO CHICAGO TRIBUNE, THINKS CON-
 TROLL BILL IS AGAINST HIM-EDIT MY 7,27-4
 PREDICT SENATE PASSAGE BY MY 9 MY 7,18-1
 WILL REGULATIONS REGULATE-EDIT MY 8,34-7
 NY STOCK EXCHANGE EXPLAINS MARGIN CALCULATION UNDER CONTROL BILL; 45%
 EQUITY COULD MEAN 81% MARGIN MY 8,34-4
 SENATORS DEBATE 'TEETH' IN CONTROL BILL MY 8,1-7 C S
 AMEND TO MODIFY PENALTIES FOR VIOL OF RULES FAILS MY 9,35-8
 MOVE TO PUT EXCHANGES UNDER FED TRADE COMMISSION GAINS SUPPORTERS MY 10,43-6
 EXPECT STRINGENT MARGIN REQUIREMENTS ON CONTROL BILL MY 10,41-6 WSC
 LONDON CONSIDERS RESULT OF RECEIVING US TRADERS IN EVENT EXCHANGE
 MOVES THERE FOLLOWING PASSAGE OF CONTROL BILL-SHAEFFER MY 11,55-8
 SENATE LIMITS DEBATE IN EFFORT TO SPEED CONTROL BILL MY 11,53-1 BSM
 SENATE ADOPT AMEND TO EXEMPT RR FROM MAKING REPORTS REQUIRED BY BILL MY 12,21-1
 VOTE WRE TODAY; LAST MINUTE DRIVE FOR LIBERALIZATION STARTS

---1934---

2 AMENDMENTS BY SENATOR VANDENBERG ACCEPTED
SENATE PASSES BILL; VOTE OF 62-13; SENT TO CONF WITH HOUSE FOR ADJUSTMENT OF DIFFERENCES
BILL GOES TO CONF; RIGID REGULATION ASSURED
CONF BELIEVED AGREED ON ACT CHANGES; HOUSE COMM VOTES TO MAKE COMMODITY EXCHANGE CONTR L BILL APPLY TO COTTON ALSO
CONFEREES TAKE UP CONTROL BILL
SENATOR CARTER GLASS CALLS SELECTION OF BILL CONFEREES AN AFFRONT TO VIRGINIA
ROOSEVELT FAVORS HOUSE BILL FOR FED TRADE COMM REGULATION OF STOCK EXCHANGES
ROOSEVELT APPROVES HOUSE BILL; TRADE COMM. TO RULL EXC'S
SEN. GLASS OUT OF NEW BILL VONFRANCE-EDIT
MANIPULATION PROVISIONS AGREED; ADJOURNED TILL
BILL REVISED; COMM. GETS POWER TO DEMAND REPORTS
REPUBLICANS OPEN BATTLE FOR LARGER MODIFICATION OF BILL
HOUSE & SEN. CONFEREES THROW OUT PENDING AMENDMENTS
ROOSEVELT REPORTED TO HAVE CAPITULATED TO DEMAND OF SEN. GLASS THAT SPECIAL AGENCY SHOULD REGULATE EXCHANGES
COMPROMISE MEASURE ADOPTED
SEN. COUZENS BRINGS FACTIONS TOGETHER ON BILL CONSIDERED VICTORY FOR ROOSEVELT

MY 12, 21-1

MY 12, 1-8 FINAL

HOUSE FOR ADJUSTMENT

MY 13, 1-15-1

MY 14, 25-6

TO MAKE COMMODITY

MY 15, 34-5

MY 15, 4-1 C S

AN AFFRONT TO

MY 16, 32-8

MY 16, 30-8-BEM

MY 17, 24-2

MY 19, 18-6

MY 22, 33-8

MY 24, 46-7

MY 25, 52-1

MY 23 MY 26, 19-8

SEN. GLASS THAT

MY 27, 4-14-1

SEPARATE BODY TO RULE EXCHANGES

MY 28, 7-2

CONSIDERED VICTORY FOR

ROOSEVELT

STOCKS - EXCHANGES

1934

Form 1656

HOUSE & SENATE APPROVE BILL, JE 1	JE 2, 18-8
RICHARD WHITNEY SAYS EXCHANGE WILL CO-OPERATE	JE 2, 18-8
FED. REGULATIONS OF CHANGE BECOMES FACT JL 1	JE 3, 4-15-5
ROOSEVELT SIGNED CONTROLL BILL JE 6	JE 7, 45-1
STOCK EXCHANGE COMM. PERSONNEL TO BE NAMED IN 10 DAYS JL 16, 27-5	JE 21, 46-7
SENATE IN UIRY COMM. REVEALS COST OF PUBLIC TRADING AG 9, 34-5	
TRADING REFORM MEASURE TO BE APPLIED GRADUALLY S 15, 18-5	
JOS. P. KENNEDY PROMISES NO UPRHEAV. OCT. 1 ON ABOVE S 28, 40-4 BB&M	
12 SMALL REGISTERED EXCHANGES GET TEMPORARY EXEMPTION O 14, 4-15-8	
DR. LEONARD M. EKLUND, TO GIVE TALK ON, AT U OF DET., BEGINNING O 16X	
JOS. B. KENNEDY SUSPECTED OF BEING PHANTOM SLEUTH OF EXCHANGE COMM.	O 28, 4-14-7
WASH. D.C. NEWSPAPERS CALLS HEADS OF NY STOCK EXCHANGE "HIGH HAT"	N 5, 29-5
SEC RULES THAT EXCHANGES MUST SUSPEND IN RETIRED ISSUES N 14, 29-8	N 8, 41-5 1 HOME
RICHARD WHITNEY LAUDS CONTROL STEPS	D 2, 4-13-6
FEWER EXCHANGES ARE BEING MADE UNDER OPERATION OF SEC	D 11, 33-6
RICHARD WHITNEY LAUDS SECURITY MARKETS	
---1935---	
SEC RULE & SCARCITY OF BUSINESS DRIVING MANY OUT; EXPECT NO. TO BE	JA 6, 4-12-8 2*
FURTHER REDUCED-SHAEFFER	MR 25, 25-7
LISTS OF RANKINGS OF NATION'S EXCHANGES	

---1935---

SEC ADOPTS NEW RULES TO PREVENT MANIPULATION

AP 17, 38-8

SMALL MARTS FACE PROBLEM OF PERMANENT REGISTRATION

JL 18, 38-8 1 HOME

DR. LEONARD H. EKLAND TO START NEW COURSE IN METHODS OF STOCK MARKET OPERATION AT U OF DET.

O 6, 4-13-5

CHAS. R. GAY OUTLINES EXCHANGE DUTY

O 18, 47-8

EXCHANGE PRESIDENTS MEET IN CHI TO DISCUSS PROPOSED SEGREGATION OF BROKERS & DEALERS IN MARKETS

O 28, 25-8

---1936---

SEC TROUBLED BY SMALL EXCHANGES, FEAR RULES MAY DRIVE THEM OUT OF BUSINESS-GARDER

JA 6, 25-9

THEY GUESSED WRONG ON SUP. CT. TVA DECISION-EDIT

F 20, 22-3

SEN. BANKING COMM. APPROVES UNLISTED TRADING EXTENSION

AP 1, 36-1

CHAS. R. GAY CORRECTS A COMMON NOTION OF STOCK SPECULATORS

NY 20, 37-6

JE 16, 35-4-CM

COMMITTEE TO STUDY PROBLEMS AFFECTING STOCK EXCHANGES, EXCHANGES FILE STATEMENTS WITH SEC, 12 VOLUNTARILY

O 16, 49-8-NITE

500 MEMBER FIRMS OF NY STOCK EXCHANGE GIVE BONUSES

D 23, 33-4

---1937---

AG 22, 2-6-3

STOCK MARKET IS A MYSTERY TO AVERAGE PERSON

---1938---

F 2, 29-3

WM. O. DOUGLAS SAYS GOVT. HAS NO VOICE IN CHOICE OF EXCHANGE HEADS

MR 5, 20-4

SEC FOSTERS SMALL STOCK EXCHANGES

AP 14, 41-6

~~INTENSIFIED SUPERVISION OF ABOVE CONSIDERED~~

Form 1656

AP 15, 33-2

EXCHANGES THRU-OUT WORLD GOOSE FOR GOOD FRIDAY

---1939---

MR 14, 24-8

17 STOCK EXCHANGES TO ASK CONGRESS TO REVISE FEDERAL LAWS DEALING WITH STOCK MARKET MANIPULATION ACTIVITIES BY CORPORATE "INSIDERS" & SECURITIES REGISTRATION STATEMENTS

MR 15, 29-3

EXCHANGES PRESENT RECOMMENDATIONS FOR FEDERAL SECURITIES REGULATIONS-TO SEC

JL 15, 19-1

M. MCC. MARTIN SAYS SOMETHING MUST BE DONE SOON TO PRESERVE USEFULNESS OF STOCK MARKET

---1940---

MR 20, 35-4

BREAK IN CALCUTTA MARKET BLAMED ON STARS

JE 6, 44-5

JNO C LOESER DESCRIBES OVER-THE-COUNTER-SECURITIES MARKET

O 11, 38-5

EMMETT CONNELLY SEES ROAD TO STATE CAPITALISM IN OVER REGULATION AMER. SECURITIES

---1941---

O 10, 39-5

SMALL EXCHANGES FEAR EXTINCTION IF LAWS GOVERNING THEM IS NOT CHANGED

O 30, 50-4 BS

EMMETT F. CONNELLY ASKS AMENDMENT TO SECURITIES LAWS

N 5, 35-2

SMALL SECURITY DEALERS ASK LEGIS. FOR CHANCE AT CHOICE- ISSUES

---1942---

JA 19, 23-6

MARKETS TAKE NEW SHAPE DUE TO WARTIME ECONOMY

O 21, 28-1

JAP GOVT. TO CLOSE TOKYO & OSAKA STOCK EXCHANGES

---1943---

F 9, 24-4

SEC HAS NO INTENTION OF CLOSING SECURITIES EXCHANGES

---1944--- N 10, 35-7
 MAJOR SECURITY & COMMODITY MARKETS IN US CLOSED N 11.
 ---1945--- J 7, 13-6
 MAJOR EXCHANGES CLOSED TODAY 1ST. OF SUMMER SAT. HOLIDAYS -D 28, 28-6
 NEARLY ALL SECURITIES EXCHANGES TO REMAIN OPEN DEC 31-
 ---1946--- AG 5, 21-4
 BROOKINGS INSTITUTION ASKS MODIFIED SECURITIES RULES
 ---1947--- MY 19, 23-1
 NORTH & LATIN AMER. STOCK EXCHANGE REPS TO MEET IN US IN SEPT.
 MY 31, 15-6
 NY STOCK & CURB EXCHANGE CLOSED MY 31 BEGINNING 5-DAY WEEK
 ---1948--- JA 3, 18-1
 STORMS KEEP MAJOR EXCHANGES CLOSED JA 3
 AG 31, 1-3
 PROPOSE UNIFICATION EXCHANGES IN 7 MAJOR MIDWEST CITIES TO RIVAL NY
 BOARD S 20, 29-7
 REPS OF MIDWEST EXCHANGES TO DISCUSS MERGER
 O 2, 16-1
 EXCHANGES FROM 6 MIDWESTERN CITIES DISCUS MERGER
 O 3, 4-15-2
 SAME O 4, 30-1 BS 2
 MIDWESTERN EXCHANGES STUDY MERGER
 O 20, 48-1
 DET VOTES NO ON PROPOSED FORMATION MIDWEST STOCK EXCHANGE
 O 22, 42-8 BS 2
 MERGER CONSIDERED BY OTHER EXCHANGES
 D 22, 33-6 BS 2
 ALL EXCHANGES THRUOUT WORLD TO CLOSE D 25
 ---1949--- D 24, 8-5
 ---1950--- D 21, 48-4
 MARKETS CLOSED FOR 3-DAY HOLIDAY
 LOS ANGELES & SAN FRANCISCO EXCHANGES START MOVE TO CONSOLIDATE

NY-TO CLOSE TONIGHT UNTIL D 26

D 22, 28-1

---- 1954- -

O 18, 42-4 5*

NYC-OFFICIALS OF 21 WESTERN HEMISPHERE STOCK EXCHANGES BEGIN 3 DAY
CONF. ON STOCK LISTING PLAN

N 1, 34-4

FINANCIAL & COMMODITY MARKETS THROUT THE COUNTRY WILL BE CLOSED N 2ND,
ELECTION DAY

N 29, 33-3 5*

PHILADELPHIA BALTIMORE & PITTSBURGH STOCK EXCHANGE NEW PROGRAM TO
HAVE CLOSER RELATIONS MIGHT BRING MERGER.

-----1955-----

JA 16, B-1-6

SENATE BANKING COMM. OK'S A STUDY OF SURGING STOCK MARKET NOT ON AN
INVESTIGATION BASIS THOUGH

N 4, 52-5

ABOVE TO BE CLOSED N 8, VOTING DAY

N 8, 22-7

CLOSES TODAY DUE TO ELECTION IN NEW YORK

N 10, 54-1

STOCK EXCHANGES THRUOUT U.S. & CANADA TO OPEN N 11TH , VETS DAY

---1956---

MR 30, 22-1

CLOSED GOOD FRIDAY

JL 18, 32-7

CLARENCE A HORN NAMED MEMBER EXEC COMM MIDWEST STOCK EXCHANGE

D 6, 76-4

NY & MIDWEST EXCHANGES PROTEST PROPOSAL BY CONG. TAX WRITERS TO LEVY A
5 CT TAX ON EACH SALE OF \$100 IN STOCK

-----1956----- D 7, 56-5
 BRD OF GOVERNORS TO DECIDE IF IT'LL CLOSE CHRISTMAS EVE D 14, 26-7
 NY STOCK EXCHANGE TO BE CLOSED ALL DAY DEC 24 D 16, Roto 9
 EXCHANGES AMONG MOST IMPORTANT MARKET PLACES--TUTTLE
 -----1958----- N 3, 32-5
 NYC-MOST MAJOR U.S. STOCK EXCHANGES TO CLOSE N 4TH FOR ELECTION
 -----1959----- O 12, R-10-5
 NYC-HOW WORLD MARKETS MIRROR WORLD NEWS-DAWSON
 -----1960----- My 22, D-8-1
 DETROITER MARTIN K. SCHNURP RECALLS HIS DAYS ON WALL STREET-LUTZ
 Ag 3, C-14-5 FIN 5
 NAT'L STOCK EXCHANGE INC. FILES APPLICATION WITH SEC FOR PERMISSION TO
 OPERATE A 3RD STOCK EXCHANGE IN NEW YORK S 19, R-7-2 FIN 5
 BOSTON & MONTREAL STOCK EXCHANGE ANNOUNCES PACT MAKING TRADING FACILITIES
 ON EACH EXCHANGE AVAILABLE TO MEMBERS OF THE OTHER D 19, D-7-3 FIN 5
 3RD EXCHANGE, NATL STOCK EXCHANGE TO START MR. 7TH
 -----1962----- Mr 22, R-7-1
 TOKYO-FOREIGN INVESTMENTS IN JAP STOCK EXPECTED TO TOP \$100 MILLION
 IN FISCAL YEAR ENDING MARCH 31ST ---1963--- Ap 5, R-13-6
 FINANCIAL ANALYSTS FEDERATION APPROVES OF SEC REPORT ON NATION'S SECURITIES MARKETS
 My 12, D-8-1
 NY-MILTON H. COHEN SAID RECOMMENDATIONS ADD UP TO MAJOR PROGRAM OF REFORM AS SECURITIES & EXCHANGE COMM. STUDY OF SECURITIES MARKET

STOCKS: EXCHANGES

1963

TRADING ON ALL MAJOR STOCK, BOND & COMMODITY EXCHANGES HALTED BECAUSE OF ASSASSINATION OF PRES. KENNEDY TODAY

N 22, A-1-2 U

NY & AMERICAN STOCK EXCHANGES TO STAY CLOSED UNTIL AFTER FUNERAL OF PRES. KENNEDY

N 24, D-12-3

---1964---

JA 10, D-8-8

SEC PROPOSES NEW RULE TO PERMIT IT TO PLAY MORE DIRECT ROLE IN OPERATION OF MAJOR STOCK EXCHANGES

MY 8, C-7-1

WASH-HOUSE COMMERCE COMM. OKS STOCK MARKET REFORM BILL OF SEC-MADE 1 CHANGE SO AS NOT TO REQUIRE SECURITY FIRMS TO JOIN NAT'L ASSOC OF SECURITIES DEALERS

---1965---

AG 19, D-13-3

WASH-EMANUEL CELLER TO OPPOSE ANY PROPOSAL THAT SEEKS TO EXEMPT STOCK EXCHANGES FROM ANTITRUST LAWS

S 8, C-18-3

WASH.-SEN. HART WARNS SEC AGST PROPOSING LEGISLATION TO EXEMPT ABOVE FROM POSSIBLE ANTITRUST ACTIONS

---1967---

N 6, A-20-5

ABOVE TO BE CLOSED N. 7 BECAUSE OF LOCAL ELECTIONS IN SOME STATES

---1968---

AG 14, C-14-7

MARKETS CLOSED AUG 14, 21 & 28 FOR CLERICAL WORK

---1969---

F10, D-7-6

SNOW SHUTS STOCK MARKETS TODAY.

S 15, B-4-1

NEW YORK-COMPUTERS COULD HALT WALL ST. PAPER OPERATION ILLS-

---1969---

S 19, D-10-1

NY-NY STOCK EXCHANGE & AMER STOCK EXCHANGE DISAGREE ON PAPERWORK PROBLEM

O 6, B-2-6

NEW YORK-BACKSHOP LOGJAM UNDER FAIRLY GOOD CONTROL BUY
CAPITAL CRISIS LOOMS-

N22, A-8-4

ROBOT PLAN FOR STOCKS OK'D

N26, B2-5

BOSTON EXCHANGE AGREES TO ADMIT AS FULL MEMBER A
WHOLLY OWNED JAPANESE FIRM- NOMURA SECURITIES INTERNATIONAL
INC.

---1970---

JA 18, J-4-1

WALL STREET SMOTHERS. IN PAPERWORK. MR 20, A-4-6 RACE
STOCK MARKET TO BE FORCED TO CLOSE IF MAIL STRIKE CONTINUES

JL 9, C-24-1 RACE

~~ONXWAX~~ YUGOSLAVIA ON WAY TO BECOMING FIRST COMMUNIST COUNTRY
TO SET UP EXCHANGE--HARTMAN --*~~217~~x 1971-- Ag4, C-8-7

WALL ST. IS WARY ON REPORT URGING MARKET SHAKEUP-MILLER

-----1971-----

Ag7, A-8-6

MARTIN REPORT'S PROGRAM TO COMBINE ALL STOCK EXCHANGES INTO
A SINGLE NATIONAL MARKET FOR SINGLE NATIONAL MARKET FOR
LISTED SECURITIES IS UNDER STUDY BY OFFICERS OF ABOVE

STOCKS: EXCHANGES

1971

WASH-SEC TO HAVE PUBLIC HEARINGS ON PROPOSALS TO INTEGRATE ALL STOCK EXCHANGES INTO A SINGLE NATIONAL STOCK MARKET AND TO MAKE SWEEPING REFORMS IN THE INDUSTRY

AG26, C-15-4

018, A-18-6!D

LEADERS TO DET. MARKETS BALK AT SINGLE MARKET
PAUL KOLTON CALLS FOR LINKING SECURITY MARKETS.

019, C-6-1

031, E-7-4

DETROIT STOCK EXCHANGE - THE MOUSE" IS NOW ROARING-SM
---1972- --

MR9, C-16-5

NY--WILLIAM J. CASEY, CHAIRMAN OF SECURITIES & EXCHANGE COMMISSION, SAYS DOMESTIC SECURITIES MARKETS WILL BE TIED WITH SOME BEGINNING FORM OF A COMMON INFORMATION SYSTEM WITHIN A YEAR

--1972--

MY 18 19, C-15-6

SAYS PLANS TO PRESENT ITS PROPOSAL ON A CONSOLIDATED TRADING REPORTING SYSTEM TO SECURITIES & EXCHANGE COMMISSION

JE 15, D-14-6

NY-HAS ANTI-TRUST SUIT FILED AGST IT IN FED. CT. FOR SOUTHERN DIST. OF NY

-1972- S 27, C-8-6
D 8, C-11-6
BIG BD PROPOSES DATA-SWAP SYSTEM.
CHICAGO--BIG BD CHIEF DENOUNCES 'THIRD MARKET' DEALERS,
DEALING IN STOCKS OR BONDS LISTED ON EXCHANGES BUT NOT DO
BUSINESS IN THEIR OFFICES. -1973- F 20, A-12-3
SENATE SUBCOMM CALLS SEC TOO TIMID TO REGULATE SECURITIES
INDUSTRIES. D 12, D-8-3
SEC REIN ON CENTRAL MARKET SETUP OKD BY SENATE UNIT.
--1974-- JL 31, B-7-1
HOW WILL WALLST.CHANGE IN 1975?
---1975---JE 19, D-11-3
NEW YORK STOCK EXCHANGE OFFERS EXPANDED STOCK TAPE SER
-SMITH 017, E-1-5
MERRIL LYNCH PIERCE FENNER AND SMITH. PROPOSE STOCK
SYSTEM TO RESHAPE MARKET. -1977- AG 12, D-4-1
CENTRAL SECURITIES MARKET STUDIED
-1984- JL 20, B-3-2
~~NYSE MAY LENGTHEN HOURS~~

STOCKS : EXCHANGES

1984

Ag 19, D-1-4

DC-TRADING BECOMES GLOBAL, SUN NEVER SETS ON ACTIVITY-ROSS

---1985- J1 5,A-8-6AM

Bombay stock exchange closed in tax raid

S 28,B-1-3

Stock exchanges shut because of Hurricane Gloria

D 22,E-8-1

NEW YORK- A few dissenters dampen rosy outlook for stocks.

-1987-

Ja 12, D-1-2

Canadian regulations have kept most US investment houses out

My 3, E_3-5

CHicago-st^{ts} night trading

-1987-

0 24 A-1-5

Trade limits, shorter hours help soothe frenetic market.

0 28 A-7-5

NY-Broker's slaying puts Wall Street on guard.

0 31 A-12-c

NY-NY Stock Exchange keeps short hours next week.

D 24, B_4-2

Markets to close for holidays

-1988-

J1 8 E-2-1

NY-Nation's 2 largest financial mkts--NY & Chicago--take joint steps toward averting another stock crash.

Mr 20 D-1-1

Stock & commodity mkts will be closed for Good Friday. Federal offices will be open. Mkts will resume trading Mon.

-1989

My27 D 1-1

U.S banks, stock markets, commodity exchanges, government offices will be closed Memorial Day holiday

STOCKS: EXCHANGES

1990

Ja 24 D-3-4 1Dot

NY- Win by NFL's S.F. 49ers would boost Wall Street

F 22 E 3-2

U.S. says bank, stock security is lax Mr 22 E-1-1

U.S. stock mkt once again the largest in the world as
result of continuing slide in Japanese stock & currency
prices -1990- My 10 E 1-1

Saudi Arabia will have its 1st regular stock exchange this
month-Goode Je 19 G 1-1

3 equities markets to trade through the night using a compu-
ter network.Chicago Bd OptionsExchange,Amer Stock Exchange,
Cincinnati Stock Exchange S 4 E-1-1

Stock exchanges, banks & commodity exchanges closed for
Labor Day holiday.

-1991-

Jan 3 E 2-1

Shows correct headings for year-end mutual fund and NY Stock Exch. listings which had wrong headings in 1/1/91 edition.

-1993-

Je 23 A 14-2

Prague Stock Exchange's first day of trading did \$6 million.

1998

Mr 12 B 3-5

NY-Nasdaq Stock Market and American Stock Exchange talk about merging

My 27 B 3-1

NY-Computers could go berserk when Dow average hits 10,000 (adding a 5th digit)

-1999- Ap 23 B-3-1

Philadelphia- Merger between Nadaq, ASE and Philadelphia Stock Exchange called off. 2000

Ja 30 E 2-4

DC-SEC orders stock exchanges to begin switch to decimals in stock quotes

STOCKS

GERMANY

1935

Form 1656

JE 6,40-8-CM

STOCKS SHOW UPGRADE IN RECOVERY MOVEMENT

---1941---

MR 19, 323

HAS BEEN DROP MORE THAN 10 POINTS IN MAJORITY LEADING GERMAN STOCKS
SINCE MAR. 13

---1942---

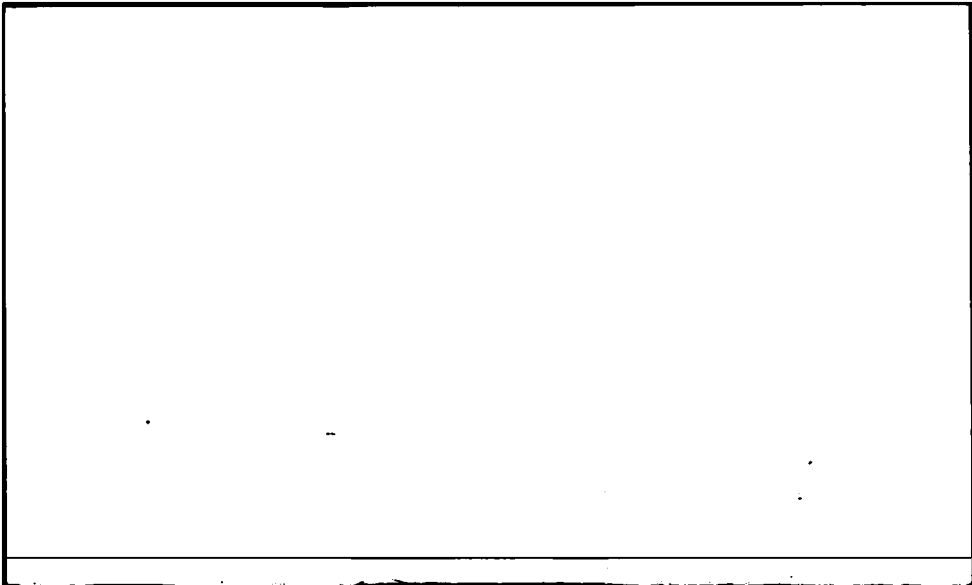
JE 16,24-5

STOCK SALES BARRED; GOVT ALONE CAN BUY SECURITIES

-----1955-----

JE 28, 38-8

BERLIN-REVIVED INTEREST IN TRADING OF EAST GERMAN STOCKS



Form 1656

JOHN D BIGGERS GIVES 150 SHARES LIBBY-OWENS-FORD GLASS AWAY: OTHERS
 JA 24, 28-6
 F 11, 29-4

SEC REPORTS GIFTS OF STOCKS TOTALING MILLIONS

SAMUEL H. KRESS GIVES AWAY ABOUT \$840,000 WORTH OF S.H. KRESS & CO. STOCK
 AP 11, 33-4

NUMBER OF LARGE GIFTS REPORTED BY SEC

LIST OF GIFTS FOR MAY

SEC REPORTS NUMBER OF LARGE GIFTS IN MAY

LIST OF IMPORTANT STOCK GIFTS DURING SEPT.

---1942---

SEC REPORTS NUMBER OF STOCK GIFTS DURING JAN.

SEC REPORTS GIFTS DECLINED DURING JAN.

-----1954-----

NYC-NEW YORK STOCK EXCHANGE IS TRYING TO MAKE IT EASY FOR YOU TO GIVE
 SECURITIES TO YOUR CHILDREN; AS EASIER LAW GOVING GIFTS TO CHILDREN

-----1956-----

MERCHANTS TOLC GIVING AWAY FREE SECURITIES WITH SALES IS VIOLATION OF
 BLUE SKY LAW UNLESS A LICENSE AS SECURITIES DEALERS IS OBTAINED

F 14, 26-4

BILL PERMITTING GIFTS OF SECURITIES TO MINORS, WITHOUT PRO. CT. GUARDIANSHIP PROCEEDINGS IS SENT TO SENATE

JA 24, 28-6

F 11, 29-4

AP 11, 33-4

MY 9, 32-8

JL 3, 18-8

JL 21, 22-7

N 4, 29-8

F 20, 31-7

MR 11, 27-3

S 29, 32-5

F 10, 43-4

---1956---

NO 29, 48-2
MR 29, 48-2

BILL SIGNED TO ALLOW GIFTS OF SECURITIES TO MINORS-

ATHENS, A.-LOUIS WOLFSON GIVES 1,000 GRADS AT UNIV. OF GA. ONE SHARE
OF STOCK IN MERRITT-CHAPMAN & SCOTT CORP. WORTH \$19

JE 4, 32-6

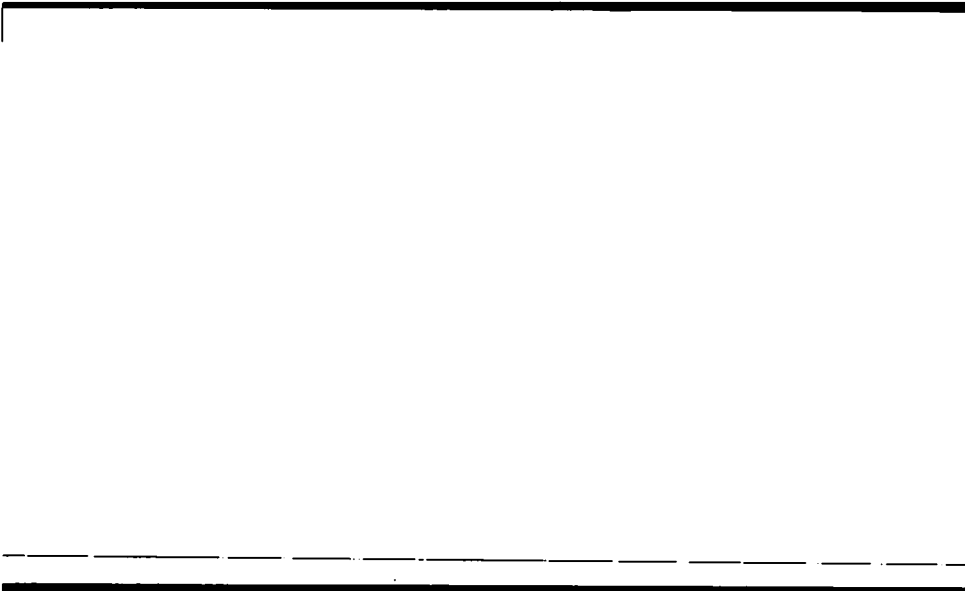
---1957---

JL 26, 32-6

25 STATES HAVE UNIFORM GIFTS TO MINORS ACT SAYS KEITH FUNSTON

MR 27, 62-4

CHANGE GLOSSARY CLEARS ARGON OF STOCK MARKET



STOCKS - GREAT BRITAIN

1933

Form 1656

The Detroit News

MT 26,4-10-4 2"

PUTS END TO 'HAWKING' OF STOCKS-HERTELL

---1936---

JA 23,34-2-WSC

NEW CAPITAL ISSUES SHOWED INCREASE IN 1935

F 3,30-3 WSC

LONDON STOCKS START 1936 WITH TENDENCY TO BOOM

---1945---

D 4, 19-2 FINAL

US BUYERS BRITISH SECURITY MAY GET FINGERS BURNED

---1959---

O 9, A-1-1

LONDON-VICTORY OF CONSERVATIVE PARTY SENDS BRITISH STOCKS SOARING

---1961---

D 13, E-6-4

HOW PENNY STOCK JACKPOT WINNERS SPENDS THOUSANDS IN WINNINGS

Ja 9 H-1-1

Securities industry, coming off one of its worst slides,
could post '90 loss but remains stronger than earnings
indicate -1995-

F 12 D 10-1

Wall Street is the big winner in arbitration cases brought
aginst them.

Form 1656
 SEN. W. H. KING DEMANDS PROBE OF MANIPULATION.
 SEN. NYE, N. DAKOTA, ASKED GENERAL PROBE.
 DELAY IN INQUIRY LIKELY DUE TO SERRIFF BILL.

-----1932-----

HAROLD ARONS TELLS HOUSE COMM. 20 MEN DOMINATE SHORT

SENATORS SKEPTICAL ON NEW SHORT SALES REGULATION.

SENATE COMM. TO CALL BEAR RAIDERS.

SENATE JOINS HOUSE IN BEAR RAID INVESTIGATION.

SENATE TO PROBE SHORT SELLING.

SENATE TO MAKE GENERAL PROBE OF MARKETS.

REP. SABATH SAYS BEARS ARE FORMER BULLS.

VICTIMS OF CRASH MAY LEARN FACTS.

INVESTIGATION DELAYED TO END OF MONTH.

SENATE QUIZ STARTS AP 3.

RICHARD WHITNEY ASKED FOR LIST OF SHORT SALES.

CHARGE FOREIGN RAID TO FORCE US OFF GOLD STANDARD.

SENATE ACTS TO SAVE MKT. FR. BLACK SATURDAY BEAR RAID.

RICHARD WHITNEY GIVES SHORT SALES DATA.

AP 1-6 FIGURES SHOW SHORT DECLINE.

SAMUEL UNTERMEYER MAY CONDUCT PROBE.

MR 29, 2-2

O 31, 1-1

N 1, 62-4

F 15, 1-2

SELLING IN NY.

F 24, 4-8

F 26, 1-5

F 27, 4-2

F 28, 5-16-7

MR 4, 47-6

MR 5, 17-1

MR 6, 1-3-2

MR 19, 4-3

AP 1, 13-1

AP 9, 2-4

AP 9, 1-3

AP 10, 1-1-5

AP 11, 1-2

AP 12, 1-2

AP 13, 4-5

AP

---1932---

RICHARD WHITNEY SAYS TRANSFER STOCK MIGHT CLOSE MKT.	AP 15, 4-5
GETTING DATA ON SHORT SELLERS.	AP 17, 4-17-8
NATIONALLY KNOWN MEN AMONG SHORT SELLERS.	AP 18, 1-8
NUMBERS USED TO COVER NAMES OF SHORTS.	AP 19, 37-1
20 SHORT SELLERS SUMMONED BY SENATE.	AP 20, 1-5
PERCY ROCKEFELLER TO TESTIFY.	AP 21, 1-4
SENATE MAKES BEAR LIST PUBLIC.	AP 21, 40-8 MAIL
MATTHEW C. BRUSH ON STAND AP 22.	AP 22, 165 6
BERNARD E. SMITH, THOS. E. BRAGG TO TESTIFY.	AP 23, 1-1
THOS E. BRAGG, BERNARD E. SMITH QUESTIONED.	AP 24, 1-1-1
JNO. J. RASKOB FACES GRILLING.	AP 25, 4-2
REP. LAGUARDIA CHARGES HIRE OF EXPERTS TO BALLYHOO CERTAIN SHARES.	AP 26, 1-3
PRES. HOOVER'S SPOKESMAN OMITTED FR. COUNCIL.	AP 27, 1-5
PETER NORBECK ACCUSES BANKS OF BEING BEARS.	AP 28, 1-4
SEN. BLAINE WANTS PROBE OF EVERYTHING IN STOCKS.	AP 29, 2-6
SENATE AFTER OPERATORS MANIPULATING MARKET.	MY 1, 1-5-2
WM. A. GRAY BARES PROFITS OF RADIO POOL.	MY 19, 1-8
H. M. WARNER CALLED TO TESTIFY.	MY 20, 1-3
HOW \$5,000,000 POOL PROFIT SPLIT.	MY 21, 8-1
WM. A. GRAY BARES WARNER COUP.	MY 21, 1-1
H. M. WARNER TELLS OF STOCK DEALS.	MY 22, 1-3-4
INVESTIGATORS RETURN TO BOOKS IN WALL ST.	MY 23, 2 11-4
RASKOB CALLED TO TESTIFY.	JE 3, 1-4

STOCKS: INQUIRY

1932

Form 1656

The Detroit News

DEALS OF COPPER POOL TRACED.

JE 4, 4-8

INQUIRY BARES DEALS IN COPPER POOL.

JE 5, 1;7-4

SENATE COMM. RUSHES PROBE.

JE 6, 8-4

WM. FOX ACCUSED OF WRECKING FILM THEATER STOCK BY DEAL.

JE 16, 1-1

RASKOB, CHRYSLER NAMED IN FOX THEATER POOL.

JE 17, 38-5

DEALINGS OF FOX FILM POOL TOLD.

JE 18, 1-4

FOX CHARGED WITH HIDING ~~RKXKX~~ DEALINGS.

JE 19, 4-12-1

FOX STILL HELD UNDER SUBPOENA DESPITE PLEA.

JE 20, 21-6

PROBE CONTINUED TO MR 4.

JE 21, 29-4

VICTIMS OF MKT. TELL THEIR STORY.

JE 23, 1-7

ED-WHAT ABOUT RADIO POOL INQUIRY.

JL 16, 6-1

SEN. COUZENS QUILTS QUIZ FOR SUMMER.

JL 20, 5-1

RICHARD WHITNEY SAYS SENATE TESTIMONY INCOMPLETE.

AG 25, 12-3

SEN. SMITH BROOKHART PROBING ACTIVITIES.

S 18, 1-1-6

SENATE COMM. ORDERS QUIZ RESUMED.

D 13, 29-1

INQUIRY DRAGS; COMM. DIVIDED ON CONTINUING.

D 22, 2-7 MAIL

----1933----

CONSIDER PROPOSAL FOR AUDIT OF INVESTMENT ISSUES.

JA 13, 2-1

NATL CITY BANK, NYC. OPENS BOOKS TO

F 9, 29-8

CH S E MITCHELL, HEAD OF NATL CITY BANK, TELLS OF HUGE BONUSES

F 21, 14-1 SF

---1933---

DISPUTE OVER MITCHELL TESTIMONY HALTS INQUIRY

NATL CITY BANK OFFICERS DENY LAW VIOLATION

NATL CITY'S ADVANCES OF MILLIONS TO FINANCE OPERATIONS IN OWN STOCKS
ARE REVEALED

DEPT OF JUSTICE CONSIDERS PROSECUTION OF BANKERS, RESULT OF TESTIMONY

RECORDS OF INSULL COLLAPSE & NATL CITY STOCK DEAL GIVEN JUSTICE

AGENTS

HUGH B BAKER TELLS OF BOND DEPRECIATIONS

SEN. COUZENS SEEKS QUIZ OF CHASE SECURITIES CORP

H C SYLVESTER, JR EXPLAINS BOND 'EXPENSE' ITEM

SEN. BROOKHART CHARGES WHITNEY BROKERAGE FIRM WITH ILLEGAL OPERATIONS

TOLD OF \$10,000 LOAN TO NY PORT AUTHORITY MGR

JOS. P. RIPLEY TELLS OF GAINS MADE BY 'INSIDERS'

ROOSEVELT URGES CONTINUANCE OF QUIZZES

ROOSEVELT TELLS JUSTICE DEPT TO CHECK EVIDENCE TAKEN

CHAS. MITCHELL'S ACCOUNTS SUBPENAED FOR US

QUIZ BROADENED TO INCLUDE BANKING & INVESTMENT BROKERS; MORGAN FIRM

UNDER UNQUIRY-HAYDEN

SENATE VOTES QUIZ OF J P MORGAN CO & OTHERS

SENATE TO SUBPENA MORGAN CO

J P MORGAN TESTIFIES BEFORE SENATE QUIZ; DEFENDS PRIVATE BANKERS

F 22,4-2

F 23,6-4

F 24,2-4

F 25,1-2

F 26,1-1-4

F 27,2-4

F 28,23-6

MR 1,4-7

MR 2,3-1

MR 1,15-5 SF

MR 3,19-1

MR 13,1-6 SF

MR 14,2-8

MR 19,1-5-2

MR 31,1-3

AP 5,26-5

NY 1,1-4 COMMENTS

NY 23,1-7

1933

STOCKS - INQUIRY

Form 1656

The Detroit News

SENATE COMM PLANS FURTHER QUIZ INTO OPERATIONS OF PRIVATE BANKERS

JE 11,5-14-8

SENATE COMM PLANS THOROUGH QUIZ OF NY EXCHANGE

JE 19,1-3

'S WATCHES MARKET FOR ANY ILLIGITIMATE BULL OR BEAR OPERATIONS

JL 7,4-8

SEN FREDERICK STEIWER DEMANDS CRASH QUIZ

JL 25,1-3

DC-PLANS MADE TO RESUME INQUIRY WITH CLARENCE DILLON 1ST WITNESS.

O 2,8-9

CLARENCE DILLON, HEAD OF DILLON, READ & CO CALLED IN QUIZ

O 3,1-3

SEN GLASS TELLS SEN ALVA ADAMS STOCK BUYING ALL A GAMBLE

O 5,13-3

ALL U.S. EXCHANGES BEING QUIZZED BY SENATE.

O 11,6-4 1H

ALBERT H WIGGIN TELLS OF CHASE NATL BANK DEALS

O 17,1-3

WINTHROP W ALDRICH ADMITS SEEKING TO HALT INVESTIGATION OF CUBAN LOANS

O 19,1-4 MAIL

9 BROKERS CALLED TO TESTIFY

O 20,14-5

WHISKY STOCK POOL DISCLOSED IN QUIZ

O 20,14-6

BROKERS ASKED TO TESTIFY

O 21,2-3

FIND CHASE NATL BANK'S LOANS TO CUBA USED FOR GRAFT

O 26,20-4 WSC

—1933—

O 27, 25-2

ALBERT H. WIGGIN SAYS CHASE NATL DROPT \$48 MILLION IN MARKET PANIC

N 3, 26-3

'INSIDERS' POOL, OF H F SINCLAIR, ARTHUR CUTTEN & ALBERT H. WIGGIN UNDER INQUIRY

N 9, 18-4 CS

DC-FINDS ALBERT H. WIGGIN, HARRY F. SINCLAIR, ARTHUR W. CUTTEN IN OIL

N 10, 19-1

SYNDICATE MADE \$12,000,000 WITHOUT INVESTING CENT.

WIGGIN RECALLED TO TELL OF GENERAL THEATERS FINANCING

N 11, 16-5 1H

ALL STOCK EXCHANGES TO BE INVESTIGATED

N 14, 1-5

FINANCING OF DETROIT-WINDSOR TUNNEL NEXT IN LINE FOR QUIZ

N 16, 1-7

SENATE SENDS QUESTIONNAIRE TO BANKS DEMANDING DATA IN LOANS TO STOCK MARKET OPERATORS

N 17, 1-7 C M

NATL BANK OF DETROIT AMONG INSTITUTIONS ASKED ABOUT LOANS FOR STOCK MARKET USE

N 21, 2-1

MURRAY DODGE TELLS OF PROFITS IN STOCK DEAL

D 3, 2-13-7 2*

ROOSEVELT ASKS QUIZ FOR DEFINITE RECOMMENDATIONS TO CONGRESS FOR REFORM OF MARKET

D 26, 1-4

SENATE TO INVESTIGATE MARKET BEHAVIOR OF VARIOUS ALCOHOL STOCKS

F 12, 2 4-4

1934

F 16, 6-6

F 17, 3-5

ROOSEVELT WANTS PROBE KEPT ALIVE

PECORA LISTS ALCOHOL STOCK PROFITS

TRAVELS OF THOS E BRAGG & BENNIE SMITH HALT STOCK INQUIRY

Form 1656

WALTER P CHRYSLER LINKED IN POOL FORMED IN ANTICIPATION OF A PROHIBITION REPEAL BOOM

F 21,26-7 C

GEORGE HARRISS CALLED TO TELL OF SO CALLED 'PROPAGANDA' CAMPAIGN

F 22,2-7

TESTIMONY PRESENTED TO QUIZ THAT POOL WAS FORMED IN 1933 AT REQUEST OF NY STOCK EXCHANGE TO CREATE 'FAIR MARKET' IN STOCK OF KELSEY-HAYDEN WHEEL CO

F 22,1-4 F

QUIZ REVEALS 20 LARGE FIRMS PUT \$20 BILLION INTO MARKET IN 1929

F 23,1-5 C M

SOME DETROIT FIRMS REFUSE TO SUBMIT SALARY LISTS; LISTS FOR OTHER FIRMS THROUGHOUT COUNTRY-HAYDEN

F 26,1-1 F

SENATORS CONSIDER CITING CERTAIN FIRMS FOR CONTEMPT FOR REFUSAL TO SUBMIT SALARY LISTS

F 27,104

CITIES SERVICE CITED FOR MANIPULATION

AP 6,39-4 1H

SENATE VOTES TO END PECORA INVESTIGATIONS-HAYDEN

AP 10,1-2

INCOME OF NY STOCK EXCHANGE MEMBERS FOR LAST 6 YRS. BARRED

NY 1,1-4

RICHARD WHITNEY CHARGES PECORA'S EVIDENCE OF HUGE PROFITS IS PURE

NY 2,1-1

'PROPAGANDA'

QUIZ TO BE EXTENDED FOR YEAR

JE 5,34-6

REPORT OF COMM ATTACKS FLOATING OF FOREIGN SECURITIES IN US

AG 6,4-1

-----1934-----

CAUSES OF BANK CRASHES IN DET. TRACED

S 2, 1-1-7

EVILS OF BANKING WEEDED OUT; INVESTIGATION OF WALL ST.

S 2, 1-1-8

MAIN POINTS OF CONGRESS INQUIRY GIVEN

S 2, 1-3-3-3

COMM. CHARGES INTERNAL REVENUE AGENTS WITH LAXITY OF ENFORCEMENT

S 10, 1-1

PECORA ASSAILS US BANKS AS UNWORTHY & INCAPABLE

S 17, 4-2

NEXT CONGRE S EXPECTED TO CLAMP STRICT FED. REGULATION ON HOLDING

O 11, 38-4

PANIES & INVESTMENT TRUSTS

-----1944-----

Ag 23, 22-6

NY STOCK EXCHANGE PROBING RISE IN MOTOR SHARES

-----1955-----

F 22, 32-1

SEN. CHAVEZ DEMANDS INQUIRY OF URANIUM STOCK SALES

My 1, 1-1-6

SPECIAL SUBCOMMITTEE OF SENATE BANKING COM. TO STUDY

VOTES IN MONTGOMERY WARD CO. STOCK FIGHT

N 21, 23-4 5

SENATE EYES STOCK BUYING B BIG FUNDS

-----1956-----

O 7, 8-13-4

AGRI. DEPT. ISSUES ORDERS OF INQUIRY AGST 5 INDIANAPOLIS STOCKYARDS

ERS UNDER PACKERS AND STOCKYARDS ACT FOR ALLEGED HOG SALES AT INCO

WEIGHTS

-----1961-----

JE 2, C-6-8

HEADS OF NATION'S TWO LARGEST STOCK EXCHANGES SAY THEY HAVE NO OR

IONS TO A GOV'T STUDY OF IF THEIR RULES ARE ADEQUATE TO PROTECT IN

ORS

Je 29, C-12-5

KEITH FUNSTON, PRES. NY STOCK EXCHANGE, ASKS MORE PROTECTION FOR INVE

ORS

- AMER. STOCK EXCHANGE OFFICIALS TO BE QUIZZED JL 10 JE 30, R-10-8
- WM. CLAFLIN JR. ENDORSES PROPOSED GOV'T INQUIRY INTO STOCK MARKET POLICING JL 2, A-21-4 2*
- CHR. OF NAT'L ASSN OF SECURITY DEALERS, WM. CLAFLIN JR. ENDORSES A PROPOSED GOV'T INQUIRY INTO STOCKS MARKET POLICING JL 6, B-3-8
- EDW. T. MCCORMICK, PRES. OF AMER. STOCK EXCHANGE BACKS QUIZ OF MARKETS JL 10, R-8-5
- REP. DINGELL CHALLENGES EDWARD T. MCCORMICK ON AMERICAN STOCK EXCHANGE LISTING OF CANADIAN JAVELIN LTD. JL 11, C-7-3
- HOUSE COMMERCE SUBCOMM. OK'S LEG. TO PERMIT SEC. & EXCHANGE COMMSN. TO MAKE A \$750,000 INQUIRY INTO NATION'S STOCK MARKETS JL 12, B-11-4
- HOUSE COMMERCE COMM. OK'S RESOLUTION CALLING FOR A PROBE OF STOCK MARKET OPERATIONS AG 8, B-11-3 FIN 5
- MILTON H. COHEN TO DIRECT FED QUIZ INTO STOCK MARKET N 28, C-6-5
- HOLLYWOOD BEACH, FLA. - WM. O. CARY LISTS 7 AREAS UNDER SEC STOCK QUIZ O 29, A-6-1
- SEC SCANS OFF-MARKET STOCK SALES MR 16, A-11-1 FIN 5
- 1962----

—1962—

AP 5, C-18-5

SEC SEEKS MORE DETAILS ON TRADE TRANSACTIONS

MY 14, R-7-7

WASHINGTON—SECURITIES AND EXCHANGE COMMISSION EXTENDING HEARINGS ON STOCK SALES PRACTICES

MY 16, C-16-1

DONALD T. REGAN, V-PRES. & SEC. OF MERRILL LYNCH, PIERCE, FENNER & SMITH, TO TESTIFY AT SEC HEARING INTO TRAINING AND SUPERVISION OF SALESMEN

MY 17, D-8-5

SEC TO REVEAL STORY BEHIND VENDING MACHINE STOCK —PRICE DROPPED FROM \$18 TO 50 CENTS IN SEVEN MONTHS

MY 18, B-10-5

JOHN D. DUNBAR, SHEARSON, HAMMILL & CO. & RICHARD J. TEWELES, L.A. FIRM TOLD SEC THEY DIDN'T KNOW OF RISE & FALL OF \$1USAMCO STOCK

JL 23, B-6-1

SEC BROADENS GENERAL PROBE OF STOCK MARKET WITH ISSUANCE OF QUESTIONNAIRE COVERING INSTITUTIONAL INVESTORS —1963—

MR 31, A-3-1

SEC TO FILE PART OF ITS REPORT WITH CONGRESS AP 3 ON BEHAVIOR OF THE STOCK MARKET

AP 3, A-1-8 LF

SEC TELLS OF "GRAVE ABUSES" THAT HAVE POCKMARKED SALE OF STOCK TO UNSPECIFIED INVESTORS

AP 4, C-14-1

'ABUSES' IN STOCK SALES HIT AS US ASKS TIGHTER CURBS

AP 4, C-18-8

WALL STREETERS CAUTIOUS IN INITIAL APPRAISAL OF SEC REPORT CALLING FOR 6 PIECES OF LEGISLATION TO PROTECT PUBLIC

AP 5, B-12-8

SEC CHIEF WM. L. GARY INVITES 3 LEADERS OF SECURITY BUSINESS TO ORG. COMMITTEE TO REVIEW SEC PROPOSALS

1963

STOCK - INQUIRY

BROKERS HAIL SEC REPORT URGING NEW STOCK CURBS-TUTTLE AP 7, A-21-1
 SEC BARES 'PINK SHEET' MANEUVER MY 2, C-18-5
 SEC READY TO ASK TIGHT STOCK CURBS JE 4, B-12-8
 SWEEPING CHANGES IN SECURITIES LAWS ASKED BY SEC JE 4, B-13-4 LF
 NY-2ND STANZA OF SEC'S REPORT ON ITS INVESTIGATION OF SECURITIES M JS-GOULD JL 1, D-6-4
 SEC MARKET REPORT DUE IN CONGRESS JULY 17 JL 16, B-12-5
 SEC BEING SECRETIVE ON ITS REPORT OF STOCK MARKET JL 17, A-1-7 LF
 WASH-SEC IN REPORT URGED SWEEPING CHANGES IN OPERATIONS OF AMERICAN STOCK MARKETS JL 17, A-1-8 LF
 SEC TURNED REPORT INTO CONGRESS JULY 17 AFTER 17 MONTH STUDY-DET BROKER JL 18, A-1-5
 REACTED WITH STUNNED DISMAY-SMITH JL 18, C-14-5
 DET STOCK EXCHANGE UNSCRATCHED FROM JULY 17 BLISTERING REPORT ON STOCK MARKETS BY SEC JL 21, B-8-3
 NY-CONTENTS OF SEC REPORT ON STOCK MARKET TRADING PROCEDURES SHOCK VETERAN WALL STREETERS JL 23, B-10-8 LF
 WASH-WM. L. CARY, SEC CHAIRMAN BACKS PLAN FOR SWEEPING OVERHAUL OF STOCK EXCHANGES

---1963---

AG 9, B-7-6

3RD SEC REPORT URGES TIGHTER MUTUAL CURBS

AG 16, B-7-4

SEC TO MAKE CLOSE ANALYSIS OF 6,400 PAGE REPORT ON STOCK TRADING BY
E MAKING ANY MOVE ON RECOMMENDATIONS FOR CHANGES-COOPER

U 25, C-7-6

HEARINGS ON LEGISLATION TO "REFORM" STOCK MARKET SCHEDULED TO BEGIN
NOV. 19

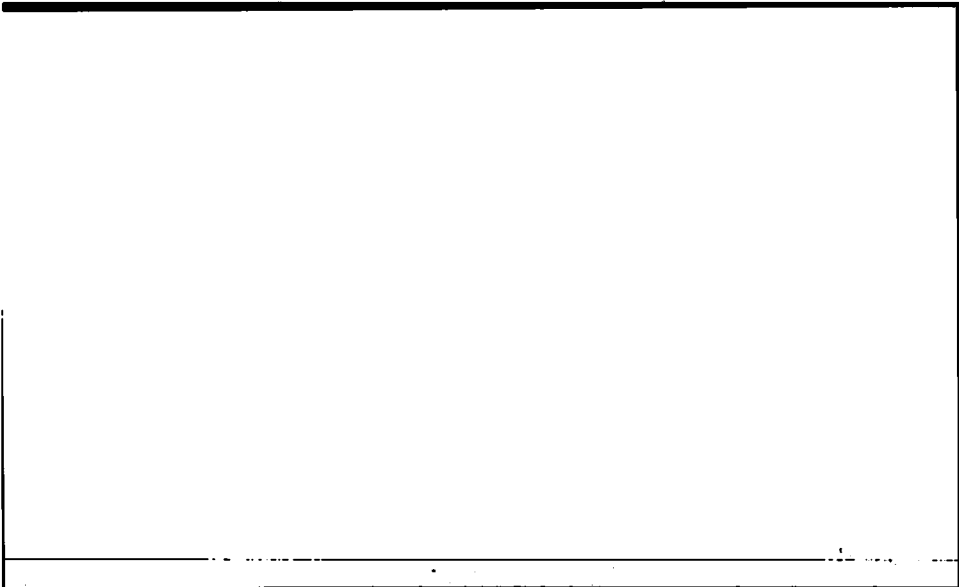
N 13, C-18-8

NY-SECURITIES & EXCHANGE COMM.COMPLETED SPECIAL STUDY OF SECURITIES
MARKETS, GENERALLY CHARACTERIZED AS MILD, ACTUALLY IS FAR FROM MILK-
ERBERG

STOCKS: ISRAELI

1962

JA 29, 7-7-8 FIN 5
TEL AVIV, ISRAEL-JAS. MINOT, LEADING U.S. BROKER, ANNOUNCES PLANS TO SELL
UP TO \$100,000,000 WORTH OF STOCK IN ISRAELI FIRMS TO AMERICANS



STOCKS: ITALY

1933

Form 1656

The Detroit News

N 9, 10-3

**MUSSOLINI PROPOSES GOVT. GUARANTEE & PARTICIPATE IN STOCK ISSUES OF
PRIVATE COMPANIES & GUARANTEE PRINCIPAL AND DIVIDENDS.**

~~1935~~

S 20, 1-6

STOCKS DROP, DEPRESSED BY THREAT OF WAR



Form 1656

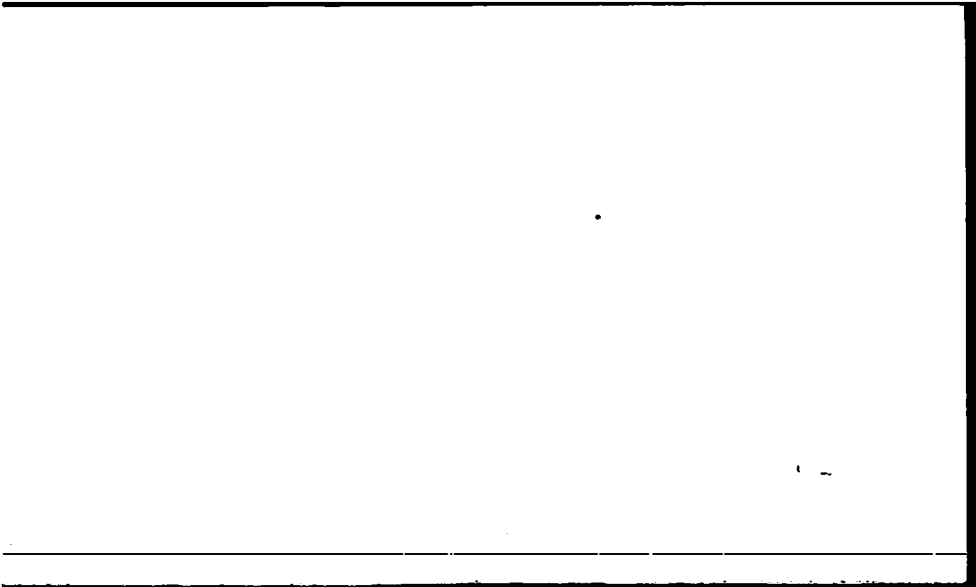
The Detroit News

MY 4,8-5

HOUSE PASSES BILL TO ALLOW RR, BRIDGE & TUNNEL COMPANIES TO REFUND DEB
THRU ISSUANCE OF NO-PAR STOCK --1939--

MY 16,17-2

HOUSE PASSES BILL WHICH PERMITS REVOCATION OF A COMPANY'S RIGHT TO
SELL STOCK IF THE MICH. CORP. & SECURITIES COMM 'SN FINDS EVIDENCE OF
FRAUD, MISREPRESENTATION OR A POOR FINANCIAL CONDITION



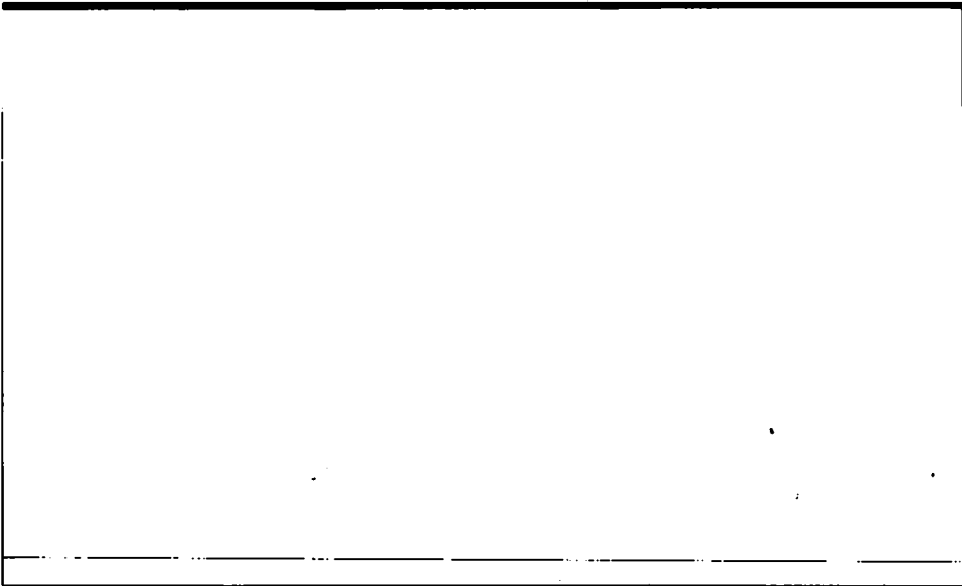
STOCK : LAWS : REVISION

1940

Form 1656.

JE 20, 44-7

JEROME FRANK ASKS CONG. TO POSTPONE SECURITIES LAWS CHANGE UNTIL JAN.
1941



STOCKS: JAPAN

1935

Form 1656

S 19,39-1

STOCK BOOM ON, BASED ON WAR SCARE

----1957----

0 2, 41-1

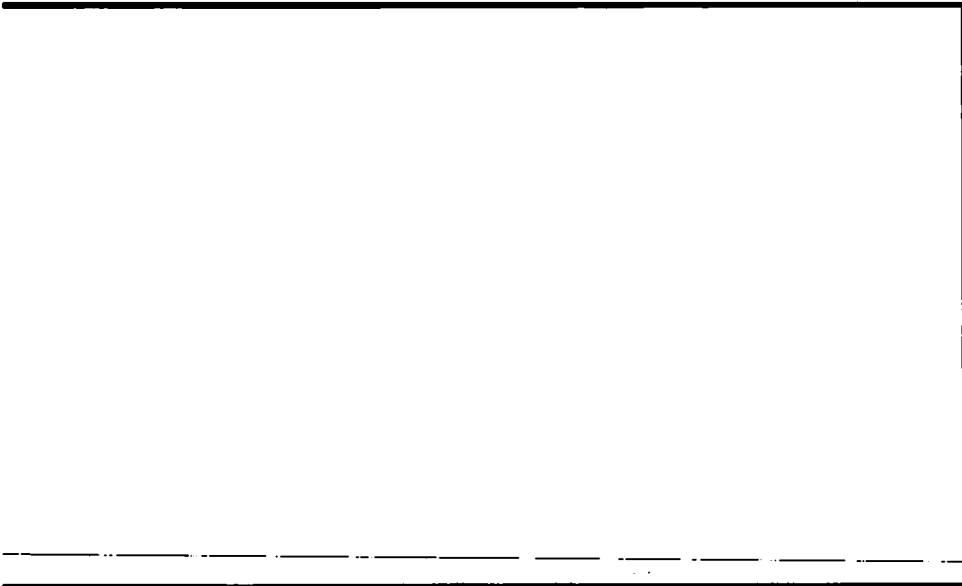
TOKYO--MANY HOUSEWIVES INVEST IN JAPANESE STOCKS

SEC GRANTS DELISTING OF 2 FIRMS' STOCK
-1991-

S 6, 46-5

F 22 E 4-1

How to read stock listings



STOCKS : MANIPULATION

1937

Form 1656

AP 1, 40-6

CARROLL V GERAN TELLS SEC THAT STOCK PURCHASES FOR MANIPULATION ARE *
THING OF PAST

AP 24.19-1

THURMAN ARNOLD SAYS MANIPULATION BY WHITE, WELD & CO., NY BROKERAGE FIRM, WAS UNINTENTIONAL

JE 18, 43-6

SEC DROPS CHARGES VS ABBOTT, PROCTOR & PAINE, & BAKER, WEEKS & HARDEN

AG 2, 1-3

MICHAEL J. MEEHAN CHARGED WITH MANIPULATION, EXPELLED FR 3 EXCHANGES

N 12, 43-4

CHAS. C. WRIGHT CHARGED WITH VIOLATING ANTI-MANIPULATIVE PROVISIONS OF
SEC

--1938--

F 8, 26-7

SEC WINS INJUNCTION IN MANIPULATION CASE VS R. J. KOEPPÉ & CO.

MR 1, 25-4

CHAS. C. WRIGHT, HERBERT KING & NORMAN STERN SUSPENDED FROM NATL. SECUR-
TIES EXCHANGES FOR 1 YR FOR ALLEGED MANIPULATION OF STOCKS

--1939--

MR 27, 24-5

JUNIUS A. RICHARDS SUSPENDED FR 7 STOCK EXCHANGES FOR 10 DAYS FOR MAN-
IPULATION OF STOCK

--1940--

A 18, 24-5 WSC

NYC; -JOS. E. H. PELLETIER & NORMAN W. MINUSE GUILTY OF MANIPULATING STOCK

O 17, 53-8

JOS. L. MERRILL CHARGED WITH MANIPULATING STOCK OF DIAMOND SHOE CORP.

---1941---

F 8, 19-1

JOSEPH L MERRILL SUSPENDED FOR 6 MOS. BY SEC

---1963--- D 6, C-11-1

PRES. OF NY FIRM HAS ASKED CONGRESS FOR LAW SETTING RULES FOR PRESS RELEASES FROM GOVT. REGULATORY AGENCIES

STOCKS - MARGINS

1933

Form 1656

The Detroit News

NY STOCK EXCHANGE TIGHTENS RULES

MARGIN BOOST HALTS UPSURGE

SO THE GAMBLERS HAVE DECIDED TO BE GOOD-EDIT

---1934---

BOW C COLQUHOUN, BROKER, APPROVES PLAN FOR GOVT REGULATIONS REQUIRING

60% CUSTOMER MARGIN ON BROKERAGE ACCOUNTS

MARGIN REQUIREMENTS ARE CAUSING CONFUSION

STOCK OPERATORS TO BE WATCHED CLOSELY

FEDERAL RESERVE BD, SEC & N.Y. EXCHANGE, HAVE MEETING ON REGULATIONS

N.Y. STOCK EXCHANGE REVEALS PANIC OF '29 & '34

BD ORDERS SLIDING SCALE OF MARGINS FROM 25 TO 40%

---1935---

NEW MARGIN RULES BRINGS NEW FORMULA, ANTI-PYRAMIDING

FED RES STUDIES MARGIN RULE CHANGE-TURNER

---1936---

MARGIN RULES UNDER STUDY; CHANGE HINTED BY QUERY SENT TO BANKERS-GARDNER

FED RES GOVERNORS STUDY MARGIN SIMPLIFICATION

AG 3,1-8

AG 3,2-3 C S

AG 4,16-1

F 18,1-5-3 1*

R 15,39-6

S 2,4-12-2

S 13,42-5

S 21,44-7-EXTRA

S 28,39-4

JA 21,27-5

N 22,54-4

JA 13,25-5

F 7,43-1

	---1936---	MR 26, 45-1
FEDERAL RESERVE SEES MARGIN AT 55%		AP 24, 50-2-WSC
NY EXCHANGE MEETS TO CONSIDER CHANGE IN MARGIN REQUIREMENTS		AP 27, 29-1
FEDERAL RESERVE Bd. EXPLAINS MARGIN POLICY, TO CURB CREDIT FOR SPECULATION		AP 30, 2-2-BLST
CHAS. R. GAY CONFERS WITH FEDERAL RESERVE SYSTEM, NO CHANGES		MY 29, 22-3-NITE
NY STOCK EXCHANGE SEEKS DATA ON HIGH MARGIN EFFECT		JE 3, 37-5
NY STOCK EXCHANGE URGES LOWER MARGIN REQUIREMENTS		JE 26, 41-6
FEDERAL RESERVE Bd. APPROVES MINOR MARGIN REGULATIONS		N 20, 51-8
SEC DEMANDS MARGIN IN CASH BY BUYERS BEFORE TRADING ON STOCK EXCHANGES		D 7, 30-5
JAMES M LANDIS HOPES TO SEE IN OPERATION NEW REGULATIONS BANNING MARGIN TRADING FOR MEMBERS OF SEC WHICH EXECUTE ORDERS FOR PUBLIC		
	---1937---	F 17, 23-1 NITE
NY STOCK EXCHANGE CRACKS DOWN ON MEMBERS WHO HAVE BEEN ALLOWING CUSTOMERS A "FREE RIDE" IN THEIR MARGIN DEALINGS		F 18, 39-4
ABOVE RULING GOES INTO EFFECT MARCH 1		AP 19, 25-1
NY EXCHANGE INCLUDES MEMBERS IN MARGIN RULES		O 28, 1-7
NEW RULINGS ON MARGINS AIDS MARKET-HASSETT		N 3, 37-4
INTER-STATE TRADE HIT: LAWS APPROACH TARIFF BARRIERS-FRED GARDNER		
	---1945---	MR 4, 4-7-4
NY STOCK EXCHANGE BARS MARGIN TRADING STOCKS UNDER \$10 AS OF MR 5		

- TRADING UNSLACKENED BY NY EXCHANGE NEW MARGIN RULE - HASSETT JR 31, 36-8
 TRUMAN'S STABILIZATION Bd. TO STUDY CURB ON MKT. SPECULATION
 OES PLEA FOR STRICTER CONTROLS UP TO PRES. TRUMAN
 MARGIN REQUIREMENTS RAISED TO 75% TO CUT SPECULATION
 ECONOMIC STABILIZATION Bd. TO LIMIT LOANS FOR BUYING SECURITIES
 NY STOCK EXCHANGE REVISES MARGIN RULES FOR STOCKS SELLING BETW. \$10 & \$20 A SHARE
 NEW MARGIN RULES OF FRB CAUSE DIFFICULTIES-REVIEW OF RULES MAY BE SOUGHT
 US C OF C ASK REMOVAL GOVT BAN ON MARGIN TRADING IN STOCK MARKET
 ASSN. OF STOCK EXCHANGE FIRMS ASK TRADING ON MARGIN BE ALLOWED AGAIN
 INVESTMENT BANKERS ASSN SEEKS END OF CASH RULE & RETURN OF MARGINS
 --1947--
 TRADING TO BE RESTORED TO MARGIN BASIS FEB. 1
 EMIL SCHRAM SAYS MARGIN RATE SHOULD HAVE BEEN SET AT 50% INSTEAD OF 75%
 MARGIN RULES MODIFIED. STOCKS FR \$5 TO 10 ELIGIBLE OCT. 1
 --1948--
 FED RES Bd EASES MARGIN RULE

MR 6, 20-1

HASSETT JR

31, 36-8

JE 28, 35-3

BS 2

JL 4, 2-6

JL 5, 6-6

JL 6, 23-8

AG 9, 34-5

N 25, 18-4

D 18, 37-5

D 27, 27-4

JA 18, 15-8

JA 18, 15-8

S 27, 17-7

MR 10, 36-7

THOMAS B MCCABE DENIES MARGIN CUT PLAN

REP. WRIGHT PATMAN AS FR BD. CUT MARKET MARGIN REQUIREMENTS TO 50%

FRB DECREES STOCKS MAY BE BOUGHT AFTER TODAY WITH CASH DOWN PAYMENT OF 50% INSTEAD OF 75%

FRB TO PUT INTO EFFECT MY 1 2 "TECHNICAL" CHANGES IN REGULATIONS DEALING WITH MARGINS

STOCK MARGIN BOOSTED TO 75% AS CREDIT CURB

STOCK MARKET GRPS PROTEST TO FED. RESERVE BD. HIGH MARGINS

STOCK BROKERS GIVE OK TO STOCK MARKET MARGIN CUT TO 50 PCT.

MARGIN STOCK BUYING TIGHTENED BY U.S.
INCREASE IN MARGIN REQUIREMENTS FOR PURCHASE OF STOCK CAUSES DROP IN MARKET

MARGIN BOOST IS BLAMED FOR DIVE IN STOCKS

PUT MARGINS ON STOCK UP TO 70 PCT.

CHILE EXCHANGE BOOSTS MARGINS; EXCHANGE CLOSED BY PANIC

COMM. OF NY STOCK EXCHANGE URGES HIGHER INITIAL FUND FOR MARGINS-TUTTLE

MARGIN MINIMUM IS BOOSTED

---1949---

F 4, 38-4
MR 10, 58-4

MR 29, 30-5

AP 22, 44-6 BS 2

JA 17, 4-2

---1951---

---1952---

O 28, 32-1

-----1953-----

F 21, 10-1

-----1955-----

JA 5, 28-6

JA 6, 26-1

JA 6, 40-5

AP 23, 1-5

S 30, 42-1 1H

N 16, 74-5

F 2, 48-1

---1956---

STOCKS: MARGINS

1957

KEITH FUNSTON, PRES. NY STOCK EXCHANGE READY FOR CUT IN STOCK MARGINS
 NYC-SEEK CUT IN STOCK MARGINS TO 50 PCT.

O 2, 72-5

D 6, 52-5

-----1958-----
 NYC-STOCK MARKET ROSE TODAY IN RESPONSE TO FED. RESERVE ACTION IN
 CUTTING MARGIN REQUIREMENTS FROM 70 TO 50 PER CENT

JA 16, 1-8

NYC-STOCK PRICES STEADY AFTER MARGIN CUT

JA 16, 1-5 LF

NYC-STOCKS DIP, BUT RALLY AFTER MARGIN HIKE

AG 5, 1-2

NYC-MARGIN HIKE CUTS STOCKS \$5 BILLION

O 16, 56-8

-----1960-----
 FED. RESERVE SYSTEM CUTS MARGIN REQUIREMENT FOR PURCHASE OF STOCK FROM
 90 TO 70 PER CENT

JL 27, A-1-4 LF

JL 28, C-10-5

BROKERS PLEASED BY CUT IN MARGIN

JL 31, B-7-2

STOCK MARKET LAST WEEK RIGHTS SELF IN NICK OF TIME AFTER SELLING OFF
 SHARPLY IN OPENING SESSION

--1961--

O 31, A-1-3 LF

SCHWEICKART & CO & SUTRO BROS & CO. CHG. WITH VIOLATING MARGIN REQUIRE-
 MENTS

--1962--

JL 9, A-1-8 LF

REQUIREMENTS REDUCED FROM 70 TO 50 PCT. EFFECTIVE

JL 10

--1962--

JL 9, A-10-1 LF

DET. BROKERS GIVE FAVORABLE COMMENTS ON REDUCTION OF MARGIN REQUIREMENTS

JL 10, A-1-3

NY-REQUIREMENTS CUTS BOOST STOCKS B \$1 OR \$2 TODAY

JL 11, B-14-2

QUICK PUCK AID-EDIT.

N 6, C-18-6

FRB TIGHTENS USE OF CREDIT IN PURCHASE OF SECURITIES BY RAISING STOCK MARKET "MARGIN"

---1965---

O 1, B-5-8

WASH-GOVT AUTHORITIES DOUBT THAT FED RESERVE Bd IS ON VERGE OF INCREASING STOCK MKT MARGIN REQUIREMENTS

--1966--

Ap 22, A-1-1

NY-NY STOCK EXCHANGE NEW MEASURE INCLUDES INCREASE FROM \$1,000 TO \$2,000 OF MINIMUM EQUITY REQUIRED IN A MARGIN ACCOUNT & TIGHTENING OF SPECIAL MARGIN RULES

Ap 22, A-1-1 5*

NYNY & AMERICAN STOCK EXCHANGES NEW RULE TO RAISE FROM \$1,000 TO \$2,000 MINIMUM EQUITY REQUIRED ON MARGIN ACCOUNT

My 19, C-8-8

NY-AMER. STOCK EXCHANGE LIFTED 100% MARGIN REQUIREMENT ON SIMMONDS PRECISION PRODUCTS & XTRA INC

--1967--

JL 11, D-9-3

NY-100% MARGIN RESTRICTION ON 11 VOLATILE STOCKS HAS GONE INTO EFFECT ON AMERICAN STOCK & EXCHANGE

S 11, B-12-5

NY STOCK EXCHANGE TODAY STARTED REQUIRING 100% MARGIN IN PURCHASE OF STOCKS OF 4 FIRMS-LISTED

STOCKS: MARGINS

1970

FEDERAL RESERVE LOWERED MARGIN REQUIREMENTS FOR PURCHASING
TO 65% FROM 80% EFFECTIVE TODAY
AIDING MARKET NO PANACEA--EDIT
WALL STREET PUZZLED BY FEDERAL EASING OF STOCK CREDIT--
LIVINGSTON
MARGIN RULE ON STOCK CHANGED FR. 65 TO 55 % N 24, A-16-5
MARGIN REQUIREMENTS HIKE ON STOCK PURCHASES.
AN OVERLY-EXUBERANT MARKET-EDIT.
FED BOOSTS MARGIN REQUIREMENT TO 65%.

MY 6, C-10-6

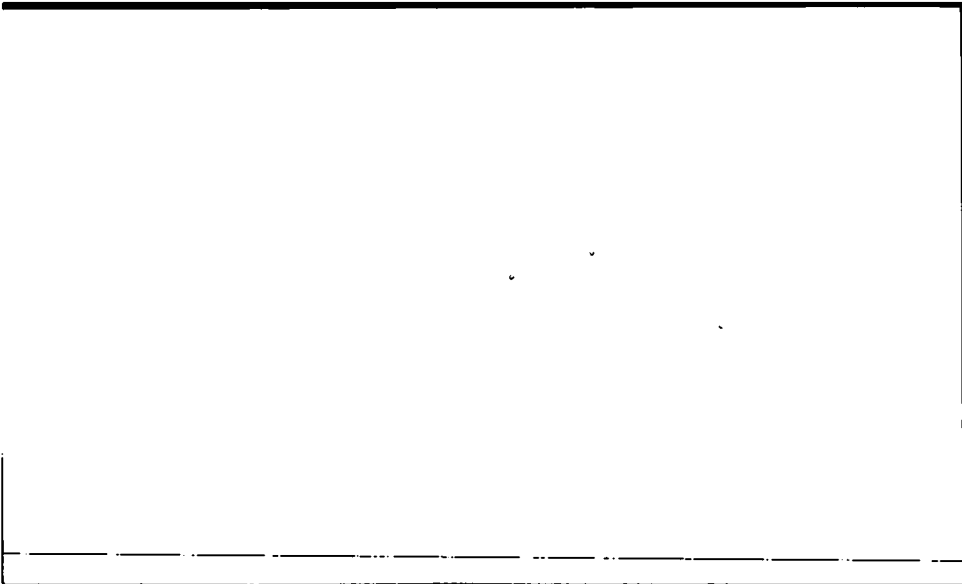
MY 7, B-12-4

MY 11, A-15-5

D6, D-6-5

N 26, E-3-1

N 24, A-16-7 FINAL



STOCKS -MICH.

1929

Form 1656

The Detroit News

Ja-30, 16-4

Senator Geo. Leland introduces bill, proposing amendment to broaden powers of Securities Comm. in dealings with brokerage firms. Ag 7, 17-3

United Petroleum Co. temporarily suspended; oil stock sale under inquiry; P.G. Minro license suspended

1931.

F 11, 28-6

Rep. "m. B. Wreford offers bill to tax stock transfers 4¢ a share

0 29, 1-5

Mich Securities Comm adopts 17 new rules

DETROIT CRIPPLE CREEK GOOD MINING CO. GRANTED PERMISSION TO SELL
\$63,500 STOCK

N 22, 3-1 C M

MR 10, 4-14-8

PROHIBITION STOCKS LEAD IN STATE; \$20,000,000 VALIDATED BY SECURITIES COMM. IN LAST 2 YRS

SALES OGEMAW CRUDE OIL CO. STOPT BY STATE
 ---1937---
 ---1938---
 COURT RULES GOVT. CAN ENFORCE LIEN ON STOCKS OF INCOME-TAX DELINQUENTS
 ---1942---
 STATE CLEANS OUT STOCKS HELD IN ESCROW
 ---1945---
 NEW MARGIN RULES OF FRB CAUSE DIFFICULTIES; REVIEW OF RULE MAY BE SOUGHT
 ---1959---
 STOCK MARKET SPARKS NEW INTEREST IN MATH-COURTADE
 -1981-
 INVESTOR OWNED FIRMS IN MICH BATTERED BY SUMMER COLLAPSE-SMITH
 -1983-
 STATE INDEX OUTPERFORMS DOW AVERAGE-SMITH
 -1985-
 BANKING STOCKS LED WAY AMG MICH FIRMS WHOSE '84 STOCK PRICES JUMPED SIGNIFICANTLY OVER '83-ZIVANOVIC
 CHART OF HOW MICH STOCKS FARED IN 1984.

AP 3, 20-2

D 9, 50-3

F 26, 40-6

AG 9, 34-5 X

MY 10, R-12-4

S 13, E-1-1

JL 12, B-5-1

JA 6, D-1-2

JA 6, D-1-3

Ja 9, D-1-2

Borman's tops index, mich stocks surge in 1988 amid
takeovers & speculation-Kerwin

Ag 25 E 1-2

Investors win with 'safe' bets-Consumers cash in with ch
icals, K mart and babies-Tompor

-1991- J1 15 F 3-1

Many firms selling stock for 1st time but analysts say
often risky investment-Tompor --1992--- S 20, D-1-2

Fast-growth firms can be risky investments in performing
stocks-Tompor -1993- Ja 8 E 2-3

According to David Sowerby, MI stocks outperformed the S
500 last yr for the first time since '88. - Tompor

J1 1 E 1-1

Brokers' picks for the second half of '93.

-1993-

Jl 1 E 1-4

Winning and losing stocks in MI in the first half of '9

Jl 1 E 2-1

List of MI stock.

Jl 1 E 1-1

Auto part companies see stock go up as car sales rise.

Henderson

Jl 1 E 2-3

Auto suppliers used various methods to boost their balance sheets. - Henderson

O 1 E 1-2

High tech companies hot for 3rd quarter. - Markiewicz

O 1 E 2-1

List of MI stocks.

O 1 E 2-2

4th quarter tip, auto suppliers, banks & tech firms. -

Markiewicz

O 31 D 1-3

Dr Julius Combs sold investors 1.3 million shares of United Amer Healthcare Corp. He is full-time pitch man-Ho

Ja 14 E 1-2

Investors hold key for picking stock. - Kulfan

Ap 1 E 1-2

Compuware recorded the biggest percentage increase in its stock price among Mich companies, stock \$5 per share

Ap 10 D 3-3

Value of Mich stocks rose 4 times higher than the bellwether Dow Jones industrial avg over the last 2yrs-Howes

Stock prices for MI companies have fallen too far. - Tompor

Jl 11 E 1-2

Listo of winning & losing companies.

O 28 E 3-4

15 Michigan-based firms on new Nat'l index to track stock performance of those on S&P SmallCap 600-

-1995-

Ja 1 D 1-2

Top Mich stocks of 1994

Ja 1 D 1-2

Mich companies helping others become leaner made stock
honor roll-Howes

Ja 1 D 3-2

Worst Mich stocks of 1994

D 17 C-1-1

Few Michigan companies are going public-Hoffman

D 29 B 3-1

MICH.regulations have bogged down Small Corporate Offering
Registrations stock issue program,some say-Hoffman

-1996-

Je 30 C 1-3

List of top 10 performers among MI stocks this year; Soma-
netics Corp at top

Je 30 C 1-4

Technology stocks riding high 1st 6 months of 1996;may
not continue,say experts-Hunter

Jl 25, B-1-4

Mich.technology stock fall as investors get jitters,with
firm Perceptron Inc.-Hoffman

- Ja 01 A 1-1
- 1996 Stocks - a year in review Ja 01 B 1-1
- OPening and closing prices of MI top 100 firms & loss or
gain for year listed Ja 01 B 1-2
- Technology companies, banks, auto suppliers were some of MI
top-performing companies in '96-Waldsmith J1 1, B-1-1
- Mich firms still a good investment-Smith N 28 A 1-2
- Most MI companies lagged behind U.S. return rates on
stocks-Howes/J.Smith D 15 F-8-1
- Hot Michigan stocks.-Serjur-Harris
-1998- Ja 01 B-1-2
- best performing stocks of '97-banking group led the charge-
Preddy/Waldsmith

1999

J1 2 B 1-3

MI-based firms show 11% gain in stock price;graph-Preddey

N 26 A-1-2

Not all public companies enjoying Wall Street's great
bull market-Smith/Preddey

D 30 B-1-5

Top winners/loser of Mi stocks-year-to-date performance of
Nasdaq,Dow Jones & Standard & Poor's 500-Donnelly

STOCKS : ODD LOTS

1937

Form 1656

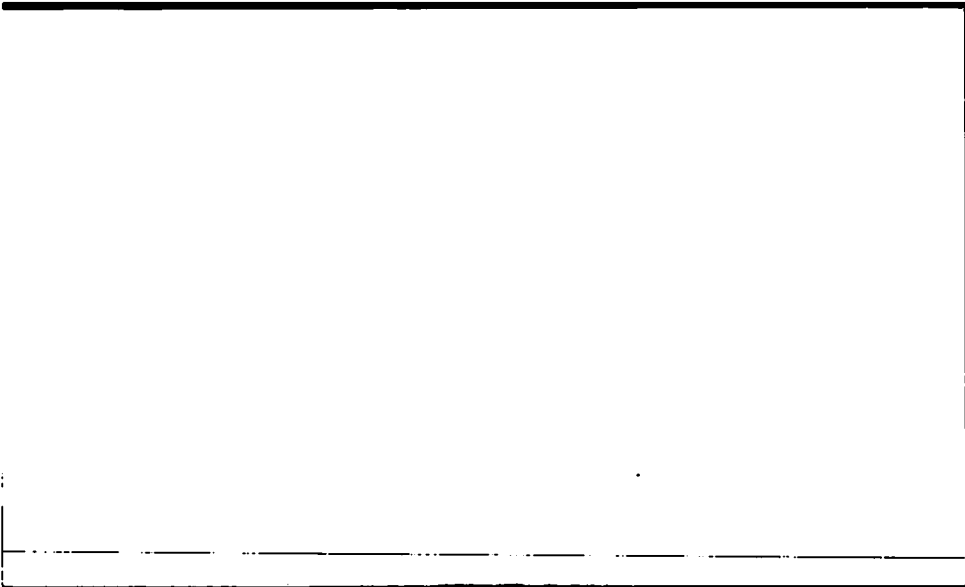
AG 31, 2-2 C M

SMALL BUYER STILL CONTRIBUTING CAPITAL TO AMER.

—1966—

JE 27, C-8-6

NY-NOW BUYING, ALTER TREND



1954

STOCK - OPTION

NLRB ARGUES QUESTION WHETHER UNION HAS TO BE BARGAINED WITH IF COMPANY HELPS EMPLOYEES BUY ITS STOCK ---1956--- JL 23, 14-7
 UNION BARGAINING TO HAVE EMPLOYEES PAID IN STOCK AS WELL AS WAGES MAY BE COME REGULAR THING ---1957--- JA 17, 3-1
 ABOUT 40% OF ALL DOMESTIC COMPANYS LISTED ON NY STOCK EXCHANGE HAVE STOCK OPTION PLAY FOR EMPLOYEES ---1959--- JE 8, 28-8
 STRANGE SOLICITUDE-EDIT ---1961--- AG 13, 28-1
 STOCK OPTION PLEA MADE FOR EVERYONE-LIVINGSTON ---1963--- AP 12, D-6-2
 HENRY FORD II OPPOSED PRES. KENNEDY PROPOSED STOCK OPTION PROFITS SUGGESTED CHANGES TO SOME PRESENT PLANS-LYONS F 26, A-19-3
 CONGRESSMEN AGREED TO MAKE IT MORE DIFFICULT FOR BUSINESS EXECUTIVES TO TAKE ADVANTAGE OF STOCK OPTIONS AG 3, R-8-1
 STOCKHOLDERS TOLD AT SPECIAL MEETING THAT PRES. TOWNSEND HAS SOLD OR GIVEN AWAY 12,780 SHARES OTHER OFFICIALS DISPOSED OF 64,743-TAYLOR D 19, D-10-1
 SENATORS STUDY WAYS TO CURB TAX BENEFITS ENJOYED BY SOME CORPORATIONS EXECUTIVES UNDER STOCK OPTION PLANS ---1973--- JA 14, A-3-1
 TERRY MAYER SAYS INTEREST UP IN OPTIONS TRADING-W. SMITH S 30, 6-8-3
 EAST ACTION ABOUNDS IN STOCK OPTIONS AT CHICAGO BOARD OF OPTIONS EXCHANGE-COURTENAY ---1974--- JE 2, G-1-1

--1975--

JA 19, G-3-3

CHICAGO BD. FORESEES EXPANSION IN '75, AMEX BEGINS OPTIONS, &
PACIFIC STOCK EXCH. & P-B-W EXCH. TO BEGIN LATER-WILL COX

MR 20, F-8-2

RECENT RULE CHANGES MAKE OPTION TRADING NEW FIELD FOR GAM-
BLERS-SW.B. SMITH

JE 22, G-3-4

LA DENTIST FREDRIC GOODMAN GIVES TALK IN DET ON INVESTMENT
HEADS EVM ANALYSTS INC-CARMEN

JL 8, D-6-2

NY-PUTS & CALLS & HEDGES & SPREADS FASTEST GROWING INVESTMENT
MEDIUM IN THIS COUNTRY

--1976--

AP 15, C-8-2

TRADING IN OPTIONS-CONTS. GROWTH RECORDS AS GREAT NEW AMERICAN
-KAUFMAN

JE 3, G-4-2

OPTIONS TRADING IN STOCK PROVES TO BE BOOM FOR BROKERS--
KAUFMAN

--1977-----JA 9, E-3-3

NYSE CHAIRMAN WILLIAM BATTEN SAYS OPTIONS TRADING TO
START SOON.

-1980-

S 1, C-1-2

GO NAKED IN OPTIONS GAME-LADERMAN

S 1, C-1-2

LOOK BEFORE YOU TRY OPTIONS-LADERMAN

D 3 G 1-2

Executives are exploring their options in stock since the tax bracket is expected to increase under Clinton. - Dickson

D 16 E 1-5

Mich National Corp and Kmart Corp joined the post-election rush to cash in on stock options-Vlasic

-1993-

Ap 8 E 2-1

FASB proposed that companies be required to take a charge against their earnings for options that execs and employees receive as part of their pay pkgs.

Ap 18 D 1-2

Stock options lifted corporate pay; list of 50 top paid MI executives in public companies. - Vlasic

Ap 18 D 3-1

2 methods approved by the SEC for calculating option value
Black-Scholes or percentage method. - Vlasic

-1994-

Mr 14 A 10-1

Carl Levin wants to eliminate use of stock options by executives
would do better to think about impact of high taxes-Edit

S 1 E 2-1

Levin's study showed that stock options are given only to
the top executives. - Howes

-1998- Ja 27 A 1-1

GM to give stock options to salaried employees-Howes

Ja 27 B 1-2

Stock options best of both worlds; chance to make money
but low risk-Serju-Harris

STOCKS: PENNY

1955

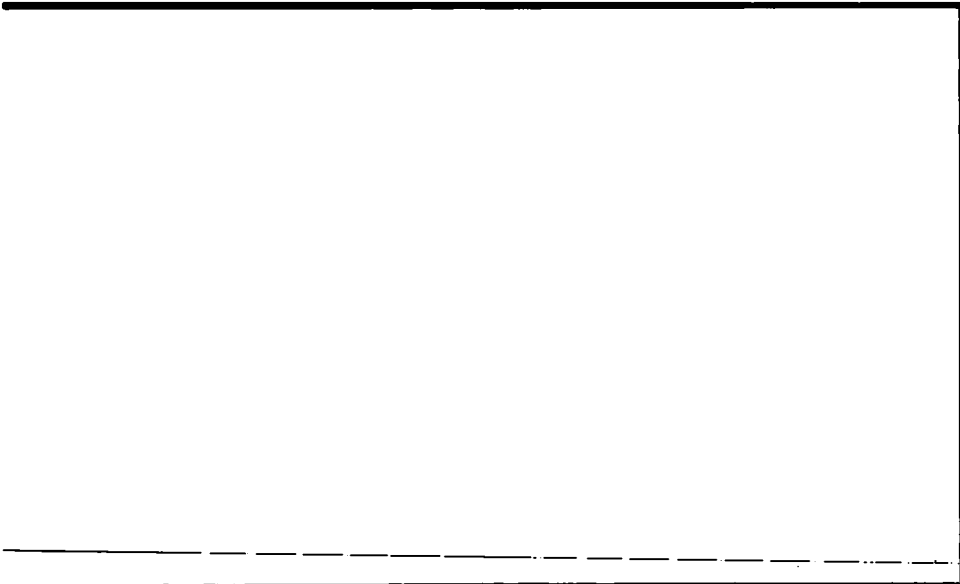
GOV'T TO TIGHTEN REGULATIONS IN PENNY URANIUM STOCK BINGE

JL 10, 4224-4

-----1956-----

NEW YORK SUP. CT. BANS SALE OF PENNY STOCK BY TELLIER & CO.

L 6, 32-7



STOCKS - POOLS

1933

Form 1656

The Detroit News

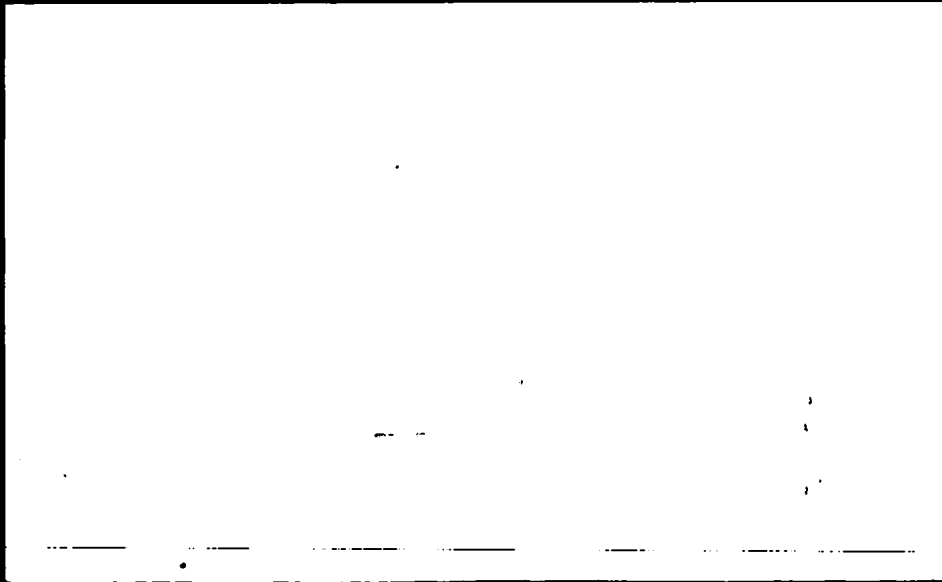
N 24,42-6

FED. JUDGE JOHN M. WOOLSEY UPHOLDS INDICTMENT OF 5 CHARGED WITH FRAUDULENT USE OF MAILS IN CONNEXION WITH NEW HATTAN ELECTRICAL SUPPLY CO

---1934---

JE 14,46-5

TRADERS EAGERLY AWAIT EFFECT OF BAN ON POOLS-JAGGER J. 19, 34-6-1H
RECORA TO KEEP EYES OPEN FOR WALL ST. POOLS



STOCKS - PREFERRED

1965

AG 29, B-8-1

NY-SO FAR THIS YR, 11 COMPANIES HAVE REDEEMED PREFERRED ISSUES FOR
CAHS & LAST YR, 15 DID TO BEAT RETREAT BEFORE FEDERAL TAX COLLECTOR-
DAWSON

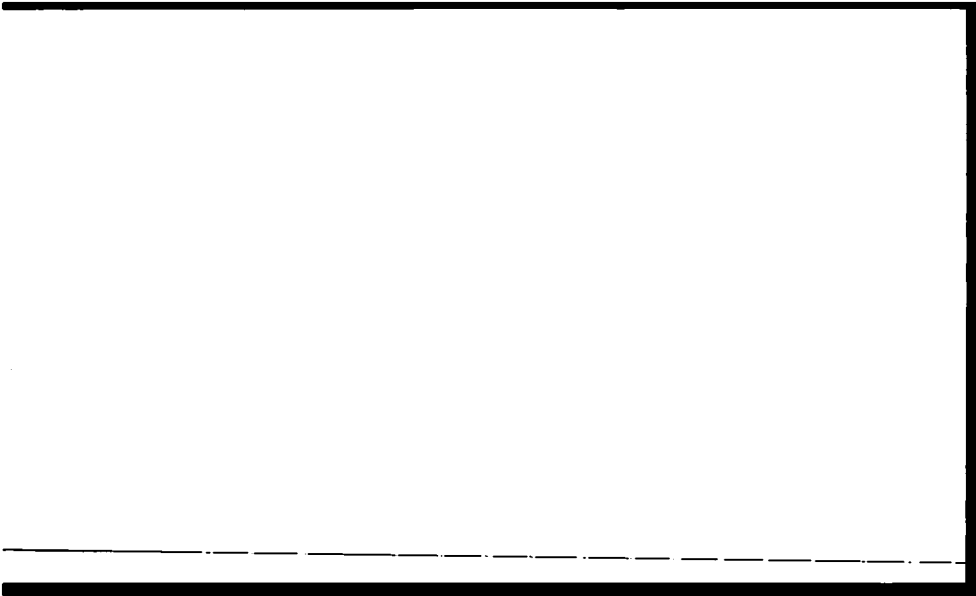


STOCK--PRICES--ARGENTINE

1949

STOCKS PLUNGE-TRADERS HOPE PERON GOVT WILL ACT

F 19, 3-5



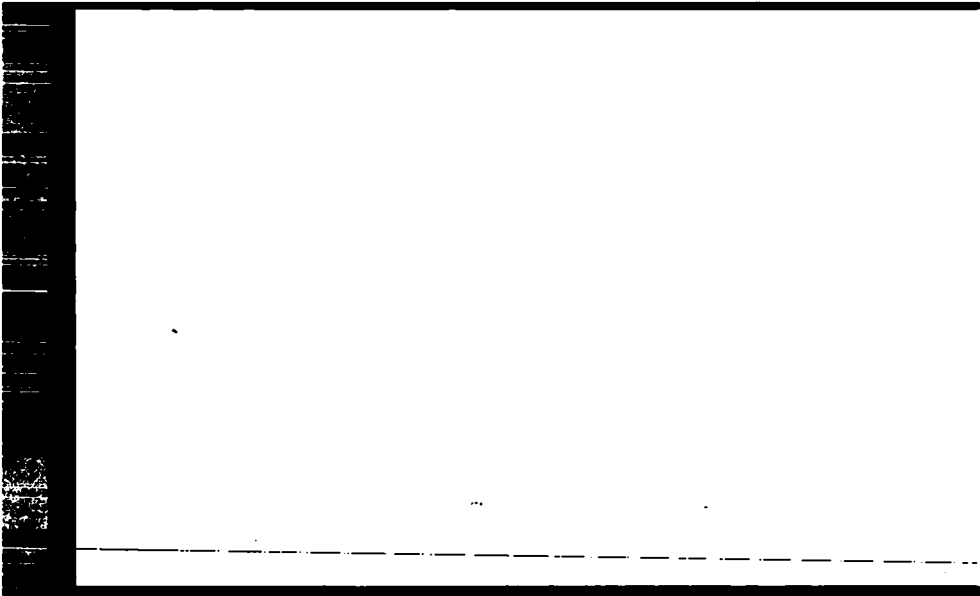
STOCKS PLUNGE WORST IN 5 YRS-

---1954---

SYDNEY-OIL, URANIUM ISSUES CRASH IN ABOVE

F 10, 1-6 NITE

A 13, 78-4



Form 1656

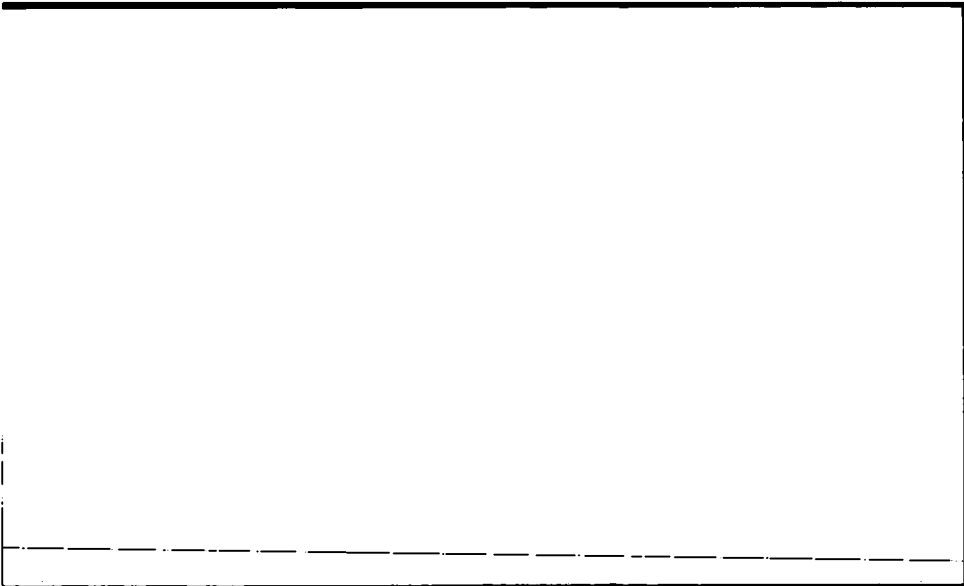
JA 5, 5-20-6 1*

MINING STOCKS CARRIED GREAT BULK OF 1940 TURNOVER ON TORONTO STOCK
EXCHANGE

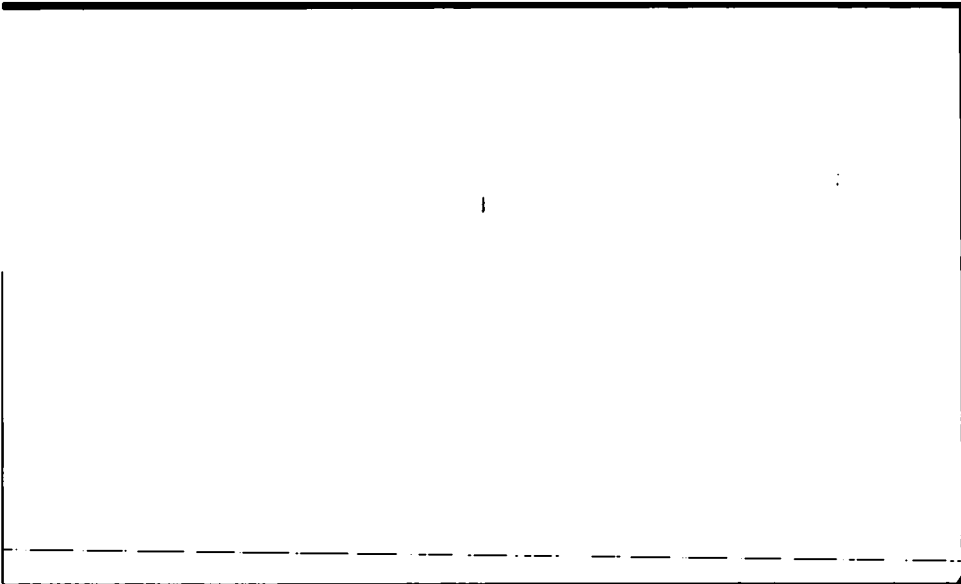
---1956--

JL 26, 46-7

INDUSTRIAL ENGINEERING LT WORKERS \$8 STOCK SELLS FOR \$28 IN 10 YRS



0 26, 1-1-3 2*
 CONSERVATIVE MEMBER OF BRITISH PARLIMENT, CYRIL OSBORN, PREDICTS
 BIG SLUMP IF KOREAN WAR ENDS ~~1953~~---
 STOCK PRICES DEPEND ON WAR OR PEACE -J. HAYDEN
 ---1955---
 SEN FULBRIGHT THINKS STOCK MARKET REQUIREMENTS SHOULD BE RAISED BY
 100 %
 ---1959--- N 9, R-5-7
 CAN STOCK MARKET BE GOOD IN 1960? -LIVINGSTON
 ---1966--- MR 10, C-7-1
 J DABNEY PENICK SAYS MARKET IS BEARISH -SMITH
 ---1969--- MR 6, D-8-5
 KENNETH B. SMILEN WARNS OF STOCK MARKET DROP -SMITH
 -1979- JA 21, E-1-2
 KEY TO STOCK MARKET MAY BE FOUND IN BOND MARKET. -SMITH



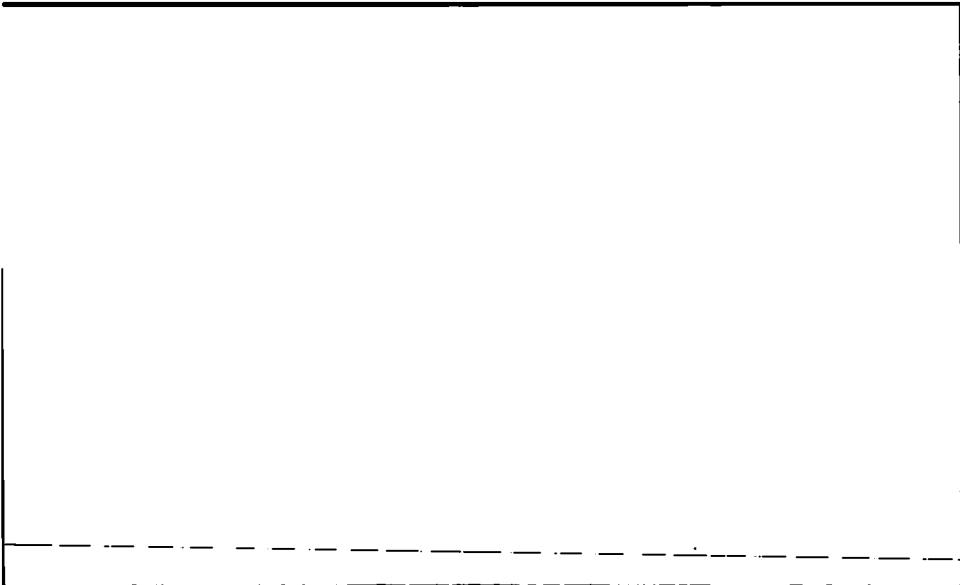
STOCK - PRICES - DETROIT

1964

SUBGE OF STOCK PRICE OF LANSING STAMPING CO. IS MYSTERY TO FIRMS HEAD-
-SMITH
FOR LATEST HIGHLIGHT OF STOCK MARKET, DIAL WO-LDJIA ON PHONE & TAPE
RECORDING TO GIVE UP-TO-DATE HR FIGURES SPONSORED BY PAINE, WEBBER,
JACKSON & CURTIS FIRM

F 7, D-11-6

My 12, B-6-2



	Form 1656	0 20, 22-2
MARKET BREAK CAUSES PEOPLE TO LOSE CONFIDENCE		0 22, 30-2
CONFIDENCE IS MAINSPRING IN BUSINESS		0 29, 26-1
FEDERAL RESERVE BOARD REGULATING PRICES		
---1939---		
STOCK PRICES RISE AFTER PRES. ROOSEVELT'S MESSAGE TO CONGRESS-EDIT		A 6, 22-1
---1940---		JE 2, 1-6-2
STOCK MARKETS TO KEEP OPEN- ---1941---		MY 25, 1-6-2
STOCKS NOT ROCKETING UP IN PRESENT BOOM ---1942---		AP 12, 14-1
MARKET GIVES EVIDENCE OF CONFIDENCE THAT GOVT. STAND VS. INFLATION WILL SUCCEED-		
---1946---		\$ 5, 28-1
WHY THE SLUMP ---1947---		A 16, 26-1
DOWNWARD COURSE IN SECURITY MARKETS WARNING TO BUSINESS & LABOR		F 6, 22-1
---1948---		
LOWER PRICES NOW: BREAKS IN STOCK MARKET-		
---1954---		MY 11, 24-1
MARKET MYSTERY-EDIT.		0 29, 36-1
STOCK OF ADLAI STEFENSON JUMP DURING REP RULE-EDIT		N 5, 52-2
MARKET BLOWS ITS TOP-EDIT.		D 31, 14-1
IS THIS ANOTHER 1929?-EDIT.		

	-----1955-----	MR 20, B-18-1
PROSPERITY AN ILLUSION?-EDIT.		
THE BREAK IN STOCK MARKET-EDIT		S 27, 26-2
WALL ST AND THE CRACK OF DOOM		S 28, 42-1
LOOK IN THE CRYSTAL BALL-EDIT.		S 30, 48-1
IKE AND THE MARKET-EDIT		O 5, 42-1
SPECTER OF 1929-EDIT.	-----1957-----	O 2, 40-2
WALL STREET NERVES-EDIT.		O 23, 40-1
WONDERLAND-EDIT.	-----1958-----	N 13, 34-1
ZANY STOCK MARKET-EDIT.		MR 11, 36-2
DOWN IT GOES	-----1962-----	MY 30, A-22-1
QUICK RUCK AID		JL 11, A-14-2
HOW CONGRESS COULD AID THE STOCK MARKET. EDIT.	-----1969-----	D22, B-6-1
STOCK TRADING POOR DUE TO GM AUTO STRIKE- EDIT.	--1970--	S16, B-10-1

WHAT THE DOW RECORD MEANS.-EDIT.	N 16, B-18-1
AN OVERLY EXUBERANT MARKET-EDIT.	N 26, E-3-1
-1986-	
The Fed's Black Wednesday-Edit	Ja 12, A-18-1
-1987-	
Benefits of the BULL Market-Edit	Ag 13, A-14-1
Fear & loathing on Wall Street.--Edit	O 18 A-18-1
The crash of '87-Edit	O 20, A-8-1
More Trade Panic-Edit -1995-	D 13, A-18-1
The Dow : Gaining Ground-Edit	Jl 27 E 8-1
The bad news is wrong-Edit	N 21, A-10-1

-1999-

Mr 17 A-10-1

10,000 and counting -edit

STOCKS: PRICES: FRANCE

1955

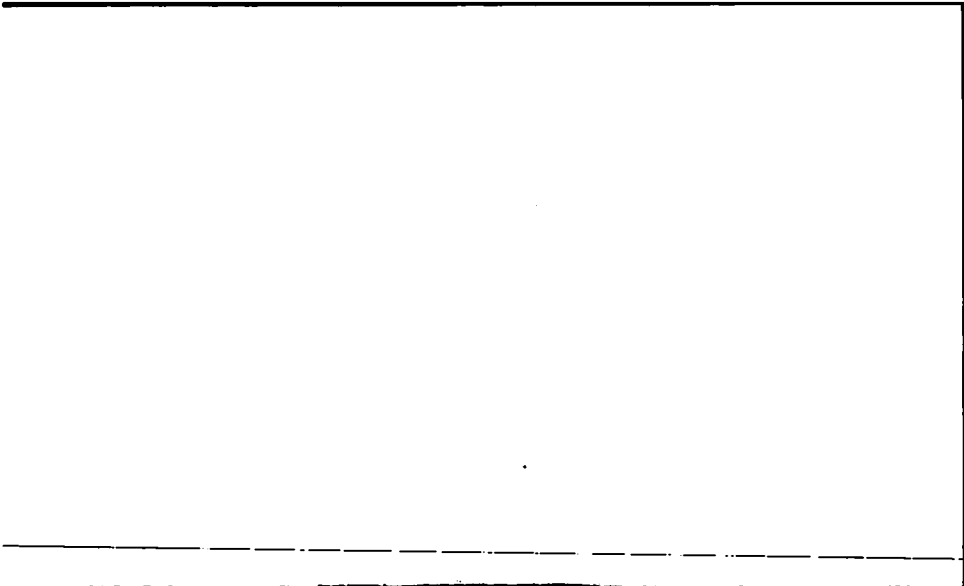
MY 16, 36-1 BB

PARIS-BARIS STOCKS REBOUND FR. SEVERE DROP

~~—1960—~~

F 1,8-4-5 5*

PARIS-S_TOCKS RISE AFTER SETTLEMENT OF ALGERIAN REVOLT



STOCKS : PRICES : GERMANY

1945

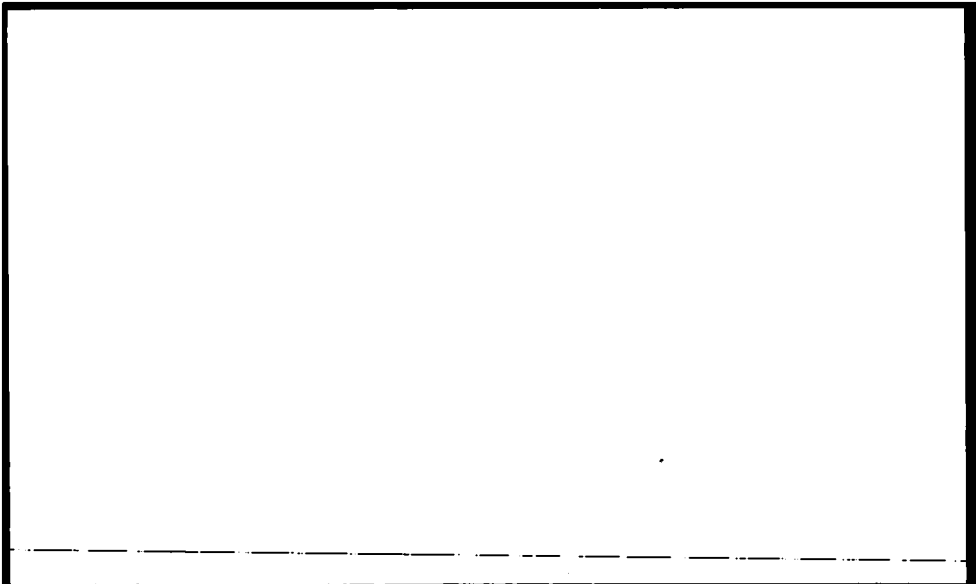
STOCK PRICES RISE ON FRANKFORT EXCHANGE-BRADLEY

0 1, 1-5 NITE

--1962--

0 12, C-15-6

PARIS-STOCKS HIT 33 YEAR LOW-COBLETTY



- INVESTORS WARY OF POUND AS FRANC IS DEVALUATED JA 26, 1-2
 ---1952--- MR 12, 26-8 BS2
 LONDON STOCK EXCHANGE TAKES NOSE DIVE
 ---1953--- AP 7, 28-1 FIN
 SELLING WAVE HIT LONDON AP 8, 32-1 FIN
 PERSISTENT BUYING IN CHINESE BONDS BRINGS UPWARD SPURT IN PRICES AP 15, 32-1 FIN
 TAX CUT PLAN BOOSTS STOCKS J 27, 28-1 5*
 LONDON TRADERS BID FOR CHINESE BONDS AS KOREA TRUCE IS SIGNED- S 18, 64-8 5*
 LONDON STOCK BOOM GOES INTO 2ND DAY N 4, 52-1 1H
 LONDON STOCK MARKET UNFULLED BY U.S. ELECTION F 22, 32-2
 ---1955---
 LONDON-LONDON STOCKS SKID AGAIN AFTER STEADYING EARLY F 22, 32-1 5*
 ABOVE MARKET SEEMS TO BE SLIDING DOWN TREND THAT BEGAN FRIDAY AND CONTINUED J 26, 30-6
 STOCKS REGAINED IN ABOVE. STOCKS WERE DECLINING BUT TURNED FOR BETTER S 26, 32-1
 LONDON-PRES. IKE'S ILLNESS CAUSES DROP OF DOLLAR SECURITIES ON STOCK MARKETS HERE TODAY

---1955---

S 27, 5-2 5*

STOCKS HERE RISE TODAY AFTER LOW OPENING

-----1956-----

MY 4, 1-5

LONDON-NEARLY \$3 MILLION CHANGED HANDS & THOUSANDS OF DOLLARS WON & LOST IN 20 MINUTES MY 3RD ON LONDON STOCK MARKET TH U ERROR

-----1957-----

F 12, 30-1 LF

LONDON-STOCK PRICES DOWN HERE

AG 13, 20-1 BB

LONDON-BRITISH BACKED STOCKS TUMBLE IN LONDON

-----1961-----

J 5, D-14-5

LONDON-LONDON LIST HARD HIT BY GOLD DRAIN

J 17, B-6-8

STOCK PRICES SLAMMED IN AUSTERITY MEASURE ---1962---
LONDON-PRICES ON LONDON STOCK EXCHANGE MIRRORING WALL STREET'S RESURGENCE, REBOUND FROM WORST DAYS SINCE WAR

MY 30, A-2-4

O 29, R-6-1

LONDON-COOLING OFF OF CUBAN CRISIS GIVES SHOT IN ARM TO LONDON STOCK MARKET

---1963---

JE 10, A-1-2 FIN3

RESIGNATION OF WAR MINISTER JOHN PROFUMO CAUSED TRIGGERED FLURRIES OF SELLING IN STOCK MARKET THAT ERASED MILLIONS OF PDS FROM VALUES

N 25, A-3-2

WITH ALL STOCK & COMMODITY CHANGES CLOSED IN U.S. & CANADA, TRADERS LOOK TO LONDON STOCK EXCHANGE TO FIND PRICES THERE LOWER SINCE DEATH OF PRES KENNEDY N22

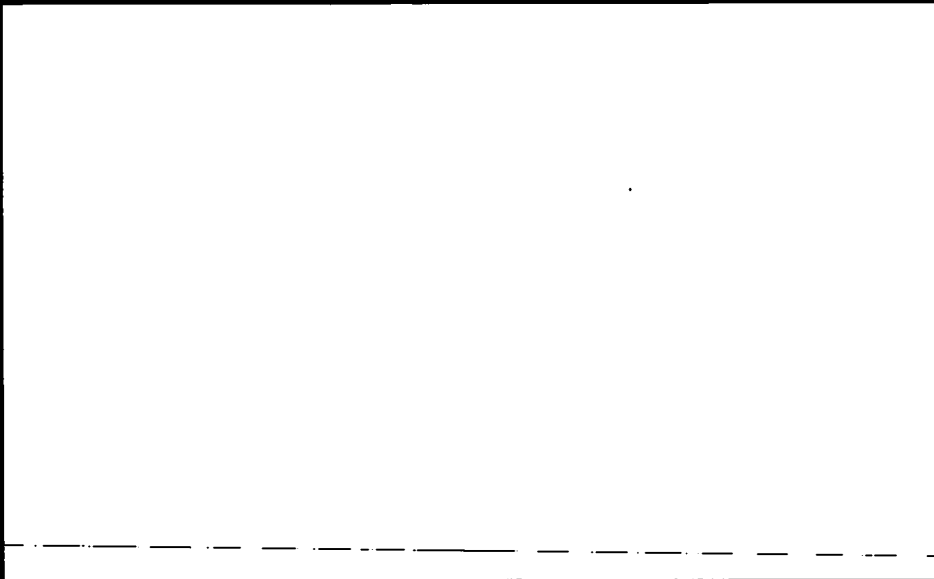
N 26, C-5-6

LONDON-SHARE PRICES EDGED SLOWLY AHEAD ON MARKET TODAY-NO SIGNS OF PANIC AS RESULT OF PRES KENNEDY ASSASSINATION

STOCKS: PRICE: GREAT BRITAIN

D 6, A-1-2 1966

LONDON-STOCKS PLUNGED AS RESULT OF RHODESIA

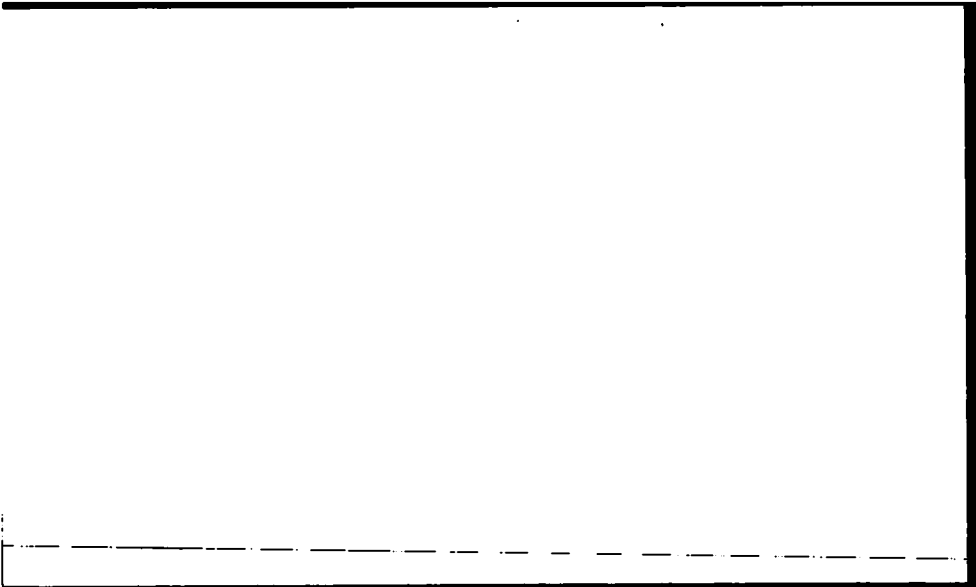


STOCK - PRICES - INDIA

1947

INDIAN STOCK VALUES MELT DUE TO NATIONS UNCERTAINTY

MY 16, 38-8 BS2



STOCKS : PRICES : INQUIRIES

1940

Form 1656

MY 22, 34-1

REP. CRAWFORD, MICH., ASKS INQUIRY INTO STOCK DECLINES

---1955---

SEN FULBRIGHT SAYS INVESTIGATION MAY BE MADE OF STOCKS JA 6, 1-1LF

SENATORS SPARKMAN & FLANDERS SAY THE STEEP UPWARD SPIRAL IN U.S. STOCK MARKETS WILL BE INVESTIGATED BY SENATE-HOUSE ECONOMIC COMMITTEE JA 10 17-8

SENATE BANKING COMM. OK'S A "STUDY" OF STOCK MARKET TODAY, BUT SHUNS TERM "INVESTIGATION" JA 14, 1-8 LF

ROBT WALLACE APP'T AS STAFF DIR. OF SENATE BANKING COMM. & WILL LEAD SENATE QUIZ OF ABOVE JA 16, 1-3-13

SENATE BANKING COMM. DECIDES TO ASK SENATE FOR \$50,000 TO FINANCE ITS PROPOSED INQUIRY INTO STOCK MARKET PRICES. JA 25, 28-5

SEN FULBRIGHT SAYS INVESTIGATION OF ABOVE WILL BEGIN ON BROAD BASIS F 2, 24-3 1H

SENATE BANKING COMM. TO QUIZ SEVERAL GIANTS OF FINANCE IN ITS FORTH-COMING INQUIRY OF STOCK MARKET F 21, 10-3

SENATE BANKING COMM. SAYS EXPERTS ARE ALARMED BY PRESENT STOCK MARKET LEVELS F 28, 34-5

---1955---

KEITH FUNSTON IS FIRST WITNESS IN ABOVE CASE M HATDEN" R 3, 1-6

SEN FULBRIGHT TELLS BUYERS TO TAKE IT SLOWLY WHEN BUYING STOCK Mr 3, 4-2

BIG MEN IN STOCK MARKET WORLD TO BE QUIZED BY SENATE BANKING COMMSN.- M. HAYDEN Mr 4, 1-6

SENATE INVESTIGATORS DISCLOSE THEY ARE LOOKING INTO ABOUT 20 INSTANCES IN WHICH BIG STOCK MARKET KILLINGS MAY HAVE BEEN MADE THRU SPREADING OF "TIPS AND RUMORS" Mr 5, 20-4

SENATE STOCK MARKET INVESTIGATORS ASK PENTAGON FOR LIST OF 100 COMPANIES WHICH HAD BIG DEFENSE CONTRACTS IN 1954 Mr 6, 4-24-3

OPTIMISM; OR FEVER; ARE STOCKS TOO HIGH? - EDIT. Mr 7, 22-1
SENATE QUIZ OF ABOVE HEARS JAS. DAY, PRES OF MIDWEST STOCK EXCHANGE SAY VERY FEW STOCK ARE OUT OF LINE PRICED WISE Mr 7, 30-4

HARVARD PROF. JOHN GALBRAITH URGES U.S. CURB ON STOCK SPECULATION Mr 8, 7-4

WINTHROP SMITH, BROKER, SAYS MARKET SAFE DESPITE STOCK DROP Mr 9, 24-2

MARRINER ECCLES, EX CHR. OF FED. RESERVE BD., SAYS "THERE ARE ELEMENTS OF REAL DANGER TO ECONOMY" IN THE STOCK MARKET & HOUSING BOOMS Mr 10 2-1 LF

JOHN J. McCLOY, NEW YORK BANKER, TESTIFIES BEFORE SENATE GROUP; SAYS HE DOES NOT BELIEVE GROWING PURCHASES OF STOCKS FOR PENSIONS FUNDS ARE Mr 10, 2-2

MAJOR FACTOR IN THE BOOMING STOCK MARKET

- BENJ. GRAHAM ASKS SENATE INQUIRY GROUP TO ACT ON SPECULATION IN STOCK DEALS MR 11, 24-1
- EX HEAD OF SEARS, ROEBUCK & CO., GEN. R. F. WOOD, SAYS STOCK MARKET IS TOO RISKY NOW MR 11, 24-1 5*
- SENATE QUIZ TO CONTINUE DESPITE STOCK DIP BOOM OR BUST?—BEHAVIOR OF STOCK MARKET—EDIT. MR 12, 18-6
- SENATE INQUIRY EYES MARGINS IN STOCK DROPS MR 13, 8-22-1
- WM. MCCHESNEY MARTIN JR. TELLS SENATE BANKING COMM. OF HIS FEARS OF STOCK SPECULATION MR 13, 8-23-6
- LAW AND THE MARKET—EDIT. MR 14, 15-7
- SEN. CAPEHART DEMANDS THAT SENATE BANKING COMM. STOP INVESTIGATING STOCK PRICES & LOOK INSTEAD FOR EVIDENCE OF POSSIBLE MARKET RIGGING MR 15, 22-2
- SEN. CAPEHART DEMANDS END TO SENATE BANKING COMM. INQUIRY INTO ABOVE MR 15, 6-1
- J. A. LIVINGSTON FINANCIER WARNS THAT SENATE INQUIRY MAY BRING DOOM TO BOOM IN STOCKS MR 15, 6-1 5*
- FERDINAND EBERSTADT AND JAMES B CAREY SEE PERIL IN STOCK CLIMB MR 16, 5-1
- GM OFFICIAL HARLOW CURTIS SEES RISE IN AUTO COMPETITION MR 17, 11-5
- MR 18, 4-2

-----1955-----

Mr 19, 1-6

SEN. FULBRIGHT, BLASTS SEN. CAPEHART FOR HIS CRITICISM OF HIS HANDLING OF STOCK PRICE INQUIRY

Mr 21, 15-1

SEN. CAPEHART BLASTS ECONOMIC JOHN CALBRAITH; CALLS HIM COMMUNIST

Mr 22, 24-2

TESTING THE FENCES-EDIT.

Mr 21, 15-1 5*

BENJ. FAIRLESS SAYS STEEL INDUSTRY NEEDS INVESTORS

Mr 22, 12-3

RALPH DENMLER, CHR OF SEC, SAYS BROKERS OBEY LAWS

Mr 23, 1-4

BERNARD M. BARUCH TESTIFIES BEFORE SENATE BANKING COMM. ON ABOVE ISSUE

Mr 24, 61-6

SENATE QUIZ TURNS TO SPECIAL PHASES OF STOCK MARKET NEXT

R 31, 32-8L

SEN FULBRIGHT SAYS HE WILL RESUME INVESTIGATION OF ABOVE IN 1 MO.

AP 19, 20-6

SEN. FULBRIGHT SAYS HE BELIEVES NATION IS "EXPERIENCING BEGINNING OF EXCESSIVE SPECULATIVE ACTIVITY IN STOCK MARKET"

My 23, 36-8 BB

SENATE BANKING COMM. BEGINS REVISION OF DRAFT REPORT OF FINDINGS IN ITS RECENT INQUIRY OF THE STOCK MARKET

My 26, 1-3 BB

MAJORITY OF THE SENATE COMM. WHICH INVESTIGATED THE STOCK MARKET REPORTED TODAY THERE "HAS BEEN AN HIKE IN UNHEALTHY SPECULATIVE DEVELOPMENTS"

-----1962----- F 6, 9-11-4

GOV'T STUDIES POSSIBLE MANIPULATION OF STOCK MARKET PRICES THRU CORPORATION PUBLICITY DRIVES

Mr 19, 9-7-3

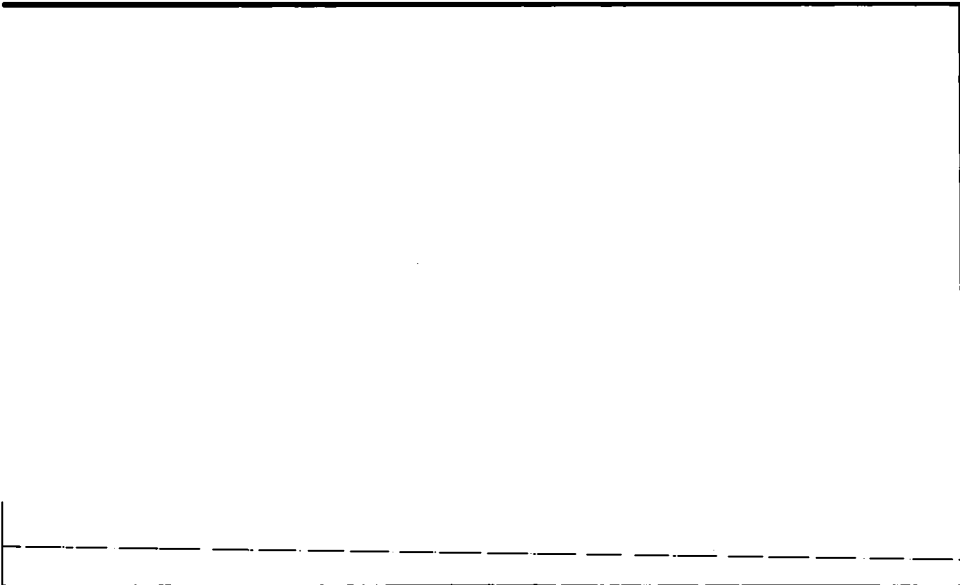
SEC EYES PUBLICITY IN "HOT" STOCKS

STOCKS : PRICES : INQUIRY

1967

MY 29, C-8-3

NY-1ST INDICTMENT IN YR LONG INVESTIGATION OF MARKET PRICE RIGGING
EXPECTED TO BE HANDED DOWN NEXT WK BY FEDERAL GRAND JURY



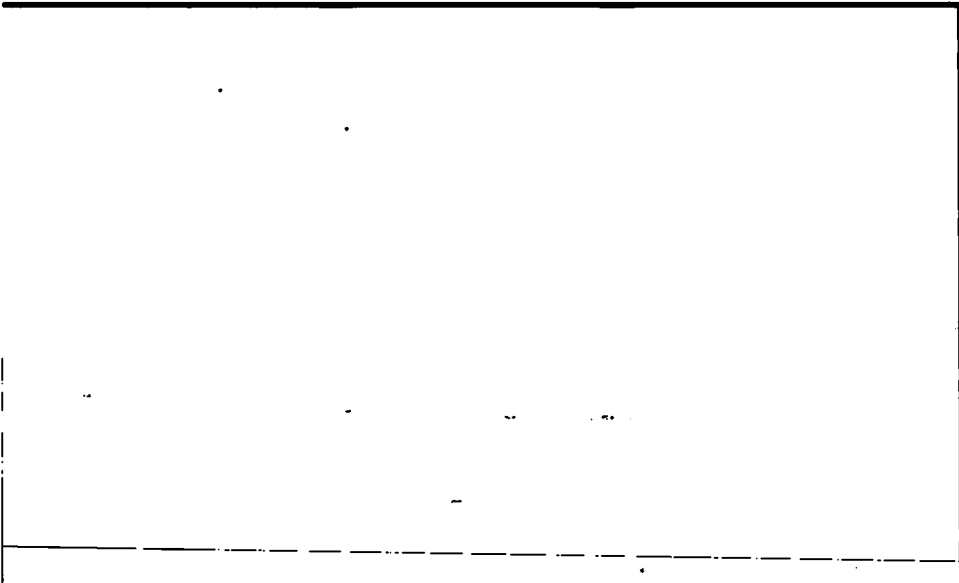
STOCKS: PRICES: ITALY

1960

MILAN-TEMPERS FLARE IN PRICE DROP OF ITALIAN STOCKS D 12, 9-14-1



- STOCKS ON RISE IN BACKWARD JAPAN= F 13, 37-7
- 1956----- Je 6, 34-8
- TOKYO NEW HIGH SET ON STOCKS ON TOKYO EXCHANGE
- 1959----- F 11, 66-7
- TOKYO STOCK EXCHANGE REACHES NEW HIGH-----1963-- N 25, A-3-2
- TOKYO STOCK EXCHANGE OPENED WITH HEAVY SELLING ON WIDE RANGE OF ISSUES
& SHARP DROPS IN PRICES SINCE DEATH OF PRES KENNEDY N22
- N 26, C-5-7
- TOKYO-TOKYO EXCHANGE GRADUALLY RECOVERED TODAY FROM SHOCK OF PRES KENNEDY
ASSASSINATION
- 1967--- F 9, C-8-8
- TOKYO-TOKYO MARKET SUFFERED SETBACK
- 1981--- Ag 19, C-6-1
- TOKYO STOCK DIVE DUE TO U.S WAGE AND PRICE FREEZE CALL
"WORST PANIC SINCE WAR."



STOCKS : PRICES : PRESEDENTIAL YEARS

1936

Form 1656

N 4, 38-1

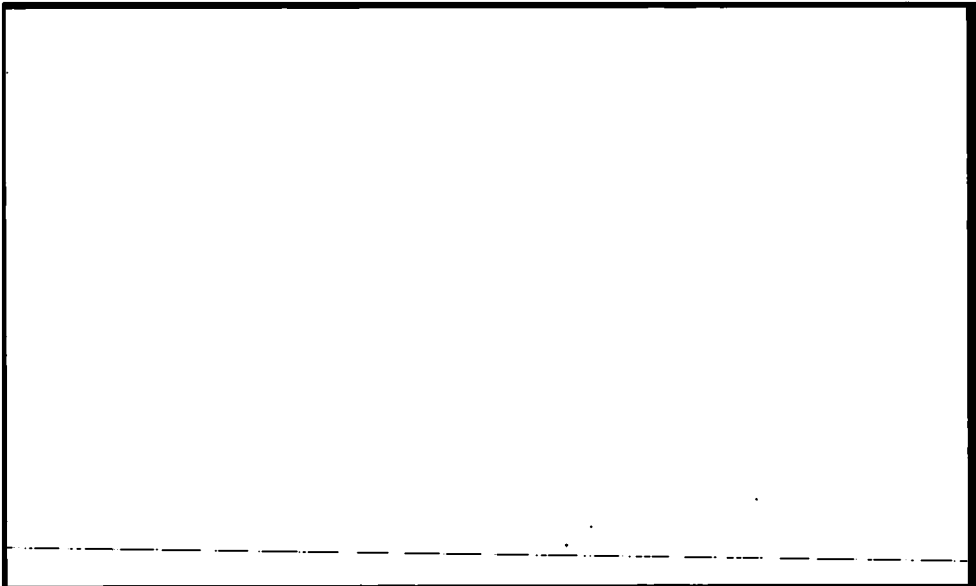
STOCKS JUMP IN FAST TRADE; UPWARD RUSH ENDS INTERVAL OF HESITANCY

N 5, 30-2

ROOSEVELT'S ELECTION SENT STOCK PRICES UP-EDIT

STOCK: PRICES: SERIES BY JOHN T. FLYNN 1937

	Form 1656	0 27,38-4
THE CAUSE OF THE RECENT DROP IN PRICES		0 28, 40-4
TAXES & CURTAILED GOVT CREDIT MAKE NEW BOOM UNLIKELY		



STOCK: PRICES: SERIES BY J. A. LIVINGSTON

1962

1ST IN SERIES-THE MERCURIAL MARKET-CRASH FORESHADOWED; STEEL ROW SET
IT OFF

JE 6, A-1-6

JE 7, D-10-1

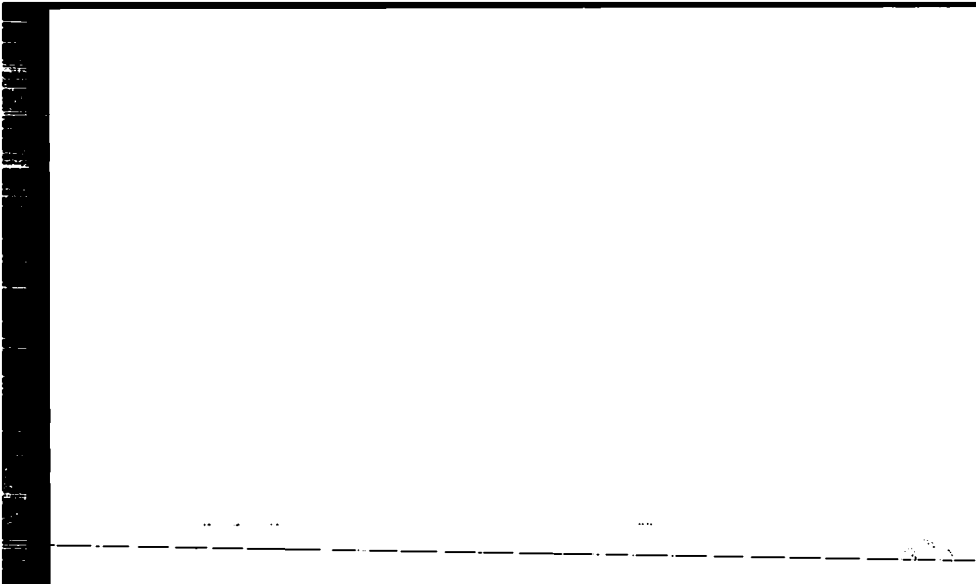
HOW STOCK BUYING CULT GREW TO 15-MILLION SIZE

JE 8, P-11-4

PAST TRENDS GUIDED STOCK PRICES RISE

JE 9, B-4-6

LAST OF SERIES-'29 STOCKS FAILED TO SIGNAL WARNING AFTER 800 YRS



1962

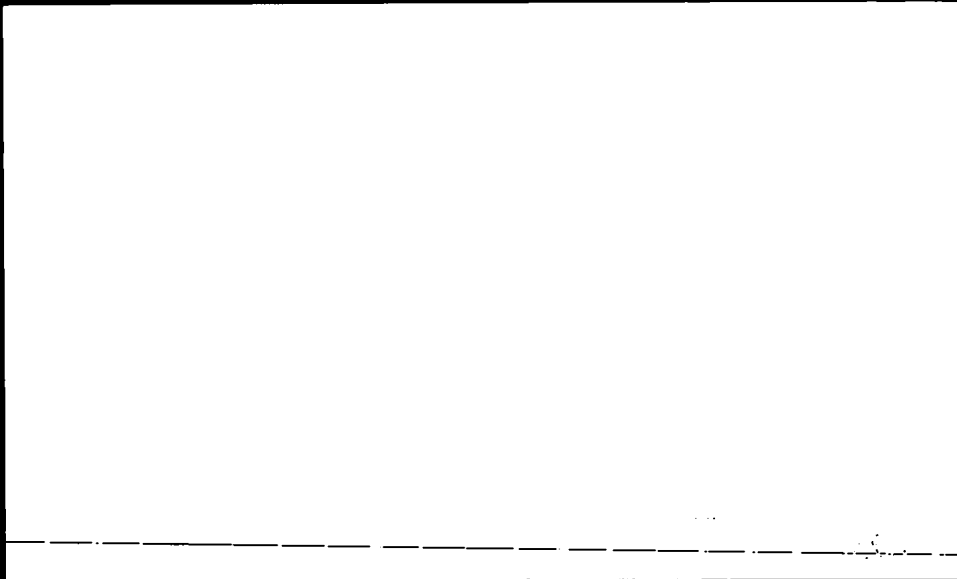
STOCK: PRICES: SURVEY

NY-KEITH FUNSTON SAID SURVEY ALREADY UNDER WAY, TO TRACE SALES & PURCHASES BY GENERAL PUBLIC, INSTITUTIONAL INVESTORS & MEMBER BROKERS & FIRM
-----1969-----

JE 6, C-12-5

MR5, B-14-6

NEW SURVEY BY PAINE WEBBER, JACKSON & CURTIS GIVES INVESTOR ATTITUDES ON STOCK OUTLOOK.



STOCKS: PRICES

1933

Form 1656	The Detroit News	JE 27, 1-1
WILD TRADING SENDS WHEAT ABOVE DOLLAR, STOCKS \$1 TO \$5		JL 7, 1-6
DOLLAR DROPS, STOCKS HIGHER; FIGURES		JL 18, 1-8
COTTON & GRAINS SHARE ADVANCE WITH STOCKS		JL 20, 1-7
LAMBS SHORN IN BREAK IN WHEAT PRICES; STOCKS RECOVER AFTER DROP		JL 20, 1-8
ROOSEVELT WATCHES FLUCTUATIONS IN MARKET		JL 20, 1-7 BBE
SALES AT 8,120,000 AS STOCKS CRASH		JL 21, 1-1
REGAIN FOOTING UNDER HEAVY TRADING		JL 21, 1-1
CRASH CLOSES GRAIN MARKET IN CHICAGO		JL 24, 2-1
ECONOMISTS SEEK CAUSE OF PRICE GAINS		O 16, 1-8 C S
\$2 TO '3 LOSS IN MANY ISSUES; STAPLES DROP		O 23, 2-2
GOLD CONTROL PLAN SENDS PRICES UP		O 23, 1-1 C S
GOLD CONTROL PLAN ADDS LIFE TO EXCHANGES		O 25, 2-8 MAIL
GENE RAL UPWARD TREND O 25		N 20, 1-3 C S
AUTO STOCKS LEAD ADVANCE IN MARKET		D 5, 2-6 C S
STOCKS RALLY IN LIVELY MARKET		D 22, 1-2
ENT UP D 22 ON SILVER COINAGE MOVE---1934---		JA 15, 1-6
ROOSEVELT GOLD MESSAGE TO CONGRESS BOOSTS PRICES		JA 15, 1-8 C S
PRICES UP 1-6 POINTS ON RECORD VOLUME		

--1934--

STOCKS HIT NEW HIGHS IN HEAVY TRADING
HEAVY TRADING SENDS STOCKS UP 1 TO 10 PTS
STOCKS & BONDS UP \$1 TO \$3

PRICES OFF \$1 TO \$4; SALES TOTAL 4,500,000

GRAIN PRICES GO DOWN AS ROOSEVELT IS OPPOSED TO SILVER LEGISLATION
DURING PRESENT SESSION OF CONGRESS

PRICES DROP IN SELLING SPREE; TICKER BEHIND SEVERAL TIMES

SELLING HITS PRICES; ISSUES OFF 1 TO 4 POINTS

LEADING ISSUES AT YEAR'S LOW WITH DECLINES RANGING UP TO \$4

PRICE-TO-EARNINGS RELATIONS SAME AS IN 1929; FIGURES SPEARE

SEC PROTECTING INVESTORS AGAINST ANY WRONG MOVEMENTS

--1935--

JOHN C. ALLEN INVENTS TECHNIGRAPH MACHINE, REGISTERES PRICES

STOCKS UP SHARPLY AS US SUP CT HANDS DOWN GOLD CLAUSE DECISION

US SECURITIES HIT NEW HIGHS FOLLOWING SUP COURT GOLD CLAUSE DECISION

FORMULA TELLING WHEN TO BUY & SELL OIL STOCKS

JA 30, 1-6 C S

F 1, 1-5

R F 5, 1-8 FIN

F 7, 1-4 FINAL

AP 16, 1-4-CP

MY 5, 2-2

MY 5, 1-8 FINA

MY 7, 29-7 C S

MY 13, 4-14-1

D 3, 29-7

JA 24, 38-5

F 18, 1-7

F 19, 1-2

F 22, 33-3

STOCKS - PRICES

1935

Form 1656

US STOCKS DROP ON EUROPEAN NEWS
MARKET IN SHARP CLIMB

STOCKS SWEEP TO NEW TOPS; 2 MILLION SHARES TRADED
MY 28

NEW HIGHS HIT IN MOST LINES

WAR BOOM OR WAR SCARE, WORKS OUT BOTH WAYS IN WALL ST.

1ST WEEK OF SEPT. BOOSTS PRICES UP TO HIGHEST LEVEL IN 4 YRS

MARKETS AWAIT PEACE HINT, SMALL CHANCE

JAS. M. LANDIS UNCERTAIN ABOUT CHECKING BOOM

JAS M. LANDIS, SEC HEAD, UNCERTAIN ABOUT CHECKING POSSIBLE BOOM

SEC TO HEAR CHARGES OF MANIPULATION IN HIDALCO GOLD MINES, INC

STOCK PRICES AT NEW HIGHS IN LATE RUSH OF BUYING

SEC CHARTS TAPE ACTION; MOVEMENT OF FAVORITES CONSTANTLY WATCHED
-EN

STOCKS REACH 4 YR HIGH AS SEVERAL ISSUES JUMP \$1 TO \$5

NEW HIGHS HIT FOR LAST 4 YEARS

BUSY DAY ADVANCES PIVOT ISSUES \$1 TO \$3

STOCKS UP AS BALTIMORE COURT RULES UTILITY DEATH CLAUSE INVALID

MR 18,1-7

MY 16,1-2

AG 9,11-4 BL STR

9; NEW HIGH SINCE

AG 10,1-5

AG 29,27-6-IH

S 7,1-1

0 3,41-6-WORLD SERIES XT

0 11,46-5

0 11,47-6 NITE

0 12,20-4

0 15,1-1 BL STR

0 17,42-2 WGR

U 21,1-3 BL STR

0 23,1-4 BL STR

N 6,1-6 BL STR

N 8,1-1

-----1935-----
 UTILITIES HIT 2 GEAR H.G.H.; POWER ISSUES LIFTED BY WAVE OF BUYING N 8,1-2 BL STR
 PRICES PUSH HIGHER; LARGE BLOCKS TRADED AT ADVANCES N 14,1-4
 N 23,19-3
 MARRINER S ECCLES CALLS STOCK PRICE RISE HEALTHY N 25,28-1
 ECCLES STANDS ON STOCK PRICE RISES RECALLS SIMILAR STATEMENTS IN 1928-HUGHES N 27,1-2
 BULL MARKET SUPPORTED BY NEW DEAL; FINANCIERS WARN OF NEW CRASH-HAYDEN N 29,22-2
 D 15,4-18-3
 MARRINER ECCLES' MARKET VIEWS-EDIT
 32 ISSUES IN NY EXCHANGE TOP HIGHS FOR 1929-PATTON
 -----1936-----
 PROF HAROLD T DAVIS SAYS STOCK MARKET LEVELS TRAVEL IN CYCLES OF 40 MONTHS JA 3,33-7
 JA 8,1-1 BL STR
 SPORTS FEATURE MARKET; TURNOVER EXCEEDS 3 MILLION SHARES JA 22,1-8 BL STR
 BONUS ACTION HELPS STOCKS; SOME ISSUES ADVANCE MORE THAN \$3 F 17,1-4 BL SYR
 SUP CT TVA RULING BLOW TO MARKET; PRICES TUMBLE WHEN DECISION IS BARED F 19,1-3 MAIL
 F 20,1-3 BL STR
 ADVANCE HALTED; MANY AT NEW PEAKS EARLY F 22,6-1
 STOCKS STAGE FRESH SPURT OF \$1-5
 NY STOCK PRICES CLIMB-EDIT

STOCKS - PRICES

1936

Form 1656

F 27,39-8

UTILITY SPREE UNDER QUIZ; EXCHANGE BSKS DATA ON TVA DAY DEALS

F 28,35-1

MARKET RISK GROWING; PRICES UP 20 TO 50% SINCE WARNING BY CHAS GAY-HUGHES

R 19, 40-2 VSC

CHART SHOWING A T & T STOCK FLUCTUATIONS SINCE 1932 AP 21,31-1

WALL STREET VDEALERS ENTITLED TO SUPPORT THE SECURTIES THEY SELL UNTIL INVESTMENT DISTRIBUTION

MY 2,8-2

NYC MERCHANT TELLY WHY MARKET DROPPED RECENTLY-EDIT JE 13,7-5

MICH. STATE ATTY. GEN. RULES CORP. & SECURTIES COMM'N HAS POWER TO CONTROL SALE PRICES OF STOCK

JL 10,33-6-NITE

SLOWLY RISING TREND OF PRICES KEEPS EYES OF TRADERS O 3, 1-2

BRICK BUYING WAVE FLOODS OPENING OF MARKET TODAY O 4,1-14-5-1

VALUES INCREASED \$573,000,000 DURING SEPT. O 13,1-1

GOLD PACT BETW US, BRITAIN & FRANCE LIFTS STOCK PRICES N 5,1-4

ALL STOCK PRICES RISE N 15, 4-17-1

2 FED AGENCIES SHOW CONCERN OVER RISING LEVEL OF SECURTIES PRICES-

CHAS. SPEARE

---1937---

F 11, 1-3

STOCKS CLIMB IN GMC STRIKE SETTLEMENT; GM LEADS RISE F 11, 38-1

STOCKS RESPOND TO G.C. PEACE SETTLEMENT

F 11, 2-3 BS

MOTOR STOCKS RISE ON GM STRIKE PEACE

AP 7, 1-1 BL STR

REPORT US TREAS TO LOWER GOLD PRICE CAUSES STOCK PRICE SLUMP

---1937---

MY 3,28-5

JE 17,1-3-BLST

PRICES DROP, FEW ARE HURT

STEEL, RAIL & MOTOR SHARES SURGE UPWARD

STOCKS FORGE AHEAD AGAIN, MANY REGAIN \$1 TO \$3 OF SHARP LOSSES ON S 10

S 11,1-7

S 13,4-2-BLST

RALLY CUTS STOCK LOSSES

STOCKS BREAK \$2 TO \$8, LOWEST SINCE 1935

MARKET HAS WIPE OUT 25% OF QUOTED VALUES SINCE SUMMER PEAK

O 5,1-6-BLST

O 7,53-6

O 11,1-2-BLST

PRICES CONTINUE TO DROP, MANY SHARES HIT 1937 LOW

O 15,48-8

WINTHROP W. ALDRICH BLAMES PRESENT SLUMP TO GOVT. POLICIES

O 17,1-13-2

70% OF STOCK OWNERS SEE RISE IN PRICES, AIOF POLL

O 19,1-2

STOCKS CRASH STILL LOWER, LOSSES PASS \$9.

O 19,1-2

REP. A. J. SABATH BLAMES STOCK SLUMP TO "WALL STREET CONSPIRACY"

O 19,24-8-SC

MAJ. L. L. B. ANGAS ADMITS HE WAS WRONG ON MONEY MANAGERS

O 19,35-4-BLST

REP. JOHN O'CONNOR ASKS BAN ON SHORT SALES

O 19,34-8-SC

CHAS. G. DAWES SAYS BREAK IN WALL ST. WILL NOT LAST LONG

O 19,1-3-BLST

7 MILLION SHARES TRADED IN RAPID-FIRE

O 20,1-8

BUYERS RETURN TO MARKET, PRICES RISE

O 20,1-4

NEW DEAL URGES TO ACCEPT AID ~~OF~~ OF FOES TO END SLUMP-MOODY

O 20,1-7-8 S

MARKET UP \$1 TO \$6 IN WAVE OF BUYING

O 20,1-6-8 S

ECONOMISTS VIEWS ON STOCK MARKET SITUATION

O 21,1-2

STOCK LOSSES REGAINED: ALL MARKETS SHARE IN PRICE RECOVERY

STOCKS:

PRICES

1937

Form 1656
 RAILROAD SHARES CARRY PRICES UP FR \$1 TO \$3
 PRICES FALL IN EARLY TRADING 0 22
 SHORT SELLERS AGAIN PUT ON GRILL BY PRICE DECLINE-HAYDEN
 STOCKS FALL \$1 TO \$4 OCT.22
 RAILROADS LEAD RETREAT IN PRICES
 PRICES SHOOT UP \$5 TO \$10 IN BUYING WAVE
 PRICES SHOOTING UP & DOWN
 SEC MAY HAVE SOMETHING TO SAY SOON ON MARKET SITUATION-0 28, 1-7
 TAPE ON NY STOCK EXCHANGE FALLS BEHIND
 PRICES CLIMB \$1 TO \$3 AFTER SHIFT IN MARGIN
 NATL.CITY BANK REPORT SAYS MARKET HAS OVERDONE DECLINE- N 5,47-8
 OVER \$4,000,000,000 LOSS ON MARKET DURING OCT, N 10, 1-1 BL STR
 ROOSEVELT'S MORE FRIENDLY ATTITUDE TOWARD BUSINESS BRINGS RISE IN STOCK
 K-MARKET N 22, 1-2 B S
 PRICES BREAK AGAIN:US STEEL AT NEW LOW FOR YEAR
 ---1938--- JA 3,1-4-VSC
 PRICES GO UP A LITTLE AFTER PRES.ROOSEVELT'S MESSAGE TO CONGRESS
 JA 9,4-16-6
 FOREIGNERS BUY MOST STOCK IN 1ST MONTHS OF STOCK MARKET DECLINE IN US

---1938---

PRICES TAKE NEW SLIDE, OFFERINGS OF INDUSTRIAL SHARES HEAVY

STOCKS SKID \$1 TO \$4., LOWEST IN 3 YRS

PRICES DROP \$1 TO \$5.

STOCKS LIFTED \$1 TO \$5 AS GOVT. RE-ORG. BILL LOSES

MARKET UN UPSWING, WAVE OF BUYING STARTS AFTER DEFEAT OF GOVT. RE-ORG. BILL

BUYING WAVE HITS MARKET

PRICES SPURT FORWARD-EDIT

STOCK PRICES SOAR; TRADE VOLUME UP

STOCKS CLIMB FOR 3RD SUCCESSIVE DAY: PRICES TO 3

STOCK MARKET SUSTAINS RISE

PRICES UP \$3 A SHARE

BOOM HIT BY MANY FACTORS

HAROLD L. ICKES SEES H.S. \$320,000,000 AS FACTOR IN MARKET

STOCKS SOAR TO NEW PEAKS

MILLION SHARES TRADED IN FRANTIC HOUR ON MARKET

SELLERS TAME MARKET

INCREASED BUYING LIFTS PRICES

PRE-HOLIDAY BUYING SENDS PRICES UP

HEAVY TRADING LIFTS PRICES AS HIGH AS \$3

PRICES RISE ON BERLIN BOURSE WHEN IT WAS ANNOUNCED JEWS WILL NOT BE FORBIDDEN TO OWN SECURITIES

JA 27,1-5-BLST

MR 29,1-7

MR 29,1-7-BLST

AP 9,1-7

AP 10,1-1-1

AP 14,1-6-BLST

JE 21,1-14-1

JE 21,1-1-BLST

JE 22,2-2 B S

JE 23,1-2

JE 24,1-5

JE 26,1-1-3

JE 26,4-14-8

JE 29,2-5 BS

JE 30,1-6

JE 30,1-4-BLST

JL 2,1-7

JL 3,1-1-5

JL 13,1-2

JL 19,1-2

JEWS WILL NOT BE

Form 1656

O 30,1-2-1

SOUTHERN PACIFIC STOCK SLUMPS A \$1.50
PRICES UP AFTER GOP VICTORIES IN N 8 ELECTIONS

N 9,1-6

---1939---

JA 2,25-2

1938 MARKET MARKED BY SHIFT FR BEAR TO BULL MARKET
WALL ST. REGARDS ROOSEVELT MESSAGE AS CONSTRUCTIVE: MARKET GAINS

-JA 4,1-6 B S

JA 12,2-2-BLST

ARMAMENT STOCKS TAKE DIVE AFTER PRES.ROOSEVELT'S NATL.DEFENSE MESSAGE

JA 18,25-6

MARKET REACTIONS DEFINED

JA 31,1-7

PRICES GO UP IN EUROPE & US AFTER HITLER'S SPEECH

MR 17,1-5-WSC

LEADING ISSUES DROP \$1 TO \$5

MR 18,1-7

LEADING ISSUES CONTINUE DECLINE

MR 22,1-3

PRICES DROP BECAUSE OF WAR SCARES IN EUROPE

MR 31,1-1-BLST

PRICES FALL IN EUROPEAN WAR SCARE

AP 14,34-2-BLST

WALL STREET BELIEVED PREPARED TO ABSORB ANY WAR SHOCK - AP 15,1-2

PRICES RISE AFTER PRES.ROOSEVELT'S PEACE LETTERS TO HITLER & MUSSOLINI

AP 15,1-2

AP 18,1-7

PRES.ROOSEVELT DISCUSSES PRICES IN EVENT OF WAR

-AP 20,39-3

HENRY MORGENTHAU JR COMPLETS PLANS TO EASE WAR SHOCK

AP 28,1-2

HITLER'S SPEECH FAILS TO MOVE NY STOCKS

--1939--

NY STOCK PRICES SLUMP AFTER HITLER'S SPEECH
HENRY W. DUNN SAYS IT'S IMPOSSIBLE TO FORETELL MARKET SWINGS

AP 28, 1-3-BLST

MY 8, 25-2

MY 26, 35-4

J 17, 1-6 B S

J 18, 1-4

J 21, 1-5-BLST

J 22, 1-7

S 1, 2-1

S 2, 19-8-WSC

S 5, 27-8

S 5, 1-3-BLST

S 11, 1-3-BLST

S 12, 1-1-BLST

S 12- S 19, 4-8-BLST

STOCK ISSUERS HELD LIABLE FOR MISLEADING BUYERS

PRICES JUMP \$1 TO \$7 SHARE BEFORE FINAL GONG

GAINS OF \$1 TO \$4 A SHARE RECORDED

PRICES JUMP FR \$1 TO \$3 A SHARE IN WALL STREET

WEEK ENDS WITH BRISK CLIMB IN PRICES

STOCKS DROP FR \$1 TO \$7 A SHARE IN NY

PRICES TAKE ANOTHER SPURT

PRICES JUMP FR 2 TO 16 POINTS

STOCKS SHOOT UP TO OVER \$15 A SHARE

STEEL SHARES JUMP FR \$1 TO \$11

STOCKS SPURT TO NEW PROFIT-RECORDS IN LATE DEALS

STOCKS BOOM AFTER HITLER'S LANZIG SPEECH

--1940--

MR 18, 24-5

SEC OPPOSES PEGGING, FIXING OR STABILIZING OF SECURITY PRICES PERMITTED

BY THE SEC ACT AT PRESENT

AP 9, 1-5-BS

MARKETS ROCKED BY GERMANY'S INVASION OF SCANDINAVIA

MY 13, 1-6 B S

SENT TO SHARPEST DECLINE IN 2 1/2 YRS. BY SELLING WAVE

MY 15, 37-6

SEC WATCH BREAKING STOCK & COMMODITY MARKETS

MY 16, 1-6 BS

DEFENSE TALKS LIFTS STEEL, AVIATION SHARES

STOCKS - PRICES

1940

Form 1656

NY 17, 1-4 CM

NAZI WAR GAINS BRING LOWER PRICES

NY 18, 1-5

STOCKS BREAK BUT RECOVER SLIGHTLY

NY 21, 1-1 CM

REELS UNDER HEAVIEST WAVE OF SELLING OF WAR PERIOD

JE 26, 29-2

COMEBACK OF BOND PRICES OPENS WAY FOR NEW BOND OFFERINGS

JL 25, 40

6 REASONS FOR MARKET TRADING BEING DULLEST IN 22 YRS.

S 5, 41-4

STOCKS GAIN HALF OF GROUND LOST SINCE GERMAN CONQUEST OF FRANCE

O 31, 2-6 BS

STEELS, AIRCRAFT, RAILS PAGE BORAD UPTURN ON EXCHANGE

-N 7, 1-1BS

MARKET RUNS UP MANY GAINS FROM \$1 TO \$8 SHARE

---1941---

JA 5, 4-8-2

TABLE TRANSACTIONS INACTIVE STOCKS & BONDS ON N' CURB EXCHANGE FOR 1940

JA 5, 4-16-2

TABLE TRANSACTIONS IN STOCKS ON NY STOCK EXCHANGE IN 1940

JA 5, 4-1

TABLE TRANSACTIONS ON DET. STOCK EXCHANGE IN 1940

JA 5, 4-16-1

WAR & POLITICS DOMINATED STOCK MARKET OF 1940-HUGHES

-JA 5, 4-8-1

DEFENSE ISSUES STOOD OUT AS 1940 FAVORITES

MR 6, 37-8

AVERAGE PRICE OF ALL SHARES LISTED DECLINED TO \$27.08 AT END OF FEB

O 28, 27-7

CHASTER T. LANE WARNS BROKERS AGAINST PRICE-RAISING DEALS ON SECURITIES

N 28, 36-1

LONDON PRICES HIT HIGHEST LEVELS SINCE SPRING OF 1939

---1941---

D 8, 34-1

D 8, 34-1 BS

GO TO WAR-EUBAI

D 8, 34-5 BS

NO SERIOUS DISTURBANCES WILL OCCUR

D 30, 1-4 BS

MARKET SLUMPS THEN RALLIES AFTER US WAR ENTRY

SECURITIES TUMBLE & COMMODITIES SOAR AS U.S. & JAPAN

FINANCIAL INSTITUTIONS BELIEVE

CAUSE OF WAR

YEAR-END BUYING WAVE LIFTS PRICES

---1942---

JL 30, 38-6

SEC PROPOSES RULE REQUIRING OVER-COUNTER DEALERS DISCLOSE

ASKING PRICES

O 27, 27-3

NATL. ASSN. OF SECURITIES DEALERS, INC. PROTEST RULE ON DISCLOSING OF

MARKET PRICES

---1943---

JA 3, 4-10-1

WAR NEWS RULED PRICES ON WALL STREET IN 1942

F 8, 25-5

PLAN TO CHECK BOOM CONDITIONS SUBMITTED TO SEC

S 28, 26-5

SEC CHALLENGES LEGALITY OF RESALE PRICE MAINTENANCE AGREEMENTS IN

LIC OFFERING OF SECURITIES BY NASD

O 26, 27-6 BS

DEALERS OUTLINE STAND ON SECURITY PRICES

---1944---

MY 11, 38-1

LONDON BULL MARKET REFLECTS CONFIDENCE

JE 6, 11-8

LONDON MARKET FIRM AS INVASION BEGINS

JE 6, 11-8

NY STOCKS MAINTAIN LEVELS ON D-DAY

D 27, 22-1 BS

WAR EVENTS DEPRESS STOCK SALES

---1945---

JA 23, 19-2

SHARE VALUE ON CURB IN '44 INCREASE

F 3, 13-7

FEDERAL RESERVE BOARD RAISES MARGIN RULES FOR BUYING REGISTERED SECURITIES

STOCKS - PRICES

1945

NY-MARKETS WITH FEDERAL CONTROLLED PRICES ARE MUCH STABLER-SPEARE F 11, 4-6-6
 STOCK VALUES DROP WITH CROSSING OF RHINE BY YANKS MR. 8, 1-3 FINAL
 SEC DENIES NAT. ASSN. SECURITIES DEALERS RIGHT TO FINE OVER PRICE-MANIP- JE 13, 23-4
 TENANCE CONTRACTS JE 16, 13-8
 CLEVELAND-BRIG-GEN LEONARD P. AYRES SEES DANGER IN LOW VALUE OF RR SHA JL 27, 23-8
 RES AG 2, 34-7
 BRITISH MARKETS SHOW ELECTION RESULT DISAPPOINTMENT AG 5, 1-6-1
 SELLING WAVE HITS BRITISH MARKETS WITH ATTLEE ELECTED GOVT. AG 13, 17-8
 BRITISH STOCKS SLIDE DUE TO ELECTION RESULTS-EDIT. S 2, 4-7-1
 BRITISH MARKETS APPEAR CHEERFUL WITH NEWS OF JAP PEACE OFFER
 MARKET SATISFACTORY SINCE JAP SURRENDER NEWS-SHIVELY
 ---1946--- JA 28, 1-2
 HAVE BUYING BOOSTS ISSUES \$1. TO \$6 AP 6, 17-5
 LISTED STOCKS ON NY EXCHANGE UP \$2.09 IN MARCH JL 1, 1-3 BS 2
 STOCKS JUMP 1 TO 4 POINTS WITH DEATH OF OPA JL 6, 15-7
 AVERAGE PRICE ALL SHARES DOWN \$2.45 IN JUNE ON NY STOCK EXCHANGE
 \$3, 1-4 BBX
 HEAVY POSTHOLIDAY SELLING CRUMPLED LEADING STOCKS \$1 TO \$14 TODAY
 \$4, 1-5
 MARKET DEVELOPS INDIVIDUAL RALLYING TENDENCIES- \$4, 31-1
 NY STOCK LIST LOSS ESTIMATED AT \$4 1/2 BILLION IN SEP 3 BREAK-

---1946---

SLUMP TRACED TO LAG IN BASIC PRODUCTION-LAWRENCE

RALLY CONTINUES

STOCK MARKET TURNS LOWER TODAY

REP SABATH BLAMES GOP FOR STOCK TUMBLE

SEC DENIES SHORT SALES CAUSED STOCK DIP-

STOCKS SLUMP AGAIN:MANY AT NEW LOWS

STOCKS BREAK TO NEW LOWS FOR MORE THAN YEAR BEFORE NOON TODAY

STOCKS RALLY AFTER HENRY WALLACE'S RESIGNATION

SEC TO MAKE STUDY OF SEPT.3 STOCK BREAK

DECLINE OF MARKET SEEN AS START OF READJUSTMENT-LAWRENCE

SHOW GAINS FOR WEEK FOLLOWING 6-WEEK RECESSION

BLACK MARTKETEERS LOSE IN BIG STOCK EXCHANGE GAMBLING COUP-

MARKET MOVES SHARPLY UPWARD AS MEAT CONTROL ENDS

WYMOND CABELL BLAMES FED RES BD FOR STOCK MARKET DEBACLE OF LAST MONTH

DECLINED \$1 TO \$5 A SHARE TODAY FOLLOWING COTTON EXCHANGES BREAK

SHARES RISE \$2 TO \$15

COTTON PACES PRICE ADVANCE

IRREGULARLY HIGHER PRICES PREVAIL AFTER ACTIVE START

STOCKS DROP UP TO \$4 A SHARE TODAY

\$ 5, 26-3

\$ 5, 1-1

\$ 6, 1-3

\$ 6, 1-3

\$ 8, 1-25 2*

\$ 9, 1-1

\$ 10, 1-7

\$ 20, 2-3 BS 2

\$ 24, 3-2

\$ 26, 12-4

\$ 27, 1-1 FINAL

0 4, 36-3

0 15, 1-8 BS 2

0 29, 28-8

0 30, 1-8 BS 2

0 31, 1-4 BS 2

N 1, 1-4 BS 2

N 2, 15-5

N 6, 1-2 FINAL

HEAVY SELLING HITS STOCK MARKET TODAY

INQUIRY OF SEPT. MARKET BREAK NEARS COMPLETION

PRICES ON NY STOCK EXCHANGE SPURT UPWARD AS COAL STRIKE ENDS

STOCKS RISE 1 TO 4 POINTS AS COAL STRIKE ENDS

---1947---

PRICES LIFTED FEW CENTS BY SHORT-LIVED GUST OF BUYING

PRICES DROP THEN CLIMB IN HEAVY SELLING

STOCKS DIVE TO NEW LOWS WITH HEAVY SELLING

MARKET KEPT PRETTY WELL BALANCED AP 26

REP SABATH TELLS CROOKED MANIPULATORS ON WALL ST.

EMIL SCHRAM ASKS REP. SABATH GIVE PROOF OF MANIPULATION

UP \$1 TO \$4 INX AND MORE A SHARE

SEC SAYS SEPT. 3, 1946 MARKET BREAK WAS NOT MANIPULATED

---1948---

NEW SELLING GREASES WAY TO FURTHER SLIDE IN PRICES

LONDON STOCK MARKET TOOK NOSE DIVE TODAY

FALL OF MARKET STIRS DEBATE IN D.C. - M. HAYDEN

FOLLOW COMMODITIES IN ANOTHER SHARP TUMBLE

HEAVIEST TRADING IN 15 YRS HIT MARKET TODAY

N 21, 1-1 FINAL

D 5, 50-3

D 9, 1-1

D 9, 1-7 BS 2

MR 6, 1-7 FINAL MO

MR 7, 1-6 BS 2

AP 14, 1-2 BS 2

AP 27, 4-15-2

MY 22, 54-1 BS 2

MY 23, 38-1 BS 2

JE 11, 1-4 FINAL

AG 22, 38-3 BS 2

F 5, 1-5

F 4 SLUMP

F 6, 1-4

F 7, 2-1

10, 1-6 BS 2

MY 16, 1-1-7 5-MO 1

---1948---
LONDON-DEFEAT JAN. SMUTS IN S. AFRICA ELECTION DRIVES DOWN GOLD & INDUSTRIAL SHARES

STOCK PRICES RISE UP TO \$1 A SHARE
STOCKS BROKE SHARPLY ON NY EXCHANGE TODAY
STOCKS DROP FROM \$1-\$9
FINANCIAL MARKETS UPSET BY INCREASING UNCERTAINTY-LAWRENCE

MARKET VALUE OF STOCKS OFF \$1.22 A SHARE IN SEPT.
STOCKS CLIMB \$1 TO \$5 SHARE

MARKET SKIDS AT OPENING IN RAPID TRADING
ROGER BABSON FORESEES CONTINUED DECLINE IN STOCK MARKET
STOCKS FALL; SALES HIGHEST SINCE 1939

STOCK PRICES GAIN AFTER 1-DAY FALL
PRICES TUMBLE \$1 TO \$6 IN NEW SELLING DRIVE
PRICES RALLY BRISKLY

MARKET MAKES RECOVERY
MARKET WILTS AGAIN TODAY UNDER NEW SELLING BLAST
V. LEWIS BASSIE SCOFFS AT STOCK MARKET AS BUSINESS BAROMETER

MARKET DROPS TO NEW LOW SINCE POST-ELECTION BREAK

---1949---
STOCK MARKET SWIRLS HIGHER TODAY AT PACE EQUAL TO BEST IN 10 MONTHS

MARKET ADVANCES \$1 TO \$3 A SHARE
FORECASTERS GIVE PREDICTIONS ON MARKET ACTION IN 1949-ASSETT

MY 28, 37-1
JL 19, 1-5
JL 19, 1-6 BS 2
JL 20, 6-4
O 8, 28-1
O 22, 1-5 BS2
N 3, 1-5
N 3, 1-8 FINAL MO
N 3, 1-5 BS 2
N 4, 1-1
N 5, 1-6 BS 2
N 6, 18-1
N 7, 1-2-6
N 9, 1-1 FINAL
N 21, 4-18-7
N 24, 1-2 FINAL
JA 6, 1-3 LATE FINAL
JA 7, 1-1 BS 2
JA 13, 23-1
JA 13, 23-1

STOCKS. PRICES

MOSCOW PRESS NOTES DROP IN PRICES ON NY EXCHANGE - AP 21, 2-5 BBX
 MARKET TAKES ONE OF WORST POUNDINGS OF YR., PRICES DIVE IN SELLING WA-
 VE NY 18, 52-5
 SEC TELLS SEN. SUBCOM. PRICE MANIPLATION CASES GOING UNDETECTED DUE TO
 LACK OF STAFF TO MAKE CHECK JE 6, 1-3 BS 2
 PRICES DECLINE TO NEW 2-YR. LOW JE 8, 54-1 BS 2
 AVERAGE SHARE PRICE DOWN \$1.19 IN MAY JE 13, 1-2 BS 2
 BILLION LOSS SEEN AS STOCK HITS NEW LOW JE 14, 1-6
 STOCKS RALLY BRISKLY IN FORENOON TRADING TODAY - NY JE 15, 1-7 BBX
 STOCKS ADVANCE AGAIN JL 28, 60-6 BS 2
 SWISS INTERESTS NIBBLE AT AMERICAN SECURITIES - AG 23, 1-6 FINAL
 STOCKS TOBOGGAN IN MARKET BREAK S 13, 1-2
 PRICES ADVANCED WITH STEEL STRIKE TRUCE S 19, 1-3
 NY STOCKS RALLY AFTER SELLING WAVE IN 1ST REATION TO BRITISH DEVALUA-
 TION OF POUND S 19, 1-8 CITY
 LONDON STOCK EXCHANGE CLOSED TODAY: BROKERS TRADE ON SIDEWALKS
 NY STOCKS DIVE FOR BILLION LOSS S 20, 1-2 BS 2
 STOCKS RALLIED WHEN PRES. TRUMAN ASKED STEEL FIRMS & UNIONS TO EXTEND
 TRUCE TO OCT. 1 S 21, 1-4 BS 2
 STOCK PRICES REACH BEST LEVEL OF YEAR - NY O 27, 1-6 BS 2
 SPECULATORS FORCING PRICES DOWN ON ALL FRONTS EXCEPT AUTO SHARES O 28, 1-7 LATE FINAL

---1949---

N 24, 54-3

CLOSE AT YEAR'S HIGHEST LEVEL

---1950---

STOKES RISE JA 4 WITH PRES. TRUMAN'S MESSAGE TO CONG. -JA 11, 1-8 L.F.
PRICES CLIMB TO HIGHEST LEVEL \$9005-AUG. 1948

STOCKS BROKE SHARPLY TODAY IN SELLING WAVE

JA 12, 1-7 FINAL
JA 13, 1-6 BS 2

PRICES DROP AGAIN

F 8, 38-5 BS 2

VALUE OF NY CURB STOCKS RISES 2% IN YR. ENDED 1949 -MR 15, 1-1 LATE FINAL

PRICES HIT 4-YEAR PEAK MR 15

MR 16, 1-2 LTFINAL

STOCKS UP AGAIN HIGHT IN 4YRS.

MR 30, 1-1 BS-2

PRICE BREAK SHARPLY

AP 19, 1-1 BBX

STOCKS RISE TO 4YR. PEAK

JE 26, 1-6

STOCK MARKET FALLS SHARPLY AS FIGHTING IN KOREA BEGINS -JE 26, 1-4 BBX

STOCK MARKET HIT HARD AS RESULT KOREAN FIGHTING -JE 27, 1-8 BS 2

TRUMAN'S PLEDGE OF SUPPORT FOR KOREA & FORMOSA DROPS STOCKS

JE 29, 1-7 FINAL

STOCKS BREAK \$2 TO \$10 A SHARE IN WAR JITTERS SELLING

JL 12, 1-6

SELLING FORCES PRICE DROP

JL 12, 1-1 BS2

SELLING HIT GM, CHRYSLER; DOWN ABOUT \$4

JL 12, 1-1 FINAL

ECTIC DAY SEES \$1,500,000,000 DROP

JL 18, 1-7 FINAL

PRICES FALL

JL 19, 1-2 BS2

PRESIDENT'S MESSAGE UPS WAR STOCKS

1950

STOCKS : PRICES

MARKET SOARS TO 19-YR. PEAK TODAY
STOCK BREAK LED BY AUTOS, STEEL

MARKET FALLS OVER WAR NEWS
DROP REFLECTS FEAR OF SOARING WAR TAXES - HAYDEN

PRICES ROSE ON ELECTION RETURNS BUT PROFIT-TAKING REDUCED GAINS SHARPLY BEFORE CLOSING TIME

HEAVY BUYING DRIVES MARKET TO HIGHEST AVERAGE IN 20 YRS.

WAR NEWS FROM KOREA SENDS STOCKS SKIDDING

STOCKS RALLY AFTER DIP DUE TO WAR SCARE

PRICES UP AFTER PRES. TRUMAN'S TALK ON REARMAMENT

---1951---

PRICE BREAK EXTENDING TO AROUND \$3 A SHARE TODAY

POWERFUL STOCK MARKET A 1951 FEATURE

NEW STOCK INDEX COMPILED BY SEC

SELLING WAVE HITS WALL ST; STOCKS DROP SHARPLY; SOME SHARES DIP \$6

INDUS. STOCKS SET 21-YEAR HIGH

MARKET EXTENDED ADVANCES IN 1951

STOCKS SUFFER SHARP SETBACK DUE TO BRIGHTER CHANCES FOR PEACE

NY STOCK MARKET SHOWS DROP IN STOCKS

S 22, 1-3
O 26, 1-8 BS 2

N 6, 1-6 FINAL
N 7, 22-7

N 8, 1-6 LF

N 22, 1-8 LATE FINAL

N 28, 1-3 BS 2

N 29, 1-1 BS 2

D 16, 1-6 FINAL

JA 10, 1-3 FINAL

JA 15, 25-7

AP 3, 31-1
MY 15, 1-3 BS 2

AG 6, 1-2 BS 2

JA 21, 23-7

---1952---

F 19, 1-8 LF

F 20, 1-2 FINAL

---1952---

MY 2, 1-4 FINAL

STOCK MAKES BIGGEST ADVANCES IN OVER 5YRS. JL 11, 1-1 BS2
STOCKS RISE -FIRST REACTION TO GOP NOM. EISENHOWER FOR PRES
N 5, 38-1

SURGES AHEAD AFTER WIN OF GEN. IKE TO PRESIDENCY

NA 5, 1-7

MARKET FLOODED BY BUYING ORDERS

N 5, 34-1 BS2

BUYING RUSH AFTER IKE'S ELECTION LIFTS MARKET

-----1953-----

MR 30, 1-5 LF

MR 31, 18-1

MR 31, 1-2 FINAL

AP 1, 28-1

NY STOCKS FALL SHARPLY

NY STOCKS RALLY AFTER DECLINE

NY STOCK MARKET TAKES ANOTHER DROP TODAY

NY STOCK MARKET STEADIES ITSELF TODAY AFTER SEVERAL DAYS OF DROPS

MR 31, 18-1 FINAL

SELLING WAVES CRACK NY MARKET; TAPE FALLS BEHIND AS ORDERS POUR IN

AP 2, 30-1

NERVOUSNESS ON THE EXCHANGE-EDIT.

JE 1, 1-7 BB

STOCK, GRAIN PRICES FALL

JE 4, 34-1 5*

STOCK MARKET FALLS SOME POINTS AS NEWS OF KOREAN PEACE TRAVELS

JL 27, 28-1 5*

NY-MILD SETBACK IN STOCK MARKET GREETED KOREAN TRUCE-

AG 31, 1-3 BB

NY-NEW YORK STOCKS FALL TO 15 MONTH LOW

S 14, 1-6 BB

NY-STOCK MARKET BREAKS SHARPLY TODAY IN LAST MINUTE SELLING WAVE

STOCKS REACH 24 YR HIGH

MR 11, 1-1 LF

RISE IN INDUSTRIAL STOCKS TO THE HIGHEST AVERAGE LEVEL SINCE OCT. 1929
J. HAYDEN

MR 22, 22-7

STOCKS REACH 24 YR HIGH
STOCKS DROP BY 3 BILLION

AP 29, 1-4 BB

JE 8, 1-8 BB

SELLING TO PROTECT PROFITS SEEN AS STOCK DIP CAUSE
SOME PRICES UP FR \$1 TO \$5 A SHARE
AVERAGE PRICES HIGHEST SINCE JUNE, 1946

JE 11, 42-6

JE 16, 1-6 BB

AG 26, 53-7

AUGUSTA, ME.-BOSTON STOCK EXCHANGE PRES. HAR Y BESSE IN SPEECH HERE BLAMES
CAPITAL GAINS TAX RESPONSIBLE FOR HIGH STOCK PRICES

O 12, 32-4

VOTE RETURNS LIFT STOCKS
STOCK PRICES RISE ON VOTE

N 3, 6-3

N 3, 2-2 5*

MAKRET HITS 25 YR HIGH

N 4, 1-2 LF

JA 3, 1-4 LF

GM STOCK HOPS TO \$638,000,000

JA 4, 26-1

GM COMMON STOCK BREAKS MORE THAN 6 POINTS TODAY IN REACTION TO ITS
STRONG RISE JA 3RD

-----1955-----

-----1955-----

STOCK MARKET PRICES WERE MODERATELY LOWER TODAY IN RESPONSE TO HIGHER MARGIN REQUIREMENTS ORDERED BY FED. RESERVE BD.

JA 5, 28-1

PRICES UP TO \$10 IN BREAK
DIVE IN STOCKS BLAMED ON MARGIN BOOST
PRICES RISE AGAIN IN THE STOCK MARKET
SELLING WAVES SWEEP STOCK MARKET
RALLY LIFTS PRICES ON STOCK

JA 5, 1-8L
JA 6, 40-5F

JA 7, 20-1

KA 6, 40-1LF
JA 6, 1-1LF

JA 10, 29-1 LF

NYC-STOCK MARKET CLOSES HIGHER TODAY IN ITS 2ND STRONG DAY OF RECOVERY

JA 17, 1-2 LF

STOCKS TUMBLE 8 POINTS TODAY

JA 24, 1-3 LF

NYC-AIR STOCK RISE SHARPLY

F 11, 36-8

CONGRESS CONCERNED OVER RISE IN STOCK

MR 8, 1-5 LF

STOCK DROP UP TO \$5 IN SELLING WAVE

MR 9, 1-7 LF

STOCK PRICES DIP BUT RECOVER

MR 10, 1-3 LF

NYC-AIRCRAFT & SHIPBUILDING SHARES FAVORED TODAY AS THE STOCK MARKET RALLIED ON BROAD FRONT AFTER 2 DAYS OF SHARP DECLINES

MR 11, 1-5LF

NYC-MARKET DIPS SHARPLY TODAY

MR 14, 1-2 LF

NYC-SELLING WAVES PLUNGE STOCKS

MR 15, 1-4

STOCKS RALLY UP TO DOLLAR AT OPENING

MR 15, 1-1 LF

NYC-MARKET REGAINS \$2 BILLION OF LOSS

NYC-F RD MOTOR PACT WITH UAW SENDS STOCKS UP	JE 6, 1-6 BB
NYC-GM STOCK SOARS 145/8 PTS. AT THE START	JL 6, 30-1
HARRY COMER SAYS STOCK PRICES ARE NOT TOO HIGH	AG 3, 31-7 5*
STOCKS RALLY PARTLY AFTER SEVERE DROP DUE TO ILLNESS OF PRES. EISENHOWER	S 26, 32-1
NYC-PRICES DIVE, SALE ORDERS AM MARKETS DUE TO ILLNESS OF PRES. EISENHOWER	S 26, 1-1
BRITISH SHARES OFF IN AMERICAN STOCK MARKET	S 26, 32-1 LF
STOCK PRICES TUMBLE IN ALL SECTIONS OF THE WORLD	S 26, 32-1 LF
SEC HUMPHREY ASSURES STOCKMARKET THERE WILL BE NO CHG IN POLICIES DUE TO PRES. ILLNESS	S 26, 2-5 LF
MARKET DIVE DROPS PRICES BY 9 BILLIONS DUE TO PRES. ILLNESS	S 26, 1-1 LF
GOOD NEWS ABOUT IKE HEALTH CAUSES STOCKS TO RISE-	S 27, 1-5
GM STOCKS FEATURE NEW MARKET RISE	S 28, 1-3
BUYERS RUSH FOR BARGAINS ON STOCK MARKET	S 27, 1-8 LF
NYC-BULL MARKET FACES NEW TEST TODAY	S 28, 72-1 1H

-----1955-----

S 28, 1-2 LF
S 29, 36-7

NYC-STOCK UP AGAIN

RE-ACTION OF STOCK MARKET TO PRES. IKE'S ILLNESS-J. HAYDEN

O 3, 1-7

NYC-STOCK MARKET DECLINES SHARPLY TODAY

O 3, 1-3 LF

NYC-PRICES ON STOCK EXCHANGE DROP MORE THAN \$4 A SHARE TODAY IN ACTIVE DEALINGS

Q 10, 1-1 LF

NYC-STOCK MARKET DROPS SHARPLY TODAY

Q 12, 1-2 LF

F 8, 1-2 LF

GM LEADS IN \$2 BILLION MARKET RISE -----1956-----

ABOVE DROP WITH THREAT OF ANTI TRUST SUITS

F 15, 36-1

NYC-STOCK MARKET LEAPS AHEAD ONE TO 6 POINTS IN HEAVY EARLY TRADING TODAY

F 15, 1-8 LF

ABOVE CHG. WITH PRES. HEALTH-M HAYDEN

F 17, 2-5 LF

NATURAL GAS STOCKS DIP, THEN RECOVER

Mr 12, 1-1 LF

NYC-STOCKS RISE TO HIGHEST LEVEL EVER TODAY

My 29, 1-3 BB

NYC-STOCKS UP STRONGEST SINCE 1955

JE 8, 1-3

NYC-STOCKS SLUMP THEN RECOVER AT NEWS OF IKE'S ILLNESS

JE 9, 14-6 1H

NYC-STOCKS LOSE \$3.2 BILLIONS IN NEWS OF PRES. IKE'S ILLNESS

JE 10, 4-20-2

IKE'S RECENT ILLNESS & ITS EFFECT ON STOCK MARKET-TUTTLE

STOCK : PRICES

1956

NYC-STOCKS SAG AS MIDEAST CLASH BREAKS OUT

0 29, 1-7 LF

NYC-STOCKS RALLY AFTER PLUNGE DUE TO BOMBING OF EGYPT

0 31, 1-7 LF

NYC-STOCKS EASE AFTER EARLY FASTCLIMB

N 8, 54-5 1H

OIL SHARES SLUMPING IN STOCK MARKET

N 25, A-8-1

NYC-MORE PAPERS PRINT ABOVE PRICES -----1957----

D 10, 36-1 5*

IRRACTIC MOVEMENTS IN STOCKS MARK '56-TUTTLE

JA 6, D-8-1

NYC-STOCKS FALL ON WARNING OF DEPRESSION

F 5, 1-5 LF

IKE AIDES ALASTS EX-PRES. HOOVER FOR HIS WARNING OF DEPRESSION AREA WHICH CAUSED DROP IN STOCKS-M. HAYDEN

F 6, 1-7

NYC-PRICES DIP TO NEW LOWS AS SALES SWAMP MARKET

F 11, 1-6 LF

NYC-STOCK DROP, THEN RALLY

F 12, 1-1

NYC-STOCK DROP TOUCHED OFF BY FEAR OF CONTROLS-ROGERS

F 16, 7-1

MARKET RECOVERS AFTER TUMBLE-TUTTLE

F 17, D-10-2

KEY STOCKS SLUMP THEN PARTLY REGAIN

JE 10, 1/5

NYC-STOCKS FALL, BUT REGAIN PART OF LOSS

JE 10, 1-6 3B

RETURN OF HEALTH TO PRES. IKE, GIVES STOCKS LIFT

JE 11, 54-1

-----1957----- S 14, 7-1

SIGNS POINT TO RALLY IN STOCKS,BUT WHEN?-ROGERS

O 7, 32-1

STOCK MARKET RE-ACTION TO RUSSIAN LAUNCHING FIRST EARTH SATELLITE

O 21, 31-1 LF

STOCK PRICES OFF SEVERLY,TRADE JUMPS

O 22, 1-8 1H

NYC-STOCK MARKETS STOCK DIVE O 21ST ,FIRST HAD ONCE SINCE 'S 26, 195

O 23, 1-8

INVESTORS SEE TURN FOR BETTER-TUTTLE

O 23, 1-7

NYC-STOCK BUYING MOVE SENDS STOCK MARKET SPIRALING UPWARD TODAY

O 23, 72-1

BUYING RUSH LIFTS MARKET

O 23, 1-1 LF

NY-STOCKS HAVE BIGGEST UPWARD SWING SINCE NOV.14,1929

N 4, 32-1

NYC-SPUTNIK II DROPS EARLY : IST SHARPLY

N 14, 60-1

NYC-PRES. IKE'S SPEECH ON SATELLITES N 13TH PUSHES AIR STOCK ISSUES

N 15, 1-8

NY-SHARPLY HIGHER DUE TO 4 FED.RESERVE BANKS LOWERING DISCOUNT RATE

N 15, 1-1 LF

NYC-STOCKS UP \$4 MILLION

N 26, 1-6 LF

NYC-STOCKS HIT HARD,TAPE FALLS BEHIND DUE TO PRES. IKE'S ILLNESS

N 27, 2-2

~~STOCK MARKET RALLIES TODAY AFTER NEWS OF IKE'S ILLNESS~~

STOCKS - PRICES

1957

IKE'S ADVISERS STUDY SLUMP
RALLY WIPES OUT HUGE LOSSES

NYC-MISSILE FIRMS STOCK DROP WHEN U.S. SATELLITE VANGUARD FAILED

NYC-STOCKDROPS AS VANGUARD STATE LITE FAILS

NYC-STOCKS GO DOWN \$1. TO \$4

N 27, 0-1 IH
N 27, 1-8 LF

D 6, 1-8 LF

D 6, 52-1 LF

D 17, 1-1 LF

-----1958-----

JA 16, 1-8

STOCK MARKET ROSE TODAY IN RESPONSE TO FED. RESERVE BD. ACTION IN CUTTING MARGIN REQUIREMENTS FROM 70 TO 50 PER CENT

JA 16, 1-5 LF

STOCK PRICES STEADY AFTER MARGIN CUT

JA 22, 1-1

BONDS, STOCKS CLIMB ON CUT IN BANK RATE

F 3, 1-1

NYC-CHRYSLER CORP. STOCK UPS 1½ POINTS

F 3, 26-1

NYC-U.S. LAUNCHING 1ST SATELLITE SPURRED INCREASE IN AIRCRAFTS & MISSILE STOCKS

F 12, 1-7 LF

NYC-STOCK STILL DROP DESPITE IKE'S OPTIMISM

JE 2, 1-1 DB

STOCK MARKET CLIMBS TO YEAR'S PEAK

JL 15, 1-4

OILS HARDEST HIT AS STOCK DROPS BECAUSE OF MIDEAST SITUATION

JL 24, 41-7

NYC-STOCK SOARS AFTER CANCER DRUG ERROR

STOCKS SURGE TO '58 HIGHS

STOCKS DIP, BUT RALLY AFTER MARGIN HIKE

STOCKS SOAR TO HIGHEST IN HISTORY

NEWARK-MARKET SURGES TO ALL TIME HIGH

INFLUX OF SMALL INVESTORS IN STOCK MARKET-J. HAYDEN

NYC-WALL STREET DIP WORST IN 3 YEARS

NYC-STOCKS SOAR TO ALL TIME PRICE PEAK

NYC-INFLATION CALLS SPUR TO STOCK RISE

NYC-STOCKS HIT NEW ALL-TIME HIGHS

NYC-STOCK MARKET PRICES SET RECORD HIGH TODAY

NYC-STOCK MARKET FELL AS HIGHLY FAVORED ROCKET & SPACE AGE SHARES FALL

NYC-STOCKS CHALK UP \$1.9 BILLION GAIN

J.A. LIVINGSTON CAUTIONS OF STOCK RISE-LIVINGSTON

NYC-STOCK MARKET KNOCK'D DOWN TODAY FOR ITS BIGGEST LOSS SINCE Nov. 24

NEW YORK-AUTO SHARES JOIN SHARP DROP IN STOCK LIST

STOCK RISE TIPPED BY "FRIENDLESS 20"-LIVINGSTON

-----1958----- J 30, 1-7 RR

Ag 5, 1-2

S 16, 1-5 RR

S 19, 1-3 RR

S 29, 18-7

N 24, 1-1 LF

F 20, 1-7 LF

Mr 4, 70-7

Ap 17, 1-6 LF

Ap 27, 1-8 LF

My 7, 2-7 LF

My 8, 1-8 LF

My 22, 59-1

Je 8, 1-8 LF

Je 8, 30-1 LF

Je 5, 37-4

STOCKS: PRICES

1959

WORST STOCK DIP SINCE 1955

STOCK MARKET REBOUNDS SHARPLY TODAY

REBOUNTING STOCKS GAIN 3RD OF LOSS-

STOCKS FEEL DRAG OF STEEL DEADLOCK-S.DAWSON

STOCKS TAKE BIGGEST CLIMB IN 21 MONTHS

SEPT.SIGNALS MARKET CHANGES-LIVINGSTON

NYC-STOCKS LOSE \$5 BILLION

NYC-ODDS RISE ON DROP IN PRICES OF STOCK-DAWSON

LEGAL ACTION IS U.S. PLAN ON "HOT STOCKS"

NY C-30TH ANNIV.OF BLACK TUESDAY, STOCK MARKET CRASH-DAWSON

NYC-STOCK MARKET DECLINED TODAY

QUIZ CURTISS-WRIGHT CORP ABOUT STOCK FLUCTUATION

NYC-AMC AMONG TOP '59 STOCKGAINERS-DAWSON

NYC-STOCK DROP RANGE UP TO \$2

AG 10, 1-4 LF

AG 11, 1-3

AG 11, 1-7 LF

AG 12, 29-5

AG 20, 1-8 LF

S 14, B-9-1

S 21, A-1-3 L

O 22, C-19-2

O 23, B-12-8

O 25, A-1-4

N 16, A-1-3 LF

N 28, A-6-3

JA 7, C-6-6

JA 11, A-1-4 LF

---1960---

PRES. IKE ECONOMIC REPORT HELPS TO DEFLATE STOCKS-

JA 25, R-7-1
LIVINGSTON

STOCKS DIP; TOP ISSUES AFFECTED

F 8, A-1-3 LF

WANING INFLATION BRINGS STOCK DROP-LIVINGSTON

MR 28, R-6-1

STOCK MARKET DELINED SHARPLY TODAY

AP 25, R-6-5 FIN 5

AUTO STOCKS DOWN AS CAR SALES RISE-LIVINGSTON

JL 1, R-11-4

STOCKS DIP TO NEW LOW FOR YEAR

S 19, A-1-6 LF

WALL STREETERS CALM OVER BIG STOCK DROP

S20, A-3-6 MET 2

STOCK MARKET SCORES ONE OF BEST ADVANCES OF YR

S 30, A-1-1 FIN 6

STOCKS HIT LAW ON WALL STREET-LIVINGSTON

O 3, B-7-2

DOW INDUSTRIALS NEAR '60 LOW

O 24, A-1-2 LF

SHERATON CORP OF AMER. HOTELS CHIEF ERNEST HENDERSON SPEAKS ON DETROIT
ON STOCKS & RECESSION; SAYS MARKET IS CAUSING RECESSION-RIPLEY

O 29, A-6-4

N 1, D-6-8 FIN 5

SEC ANNOUNCES MAJOR REVISION OF ITS COMMON STOCK PRICE INDEX

N 1, A-1-8 LF

STOCK MARKET CLOSED HIGHER TODAY WITH SOME KEY ISSUES RISING \$2 OR MORE

N 4, A-1-5 LF

STOCK SPURT CONTINUES AT NEW PAGE

KEITH FUNSTON SAYS SECURITY VALUE NEWS MUST BE RELEASED FAST
 — 1961 —
 LATE RALLY HALVES '60 STOCK DIP-TUTTLE
 STOCK SHOTS HIGH AFTER PRES. KENNEDY STATE OF UNION MESSAGE
 PRES. KENNEDY'S STATE OF UNION SPEECH BOOST STOCKS HIGHER
 STOCKS GO HIGH OVER PRES. KENNEDY'S PLANS-J. HAYDEN
 NYC-HOW STOCK REMARK BY COMEDIAN LOU HOLTZ ON JACK PAAR'S SHOW LED TROUBLE
 NYC-STOCKS RALLIED SHARPLY CLOSED WITH STRONG GAINS
 NYC-STOCK MARKET PACT AT NEW HIGH
 STOCKS SURGE TO NEW PEAKS-
 STOCKS OF U.S. CUBAN FIRMS CLIMB, DUE TO ANTI-CASTRO INVASION
 INDUSTRIAL STOCKS HIT RECORD HIGH-FIGURES
 GERALD M. LOEB CITES INVESTMENT DRIVE IN STOCK RISE-MCDONALD

D 28, D-4-5

JA 8, O-7-1

JA 30, A-1-8 LF

JA 30, B-4-5 LF

F 1, B-10-7

F 10, A-1-6

Mr 2, A-1-2 LF

AP 4, A-1-1 LF

AP 10, A-1-2 LF

AP 18, C-7-1

MY 16, A-1-8 LF

MY 24, C-20-8

-----1961----- JE 5, B-6-1

STOCKS STAY BULLISH ON HOPES OF PROFITS-LIVINGSTON

JL 26, A-1-6

STOCKS RALLY OVER BERLIN

JL 26, A-1-6 LF

STOCKS MAKE BROAD GAINS

JL 27, A-1-3 LF

STOCK PRICES NEAR NEW HIGH

AS 1, A-1-7 LF

ALL-TIME RECORD HIGH FOR INDUSTRIAL SHARES ON AVERAGE

S 6, A-1-7 LF

AUTO PACT SPURS STOCK UPTURN

S 25, A-1-5 LF

SELLING WAVE DROPS STOCKS

O 23, A-1-5 LF

STOCKS DROPP

N 6, A-1-7 LF

STOCKS SURGE;BLUE CHIPS LEAD

-----1962----- JA 6, A-8-1

STOCK MARKET VALUES FALL \$4.7 BILLION

JA 8, A-1-1 LF

STOCK CLOSES LOW THEN RALLIES

F 20, B-13-5 FIN

U.S. ASTRONAUT JOHN H. GLENN'S SPACE ORBIT FLIGHT LIFTS SOME SPACE S

My 11, C-20-1

KS

STOCK SLUMP RAISES QUESTIONS-LIVINGSTON

My 14, A-1-2

NY-STOCK DROPS SHARPLY, ADDING TO LARGEST DECLINE ON AVERAGE IN 30

My 15, A-1-8

MARKET MOVES HIGHER IN EARLY TRADING

My 22, A-1-8 LF

NEW LOSSES HIT STOCKS

My 26, A-1-5 FIN

PRICE DROP WORST SINCE 1932

STOCK DIVE AS SALES SOAR

My 29, A-1-5 FIN

STOCK - PRICES

1962

My 28, A-1-2

NY-STOCK MARKET DECLINED SHARPLY ADDING TO LAST WEEK'S SELL-OFF-SH
EST IN MORE THAN 30 YRS

My 28, A-1-8 LF

MIGHTIEST AVALANCHE OF SELLING IN 32 YRS SLUGS STOCK MARKET INTO
SEVERE LOSS

My 29, A-1-4

SHARP DESCENT OF STOCK PRICES SEEMS INCOMPATIBLE WITH GENERAL STA
BUSINESS

My 29, A-1-6

TRADING BEGINS AT HEAVY PACE IN NY

My 29, A-1-7

WHY WALL STREET SHINKS MARGIN REQUIREMENTS FOR STOCK PURCHASES SH
BE REDUCED-LIVINGSTON

My 29, A-1-7

SEC. OF COMMERCE HODGES RECOMMENDS ADMIN. ADOPT POSITIVE ACTION R
ER THAN WORDS TO HELP COUNTER JOLT OF CONFIDENCE CAUSED BY SLUMP

My 29, A-6-3

SCENEAT BROKERAGE HOUSES-CRELLIN

My 29, A-15-4

LONDON-STORM OF SELLING HITS EXCHANGES OF EUROPE AND JAPAN IN SYM
PATHY WITH PRICE DECLINES ON WALL STREET

My 29, A-1-7 FIN

EXPERTS VIEWS ON THE FALL IN STOCK MARKET

My 29, A-1-8 FIN

KENNEDY AND ADVISERS WOULD LIKE TO HALT STOCK MARKET COLLAPSE, BUT
DON'T KNOW HOW

My 29, A-1-6 FIN

SEC TRYING TO FIND OUT WHO IS BUYING AND SELLING STOCKS DURING CU
RENT FEVERISH TRADING

SLUMP AGAIN IN EARLY WALL STREET TRADING, BUT "FLASH" PRICES IN AFTERNOON INDICATE RECOVERY RALLY FOR BELLWEATHER BLUE CHIP STOCKS

STOCK EXCHANGES CLOSED FOR MEMORIAL DAY
KENNEDY ADMINISTRATION KEEPING CLOSE WATCH ON ECONOMY IN WAKE OF MARKET'S WILD gyrations

STOCK EXCHANGE CLOSED - HAYDEN
STOCK EXCHANGE DIRECTS ALL MEMBER ORGS TO HAVE OFFICES STAFFED TO VIDE TELEPHONE SERVICE FROM 9 AM TO 5 PM AND CLEAR PILED UP WORK

STOCK MARKET VAULTS IN HEAVY OPENING TRADING WITH DELUGE OF BUY ORDER SURGING RECOVERY FROM DROP
FOREIGN INFLUENCES PLAY IMPORTANT PART IN DROP OF AMERICAN STOCK PRICES - BARNES

STOCKS SOAR AS BUYERS RUSH IN BIDS
SECURITIES LAWS BAR REPEAT OF '29 STOCK CRASH
LONDON - J. PAUL GETTY SAYS HE BUYS WHEN OTHERS SHOW PANIC IN SELLING

NY - TRIGGERED BY WORLD-WIDE BUYING KEY STOCKS HELD SUBSTANTIAL GAIN

MY 30-31 RALLY ESTIMATED \$20.8 BILLION ADDED TO QUOTED VALUE OF LISTED STOCKS - MADE UP FOR MY 28 \$19.5 BILLION LOSS
NY - STOCK SPURT TAPERS OFF-TICKER LAGS

STOCK: PRICES

1962

NY-MARKET DOWN SLIGHTLY

JN 1, A-1-2 FIN 5

NY-BROKERS WORK OVERTIME TO CATCH UP ON LEFT PAPER WORK FROM EXCITING STOCK WEEK

JN 2, B-9-5

NY-MARKETS OPEN WITH DECLINE FROM JE 1 CLOSE

JE 4, A-1-1

NY-H. LADD PLUMLEY, CHAMBER OF COMMERCE, SAID STOCK MARKET MAY MOVE AHEAD WITH SOME HESITANCY BECAUSE OF INFLATED PRICES

JE 4, B-8-1

NY-BUSINESS LEADERS ASK WILL STOCK gyrations AFFECT CAPITAL OUTLAYS FOR 1962?

JE 4, B-8-6

NY-SELLING UP TODAY, PRICES SLUMPED

JE 4, A-1-4 LF

STOCKS DIP AGAIN-HOPE FOR A RALLY

JE 5, A-1-7

NY-STOCKS FALL-3RD BIG LOSS SINCE BREAK MAY 28

JE 12, A-1-1 LF

TRADING HEAVY & PRICES LOWER TODAY

JE 13, A-1-3

AS SESSION PROGRESSED MARKET TENDED TO FIRM & SOME ISSUES TURNED TO THE PLUS SIDE TODAY

JE 13, C-13-1

ANOTHER HEAVY LOSS TODAY

JE 13, A-1-2 LF

JE 14, A-1-1 LF

LATE SELLING WAVE KNOCKS STOCK MARKET DOWN TO NEW LOW FOR 1962

JE 15, A-1-4

INSTITUTIONS BUYING STOCKS DURING HIGH-VOLUME TRADING DAYS IN MAY WHEN STOCK MARKET BROKE AND THEN RALLIED

JE 15, A-1-4

PRICES LIFTED BY RUSH OF BUYING
STOCK MARKET BOUNCES BACK

JE 15, A-1-3 LF

JE 16, A-8-7 FIN 3

--1962--

PARIS-WALTER W. HELLER, PRES. ECONOMIC ADVISER, HERE WITH INSTRUCTIONS
TO AVOID QUESTIONS ON STOCK MARKET DECLINE
SLUMPED TODAY, CLOSING WITH ALL PORTIONS OF THE LIST SHOWING LOSSES

JE 21, B-13-8

JE 21, A-1-5 LF

JE 22, A-1-5 LF

NY-DOWN TO LOWEST POINT IN 4 YEARS AS WAVE OF SELLING HIT TODAY

JL 2, B-10-8

NY-STUDY SHOWS THAT STOCK BOUGHT ON CREDIT DID NOT PLAY A MAJOR ROLE
IN BIG MARKET BREAK OF LATE MAY

JL 10, A-1-3

NY-STOCKS LEAPED UP \$1 OR \$2 AT START OF TRADE BECAUSE OF REDUCTION
MARGIN REQUIREMENTS

JL 10, A-1-1 FIN 5

STRONG BUYING PRESSURE SENDS STOCK MARKET PRICES SURGING

JL 20, C-11-5

SEC TO STUDY DEALS OF MEMBERS OF EXCHANGE DURING STOCK MARKET PLUNGE

JL 25, P-6-5

LONDON-GOLD MINING STOCK MAKES PARTIAL RECOVERY FROM SINKING SPELL
BOUGHT ON BY PRES. KENNEDY'S TELSTAR PLEDGE NOT TO DEVALUE DOLLAR

S 24, A-1-1 LF

STOCK MARKET SUFFERS SEVERE LOSS IN HEAVY TRADING

O 22, A-1-4 LF

STOCK MARKET SLUMPED BADLY IN HEAVY TRADING IN AM, STARTED TO BOUNCE
BACK IN PM

O 24, A-1-6 LF

STOCK MARKET CLOSING WITH RUSH OF BUYING, BOOSTING PRICES

O 25, A-1-

STOCKMARKET FALLS SHARPLY IN ACTIVE TRADING

STOCK - PRICES**1962**

STOCK MARKET OPENS HIGHER IN HEAVY TRADING IN APPARENT RESPONSE TO EASING OF CUBAN CRISIS O 29, A-1-2

MARKET CLOSES WITH PRICES UP SHARPLY N 12, A-1-8 LF

STOCKS SCORE 9TH BIG RISE IN LAST 10 SESSIONS N 20, R-9-8

STUDY SHOWS INDIVIDUALS LED TRADE IN BIG CRASH LAST MAY D 31, A-1-1

YEAR-END STOCK TABLES FOR NY & AMERICAN STOCK EXCHANGES TO APPEAR JA 1 EDITIONS OF THE NEWS ---1963--- JA 6, R-8-8

AFTER LOWER START IN OPENING SESSION FOR 3RD YEAR IN ROW, MARKET R TO RISING PATH-TATTLE JA 14, R-5-1

STOCK MARKET HAS REGAINED MORE THAN 60% OF YEAR-MARKET LOSS-LIVING STON F 12, A-1-1

AP BEGINS AUTOMATIC ELECTRONIC COMPUTER TABULATION & TRANSMISSION MR 18, B-6-5

MAJOR FINANCIAL MARKETS TO NEWSPAPERS

NY-STORY BEHIND "THE MOST DRAMATIC STOCK MARKET EVENTS IN MORE THAN DECADES" WAS TOLD BY NY STOCK EXCHANGE AP 3, A-1-8 LF

STOCK MARKET CLOSED STRONG TODAY AP 5, A-1-7 LF

NY-STOCKS HIT NEW PEAKS AT CLOSING

--1963--

AP 11, A-1-1 LF

NY-MARKET SOARED THIS AFTERNOON AFTER STATEMENT ON STEEL PRICES BY S. KENNEDY

JE 25, B-6-6

NY-PUBLIC MAY NOT BE BACK IN MARKET BUT CHARTS ARE ALL ENJOYING POPULARITY WITH NEWER GENERATION OF INVESTORS-LOEB

JL 21, B-8-3

NY-MARKET TOOK WORSE LOSS IN 4½ MONTHS THIS WEEK-FEDERAL RESERVE INCREASE IN DISCOUNT RATE WAS TO 3½ PCT FROM 3 PCT

AG 10, A-10-1

BROKERS VIEW '64 AUTO MODELS & SEE STOCKS RISING-WALTER SMITH

S 5, A-1-4 LF

STOCK MARKET, AS MEASURED BY DOW-JONES INDUSTRIAL AVERAGE, REACHED TIME PEAK SEPT. 5-W. SMITH

S 5, D-8-5 LF

STOCK MARKET ROARED TO ALL-TIME HIGH IN HEAVY TRADING

S 11, A-1-2 LF

NY-DOW-JONES INDUSTRIAL AVERAGE HIT ALL TIME HIGH AGAIN WITH 30 INDUSTRIALS ADVANCED 2.91 TO 740.34, TOPPING PREVIOUS HIGH OF 737.98

S 5

S 15, D-11-2

NY-MARKET HIT ANOTHER RECORD HIGH THIS WK ON HEAVIEST VOLUME IN 15 MONTHS

S 25, B-11-1 Fi

NY-MARKET RECEDED TO LOWER PRICES LATER TODAY AFTER NEW HIGH MARKING HEAVY-VOUME ESTIMATED AT 7 MILLION SHARES COMPARED WITH 5.53 MILLION ON \$24

N 23, A-16-1

ALL STOCK EXCHANGES CLOSED ON NEWS OF DEATH OF PRES. KENNEDY-BEORING, EXCHANGES SWAMPED WITH SELL ORDERS-SMITH

STOCK: PRICES

1963

NY-DEATH OF PRES. KENNEDY N22 CAUSED MARKETS THIS WK TO TAKE WORST LOSSES IN 17 MONTHS-DOW JONES INDUSTRIAL AVERAGE FELL 28.51 TO 711.49

N 24, D-12-2

N 26, A-1-5

MARKET MADE BIG REBOUND TODAY-FIRST DAY OF TRADING SINCE PRES KENNEDY ASSASSINATION

N 26, C-5-1

NY-PRICES SPURTED VIGOROUSLY EARLY TODAY IN STRONG RECOVERY FROM LAST WK LOSSES

N 26, A-1-8 LF

MARKET MADE SENSATIONAL REBOUND TODAY-FIRST DAY OF TRADING SINCE PRES. KENNEDY DEATH-PRICE RISES OF \$1 TO \$5 A SHARE WERE COMMONPLACE

N 27, A-1-6

NY-MARKET OPENED MIXED IN ACTIVE TRADING TODAY-OPENING BLOCKS IN GENERAL WERE SMALLER THAN N26

D 5, A-1-8 LF

STOCK MARKET SOARED TO ALLTIME HIGH, DOW-JONES INDUSTRIAL AVERAGE CLOSED AT 763.86, A RISE OF 8.35

D-17, A-1-3 LF

NEWYORK-STOCK MARKET CLOSED AT ALL-TIME DOW-JONES AVERAGE HIGH AT 766.

D 30, R-9-6

NY-INVESTIGATION INTO PANIC SELLING OF STOCK ON DAY PRES. KENNEDY WAS ASSASSINATED FAILS TO REVEAL ANYTHING AS ALL DENY RESPONSIBILITY

---1964---

JA 13, A-1-5

PRICE OF TOBACCO CO. SHARES DROPPED SHARPLY ON NY STOCK EXCHANGE AFTER GOVT. REPORT LINKING SMOKING & CANCER

---1964---

JA 13, A-1-3 LF

SHARES OF CIGARET COS. DROPPED IN PRICE, THOSE OF CIGAR COS. ADVANCED ON NY STOCK EXCHANGE-W. SMITH

JA 22, A-1-3 LF

HIT NEW RECORD HIGH TODAY WITH AVERAGE OF 30 INDUSTRIAL STOCKS, CLOSING AT 781.31 COMPARED WITH PREVIOUS HIGH OF 776.55

F 13, A1-1

NY SHOWMAN BILLY ROSE SAID MADE \$8,733 AN HR ON STOCK MARKET SINCE LAST 015-MOSTLY RAILROAD STOCK

MR 11, C-8-5 FIN5

NY HENRY CABOT LODGE'S VICTORY IN N.H. REP. PRIMARY WAS GIVEN PART OF CREDIT FOR STOCK MARKET STRENGTH & ACTIVITY

MR 23, B-9-5

PRESENT 2-PRICE OPERATION IN OVER-THE-COUNTER MARKET ON WAY OUT-GOULD

AP 4, A-8-1

NASD REPORT SHOWS HIGH MARK-UP BY BROKERS IN OVER-THE-COUNTER PRICES-GOULD

AP 14, C-5-1

WASH-SEC TO DISCONTINUE PUBLICATION OF WEEKLY STOCK PRICES INDEXES AFTER JE 1

MY 28, D-11-4

CALL WO-3-7150 TO GET STOCK MARKET STATISTICAL INFORMATION AS CH AS DOW-JONES AVERAGES

MY 30, A-8-2

2 YRS AFTER BLUE MONDAY, STOCKS REBOUNDED TO 820.56-ONLY TRIFLE BELOW ALL-TIME PEAK-W. SMITH

JE 4, A-1-4 LF

NY MARKET TODAY SUSTAINED WORST DECLINE OF '64 -DOW JONES INDUSTRIALS FEEL 9.31 POINTS TO 802.48

JE 26, A-21-1

NY-IN LAST 16 ELECTION YEAR, BEGINNING WITH 1900, STOCK MARKET HIGHER IN "BULLS" IN 11 ELECTIONS & LOWER IN "BEAR" IN 5 ELECTIONS-GOULD

STOCKS - PRICES

1964

HITS PEAK FOR 2ND DAY IN ROW

JL 1, A-1-1 LF

JL 13, B-9-4

NY-BIG INSTITUTIONS SUCH AS INSURANCE COMPANIES, PENSION FUNDS & MUTUAL FUNDS HAVE TENDED TO SMOOTH UPS & DOWNS OF STOCK MARKET

NEWS ON STRIKE JULY 13 TO NOV.24

N 30, C-9-4

JERSEY CITY-M.S.WIEN & CO. INC.-DEALERS IN MARKED-DOWN STOCKS

—1965—

JA 5, C-5-5

WASH-NASD ANNOUNCED MORE ACCURATE QUOTATIONS ON 1,300 OVER-THE COUNTER STOCKS TO BE AVAILABLE TO PUBLIC BEGINNING F1

JA 18, A-1-2 6*

MARKET CLIMBED TO NEW RECORD HIGH TODAY-DOW AVERAGE CLOSED AT 895.21

JA 19, A-21-1

NY-FOR 1964 AS A WHOLE, THE POPULAR AVERAGES STAGED GOOD GAINS-DAWSON

JA 19, A-1-8 LF

NY-STOCK MARKET REACHED RECORD HIGH AS DOW-JONES INDUSTRIAL AVERAGE CLOSED AT 896.27, UP 1.06

JA 28, A-1-4 6*

NY-MARKET HIT ALL-TIME CLOSING HIGH OF 900.95

JA 28
F 2, B-6-1

NY-MARKET ENDED JA WITH INDEX OF 30 INDUSTRIAL STOCKS CLOSING ABOVE 900 MARK IN EACH OF 2 FINAL DAYS-DAWSON

--1965--

F 10, C-16-1 5*

NY-STOCK LIST SLIDES ON PRES. JOHNSON ORDER FOR SWEEPING MEASURES TO
BRING END TO OUR BALANCE OF PAYMENT DEFICIT

F 11, A-1-5 6*

NY-STOCK MARKET SUFFERED BIGGEST DROP SINCE N22, 1963-AVERAGE DOWN
11.09, CLOSING AT 881.83 TODAY

F 21, D-14-3

DOUBLE STANDARD USED IN NEW "OVER-THE-COUNTER" STOCK QUOTES IN NEWS-
SMITH

MR 4, C-10-6

BOSTON-FED. RESERVE BANK OF BOSTON SAYS BOOM IN STOCK MARKET DOES NOT
RESULT IN CORRESPONDING JUMP IN CONSUMPTION

MR 14, D-11-3

DOW-JONES INDUSTRIAL AVERAGE KEEPS HOVERING AROUND 900 MARK, INVESTORS
WONDER OF STOCK MARKET TOO HIGH-SMITH

MY 4, A-1-4 6*

NY-GAINS BY BLUE CHIPS PUSHED STOCK MARKET TO ALL-TIME HIGHS

MY 17, A-1-8 6*

NY-LOSSES OF KEY BLUE CHIPS DRAGGED DOWN DOW-JONES AVERAGE 8.95

MY 27, A-1-2 6*

NY-STOCK MARKET CLOSED LOWER TODAY

MY 28, A-1-4 6*

NY-STOCK MARKET STAGED UNEXPECTED ADVANCE DESPITE SLUGGISH PREHOLIDAY
TRADING

MY 30, B-7-5

NY-1962 MEMORIAL DAY RECALLED AS WORK DAY BECAUSE OF "MARKET SHKEOUT"
BOGUE

JE 1, A-1-4 6*

NY-STOCK MARKET CLOSED SHARPLY LOWER AFTER POSTING SMALL GAIN EARLIER
IN DAY

1965

STOCK - PRICES

NY-STOCK MARKET STAGED BRISK RALLY -PRICES STILL LOWER AT CLOSE
 JE 2, A-1-3 6*

NY-FED. RESERVE CHRMN WM. MCCHESNEY MARTIN & JOHNSON ADM. DEBATE ON
 WHETHER 1929 STOCK MARKET CRASH CAN HAPPEN AGAIN-DAWSON
 JE 3, D-17, 1

NY-STOCK PRICES TUMBLED AGAIN AFTER EARLY RALLY
 JE 3, A-1-4 6*

NY-MARKET STAGED SLIGHT RALLY BUT TRADING WAS LIGHT-DOW-JONES INDUST-
 RIAL AVERAGE ADVANCED 1.65 TO CLOSE AT 900.87
 JE 4, A-1-2 6*

NY-TRADING PICKED UP FROM SLOW START-DOW-JONES INDUSTRIAL AVERAGE ROSE
 1.28 TO CLOSE AT 902.15
 JE 7, A-1-1 6*

NY-STOCK MARKET SUFFERED MOST SEVERE SETBACK TODAY SINCE DEATH OF
 PRES. KENNEDY IN '63-DOW JONES INDUSTRIAL AVERAGE SLID 13.10 TO CLOST AT
 889.05
 JE 8, A-1-6 6*

LESLIE M. POLLACK OF REYNOLDS' & CO. SAID DESPITE BIG DROP IN AVERAGES
 JE 9, C-23-2

JE 8 HE SAID PRICES WILL STABILIZE SOON-SMITH
 JE 9, A-1-3 6*

NY-RUMOR OF LBJ HEART ATTACK BLAMED FOR STOCK MARKET SINKING SPELL
 ---1965---

WASH-LBJ NO REASON FOR GLOOM OR DOOM CONCERNING STOCK PRICE DROP
 JE 10, A-1-3 6*

NY-MARKET ROSE SLIGHTLY TODAY
 JE 11, A-1-7

—1965—

JE 10, A-3-4

WASH-PATMAN URGES FED. RESERVE CHIEF MARTIN TO QUIT

JE 11, C-15-1

WASH-ECONOMISTS SAY RECENT MARKET SLIDE NOTHING TO WORRY ABOUT IF IT GOES NO FURTHER

JE 11, A-1-1 6*

NY-MARKET GAINED JE 11 FOR 1ST TIME IN WEEK

JE 12, A-10-1

HENRY FOWLER SAYS WMCCHESENEY MARTIN, FED RESERVE CHIEF, NOT RESPONSIBLE FOR MARKET DROP-LIVINGSTON

JE 13, A-1-1

WASH-TAYLOR MADE WALL STREET TRIP TO ASSURE FINANCIERS OF NO VIET DANGER-MCGURN

JE 13, C-19-3

NY-HEAVY STOCK TRADING CONTINUES MARKET SLIDE FOR 5TH STRAIGHT WEEK

JE 14, A-1-6 6*

NY-DOW-JONES INDUSTRIAL AVERAGE DROPPED 12.99 TO 868.71, NEW LOW FOR 1965

JE 15, A-1-6

NY-STOCK MARKET SLUMPED SHARPLY IN HEAVIEST FIRST-HOUR TRADING IN 28 YEARS

JE 15, A-1-6 6*

NY-ROSY ECONOMIC FORECAST FROM PRES. JOHNSON & DEM. CONGRESSIONAL LEADERS CAUSES TURNABOUT IN STOCK MARKET

JE 16, A-1-2

NY-STOCK MARKET CONTINUES RALLY IN EARLY TRADING

JE 16, A-1-7 5*

DETROITERS HOLD TIGHT AS MARKET SWAYS-GILL

JE 16, A-1-7 6*

NY-STOCK GAINED 9.36 IN 2 DAYS

JE 17, D-15-4

LONDON-BRITAIN SLOWS DOWN GOVT SALE OF US STOCK TO AVOID CONTRIBUTING TO SALES LAG-ESTABROOK

JE 17, A-1-1

NY-MARKET GAINS FOR 3D STRAIGHT DAY

STOCKS - PRICES

1965

NY-MARKET CLOSES LOWER ENDING 3 DAY RALLY

JE 18, A-1-1 6*

JE 20, D-9-3

NY-LAST WEEK STOCK SLUMP FAILS TO ALARM VETERAN TRADERS

JE 20, D-9-3

NY-STOCK MARKET SLUMP THAT REACHED HISTORIC PEAKS IN MID MAY WAS INTERRUPTED THIS WK ON HEAVIEST TRADING IN OVER 3 MONTHS

JE 21, A-1-5 6*

NY-MARKET CLOSED LOWER TODAY IN SLOWEST TRADING OF THE YR.-DOW-JONES INDUSTRIAL AVERAGE WAS DOWN 5.05 AT 874.15,

JE 22, A-1-2 6*

NY-MARKET CLOSED HIGHER TODAY

JE 23, C-27-1

NY-NY STOCK EXCHANGE ATTEMPTING TO DISCOVER ORIGIN OF FALSE RUMOR OF JOHNSON ILLNESS WHICH TOUCHED OFF HEAVY STOCK SELLING

JE 23, A-1-3 6*

NY-STOCK MARKET CLOSED LOWER WITH DOW-JONES INDUSTRIAL AVERAGE LOSING 5.21 AT 870.22

JE 24, A-1-1

NY-HEAVY SELLING HIT MARKET-PUSHING PRICES DOWN TO NEW LOWS FOR YEAR

JE 24, A-1-3 6*

NY-STOCK MARKET CLOSED TODAY WITH ONE OF WORST LOSSES OF YEAR

JE 25, A-1-8 6*

NY-STOCK MARKET DECLINED FOR 3RD STRAIGHT SESSION

---1965---

JE 27, D-9-2

NY-STOCK MARKET RESUMED DOWNTREND-FINISHED $\frac{1}{2}$ YR THAT BEGAN WITH ADVANCE & ENDED WITH SHARP PLUNGE, ERASING ALL GAINS ON AVERAGE

JE 27, D-9-6

STOCK PRICE SLIDE ON EXCHANGE CLOSELY PARALLELED IN OVER-THE-COUNTER MARKET

JE 28, A-1-4 6*

NY-STOCK MARKET CLOSED SHARPLY LOWER IN HEAVY TRADING-DOW-JONES INDUSTRIAL AVERAGE SLID 13.77 TO 840.59

JE 29, D-2-1

NY-MARKET MOVES SHARPLY HIGHER WITH TRADING OF 2.63 MILLION SHARES FIRST HOUR

JE 29, D-3-3

NY-SEVERAL PREVIOUSLY-PLANNED PUBLIC SALES OF STOCK POSTPONED "DUE MARKET CONDITIONS"

JE 29, A-1-7 6*

NY-STOCK MARKET SURGES, SLIDES-GAINS 10.81 FOR DAY

JE 30, A-1-4 6*

NY-MARKET CLOSED WITH GIANT ADVANCE TODAY, BRINGING 2 DAY TOTAL GAIN BY DOW-JONES AVERAGE TO 27.44

JL 1, A-1-4 6*

NY-MARKET CLOSED SLIGHTLY HIGHER TODAY-DOW-JONES INDUSTRIAL AVERAGE ROSE 3.56 TO 871.59 FOR 3RD CONSECUTIVE GAIN

JL 2, A-1-1 6*

NY-FINAL HOUR RALLY CARRIED MARKET TO 4TH STRAIGHT GAIN-AVERAGE ROSE 3.57 TO 875.16

JL 6, A-1-2 6*

NY-MARKET CLOSED LOWER TODAY, ENDING STRING OF 4 DAILY ADVANCES-DOW-JONES AVERAGE OF 30 INDUSTRIAL STOCKS LOST 1.98 AT 873.18

JL 8, A-1-7 6*

NY-STOCK MARKET PUT ON RALLY-ENDING 2-DAY DIP IN PRICES

STOCK - PRICES

1965

NY-MARKET DECLINE CHURNS UP LOT OF 'TECHNICAL' TALK-GREER
NY-STOCK PRICES ENDED AT DAY'S LOWS IN QUIET TRADING ON STOCK EXCHANGE
CLOSING OFF 2.25 POINTS
NY-PRICES CLOSED TODAY AT THEIR WORST LEVELS OF DAY
NY-PRICES FELL AS RALLY DIED OUT IN MX XXXX FINAL TRADING HOUR
NY-MARKET CLOSSES LOWER
NY-MKT TOOK SUBSTANTIAL LOSS TO END 3 WKS OF RECOVERY
NY-MKT ROSE WITH DEFENSE STOCKS SHOWING BEST GAINS
NY-MARKET RALLIED SHARPLY THIS WEEK AS PRES. JOHNSON TOLD OF PLANS FOR
MILITARY BUILDUP FOR VIETNAM
NY-WILL STOCK MARKET RECOVER FROM 100-POINT DOW DECLINE-SCHNEIDER
NY-MARKET BECOMES JUMBLE ENDING 16 DAY RALLY
NY-DOW-JONES INDUSTRIAL AVERAGE ALMOST HIT RECORD AT CLOSING TODAY-
WENT TO 937.88 AN HR BEFORE CLOSING THAN HIT 938.65

JL 10, A-8-1

JL 15, A-1-6 6*

JL 20, A-1-8 6*

JL 21, A-1-8 6*

JL 22, A-1-2 6*

JL 25, B-11-4

JL 26, A-1-4 6*

AG 1, D-10-6

AG 10, B-5-5

AG 22, B-8-1

S 27, A-1-1 6*

—1965—

0 3, B-16-4

NY-BIG SEPT. STOCKMARKET RALLY TOPPED OUT THIS WEEK ON 2ND HEAVIEST
TRADING IN HISTORY

0 6, A-1-7

NY-MARKET DROPPED REACTING TO NEWS OF LRJ IMPENDING GALL BLADDER OPER-
ATION BUT CAME BACK RAPIDLY

0 18, C-5-5

NY-CLOSED END FUNDS SELLING STOCKS BELOW MARKET VALUE-GRAVES

0 18, A-1-8 6*

NY-DOW-JONES INDUSTRIAL AVERAGE PUSHED TO NEW ALL TIME HIGH

N 14, B-10-6

NY-MARKET MAKES 1ST DIP IN 12 WKS FOLLOWING SERIES OF CALAMITIES LIK-
E ALUMINUM PRICE, POWER FAILURE & RHODESIA INDEPENDENCE DECLARATION

D 6, A-1-8

WASH-PRICES ON NYS STOCK EXCHANGE DROPPED SHARPLY ON OPENING TODAY
WITH FEDERAL RESERVE BD INCREASED INTEREST RATES TO HIGHEST LEVEL IN
35 YRS

D 6, C-9-5

NY-MARKET FELL SHARPLY EARLY TODAY IN REACTION TO NEWS THAT CREDIT
HAS BEEN TIGHTENED BY FEDERAL RESERVE BD. WHICH RAISED DISCOUNT RATE
TO 4 1/8%

D 7, D-9-2

NY-PROFESSIONALS SHOWED NO PANIC WHEN NY STOCK EXCHANGE OPENED D6
TO FIRST-HOUR RECORD SINCE 1929 IN TRADING CAUSED BY FEDERAL RESERVE
INCREASE IN DISCOUNT RATE-LEWIS

D 12, D-15-5

~~NY-MARKET WEATHERED INTEREST RATE RISE~~

STOCKS - PRICES

1965

NY-MARKET CLOSED TODAY AT NEW HISTORIC PEAK AS TRADING ENDED FOR '66
DOW JONES AVERAGE JUMPED 5.57 TO 969.26, PASSING RECORD OF DEC.23
—1966—

D 31, A-1-5 6*

JA 2, D-13-1

NY-MARKET ENDED WITH NEW HIGH DESPITE DETOURS DURING YEAR

JA 9, D-17-1

NY-MARKET HAD BEST WEEKLY RISE IN 5 MTHS

JA 24, A-1-6 6*

NY-DEFENSE STOCKS CLOSE AT HIGH

JA 31, A-1-1 6*

NY-AEROSPACE STOCKS STRONG IN EARLY TRADING AFTER U.S. BOMBINGS OF
NORTH VIETNAM WERE RESUMED BUT LOST SOME OF THEIR EDGE LATER

F 6, B-11-4

NY-MKT TOOK SHARPEST DECLINE OF '66 THIS WEEK

MR 6, B-10-6

NY-STOCKS CONTINUE TO FALL FOR 3D WEEK

MR 8, A-1-83*

NY-STOCK MARKET PLUNGED TAKES WORST DIVE IN 2 YRS-GREER

MR 27, B-10-1

NY-STOCK MARKET MADE FIRST ADVANCE IN 6 WKS AFTER LONG DECLINE FROM
PEAKS OF FEB.9

AP 10, B-8-3

NY-STOCK MARKET THIS WEEK MADE BIGGEST GAIN OF YEAR

---1966---

AP 17, R-11-6

NY-MARKET IN TURBULENCE SETS NEW TRADING RECORD AP 25, A-1-5 6*

NY-IBM FELL 14 POINTS AT CLOSE OF MIXED MARKET TODAY

MY 5, A-1-6 6*

NY-GM JOLT, PRODUCT ON CUTBACKS, HITS STOCK MARKET WHICH TOOK WORST DIVE SINCE PRES JFKENNEY'S ASSASSINATION NOV 22 1963

MY 6, A-1-8 6*

NY-REBOUNDED IN FINAL HR ENDING WITH GAIN IN DOW-JONES INDUSTRIAL A STOCKS RALLY TURN LOSS INTO GAIN

MY 7, A-1-3

NY-STOCKS TRAIL GM REPORTS LIKE A YO-YO, GM ANNOUNCED CUTBACK IN AUTOMOBILE PRODUCTION

MY 8, B-9-7

MY 8, B-9-7

NY-STOCK MARKET SUFFERS STEEPEST WEEKLY LOSS SINCE '62, SINCE WK IMMEDIATELY PRECEDING BLACK MONDAY CRASH OF MAY 28,

MY 9, A-1-1 6*

NY-STOCK MARKET ROCKED BY 1 OF SHARPEST SETBACKS IN RECENT YRS

MY 10, B-13-1

MINNEAPOLIS-SPEAKERS AT ANNUAL MEET OF SOCIETY OF AMERICAN BUSINESS WRITERS WARN INVESTORS TO BE CAREFUL-SMITH

MY 17, B-2-1

NEWS AFFECTS STOCK MARKET-SHONTZ

MY 18, A-1-5 6*

NY-MARKET GAINED AFTER WEEKS OF DECLINE

MY 22, B-12-1

LESLIE M POLLACK, EXPERT SEES NO NEW 1966 HIGHS-GILL

STOCKS - PRICES

1966

WASH-MANUAL F COHEN, HEAD OF SECURITIES & EXCHANGE COMMISSION SAID HIS DEPT IS STUDYING CURRENT WIDE FLUCTUATIONS IN STOCK PRICES

MY 24, B-5-1

NY-MARKET TOOK SHARP DIP

XX MY 31, A-1-1 6*

NY-PRICES WENT DOWN WHILE WALL STREET SHUT DOWN FOR MEMORIAL DAY & NEVER CLIMBED BACK UP AGAIN-VOLUME FOR WEEK TOTALLED 20,586,302 SHARE

JE 5, B-12-1

NY-MARKET DROPPED STEEPLY THIS WEEK

JL 24, B-11-7

NY-MARKET SANK TO NEW LOWS FOR '66

JL 25, A-1-6 6*

NY-MARKET NOT ABLE TO RALLY IN ACTIVE TRADING
NY-STOCK PRICES DROP DESPITE INFLATION-MARKET CONTRADICTS 'H EDGE' THEORY ON COMMON SHARES-ELBA

JL 26, A-1-3 6*

NY-STOCK MARKET'S FALL IS WORST SINCE 1962 AS GLOOM DEEPENS

AG 11, C-8-5

AG 21, B-12-6

NY-MARKET AGAIN TOOK LOSS

AG 29, A-1-4 6*

NY-EFFECT OF SUSPENSION OF INVESTMENT TAX ON ABOVE EVALUATED BY BROKER

S 11, B-11-1

--1966--

S 29, A-1-4 6*

STOCK MARKET DECLINED SHARPLY TODAY-VOLUME WAS 6.11 MILLION
SHARES

O 3, C-10-2

NY-OVERSEAS COMPANIES LISTED ON NY EXCHANGES FARED WORSE
THAN DOMESTIC ONES DURING CURRENT BEAR MARKET

O 18, D-7-5

NY-RICHARD J.KASKEL RUNS THE PAUL KASKEL & SONS INC. PAWN-
SHOP FOR THE RICH IN MANHATTAN-PEOPLEHOCK MINKS & DIAMONS TO
BUY SOME STOCK-BUSINESS DEPENDS ON STOCK MARKET

N 13, B-9-2

NY-GOP ELECTION GAINS IN N8 ELECTION SHOT MARKET UP FOR 5TH
STRAIGHT WEEK

D 15, A-1-4 6*

NY-MARKET DROPPED SHARPLY

D 30, A-1-8 6*

RALLY AT YR END FAILS

--1967--

JA 1, A-1-1

NEWS STOCK TABLES LIST YEARLY RANGE JA 1

JA 14, A-1-5

NY-MARKET TONIC IS STOCK BRGE

JA 17, A-1-7 6*

NY-NEW INTEREST CUT HINTED AT BY STOCK SPURT

✱

JA 23, A-1-1 6*

NY-STOCK MARKET CLOSED HIGHER TODAY IN HEAVY TRADING-BUYING
SPURRED BY REPORT PRES.HO CHIMINCH OF N.VIETNAM WAS READY TO
TALK PEACE WITH LBJ IN HANOI

STOCKS : PRICES

1967

NY-MARKET CLOSED HIGHER TODAY, MAKING NEW PEAK FOR 1967
TRADING WAS HEAVY
NY-STOCK MARKET CLOSED AT ABEST LEVEL OF DAY-DOW-JONES
INDUSTRIAL AVERAGE SOARED 10.91 TO 859.74
CITY NATL B^A NK OF DET ERECTED SIGN TOS HOW DOW JONES INDUS
TRIAL AVERAGE
NY-INDEX OVER 900 AS RESULT OF STOCK GAINS
CRYSTAL GAZING BY MKT SHOWN IN GM PRICE-
NY-DESPITE TROUBLE IN MIDDLE E^A ST STOCK MARKET IS CLOSING
HIGHER-BRESLIN
STOCKS FALL ALTHOUGH SALES HEAVY
NY-MARKET HAS HEAVY LOSS
NY-STOCK RALLY OVER UAR CEASE-FIRE TRUCE
W. NICHOLAS THORNDIKE PREDICTS THAT STOCK WILL RISE MOST IN NEXT 10-20
YRS.-W.B. SMITH
SEC TOLD HOUSE COMMERCE COMM. OF CONDUCTING LARGE FORMAL
INQUIRIES INTO POSSIBLE MANIPULATION STOCK PRICES

MR 16, A-1-5 6*

AP 14, A-1-6 6*

AP 25, B-5-2

MY 4, KA-1-7 6*

MY 5, A B-10-1

MY 26, A-20 1/4

JE 5, A-1-6

JE 5, A-1-6 6*

JE 8, A-1-6 6*

JE 18, B-10-1

AG 31, KA-1-6

--1967--

S 13, A-1-6 LF

NY-STOCK MARKET CLOSED SHARPLY HIGHER IN ONE OF BIGGEST RALLIES OF YR.

--1968--

AG 21, A-10-1

PRICES TUMBLE ON NEWS OF RED INVASION OF CZECH

N 6, D-9-8 3 DOT

RALLY SPARKED BY NIXON WIN

--1969--

JA 6, A-1-4 LF

NY-DROPPED 15.23 POINTS

JA 7, A-1-2 LF

NY-TOOK ANOTHER STEEP DROP, SHOWED SIGNS OF RECOVERY AT CLOSE

F17, A-1-3LF

STOCKS PLUNGE 14.23 POINTS.

---1969---

F 24, A-1-2 LF

OFF 12.68 POINTS

AP30, A-1-5

MARKET ADVANCES SET 2 HOUR RECORD.

AP 30, A-1-5LF

NEW YORK-STOCKS UP 16-08 IN HEAVY TRADING

MY 14, A-1-4

NEW YORK-STOCK MARKET ADVANCED BRISKLY

JE 12, A-1-2LF

NEW YORK-STOCK MARKET SLUMPED TO ITS LOWEST LEVEL SINCE AUGUST IN MOUNTING REACTION TO THE TIGHTENING MONEY SITUATION

JL 28, A-1-5

N.Y.-ABOVE DECLINING-STOCKS DOWN 10.99

JL 28, A-1-3LF

NEW YORK-MARKET DIPS 11.83

STOCKS: PRICES

1969

NEW YORK-MARKET DIPS BELOW 800-

JL 30, A-1-5

N.Y. STOCK MARKET REBOUNDED SHARPLY AT CLOSED AT 803.58 UP
1.62=

JL 31, A-1-5LF

N.Y.-WHAT PEOPLE ARE SAYING ABOUT THE BIG STOCK DROP-

JL 31, C-8-4

AG 7, C-8-7

WASH-SECURITIES&EXCHANGE COMM. PLANNING TO DEVELOP RULES TO
PREVENT THE USE OF COMPUTERS TO MANIPULATE THE STOCK MARKET

AG 25, A-16-8LE

NEW YORK- Dow INDEX DOWN 5.81

O 21, B-4-6

NEW YORK-FIRMS USING CYCLAMATE BOUNCE BACK FROM LOWS-

D 4, C-21-1

NY-STOCK MARKET GLOOM IS TIED TO INFLATION-DEFLATION FIGHT--
CUNNIFF

D17, C-2*8-1

FALL BELOW 800 BY Dow HONES IS NO DISASTER- GOLDMAN.

D24, B-2-1

WILL THERE BE A YEAR END RALLY IN STOCK MARKET- SCHONBERGER.

-----1969-----D31xx
-----1970-----JA 4, E-7-1

1969-----A YEAR OF FEW GAINS FOR INVESTORS.... JA 22, D-5-1

STOCK PRICES OF BIRTH CONTROL PILL CO. OFF SHARPLY TO
TO BAD PUBLICITY.

F9, B-2-1

WILL STOCKS SOON IF FED. GOV. EASES UP? EXPERTS SAY "NO"

F 25, A-1-4 LF

NY-STOCKS IN ORBIT AFTGER LONG PRIME RATE CUT---

AP 23, A-3-1

ELECTRONIC DATA SYSTEMS TOOK FATAL DROP, CAUSING H ROSS PEROT
TO LOSE \$470MILL IN ONE DAY--STEIGER
12 POINT SLUMP SHARPEST IN 9 MONTHS

AP 23, A-1-1 LF

AP 27, B-2-5

STOCK DECLINE PUZZLES EVEN WALL ST EXPERTS MY 4, A-1-1 LF
PRICES TUMBLED ON NYSE OVER FEAR OF US INVOLVEMENT IN CAM-
BODIA WOULD TURN OUT TO BE MORE THAN TEMPORARY

MY 14, C-23-6

STOCK MARKET GONE CONTINUALLY DOWN AFTER NIXON ADVICE TO BUY
STOCKS

STOCKS: PRICES

1970

RALPH S SAUL BLAMES PERFORMANCE-ORIENTED INSTITUTIONAL INVESTORS & CONGLOMERATE FIRMS FOR UNDUE PRESSURE ON MARKET

MY 14, C-23-2 100T

DOW-JONES INDUSTRIAL AVERAGES UP 32.04 IN RECORD GAIN

MY 27, A-1-1 LF

MY 28, C-10-4

TRICTER STOCK REGULATIONS AIMED AT BOLSTERING INVESTOR CONFIDENCE LIKELY

MY 28, A-1-4 LF

STOCKS SOAR; AVERAGE UP 20; HEAVIEST TRADING OF YEAR

JE 1, A-2-3

ROBERT W HAACK CLAIMED NIXON'S TALK WITH BUSINESSMEN GAVE BOOST TO RALLY ON MARKET IN 3-DAY HIGHS

JE 14, E-1-1

LOSS OF CHANGES IN WALL STREET XD AFTER EXTREME UPS & DOWNS

JE 14, E-1-2

1969-70 SHUDDERING EXPERIENCE FOR STOCKHOLDERS

JE 23, A-1-3

NY- STOCK INDEX FALLS 18 POINTS.

JE 28, E-6-1

STOCK SALESMEN'S INCOME FALLS WITH MARKET--W SMITH

JL 27, A-12-5

STOCK PRICES DECLINE IN SLOW TRADE

---1970---

JL 30,C-11-5

STOCK PRICES STEADY IN LIGHT TRADE

Z AG13, C-12-1

NY-FUNDS OR RANDOM STOCKS EQUAL IN GAIN, STUDY SHOWS

NEW YORK- AUTO , RAIL STRIKES SLASH

S15, C-11-8

STOCK PRICES.

N5, C-18-1

STOCK ANALYST, ROBERT STEVALL SESS STOCK MARKET UNAFFECTED

BY ELECTION

D27, E-6-3

INVESTING YIELDS PAINFUL LESSON FOR U-M STUDENTS WHO LOST
MONEY IN MARKET.-GRAHAM.

---971---

A 20,C-10-6

WALL ST EXPERT CLEM MORGELL SAYS TO BE NO BOOM FOR
STOCK MARKET IN 71.

MR 15,A-1-5LF

DOW JONES AVERAGE TOPS 900

AP 29,D-15-3 1DOT

PRES NIXON STOCK ADVICE WOULD HAVE PAID OFF.

MY 17,B-6-8 LF

DOW INDEX FELL 14.76

JE 18,A-1-4 LF

TRADING IN NEW YORK STOCK EXCHANGE CLOSED LOWER WITH AVERAGE
PLUNGING BELOW 900 LEVEL- STOCKS AVERAGE LOW

JL15,C-10

MARKET WILL TOP 1000 SECURITIES EXEC. PREDICTS

STOCKS & PRICES

1971

JL 16, A-1-4 LF

MARKET LOST ALL GAINS AS TRADING PROGRESSED & ENDED WITH DECLINE

Ag 16, A-1-2

DOLLAR SAGS ABROAD, STOCKS SOAR AT HOME

Ag 16, A-14-8

NY-NIXON TALK SPURS STOCKS 34.46 POINTS

Ag 17, A-6-1

TRADING SETS RECORDS AT 31,7 MILL SHARES WITH NIXON NEWS OF WAGE-PRICE FREEZE.

Ag 17, A-12-4

MARKET UP 39.99 BUT SIGNS HAD TO BE SPECIALLY MODIFIED

Ag 17, A-13-7

WHY NIXON'S FREEZE DOESNT AFFECT STOCKS--W SMITH

Ag 16, A-1-1 :F

MARKET SOARED TO ALL-TIME SINGLE DAY RECORDS IN PRICE

GAINS & TRADING VOLUME

Ag 17, A-1-5 LF

STOCK INDEX RISES 10 POINTS.

Ag 18, B-15-4

CAR STOCKS SIZZLE DESPITE FREEZE--SMITH

Ag 18, B-16-8

STOCK PRICES SLIP AS SELL OFF BEGINS.

Ag 18, A-1-5 LF

STOCKS DOWN 13 ON PROFIT TAKING

A 19, C-14-8

MARKET STILL AFFECTED BY SELL OFFS.

G

---1971----- AG19,C-6-1RACE
AG 29, E-6-1

STOCK MARKET DROPS.

DET. STOCK ANALYSSTS BULLISH ON FUTURE. -SHERE

010, F-8-4

STOCK MARKET DECLINES AFTER NIXON'S PHASE 2 SPEECH

N16, C-9-31DOT

NEW YORK- WHAT REALLY CASUED NEW STOCK SLIDE CUNIFF.

N23, B-4-2

ECONOMIST ELIOT JANEWAY FORECASTS STOCK MARKET PLUNGE

N28, E-7-5

E.F. HUTTON AND CO. PICKS STOCK BY COMPUTER-SHERE

D12, E-6-2

ANALYSTS BULLISH ON STOCK MARKET FOR 1972' SHERE

D19, A-10-1

NEW CURRENCY PACT CALLED BULLISH FOR STOCK MARKET

---1972-----^U A 5, C-10-7

S OCKS RALLY DOW INDEX ABOVE 900

JA 13, C. 12.61DOT

COMMENTS ON BIG WINNERS ON NY STOCK EXCHANGE LISTING

STOCKS: PRICES

1972

JA21, D-8-6 1Dot

WHY CONNALLY SAYS DOW TO TOP 1,000---LIVINGSTON

JA 243, J-8-3

FINANCIAL ANALYSTS FOR MAJOR DET BASED BROKERAGE HOUSES
EXTREMELY BULLISH ABOUT PROSPECTS FOR MARKET THIS YEAR--
SHERE

MR6, A-14-7

MARKET POSTS STRONGGAINS

MY1, A-1-2 FIN

NEW YORK---STOCKS OFF SHARPLY; INDEX DOWN 11 PTS.

MY2, C-7-7

NEW YORK---PRICES GAIN AFTER EARLY SLIDE

JE 2, C-15-6

WASH-SEN. PHILIPA. HART ACCUSED SEC& NY STOCK EXCHANGE OF PRICE-FIXING IN
SECURITIES INDUSTRY

JE 15, A-9-3

BOSTON-ADVICE ON SELLING COMMON STOCK LINKED TO SEN. GEO. MCGOVERN'S
CHANCES FOR PRES.

S 17, E-8-1

PRICES OF GM FORD STOCKS SEEN JUMPING-COURTENAY

-1972-

STOCK SINK 7 POINTS DEPITE NIXON SPEECH.
INDEX LEVEL OVER LATEST PEACE MOVES.
TOO MUCH PEACE IN WALL ST.-SHERE

S 25, A-16-7 RINAL
O 26, A-1-5 FINAL
O 27, C-14-1
N 11, A-9-1
N 15, D-9-1

DOW INDEX AT PEACK.
DOW JONES AVERAGE SOARS OVER 1,000-SMITH

-1973-

DOW INDEX BROS BELOW 1,000 MARK.

JA 26, C-10-7
AP 16, D-6--2

DOES INCOME TAX SELL-BFF GRING MARKET DOWNTURN?LIVINSTON

WATERGATE BLAMED AS MARKET DWINDLES.

AP 30, A-18-7
MY 25, A-2-3 RACE

DOW JONES INDEX UP 29; US TRADE SURPLUS CITED.

JL 2, A-19-6 RACE

WHY DO STOCKS DROP ON BLUE MONDAYS?
TIE OF STANFORD U. BUSINESS SCHOOL

ANSWER FROM STUART BEAT

AG 10, D-7-7

NY--STOCK MARKET FALLS BELOW 900.

AG 21, A-19-7 RACE

NY---DOW INDEX AT 20MONTH BOW.

STOCKS: PRICES

1973

NY---DOW INDEX HITS '73 LOW

AG22, A-17-7, RACE

N 10, A-2-4

NY--STOCK MARKET PLUNGED YESTERDAY, SENDING INDUSTRIAL AVERAGE TO BIGGEST LOSS IN 11 YEARS, OF 24.24 POINTS.

N 20, A-2-3

NY--ANALYSTS SAY GROWING INVESTOR CONCERN THAT ENERGY CRISIS MIGHT LEAD TO RECESSION IN 1973, SENDS MARKET INTO DECLINE OF 28.67 POINTS.

N 26, B-5-4

WALL STREET HOTSHOTS ON SIDELINES. GREEN

N 27, B-7-7 FINAL

DOW INDEX PLUNGES 31.15.

N 27, B-7-7

NY---SOCK MARKET SETTLED STILL LOWER AFTER SHARP DOWNWARD MOVEMENT.

D 5, C-12-7 FINAL

NY-- MARKET MOVED BELOW 800 LEVEL TODAY; ECONOMIC PROSPECTS REMAIN UNCERTAIN.

-1974-

JA 20, J0101

CAR STOCKS TO RISE AGAIN, ECONOMIST TILFORD C GAINES SAYS.
GAINOR

F25, B-3-1

STOCK MARKET HAS GOOD WEEK, BUT "ENTHUSIASM" IS
STILL LOW
STOCK RALLY, TURN MIXED WITH NEWS THAT ARAB OIL EMBARGO
WOULD APPARENTLY END.

MR 14, D-17-7

MY 27, B-5-1

JE 20, F-8-1

MARKET DECLINE MAY BE CUSHIONED. BEAN
NEW CENTRALIZED SECURITIES INDUSTRY TO PROVIDE PRICE & TRADING
VOLUME INFO. FOR ALL FLOORS-HOLDEN

JL 7, G-1-2

DET.-AREA STOCKBROKERS DISCUSS CURRENT MKT. SLUMP-WILCOX

JL 9, A-11-1

NY-MARKET FELL SHARPLY DUE TO HIGH INTEREST RATES & BULGING
BANK BUSINESS BANK LOAN DEMAND

AG 10, A-4-1

STOCK MKTS. MOOD IS 'WAIT AND SEE' AFTER NIXON RESIGNATION
AGB-MURPHY

AG 11, G-1-2

STOCKS FALL AFTER NIXON RESIGNATION-AGB-W.B. SMITH

AG 26, B-5-2

DOW JONES INDUSTRIAL BELOW 700 FOR 1ST TIME IN 4 YRS.

S 14, A-2-1

NYSE PRICES HIT LOWEST LEVEL SINCE N19'62. CUBAN MISSILE S/S

CR1

1974

STOCKS: PRICES

- NYSE INVESTORS PESSIMISTIC ABOUT PRES. FORD'S SUMMIT ON INFLATION; DOW JONES REACHES 11-YR. LOW, S27 S 28, A-2-1
FINANCIAL ANALYSTS FED. MEMBERS ALSO CONFOUNDED BY STOCK MKT. CONDITIONS-W.B.SMITH D 10, F-14-4
D 11, A-3-3
HOW MARKET SHIFTS AFFECT ECONOMY-W.B.SMITH --1975-- JA 28, C-8-3
COMMONWEALTH BANK'S SR. VP, DR. MARTHA SEGER, SEES STOCK MKT. RECOVERY FROM '73 BOTTOM PRICES-GAINOR JA 30, B-2-5 D
ANALYST LESLIE POLLACK SEES GOOD MKT. YR. IN '75-WILL COX F 12, D-9-1
STOCK RESEARCHER WM. LATOURETTE PREDICTS CONTINUED RISE OF MKT.-W.B.SMITH F 28, A-8-2
LOCAL BROKERS UNFAZED BY MKT'S 2 1/2% DROP-WILL COX MR 21, E-8-1
INVESTMENT MGR. JOHN M. MCCARTHY PREDICTS CONTINUATION OF CURRENT MKT. BOOM-W.B.SMITH AP 28, B-5-2
DISCUSSION OF SEMICONDUCTOR STOCKS.

---1975---

JL 27, G-3-1

STUDY CHECKS STOCK RECORD AS 1928-1975 AS 47 YRS OF GOING
NOWHERE-W.B.SMITH

---1976---

MR 12, A-1-4

STOCK MARKET TOPS 1,000, WHAT IT ALL MEANS-COURTENAY
MR 15, C-11-2

NY-TRADERS SAY WILL DOW INDEX HIT 1,150 NEXT MONTH

JE 17, F-9-3

HAVE AUTO STOCKS HIT HIGHEST LEVEL, ARVID JOUPPI FINANCIAL
ANALYST ADVISES CLIENTS-W.B.SMITH

JL 6, A-15-1

NY-SPECIALISTS AS HUB OF NEW CENTRALIZED SYSTEM PLANNED-
CURRIER

-1977-

JA 2, F-1-3

BROKERS SEE CONTINUEING GAIN FOR MARKET-SMITH

JA 16, G-1-1

YO-YO MARKET MAY SEE UPTREND-SMITH

JL 24, E-1-1

NY STOCK EXCHANGE, LOGS BIGGEST WEEK OF 1977 IN SHARES TRADED

O 13, A-1-6

STOCK DROP DEBATED BY BEARS & BULLS-SMITH

STOCKS - PRICES

- NY-STOCKS UP 36 POINTS AS WEEK'S GAINS TOTALED 35.95
 N 12, A-1-6
 ---1978---
 MARKET PLUNGE PUTS INVESTORS IN GLOOMY MOOD FOR 1978
 JA 8, E-1-1
 NY=WALLSTREET JOLTED ASDOWJONESINDUSTRIAL AVERAGES FALLS
 LOW 800 MARK
 JA 8, A-15-2
 CHANGES LOOM:YOUR BROKER IS HURTING, TOO-SMITH
 JA 22, E-5-1
 NY-STOCK PRICES AHEAD FOR 5TH STRAIGHT SESSION ONWALLST
 -1978-
 AP 11, A-2-1 A
 STOCKS SOAR IN BUYING BOOM ON WALL STREET-SMITH
 AP 15, A-1-4
 AP 26, A-3-1
 STOCKS SPURT, THEN FALL BACK IN 2ND HEAVIEST TRADING DA
 SMITH
 JE 16, D-9-6
 UPSWING SEEN FROM GM STOCK-SMITH
 AG 3, A-1-2
 STOCK MARKET PRICES SHOW GAIN,VOLUME SETS RECORD

---1978---

0 31, A-1-4

UNCERTAINTY OF US ECONOMY SPURS SELL-OFF ON WALL STREET, CALI
OCTOBER MASSACRE

N 2, A-1-1

WASH-WHEN BUS DOLLAR ROSE IN EUROPE, STOCK MARKET RESPONDED
WITH BIG GAINS-TERHORST

N 2, A-1-6 AM

NY-STOCK MARKET BEATS GREET'S US RESCUE DOLLAR PLAN WITH
TRADING, ROSE 35 POINTS

N 10, C-2-3

GM BELLWETHER THEORY SAYS PREPARE FOR DOWNTREND

-1979-

MR 8, B-12-1

ROBERT S. SALOMON JR. THINKS COMMON STOCKS ARE "CHEAP" O
LONG-TERM BASIS-SMITH

0 10, A-1-1

PRIME INTEREST RATE HITS 14 $\frac{1}{2}$ %. STOCK MARKET RESPONDED W
LARGEST DECLINE SINCE JAN 1974-ROSS

0 10, A-1-1

STOCKS PLUNGE IN RECORD SALES-ROSS

0 11, A-1-5

TUMBLING STOCK PRICES BLAMED ON SKITTISH INVESTORS OVERR
ING.

0 31, B-4-3

ALAN ABLESON SEES MKT AT 1000 IN 1980, INTEREST AT 7%
END OF YR-ROSS

N 18, G-1-3

STOCK PRICES IN ELECTION YEARS.-SMITH

STOCKS : PRICES

1979

N 27, E-2-1

MAJOR BANKS CUT INTEREST, STOCKS SOAR-SMITH D 7, A-2-1AM

NY-STOCKS CLIMB 6.66 POINTS DESPITE INFLATION GLOOM
-1980- JA 2, C-11-1

NY-STOCK MARKET RECORDED SOME RESPECTABLE GAINS IN 1979
MR 9, E-2-1

STOCK PRICES PLUNGED AGAIN-SMITH

MR 28, A-1-6

NY-NELSON BUNKER HUNT BALKS, SELLS SILVER, PRICES DROPS TO \$
\$10 MR 30, E-1-5

MARKET CATCHES ITS BREATH AFTER WEEK OF FRENZY AROUND SILVER
SMITH AP 6, H-1-1

STOCK MARKET MANAGES TO GET AUP A BIT AFTER 7 WK. LOOSING
STREAK-W.SMITH AP 20, E-1-1

STOCK PRICES DEEP DROPPING WHILE BOND PRICES MOVE UP-SMITH
8P 23, A-11-1 ST

PRICES UP 30.72 POINTS

-1980-

AP 28, E-1-5

MARKET LEAPS BACK OVER 800-SMITH

MY 25, J-1-1

STOCKS SOAR AS INTEREST RATE FALLS-SMITH

N 6, A-10-1-4D

PRICES LOWER IN EARLY TRADING.

N 20, A-1-1

DOW JONES INDEX NRS 1,000 MARK

--1981--

JA 2, F-2-3

STOCK PRICES PUSHED STEADILY HIGHER FOR MUCH OF THE YEAR.

JA 8, A-1-1

PRICES COLLAPSED IN RECORD BREAKING AVALANCHE OF SELLING.-

SMITH

JA 9, E-8-1

HOLLY HILL-ADVISER JOSEPH GRNVILLE TOLD HIS CLIENTS TO

MR. 31, A-6-4 2DOT

SELL, CAUSE WALL ST PLUNGE

REACTION TO PRES. REAGAN'S SHOOTING ON MARKET WAS CALM.

APR 1, B-9-1

NY- REAGAN RECOVERY SPURS DOLLAR.

S 9, B-2-1

NY-STOCKS HIT 18mo LOW, HIGH INTEREST BLAMED

S 13, E-1-1

INVESTOR OWNED FIRMS IN MICH HIT BY SUMMER COLLAPSE OF MAR

KET-SMITH

STOCK PRICES

1981

PRICES IN LONDON & TOKYO FAIL AFTER ANALYST JOSEPH GRANVILLE
PREDICTED DECLINES ON MAJOR MARKETS. S 28, A-1-4
SHARE PRICES ON LONDON & TOKYO PLUMMETED S 28, A-1-1-4DOT
US STOCK MARKET WENT UP NOT DOWN DESPITE GRANVILLE'S PREDIC
TION OF "BLUE MONDAY"-SMITH S 29, A-1-1
NY-US CONTINUED RALLY, FOREIGN MARKETS BATTLED BACK FROM
'BLUE MONDAY' S 30, A-7-5
PLUNGE IN PRICES HAS FORCED SOME MICH FIRMS TO DEALYS NEW
ISSUE OF COMMON STOCK-LUKE S 30, B-1-1
PRICES SOARED ALL OVER THE WORLD. S 30, B-1-4
NY-'RUMOR' OF R_EAGAN HEART ATTACK FELLS WALL ST. D 3, A-15-1
WALL STREET GAVE MOST OF CREDIT FOR LATE SURGE TO FED. RE-
SERVE BOARD WHICH REDUCED INTEREST RATES.-W. SMITH D 6, H-1-5
-1982- JA 2, A-12-1
NY-TROUBLED YR IN '81. PRICES DECLINED FOR 1ST TIME SINCE
'77-

-1982-

F 2, A-2-3 ST

NY-STOCK MARKET SINKS IN WORST LOSS SINCE '81 AUG SELLOFF

F 23, A-4-3 AM

MR 9, A-4-1

NY-WALL STREET HITS 21-MONTH LOW
NY-PRICES DROPPED PUSHING DOW JONES BELOW 800 LEVEL

MY 18, A-2-1

NY-STOCKS SUFFERED WORST LOSS IN 3 MOS. JE 24, A-13-1

NY-CLOSED SHARPLY HIGHER

AG 3, A-2-1-2DOT

NY-SOARED 13.51 PTS. BEST RALLY IN 5 WEEKS. AG 5, A-11-1

NY-DOW JONES SUFFERED ITS WORST LOSS IN 5 $\frac{1}{2}$ MOS.

AG 18, A-1-1

NY-UP 38.81 PTS. IN ONE DAY, RECORD

AG 19, A-1-3

132MILLION SHARES TRADED IN RECORD

AG 20, A-1-4

NY-STOCKS CLOSE UP 9.14 HIT 90-DAY HIGH

AG 21, A-1-2

NY-30.7 GAIN CAPS BUSIEST WEEK

	Ag 24, A-1-6
NY-UP 21.8	Ag 25, A-1-4
NY-SELLING BLITZ DROPS STOCKS 16.27 POINTS	Ag 27, A-1-4
NY-RECORD TRADING	Ag 28, A-3-6
NY-BUSIEST WEEK IN HISTORY	Ag 29, J-1-3
THAT WEEK ON WALL ST-S _m ITH	S 4, A-1-5
NY-STOCK UP 15.7, BUYING SPREE CONTINUES	S 14, A-2-1
PRICES ROSE SHARPLY HIGHER IN MODERATE TRADING.	S 30, A-12-1
TUMBLLED YESTERDAY IN WAVE OF PROFIT-TAKING.	O 7, A-1-5
NY-2ND LARGEST GAIN IN HISTORY UP TO 944	O 8, A-1-6
NY-TRADING ON NY STOCK EXCHANGE HIT ALL-TIME HIGH AS STOCKS	
GAINED 21.71 PTS.	

-1982-

0 12, A-1-5

NY-MARKET CRACKED THRU 1,000 LEVEL TO HITS HIGHEST LEVEL IN 18 MOS.

0 13, A-1-6

NY-DOW JONES STAYS ABOVE 1,000 MARK.

0 14, A-12-1

NY-DOW JONES CLIMBED TO XXX ITS HIGHEST LEVEL IN 18 MOS.

0 15, A-8-3

NEW YORK-RALLY FIZZLES; DOW DROPS 18.

0 16,

A-3-4

STOCKS TAKE PAUSE TO END HECTIC WEEK.

0 17, H-1-1

STOCK MARKET ROARED TO 17-MONTH HIGH LAST WEEK.-W. SMITH

NEW YORK-DOW JONES INDUSTRIAL AVERAGE ISOREB, A-2-2

TO 18-MONTH HIGH.

0 20, A-4-1

DOWN TO 1013

0 21, A-1-5

NEWYOR K-AVERAGE GAINED 20.32 POINTS YESTERDAY.

THE AVERAGE LOST 5.52 POINTS YESTERDAY TO CLOSE AT 1031.46.

0 23, A-2-4

STOCK PRICES

1982

O 24, E-6-1

STOCKS OF MICHIGAN COMPANIES WRER AMONG THE MANY WINNERS ON
WALL STREET LAST WEEK.-W.SMITH

O 24, E-7-1

STOCK WATCHERS BULLISH ON MARKET. O 26, A-2-2

NEW YORK-STOCKS TAKE WORST DROP SINCE CRASH OF '29.

O 27, A-4-1

NEW YORK-MARKET REBOUNDED AFTER WAKE OF ITS WORST SETBACK IN
53 YEARS.

N 2, A-4-1

NEW YORK-DOW JONES SPRINTED BACK OVER 1,000.

N 3, A-2-1Am

DOW CLOSES AT 1,022.

N 4, A-6-1

NY-DOW JONES ZOOMED TO RECORD HIGH IN BIGGEST EVER 1-DAY RISE

N 7, E-6-1

DOW JONES ROARED TO HIGHEST LEVEL EVER LAST WEEK.

-1982-

N 5, A-2-1

NY-PRICES TUMBLED IN BURST OF PROFIT-TAKING IN CLOSING MINUTES

N 9, A-2-6AM

NY-STOCKS PLUNGED IN RESPONSE TO SURGE IN MONEY SUPPLY & FED'S FAILURE TO EASE CREDIT.

N 10, A-1-5

NY-MARKET SURGED TO ITS 2ND HIGHEST LEVEL EVER.

N 10, C-14-2

FEW STOCK BROKERAGE OFFICES PUT IN TICKER TAPES. WANT TO AVOID ALL DAY TAPE WATCHERS-LADERMAN

N 11, C-10-1-2DOT

NY-DOW JONES HIT RECORD HIGH BEFORE SKIDDING ALMOST 16 PTS

N 13, A-3-5

NEW YORK-SEE-SAW CONTINUES.

N 16, A-15-2

NY-PRICES FELL AS PROFIT TAKING KEPT ERODING RALLY

N 17, A-16-4

NEW YORK---STOCKS DIVE FOR THIRD DAY.

N 17, A-16-4

N 18, A-6-1

NEW YORK-HOPES FOR STEPPED-UP TAX CUT AND LOWER INTEREST RATES DROVE DOW JONES UP.

N 20, E-6-1.

CAUTIOUS OPTIMISM REMAINS ON WALL ST-SMITH

STOCK : PRICES

1982

	N 23, A-2-1
NY-PRICES TOOK WORST DRUBBING IN 4 WEEKS.	N 24, A-2-1-2DOT
NY-DOW JONES FELL BELOW 1,000	D 1, A-4-1
NY-DOW JONES SOARED 36.43 PTS-4TH LARGEST GAIN EVER.	
	D 2, A-5-1
DOW JONES FELL 8.19 PTS.	D 7, A-15-3
NY-UP 24PTS	D 10, A-2-1
NY-STOCKS PLUNGED	D 15, A-6-1
NY-STOCKS PLUNGED, FALLING 14.90 PTS.	D 18, A-3-5
	D 28, A-1-4
NY-UP AFTER 8DAY SLUMP	
NY-RALLY SETS RECORD ALL TIME HIGH OF 1070.55	N 31, A-2-1
NY-MARKET FALLS TO 1047	-1982- JA 2, B-4-6
YEAR END TABLE TO RUN JAN3 IN DET NEWS	

-1983-

JA 3, B-4-1

STOCKS WENT WILD IN '82, UP 20%-CURRIER JA 4, A-1-4

NY-DOW FALLS ~~19.5x~~ 19.5POINTS

JA 7, A-4-3

NEW YORK-DOW STOCKS ROCKET TO ALL-TIME HIGH.

JA 8, A-5-2

NY-HITS RECORD 1076.07

JA 11, A-2-1

NY-1092

JA 22, A-3-6

NY-DOWN 17.84

F 1, A-2-1

NY-UP SHARPLY

F 2, A-2-1 AM

NY-PLUNGES

F 11, A-10-2

NY-DOW HONES SOARED TO ^{2ND} HIGHEST LEVEL IN HISTORY F 13, H-8-

DOW JONES DIDN'T QUITE MAKE IT TO NEW PEAK.-SMITH

STOCKS : PRICES

1983

F 15, A-2-2

NY-DOW JONES CLOSED AT HIGHEST LEVEL IN ITS 87 YR HISTORY.

F 20, H-8-1

INSIDER TRADING SUGGESTS DJ AVERAGE READY FOR A DIP-SMITH

F 24, A-6-1

NY-DOW JONES CLOSED AT 1096.94.

F 25, A-2-1

NY-PRICES SOARED TO ALL-TIME HIGH, PROPELLED BY PREDICTIONS

F 27, J-8-1

OF CHEAPER OIL PRICES

MARKET SURGED TO NEW HIGHS. ADDITIONAL GAINS EXPECTED.-SMI

Mr 2, C-8-4

NEW YORK-PASSES ANOTHER MILESTONE AS AVERAGE OF INDUSTRIAL

STOCK CLIMED TO RECORD.

Mr 5, A-3-5

NY-NEW PEAK, 1140

-1983-

MR9, A-2-3

NY-STOCKS FALL 22 POINTS

MR10, A-8-1

NY-PRICES CLIMB AGAIN

MR 13, J-8-1

PRICES DROPPED IRREGULARLY-SMITH

MR 24, A-4-2

NY-PRICES SOARED BOOSTED BY LOWER CONSUMER

PRICES
MR 31, A-8-1

NY-POSTED ITS 1ST GAIN IN 4 SESSIONS.

AP 12, A-2-1

NY-STOCKS SURGED TO NEAR-RECORD HIGHS

AP 14, A-4-1

NY-PRICES SURGED AGAIN.

AP15, A-6-2

NY-1165.25

AP16, A-8-6

NY- 1171

AP19, A-15-2

NY-1183

AP 23, A-3-5

NY-NEAR 1,200 LEVEL

AP30, A-1-3

1226.20

My3, A-13-1**NY-DOWN 21.87 TO 1204****My7, A-3-2****NY-4 MONTH HIGH IN TRADING SENDS DOW TO NEW PEAK-****My12, A-10-2 AM****My 17, A-2-3****NY-STOCK PRICES DROP IN PROFIT-TAKING****NY-STOCKS DECLINED SHARPLY DUE TO RUMOR OF INTEREST RATE****My 20, A-6-****BOTTOMING OUT.****NY-DOW JONES DROPPED BELOW 1,200 LEVEL****My 24, A-6-****NY-SLIPPED OVER 1,200 MARK AT CLOSING.****My 26, A-****NY-MARKET STAGED LATE RALLY****JE 16, A-12****NY-DOW JONES CLIMBED 10.02 PTS.**

--1983--

JE 28, A-9-5

NEW YORK-STOCK MARKET SUFFERED ITS WORST LOSS IN 3 WEEKS.

JE 29, A-2-5

NY-DOW JONES FELL 20.24

JL 7, A-2-1

NEW YORK-DOW INDEX RISES 12 POINTS.

JL 7, A-2-2

NEW YORK-DOW JONES GAINED 12.12 POINTS

JL 8, A-4-1

NY-DOW DROPS 10 POINTS

JL 10, A-1-5

STPETERSBURG-FLORENCE RICHARDS BOUGHT \$75 IN OIL STOCK 50YRS AGO, NOW WORTH \$54,000

JL 16, A-3-1

JL 28, A-1-

NY-DOW JONES DIPS, LOST 12.01 POINTS

REPORT XX THAT IBM PLANS EARLY INTRODUCTION OF NEW COM CAUSED STOCKS TO DIP.

JL 29, A-2-1-200

JL 30, A-3-1

NY-DOW JONES FELL, CLOSING AT 1,216.35

Ag 9, A-1-5

NY-DOW JONES PLUNGED 17.13

2-

NY-DOW JONES AVE. PLUNGED

Ag 24, A-5

MARKET DROPS 10 PTS

STOCK: PRICES

1983

S7, A-4-1

STOCKS SKYROCKET ON HOPE OF EASIER CREDIT POLICIES.

NY-UP 10PTS

NY-INTEREST DIP SENDS STOCKS UP

NY-DOW JONES CLIMBED TO RECORD HIGH

NY-DOW JONES FELL 12.80 PTS.

NY-UP AGAIN

NY-DOW JONES CLOSED AT 1,265.14

NY-PRICES DECLINE

NY-DOW JONES CLOSED AT 1,232.52

NY-DOW JONES CLOSED AT 1,287.20.

-1984-

SUPER BOWL INFLUENCES TRADING-SMITH

S 17, A-3-1

S 21, A-2-1

S 23, A-2-3

S 28, A-13-1

O 6, A4-1

O 12, A-6-4

N 4, A-5-1

N 10, A-10-1

N 30, A-2-1

JA 19, E-1-2

-1984-

JA 24, C-4-4

NY-STOCKS FALL ALONG WITH REDSKINS

F 2, F-1-1

STOCK MARKET CONTINUED ITS WINTER SLIDE-SMITH

F 4, B-4-5

F 25, A-2-6

3MO LOW

MR 17, A-2-1

NY-DOW JONES SOARED 30 PTS

MY 25, A-1-1

NY-MARKET CLIMBED 16.96 PTS.

RUMOR ABOUT MANUFACTURERS HANOVER CAUSED BANK STOCKS TO DROP
-SMITH

JL 25, C-1-3300T

DOW FALLS 10PTS, MARKET AT 17MO LOW-SMITH

AG 3, B-4-5

MARKET CONTINUES TO SURGE YESTERDAY PUSHING PRICES TO THEIR
HIGHEST LEVEL -ON AVERAGE- IN 12 WEEKS.-W.SMITH

AG 4, A-1-3

STOCKS END WEEK UP 87.46 POINTS.-W.SMITH

STOCKS : PRICES

1984

	Ag 11, A-1-2
Market soars, then falls.-W.Smith	Ag 28, D-1-5
PRICED DROPPED IN SLOWEST TRADING IN A YR.	S 15, A-1-5
DC-CLOSED AT 1237.52 YESTERDAY.	N 4, D-6-1
WHAT WILL HAPPEN IN MARKET IF MONDALE WINS.-SMITH	N 8, E-1-1
MARKET DROPPED IN HEAVY TRADING-SMITH	N 24, A-1-1
NY-FED DROPS DISCOUNT, DOW BOOMS	N 30, B-1-2
STOCK MARKET FELL FOR 2ND DAY IN ROW-SMITH	D 22, C-1-5
SLIP BUT UP THE WK -1985-	F 14, C-10-2
DJ ZOOMED TO ALL-TIME HIGH OF 1297.92-SMITH	F 15, B-1-6
STOCKS SLIP FROM RECORD PRICE LEVEL-SMITH	Mr 2, A-2-3
NY-Market leaped to record high	My 2, F-1-1
Prices continued their steep slide	

-1985-

Je 22, B-1-1

Prices soar.

Jl 14, E-6-1

This summer's bull market on Wall Street isn't generating much excitement.-W. Smith

O 17, A 1-2

Record high

P 17, D-13-5
O 20, D-4-3

Record 1368.5
NEW YORK

Dow Jones has been climbing but there are concerns about other records that aren't being set.

D 5, D-13-2

Transportation issues joined blue-chip industrial stocks in surging to all-time highs-Smith

D 12, F-1-6

DOW soars above 1500-Smith

D 22, E-8-1

A few dissenters dampen rosy outlook for stocks.

D 28, B-1-6

-NY-Market climbs; autos up

STOCK : PRICES

1986

Ja 5, D_1-6

Food chains, banks post big '85 gains-Gruley

Ja 8, A_1-6

; Dow at 1565

Ja9, A-1-6

Drops 39 points, biggest drop since Great Depression

Ja 9, D-3-6

Now what?

Ja 29, F-9-2

Stock market soared with auto issues prominent-Smith

F 5, F_8-2

Dow Jones breaks 1600-Smith

F 7, A_9-6

Dow Jones closed above 1600

Dow Jones hit 24.89 pts

F 22, B-1-4

Dow at 1810-Smith

Mr 27, D-11-6

-1986-

Ap5, B 1-4

Sinks deeper, to 1739

Ap 23, A-13-5

Prices took steep dive

My 1, A-1-2

Concern about Soviet power plant acc effects Dow Jones-Smith

My 26, C-1-2

Market is now less volatile than it used to be-Kerwin

My 28, A-12-6

Prices continued to soar-Smith

My 28, A-12-6-3D0

Dow ave soars to 1853-Smith

My 29, C-6-2

Prices surged for 4th session in row-Smith

Je 6, C-10-2

Dow nears record in late burst.-W.Smith

Je 26, C-4-4

Market closed at new all-time highs

Jl 2, F-1-2

Dow Jones closed above 1900 barrier-Smith

Jl 8, A-1-5

Stock market plunges 62 points. Smith.

Jl 9, E-4-5

Market drops another 18 points-Smith

STOCK : PRICES

1986

Jl 16, C-1-5

Selling spree sends Dow down 25pts-Smith

Jl 17, E-2-4

48 Mich stocks hit by market slump-Smith Jl 26, C-1-2

NY-stocks rebound, top 1800

Jl 29, D 1-6

Dow falls 36.14pts in lt trading-Smith Ag 5, Ag 2, C-1-1

Mid-summer slump continues

Dow has best Monday close since June 30.-W.Smith

S 5, E-1-2

Dow Jones soared to 1919.71-Smith

S 12, A 1-5

NY-market plunges a record 86.62

S 12, A-1-53dot

NY-market opens with new low

S 13, A-1-1

NY-plunge continues-Freilich

S 16, D 6-4

NY-Dow clim

modestly as trading pace slows-Currier

-1986-

O 11, C 1-2

NY-at 1793

N 5, C-7-5

NY-Treasury program blamed for stock dip-Peltz

N 12, C 1-6

Up on Veterans Day-Smith

N 19, A-1-5

NY-skittish market plunges 43 points

D 3, A-1-5

NY-stock prices soar when Reagan acts (on Iran-arms flap)

D 3, C-1-2

Dow zooms to new high-Smith

D 11, C-10-1

DC-John Phelan says crash possible because of program

trading-McCormick

-1987-

Ja 9, A1-5

Dow Jones closed above 2000 mark for 1st time in history-

Smith

Ja 20, D-1-6

Dow tops 2100-Smith

Ja 22, E-1-1

Dow Jones dropped for 1st time since New Yr's Eve-Smith

STOCKS : PRICES

1987

Ja 23, A-1-1

Dow gains record 51 points in 1 day-Smith

F 3, D-1-2

Auto stocks boost Dow to new high

F 10, D-2-1

Prices dropped moderately-Smith

Mr 30 A-1-1 4-dot

Stock prices inch up after 80-point skid.

Ap 22 C-1-5

Dow soars as bull market charges on.-W. Smith

Ap 25 C-1-2

NY-Dollar, Dow both take tumble.

Ap 26 E-3-1

Stock buying may be roller coaster ride

Je 27 C-1-1

Stocks fall.

Jl 18, C-1-2

NY-Dow closes above 2500-Freilich

Jl 31, D 3-5

NY-market surges for 3rd straight record, 2567.44

Ag 11 D-1-2

NY-Dow Jones sets new record of 2600.

-1987-	Ag 12, C-1-6
NY- bull market rages ahead-Given	Ag 13, C-1-2
NY-another bull yr-Currier	S 9 G-1-1
NY-Dow's slide shakes investors.	S 23, C 1-6
NY-Record Dow gain revives market-Givan	0 2 E-2-1
NY-Wall Street surges.	07, A-1-1
-Dow takes record 91.55 point dive.	0 9 E-1-6
NY-Stock market takes another beating.	0 10 C-1-2
NY-Dow ends week with record loss.	0 16 A-3-6
Dow plunges 57.6 points on wave of selling.--K.Kerwin	0 16 E-2-1
Tokyo-Stocks tumble in Tokyo as plunge in Dow index rattles Japanese investors.	0 17 A-1-3
Stock jitters spread as Dow sinks deeper.--Vlasic	

STOCKS: PRICES

1987

0 18 J-1-1

Wall Street experts split on whether market's free fall was
a 'correction'--Ross

0 19, A-1-1

NY-jitters as market reopens-Menezes

0 19, A-1-1 3dot

NY-DJ plunges 100pts in hr

0 19, A-1-1 4dot

NY-DJ falls 152

0 24 A-1-2

Lans.-State analysts banking on cars, computers, toys.--Ch.
Cain

0 24 A-1-5

Trade limits, shorter hours help soothe frenetic market.

0 24 C-1-2

DC-Economic strength gains, but analysts fear effects.

0 27 A-1-5

NY-Battered market tumbles again.

0 30 A-1-6

NY-Wall Street heartened by vigorous stock rally.

-1987-

0 31 A-1-5

0 31 C-1-2

NY-Stock rally continues.

DC-Market's collapse expected to take its toll on economy.

N 3 F-1-6

NY-Dow breaks 2,000 mark in calmest trading since crash
2 weeks ago.

N 4, C-1-2

NY-Dow dips, dollar holds-Coy

N 5, E-3-1

NY-DJ down 18pts

N 6, C-1-6

NY-up 40 pts-Gladstone

N 13 A-1-1

DC-Trade deficit eases, spurs market rally.

N 13 E-1-6

NY-Stock mkt smiles at cut in trade gap.

N 20 E-2-1

NY-Traders fed up with deficit; Dow falls 43.

N 29, C-1-2

NY-Stock market sputters

D 1, A-1-2

NY-another plunge

D 1, F-1-2

Impact of crash gauged-Kerwin

STOCKS : PRICES

1987

	D 4, A-1-1
Falls another 72 points	D 4, E-1-2
Another dive-	D 5 C-1-6
NY- Dow slides toward 1987 low.	D 6 J-1-1
Few expect Dow to end year with a bang.--Ross	D 8 E-1-3
NY-Dow rallies for 45-point gain.	D 11, D-1-3
NY-47 pt dive	D 15 C-1-6
NY-Dow index surges 65 points.	Dec19, C-1-6
Dow ends record week with gain.	
-1988-	Ja 5 A-1-5
NY-Rebounding dollar spurs stocks' rise.	Ja 5 A-1-5 3-dot
NY-Dow rally continues.	

-1988-

Ja 9 A-1-1

NY-Plunge, history's 3rd worst, erases new year rally.

Ja 11 A-1-5

Stock prices drop in Far East.--K.Kerwin
Same.

Ja 11 A-1-5 3-dot

Wall Street erratic during opening today.--K.Kerwin

Ja 15, E-1-6 3dot

Stocks climb on favorable trade news-KerwinJa 16, C-1-2

Market jumps as trade deficit falls-Kerwin Ja 16, A_1-1
Lower trade deficit spurs market rally

F 3 C-2-4

DC-Program trading didn't cause Oct. mkt crash.

F 4 F-2-5

NY-Wave of selling hits blue chips hardest; gold prices
fall.

Mr 11, E-1-6

NY-skids 48pts

Mr 18 E-1-1

NY-Dow soars to post-crash high.

STOCKS : PRICES

1988

	Mr 22, D-1-1 4dot
Stocks tumble in slow trading	Mr 25 E-1-6
NY-Dow tumbles as dollar falls.	Mr 26 A-1-1
NY-Dow dives 44.92 points.	Mr 26 C-1-5
NY=Dow avg drops below 2,000 mark.	Mr 31 C-1-1
Mkt declines as dollar slumps.	Ap 7 A-1-1
Dollar rises, pushes stocks up 64 points.	Ap 7 E-1-6
NY-Mkt posts big gain as traders respond to rising dollar.	Ap 9 A-1-1
NY-Dow avg hits post-collapse high.	Ap 15 A-1-2
NY-Stocks take another dive.	Ap 16 A-1-1
Mkt takes bad news about higher retail prices in stride.	Ap 16 C-1-2
NY-Wholesale prices rise 7%; inflation worries grow.	

-1988-

Jl 13 C-1-1

Dow index drops below 2100 level.

N 12 A 1-1

Dow Jones index drops 47 points

N 12 C 1-2

NY-Dow index falls 47 points in sell off-

D 6 D 1-1

Dow Jones index climbs 31 points

D 7 C 1-6

Bond rates spur rally on Wall St.

-1989-

Ja 5, E-1-1

Dow rebounds, climbs 33 points

Ja 28 A-1-2

NY-Blue chips buoyed by promise of cut in gains taxes.

Mr 18, A-1-3

NY-inflation fear stuns market to drop 48 points

Ap 15 D-1-6

DC-Slight rise in wholesale prices gives stocks a boost

STOCKS: PRICES

1989

Ap 19 C 1-6

Wash-Inflation report rises stock prices surged 41.61 pts

Je23 A 1-1

NY A false story that Bush has been shot came from someone trying to 'make a few bucks' on the stock market

Je24 D 1-2

NY-Market hits postcrash high

Je 27 E-1-1

Stock prices dip after Je 23 high

Je 28 C-1-1

Numbers stock mkt data was inaccurate in some Je 27 edition

Je29 E 1-3

Economic indicators fall in May

Je30 E 1-6

Stock prices tumble 46 points

J1 3 F 1-1

Stock market begins rocky ride-Kaskovich

J1 27 E-1

Dow index hits post crash high

Ag 11 A-1-6

Dow Jones bolts to near record since '87 crash.--Tomp

-1989-

Ag 12 D-1-1

Wall St once again failed to post all-time high--Tomp

Ag 25 A 1-2

Stock prices surge as Dow shatters record

Ag 26 D 1-2

Profit-taking stalls stock market

0 4 C 1-6

Dow surges 40 points to new high

0 14 A-14-5

Mich blue-chip stocks hard-hit in stock mkt plunge--D.Smith

0 15 M-1-1

Dives dampen confidence of inexperienced investors.=

Kaskovich

0 17 E-1-2

NW- Airline stock prices plunge as Trump drops buyout plan
for American Airlines.

0 17 A-1-5 3Dot

Stocks rebound in Asia, Europe.

0 17 A-1-5 3Dot

Growing trade deficit drove stock prices down--Tomp

0 20 G 2-1

Stocks soar on news of lower consumer prices than expected

STOCKS: PRICES

1989

N 1 A -1-1

DC- Chm of NYSE says mkt could be left in ruins if wild swings in prices keep scaring away investors

-1990-

Je 5 C-1-1

Stock prices roll up to record highs

Je 13 E 1-2

A late burst of program trading sent the stock market sharply higher, with the Dow Jones Industrial avg closing just shy of another record

Ag 2 E 1-5

Iraqi invasion hits oil markets

Ag 7 A-1-6

Stock mkt nosedives as crude oil prices soar

Ag 8 G-1-2

Middle East turmoil drives oil prices up, stock & bond prices tumbling but opens opportunities for cautious investors.--Olson

Ag 24 A 1-2

Crisis in the Gulf- Paying the price-Oil spurts, Gas creeps, stocks dive-Tempor

-1990-

Ag 24 E 1-2

Investors calm despite Dow's fall-Clients displaying more savvy than in past drops, brokers say-Tompore N 13 A-1-6

DC- Experts cautious about how quickly interest cut would help Mich economy

-1991-

Ja 17 E 1-6

Tokyo-The key index on the Tokyo Stock Exchange posted its 10th highest gain N 20 A-1-2

Limping economy attracting bears back to Wall St.

-1994-

Ja 7 F 1-6

Prices topped the 3,800 mark despite the predictions that they were headed south for '94. - Joyner

N 23 E 1-1

Dow slumped 2.43%, lowest since July 6

-1995-

F 24 E 1-1

Mich banks led growth as Dow Jones industrial avg broke through 4000 barrier for 1st time

STOCKS : PRICES

1995

N 21, C-5-1

Dow tops 5000, then retreats-Hoffman
-1996-

S 01 D 1-6

Clinton not responsible for stock market gains;just happened to get to White House at right time-Tomp

O 15 A-1-2

Dow passes 6000 with Big Three.-Raphael O 15 D-7-1

Experts say 6000 mark is of little signifance.-Raphael & Serju

O 15 D-7-5

NY-Historic strides have been made by this bull run.

D 12 B 3-1

Dow average went down 69.84 points 12/11, to 6,403.41;jit
ery investors-Serju --1997-- F 14, B-1-2

Investors worry that stock prices are over-valued-Waldsmi

-1997-

Ap 23 B 1-1

Prices soared; Dow closed at 6833.59, up 173.38 points, 2nd highest point gain in history-Serju

Ag 13 B-1-2

NY-Dow, S&P, Nasdaq drop as strong retail sales heat up concern that Fed will boost interest rates.

0 09 B 1-5

Alan Greenspan, Fed. Reserve, says continued gains "unrealistic"; then Dow loses 83 points-Serju-Harris

0 10 B 1-1

Stocks fall 2nd day, fear of inflation

0 22 B 3-2

Dow rose 130 points, wiped out 200 point slide of last week

0 29 A 1-3

10/28 was buyers market; investors looking for bargains-Serju-Harris

0 29 A 1-6

Stock market down 10/27 554 points; but rebounded 337 points next day-Serju-Harris

0 29 A 9-3

Hong Kong-Stock market rose 18.8%, Japan's rose, too; analysis

O 29 A 14-1

Commentary on turmoil in stock market, "Asian Flu or Dollar Flu?"-Ed

D 17 B-5-1

Dow Jones industrial average could hit 8700 by the end of next year.

1998

Je 17 B 1-5

U.S. stocks recovered from Dow's 207 point fall; oil/computer sotcks were gainers-Preddy

Ag5, A-1-1

Dow takes 300 point tumble.

Ag5, B-1-5

Dow's worst plunge since last Oct. doesn't deter investors in for the long haul.

Ag6, B-1-6

Stock dips remind buyers of market's recent drop.

--1998--- Ag 12, A-1-5

Jitters rise as stocks take a fall.

-1998-

S 15 B-3-2

NY- Stocks close near, 8,000.

O 1 B 1-1

NY-Dow sank below 8000; reasons given

N 10 C-4-2

NY- Firms accused of conspiring to keep stock prices high to pay investors \$1.03 billion.

1999

Ja 1 A 1-1

NY-Dow ends year up 16%;details

Ja 1 B 1-5

Experts predict U.S. financial markets will settle down this year-Predy

Ja 14D 1-2

Brazil's economic crisis sends stocks down;graphs-Predy/
Despiegnes

-1999-

Mr 17 B-1-1

Dow passes 10,000 record then drops.-Predy

Mr 24 B 1-1

Dow Jones fell 218 points;details

N 22 B-1-2

WEST BLOOMFIELD-Investors gain access to late trading
prices-Wisely/Despeignes/Donnelly

STOCK: PRICES

2000

NY-US stock market averages powered their way to record
highs as 1999 ended despite Y2K worries
NY-Fears that Federal Reserve will raise interest rates
sent stocks plunging

Ja 03 A-1-5

ja 05 C-1-5

1965

STOCKS: QUOTATIONS

WASH.-DISSIDENT BROKER-DEALER GRP IS MOVING TO BLOCK NATL. ASSN. OF SECURITIES DEALERS FROM BEGINNING PUBLICATION OF INSIDE STOCK QUOTATIONS-SLEVIN F 10, C-17-4

WASH-U.S. DIST. CT. JUDGE BURNITA MATTHEWS DENIED BID TO BLOCK NAT'L. ASSOC. OF SECURITIES DEALERS FROM STARTING PUBLICATION F15 OF ABOVE NEW SYSTEM F 12, D-11-1

WASH-FED. CT. REFUSED TO BLOCK NEW SYSTEM FOR QUOTING PRICE OF STOCK TRADED OVER COUNTER BY NASD F 12, D-12-6 6*

WASH-U.S. APPEALS CT. TURNED DOWN BID BY PACIFIC NORTHWEST STOCKBROKER TO BLOCK NEWSPAPER PUBLICATION BY NASD OF WHOLESALE QUOTS FOR STOCK TRADED OVER THE COUNTER F 14, D-11-4

DET NEWS TO PUBLISH NEW COMPUTER COMPILED STOCK MARKET QUOTATIONS. 1/ BEGINNING F 2920. E 18, E-8-4

STOCK : RECORDS

1987

Ja 9, A-10-1

The Great Leap Forward-Edit

Ja 9, A-1-5

Dow Jones closed above 2000 mark for 1st time in history-Smith
ith

Ja 9, A-8-1

Wall St unimpressed by record closing level-Kerwin

Ja 9, A-8-5

Dow Jones is most widely watched measure of what's happeni
ng on Wall St.

Ja 22, E-1-1

Longest rally ends when Dow dropped for 1st time since New
Yr's Eve-Smith

F 3, D_1-2

Auto stocks boost Dow to new high

F 6, E-1-2

Record breaking days for Dow index-Smith

F 18, C-1-6

Dow Jones zoomed to record closing level of 2237.49

-1987-

Dow breezes past 2300-Smith

Dow smashes more records.-W. Smith

NY-Dow closes above 2500

Mr 21, C_1-1

Ap 4 C-1-1

Jl 18, C_1-2

STOCKS - REGISTRATION

1933

Form 1656

The Detroit News

AG 8, 28-1

AG 15, 27-5

TRUST SECURITIES

MR 13, 33-6 WSC

AP 3, 34-5

NO SALE

AP 9, 26-7

JE 8, 23-8

PREPARING DATA UNDE

JL 11, 31-8

AG 1, 28-5 2NDXTRA

AG 14, 29-1

REGISTRATION OF EXCHANGE

AG 18, 17-4

AG 22, 25-5 1HOME

S 2, 4-10-8

S 14, 44-5-RXTRA

S 19, 32-1

N 19, 28-5-IH

DETAILS FILED IN STOCK SALES

ORDERS ASKED TO STOP SALE OF SPECULATIVE INVESTMENT

1934

REGISTRATION NECESSARY TO SELL TREASURY ISSUE

REGISTRATIONS UNDER SECURITIES ACT OF 1933 TOTAL \$501 MILLION

STATISTICS AVAILABLE-BRACKETT

SECURITIES REGISTRATIONS RISE TO RECORD HIGH

ACCOUNTANTS OF LARGE CORPORATIONS SPEEDING AUDITS, PREPARING DATA UNDE

NEW LAW-JAGGER

SECURITIES PASS MILLION MARK

REGISTRATION DATA TO BE SIMPLE AS POSSIBLE

STOCK EXCHANGE COM. LAYS DOWN REGULATIONS FOR REGISTRATION OF EXCHANGE

& LISTED ISSUES

REGISTRATION REFUSED FOR 2 ISSUES BY FTC

SECURITY ISSUED REGISTERED WITH FTC DURING JULY

SEC TO SPECIALIZE ' SIMPLIFY ALL REGISTRATIONS

REGISTRATION TIME EXTENDED TILL SEPT. 22

ONLY 14 OUT OF 1,205 APPLICATIONS ARE REGISTERED

INVESTMENT COMPANIES LEAD IN REGISTER OF SECURITIES

---1934---

N 30,38-4

SEC REGISTRATIONS TOTAL \$1,242,120,716

---1935---

JA 14, 29-8

SEC MAKES NEW RULES FOR REGISTRATION OF SECURITIES TO HELP SPEED UN -
BUSINESS EXPANSION

JA 25, 35-2

SEC TIGHTENS REGULATIONS ON "PRIVATE FINANCING"

F 8, 38-5-CM

SEC APPROVES REGISTRATION OF "OVER-THE-COUNTER" STOCKS

F 25, 28-7 C M

JOS F KENNEDY SEES NO REASON TO EXEMPT BANK STOCKS

AP 4, 24-2

WALL STREETERS LOOKING FOR GLOOM--EDIT

AP 8, 25-7

REGISTRATIONS \$136,280,087 FOR PAST WEEK

AP 15, 29-6

NEW FORMS OF SEC SIMPLIFY REGISTRATION PROBLEMS

JE 4, 28-7 C M

SEN KENNEDY OPPOSES BORAH BILL EXEMPTING SMALL CORPORATIONS

JE 17, 24-1

17 CONCERNS SEEK RIGHT TO ISSUE NEW SECURITIES

AG 29, 39-1-CM

CHAS. R. GAY SEEKS MORE TIME TO REGISTER

S 9, 28-2 WSC

DEALER REGISTRATION DEADLINE EXTENDED TO JA 1

O 9, 32-1 C M

SEC PROPOSES SMOOTHING PATH FOR STOCK OFFERINGS

O 29, 27-3

REGISTRATIONS PASS BILLION IN 3RD QUARTER

N 7, 40-8 WSC

UTILITIES MARK TIME AS FILING DEADLINE NEARS -GARDNER-

D 16, 28-8-XTRA

DOMINION OF CANADA FILES WITH SEC TO REGISTER SECURITIES-

D 17, 35-6

INVESTORS SEEK SEC DATA MOSTLY BY PROXY

---1936---

MY 4, 28-1

MARCH REGISTRATIONS TOTALED \$583,000,000

MY 6, 33-6-MAIL

N.Y. CURB EXCHANGE APPROVES GOVT. SUPERVISION QG ABOVE

JE 1, 30-6

DOLLAR VALUE OF REGISTRATIONS DROP IN APRIL

Form 1656

SEC TO HOLD APPLICATIONS OF 13 COMPANIES SECRET

S 4,39-8-NITE

J. EDWARD JONES LOSES SUP. CT. FIGHT FOR REVIEW OF 1933 "TRUTH IN SECURITIES" ACT

O 20,34-5

SECURITY REGISTRATIONS FROM JAN 1 TO 30 DOUBLES THOSE OF 1935

O 30, 53-8

SEC DENIES REGISTRATION TO SECURITIES OF NATL. INVESTED SAVINGS CORP.

N 7,19-4

OCT. REGISTRATIONS TOTAL \$526,330,000

D 2,41-4-VISC

MICH. CORP. & SEC. COMM'N ISSUES RULING TO BRING CLOSER LINK WITH SEC

MR 2,11-3-NITE

SEC REPORTS GIFT OF 22,010 SHARES OF GM STOCK DURING JAN.

MR 20,19-5

DAVIDSON BROS. INC. REGISTERS

AP 2, 48-5 CITY

LIST OF REGISTRATIONS FILED IN PROPOSED FINANCING

AP 16,50-6-VISC

SHELLER MFG. CO. FILES REGISTRATION STATEMENT WITH SEC

AP 18,4-16-1

LIST OF CORPS REPORTING CHANGES IN SECURITY HOLDINGS

MY 6, 48-8

REGISTRATION STATEMENT

MY 29,20-7

LIST OF COMPANIES WHO FILED REGISTRATION STATEMENTS FOR PROPOSED SECURITIES

JL 1, 49-4

REGISTRATIONS TO DATE TOTAL \$3,012,130,000	---1937--- N 1,26-1
AUGUST REGISTRATIONS SHOW SHARP RISE	S 30,35-6
OCTOBER REGISTRATIONS HIGHEST SINCE 1937	N 30,29-7
SECURITIES REGISTERED DURING 1938 TOTALED \$2,188,300,000	F 9,39-4
\$136,000,000 IN SECURITIES ISSUES REGISTERED FOR SALE IN JANUARY	F 24,30-1
SEC'S CONTENTION THAT AN INQUIRY INTO THE TRUTHFULNESS OF A STOCK REGISTRATION STATEMENT WOULD BE STIFLED IMPROPERLY IF IT PERMITTED THE STATEMENT TO BE WITHDRAWN IS UPHOLD BY COURT	F 28,24-1
REGISTRATIONS INCREASE IN MARCH	AP 21,32-6
APRIL REGISTRATIONS TOTALED \$235,667,000	MY 26,35-6
US COURT OF APPEALS RULES RESTRIC PUBLICITY ON REGISTRATIONS IS UP TO THE SEC	JA 2,23-3
BOSTON EDISON CO., MCCRODY STORES CORP. FILE REGIS.STATEMENTS	N 8,39-1
INVESTMENT BANKERS ASK CONG.PLUG LOOPHOLES WHICH PERMIT PRIVATE FINANCING TO ESCAPE REGISTRATION REQUIREMENTS	N 8,18-6
SECURITY REGISTRATIONS OVER \$2 BILLION IN '41	F 25,28-8 BS
25 ISSUES REGISTERED IN JAN.; NEARLY DOUBLE DEC.FIGURE	F 27,32-4
REGISTRATION RUSH ON TAKING ADVANTAGE OF "90-DAY RULE"	AP 4,23-1

STOCKS - REGISTRATION

1945

MAGUIRE INC. NY DENIED SEC REGISTRATION

AP 10, 20-4

SEC SIMPLIFIES REGISTRATION FORMS

JE 12, 19-8

STATE DEPT SAYS ITALIAN STOCK SECURITIES MUST BE REGISTERED

JL 24, 29-8

SEC PROPOSES 2 RULES TO BROADEN DISSEMINATION OF INFORMATION IN REGISTRATION

O 13, 4-10-2

DROP MORE THAN \$1BILLION IN 3RD QUARTER OF YR.

N 16, 13-6

6 FIRMS ASK SEC PERMISSION TO WITHDRAW REGISTRATION STATEMENTS

D 30, 18-5 BS 2

---1947---

SIMPLIFICATION OF REGIS. FORMS OFFICIAL TODAY

JA 9, 42-6

1946 VOLUME \$7,319,320,000---1952---

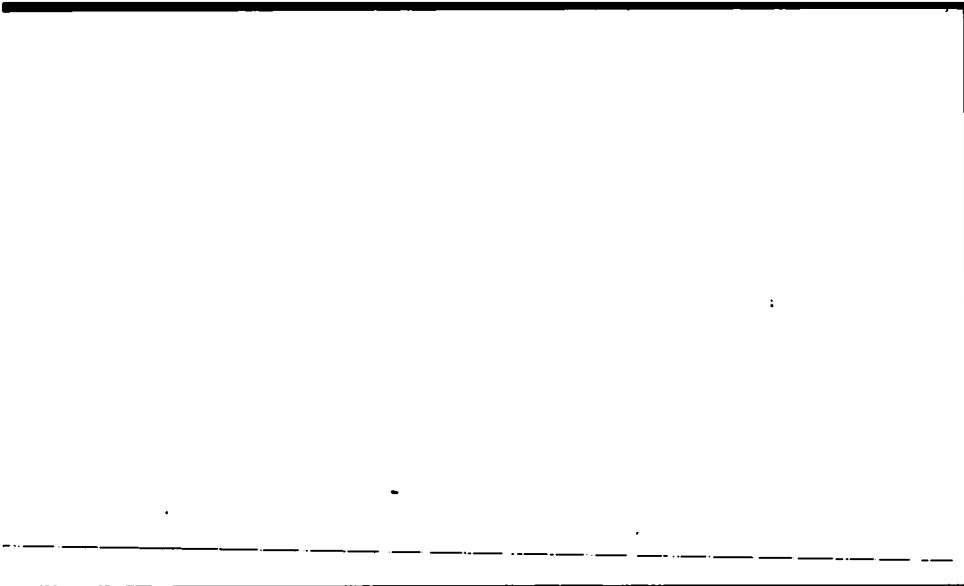
MR 5, 28-8

HALSEY STUART & CO INVESTMENT BANKERS SEEK REGISTRATION & REGULATION OF PRIVATE SALES

MY 20, 32-1BS2

MY 26, 28-6

OIL MEN FIGHT REGISTRATION WITH SEC OF ALL STOCKS

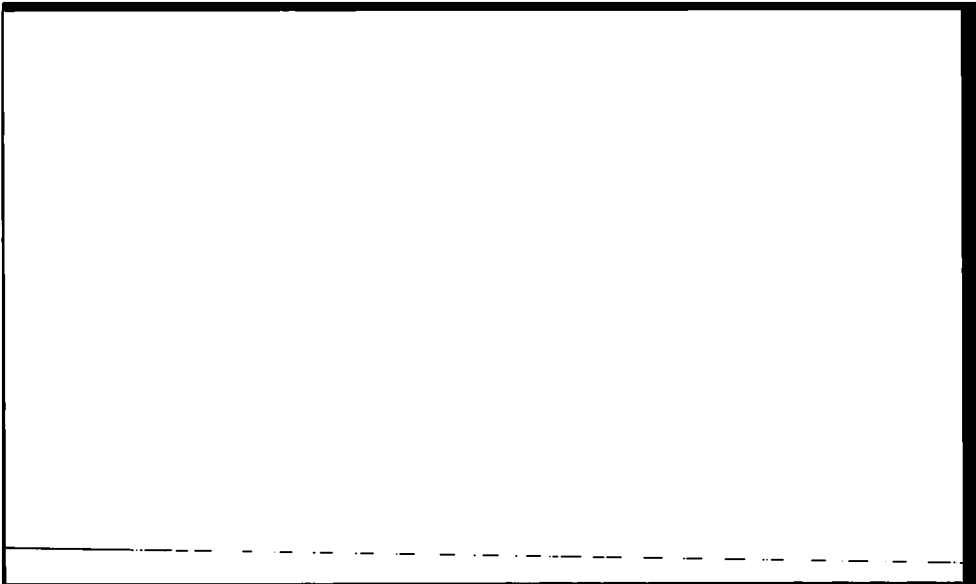


STOCKS: REPORTS

1966

D 28, C-23-1

NY-TRANS-LUXCORP. ANNOUNCED STOCK MARKET PRICE DISPLAY SYSTEM
FOR TV STATIONS IN TICKER-TAPE REPORT

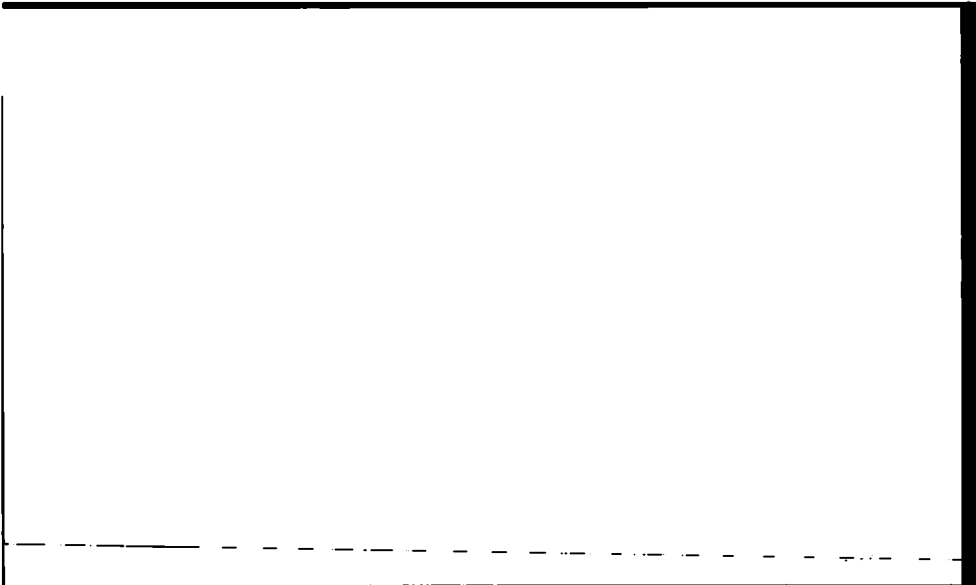


1965

STOCK: SALES: CANADA

N 11, D-11-3

WASH.-US & CANADA AGREED TO SEEK DEFERRAL UNTIL NEXT YEAR OF FURTHER
NEW CANADIAN SECURITIES SALES IN THIS COUNTRY



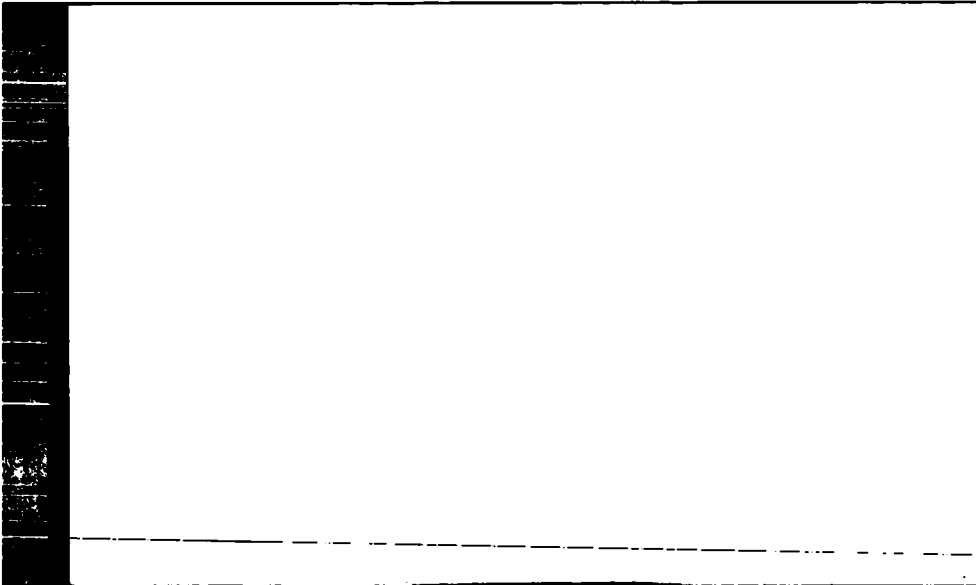
JA 26, 1-1

CHARLES R BLYT BUYS 10,200,000 SHARES OF FORD STOCK

---1966---

JA 21, D-5-1

ANDREW C REID PULL OFF 2 OF BIGGEST DEALS IN DET STOCK EXCHANGE HI
Y IN 10 MINUET PERIOD- SMITH



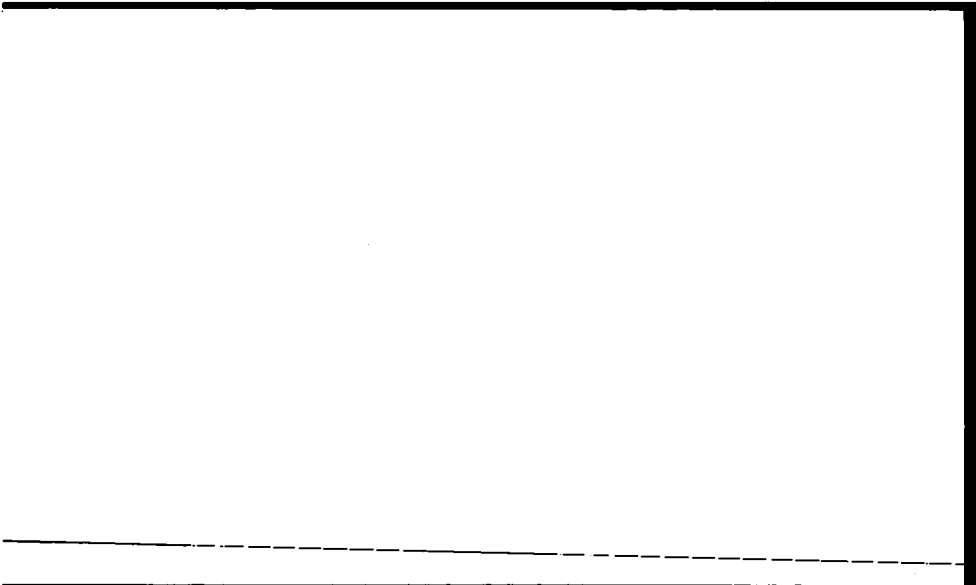
HOUSE SENDS TO SENATE BILL TO ALLOW CERTAIN STOCK SALES BEFORE AN ISSUE IS VALIDATED
 -----1956----- Mr 16, 60-4 Ja 28, 11-2

BAY CITY-FED. INVESTIGATION OF URANIUM STOCK POOL SALE TO BAY CITY & MIDLAND RESIDENTS ENDS JA. 2 TH
 -----1959----- Mr 9, 25-1

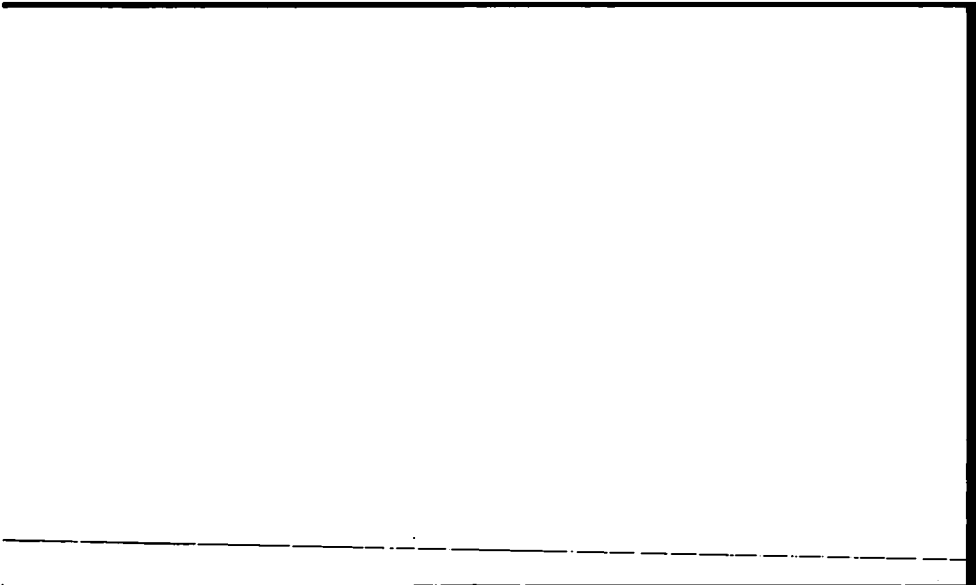
MICH. ORDERS 6 TORONTO & 3 NEW YORK BROKER-DEALERS TO STOP THEIR STOCK PEDDLING IN STATE; THEY ARE ROSMAR CORP LTD, ADELAIDE SECURITIES LTD, TOTHAM SECURITIES LTD, IMACO CORP LTD, ROBT EDGAR LTD, J.A. WINTROP LTD, WEBSTER SECURITIES CORP, MIDLAND SECURITIES INC, & HANNIBAL ASSOC. INC
 ---1964--- JA 26, D-8-5

BILL INTRODUCED BY REP. GILBERT BURSLEY TO MODIFY RULES GOVERNING SALE OF CORPORATE STOCK IN MICH.

-1986- Ap 13, D_1-1
 3 Mich retailers offers stocks to expand-Brauer



- NYC-STOCK BUYING PLAN ATTRACTS 26,000 D 30, 21-4
 -----1955----- JA 25, 28-5
 MONTHLY INVESTMENT PLAN, WHICH PROVIDES FOR PURCHASE OF SECURITIES ON
 ABOVE PLAN, COMPLETED ITS FIRST YEAR OF OPERATION WITH ABOUT 28,800
 PLANS IN EFFECT ----- 1956--- F 7, 24-4
 NY STOCK EXCHANGE GIVES ACCOUNTING ON ABOVE STOCK SALES-
 \$ 11, 23-5 5*
 NYC-CONSTANCE VIRGINIA STUCK, AMER GIRL ATTACHED TO U.S. EMBASSY IN MOS
 COW, IS HONORED BY NEW YORK STOCK EXCHANGE
 -----1958--- F 12, 57-7 5*
 NYC-INVESTMENT PLAN RISE CONTINUES N 26, 20-5
 NYC-SALES ON ABOVE HIT \$100 MILLION -----1959----- F 26, 38-5
 NYC-NEW YORK STOCK EXCHANGE'S MONTHLY INVESTMENT PLAN MARKS 5TH ANNIV.-
 IN JANUARY AG 20, 39-5 5*
 NYC-M NTHLY BUYERS PUT GM STOCK FIRST
 --1961-- O 18, C-18-8
 STOCK BUYING ON CREDIT NR. RECORD HIGH --1964-- MY 4, B-10-5
 NY-5,800 MIP ACCTS OPENED IN APRIL-1965--- MR 23, C-6-5
 NY-NY STOCK EXCHANGE REPORTS AT&T STOCK IS BOUGHT BY MORE MONTHLY IN-
 VESTMENT PLAN PURCHASERS THAN ANY OTHER



STOCK - SALES -

Form 1656

SUBSTANTIAL SALES IN MARCH BY CORP DIRECTORS & OFFICIALS SHOWN
 MY 21, 45-5
 JE 2, 33-5-OM

INSIDE DEALS OF CORPORATIONS REVEALED DURING APRIL D 31, 19-1

G.M. COMMON STOCK SALES FEATURE SEC'S SUMMARY FOR NOV.

---19 37---

AG 5, 44-8

SEC TO REQUIRE OVER-THE-COUNTER DEALERS TO TELL SPECIAL INTERESTS

S 9, 45-3-ASC

COL. LEONARD P. AYRES SAYS RECENT HEAVY SELLING IS NOT ALARMING

N 2, 20-5

NICH. CORP. & SECURITIES COMM'SN ORDERS STOCK SELLERS TO TELL PLAIN F.
 PLAINLY

D 31, 19-4

REPORTS STOCK DEALS; MOTOR CO. STOCK TRANSFERS INCLUDED

---1938---

F 4, 31-6

SEC REPORTS HEAVY STOCK SALES

F 18, 31-5

SEC REPORTS DEALINGS BY CORPORATION OFFICERS

JE 3, 32-5

SEC REPORTS SECURITIES SALES DURING APRIL

J 2, 18-8

MAY STOCK TRANSACTIONS BY OFFICERS LISTED

AG 19, 26-1

STOCK DEALS OF INSIDERS REVEALED

S 2, 25-6

INSIDER DEALS REPORTED BY SEC

O 20, 41-8

SEC REPORTS INSIDER STOCK SALES IN AUG.

---1938---

SEPT. SALES BY INSIDERS REPORTED

SEC REPORTS MORE INSIDE SALES

7,500 SHARES OF CHRYSLER CORP. SOLD IN OCT

---1939---

SALES & PURCHASES OF AIRCRAFT COMPANIES REPORTED FOR JAN.

SEC REPORTS FEBRUARY SALES

SEC STUDIES OBJECTIONS TO PRIVATE SALE OF ISSUES

SECURITIES SALES UP 194% IN SEPT. OVER AUG.

SELLING BY FOREIGNERS ABOVE BUYING IN SEPT.

---1940---

SEC DISCLOSED SALES IN 2 LOW-PRICED STOCK ISSUES BY ENGLISH OWNERS

JAN. SALES TOTALED 15,991,105 SHARES: OTHER FIGURES

DETROIT DEALS REPORTED BY SEC FOR DECEMBER, 1939

SEC REPORTS DECLINE IN SALES IN 1939

DECEMBER, 1939 DEALS ANNOUNCED BY SEC

SEC REVEALS DEALS BY DETROITERS & MICHIGANERS FOR FEB.

PRINCIPAL GENERAL MOTORS CORP SALES REVEALED

GM SALES IN FEB. REVEALED

SEC REPORTS INSIDER DEALS

MONTHLY REPORT OF STOCK TRANSACTIONS

SEPT. STOCK TRANSACTIONS EXPAND TO 11,940,530 SHARES

---1941---

HEARING ON COMPETITIVE BIDDING RESUMED IN HOUSE

N 22, 28-6

D 7, 33-6

D 20, 33-7-BLST

MR 7, 25-5

AP 4, 29-6

MY 14, 4-14-5

O 20, 38-8

D 29, 21-6-ISC

JA 25, 41-1

F 1, 37-8

F 6, 24-8

F 16, 33-3

F 23, 32-8

MR 8, 41-5

MR 22, 27-

AP 5, 37-8

AP 20, 16-5

MY 3, 39-4 C M

O 1, 27-5

F 6, 36-5

- STANDARD GAS & ELECTRIC CO. TO CONSIDER OFFERS FOR 590,327 SHARES OF
SAN DIEGO GAS & ELECTRIC CO. COMMON, JL 8 **Form 1656 JE 24, 26-6**
0 2, 44-1
- LEWIS W. DOUGLAS ATTACKS POLICY OF COMPETITIVE BIDDING BY INSURANCE CO.
FOR SECURITIES 0 7, 27-5
- ENNETT F. CONNELLY CALLS SEC COMPETITIVE BIDDING RULE UNFAIR
0 14, 26-1
- DIRECT SALE TO PUBLIC BY LOUISVILLE GAS & ELECTRIC CO. PROTESTED BY BRO
KERS 0 20, 24-5
- SEC DISCLOSES INSIDER STOCK DEALS, IN AUG. N 5, 35-2
- SMALL SECURITY DEALERS ASK LEGIS. FOR CHANCE AT CHOICE ISSUES
N 6, 56-5
- GLANSON PURCELL DENIES NEW SECURITIES ISSUERS ARE USING PRIVATE SALE
N 15, 18-8
- NATL. ASSN. OF MFRS. OPPOSES PROPOSED AMENDS. TO SECURITIES LAWS REQUIRING
G REGISTRATION WITH SEC "PRIVATE PLACEMENT" ISSUES N 19, 22-5
- AMER. BANKERS ASSN. OPPOSE REGISTRATION OF NEW SECURITIES SOLD PRIVATELY
N 26, 34-5
- HOUSE ICC ASKED TO INCREASE PRESENT PERMISSIVE \$100,000 EXEMPTION FOR
REGISTRATION OF SECURITIES D 17, 44-5
- M.A. & EDWARD F. RISHER REPORT LARGE SALES OF GMC COMMON STOCK
F 7, 21-1
- SEC AGREES TO EXPERIMENT WITH PLAN FOR SOLICITING PURCHASES

---1942--- MY 22, 36-8
 SEC ANNOUNCES NET PROCEEDS OF \$60,313,000 DURING APRIL -JE 1, 23-4
 TOTAL TRANSFERS OF STOCKS ON NY EXCHANGE IN MAY LOWEST SINCE AUG.1918
 ---1943--- MR 27, 20-2
 NY STOCK EXCHANGE TO SELL SECURITIES BELOW \$5 FOR CASH ONLY
 0 21, 34-8
 JOHN D ROCKEFELLER, JR. TO SELL \$25 MILLIONS STANDARD OIL HOLDINGS
 0 27, 30-6
 ROCKEFELLER SALE CALLED OFF ---1944--- 0 23, 21-2
 STOCK FINANCING COSTS ABSORB 1/5 OF SMALL FIRMS PROFITS
 ---1946--- JA 18, 32-8
 WALL ST PREPARES TO GO ON CASH & CARRY BASIS F 5, 28-3
 FED CRT APPEALS RULES SALE STOCK IN CO. BY OFFICIALS CANNOT BE PER-
 MITTED DURING TIME A REORG PLAN WAS BEFORE THE SEC MR 2, 18-3
 MORE THAN \$16 BILLIONS SOLD IN '45 MR 21, 53-6 BS2
 SEC EASES BAN ON SHORT SELLING AP 2, 52-3
 SALES OF SECURITIES BY CORPORATIONS IN 1945 TOTALEDD\$5,800,000,000
 N 16, 13-7
 FIRST BOSTON CORP. ANNOUNCES NEW PROCEDURE FOR SALE -N 29, 40-6
 SALE NEW SECURITIES SELLING AT FASTEST RATE IN MONTHS
 ---1949--- JA 3, 23-6
 COMPARISON OF SALES IN 1948 & 1947 ON NY EXCHANGE & CURB JA 24, 27-2
 PUBLIC SALE IN 1948 DROPPED WELL BELOW 1947 TOTAL

STOCKS : SALES :

1949

SALES BY UNDERWRITING FIRMS SLIPPED \$500,000,000

MR 25, 32-6 BS 2

NY EXCHANGE ASKS MEMBERS FOR REPORT ON ORIGIN, BY STATE, OF ORDERS EXEC
IN WEEK APR. 4-9

IN 1948
MR 31, 60-7 FINAL

FED RES BD CHANGES PAYMENT DEADLINE FOR PERSONS WHO BUY STOCKS ON
ALL-CASH BASIS

JL 20, 43-8

-----1952-----

O 22, 62-1

JOHN BRAITHWAITE, CHM. OF LONDON STOCK EXCHANGE, BLAMES DECREASING TUR
OVER IN BRITISH & U.S. EXCHANGES IS DUE TO HIGH TAXATION

-----1953-----

MY 12, 32-6

N.Y. STOCK EXC. IS CONSIDERING A PAY AS YOU GO PLAN FOR PURCHASE OF
STOCKS, C. KEITH FUNSTON PRES. ANNCD.

UE 10, 74-5

SUPREME CT. RULES 6-2 THAT IN SOME CASES STOCKS ISSUED TO EMPLOYEES MUST
BE REGISTERED WITH SECURITIES & EXCHANGE COMMSN.

AG 19, 27-4 5*

JAS. C. ALLEN WARNS AGST. ABUSES IN SALE OF INVESTMENT TRUST OR COMPANY
SHARES

D 2, 62-5

PAYMENT PLAN SET FOR SMALL STOCK BUYERS

-----1954-----

JA 26, 34-6

MEMBER FIRMS OF NEW YORK STOCK EXCHANGE START AS PAY AS YOU GO PLAN
FOR BUYING SECURITIES DESIGNED TO APPEAL TO THE MAN OF MODERATE INCOM

JL 16, 33-5 5*

PAY-AS-YOU-GO INVESTMENT PLANS NOW. TOTAL 190,000 INVESTMENT

O 25, 32-1

NYC-STOCK BUYING PLAN ELISTS 23,000 FOR PAS AS YOU GO PLAN

---1955---

PRICES OFF UP TO \$10 IN STOCK MARKET
RULES ON SMALL STOCKS ARE TIGHTENED.

BENNETT SAYS SELLING RULES NOT PROTECTING INVESTORS IN URANIUM & OTHER
RESOURCES.

SEC. & EXCHANGE COMMISSION REPORTS TODAY THAT \$2,469,000,000 WORTH OF NEW
SECURITIES WERE OFFERED FOR SALE IN U.S.

INSTITUTIONS MORE ACTIVE IN MARKET

FIRST SALE OF NUCLEAR CORP OF AMER. COMMON STOCK SOLD

HOLLYWOOD, FLA. - PHILIP D. REED, OF GE, SPEAKS TO CONV. OF INVESTMENT BANK-
ERS ASSN OF AMER. HERE ON NEED OF CAPITALISTS IN U.S.

PREFERRED STOCK OFFERINGS SMALLEST IN YEARS

SEC URGES PEOPLE TO STAY AWAY FROM THOSE PLACES WHERE SECURITIES ARE
SOLD UNDER HIGH PRESSURE

RATE CHANGE IN FED. TRANSFER TAX ON STOCK SALES BECOMES EFFECTIVE JAN.
1ST

NYC-UPJOHN CO. COMMON STOCK GOES TO PUBLIC FOR 1ST TIME

NYC-ARVIDA CORP. COMMON STOCK ON 1ST PUBLIC OFFERING TODAY

NYC-GM STOCK TOP FAVORITE WITH SMALL INVESTORS

JA 5, 1-8 LF
JL 18, 30-5
JL 20, 40-4

Ag 22, 26-5

S 29, 34-8 LF

D 10, 23-3 5*

N 29, 26-7

JA 10, 32-4

MR 11, 30-7

D 10, 74-1 LF

D 11, 62-5 LF

D 11, 63-6 5*

MR 10, 32-5

STOCKS: SALES**1959**

- NYC-STOCK TURNOVER 48 PCT.ABOVE 1958** **JA 1, 57-6 5***
---1960--- **F 18, C-12-5**
- EXECUTIVES REPORT ONSTOCK SALES IN JAN.**
---1961--- **F 13, B-7-6 FIN 5**
- NY STOCK E-XCHANGE'S BUY-AS YO GO STOCK PLAN UP IN '60** **JA 3, D-6-8**
- PROPOSED SALE OF STOCK SETS RECORD 2,345 IN '61**
---1963--- **O 11, B-13-4**
- LIST OF INSIDERS IN COMPANIES THAT SOLD THEIR OWN STOCK IN NET BASED**
COMPANIES REPORTED TO SEC ---1964--- **JA 28, B-10-6**
- WASH.-CORP.OFFERED \$12.2 BILLION IN NEW SECURITIES IN 1963,NEW OFFERIN**
OF COMMON STOCKS LOWEST SINCE 1950 SAYS SEC **F 15, A-8-5**
- AMERICAN TELEPHONE & TELEGRAPH CO PLANS TO RAISE \$1.2 BILLION THROUGH**
SALE OF STOCKS TO ITS OWN SHAREHOLDERS AT REDICED PRICE-SMITH
MR 13, B-9-7
- NY-CHRYSLER & OTHER AUTO COMPANIES DOMINATED TOP OF LIST OF MOST AC-**
TIVE STOCKS ON NY STOCK EXCHANGE IN 1963.
---1965--- **MY 23, C-18-2**
- NY-IN LAST QUARTER OF '64,137 COMPANIES LISTED ONNY EXCHANGE BOUGHT**
BACK 1,000 SHARES OR MORE OF OWN STOCK

---1965---

S 9, D-9-6

OFFICERS, DIRECTORS & OTHER "INSIDERS" OF AUTO INDUSTRY SOLD MORE OF OWN CO. STOCK THAN BOUGHT DURING 2ND QUARTER OF 1965

-----1970----- A 31, A-3-1

DENNY MCLAIN CHARGES WITH SELLING SHARES OF STOCK IN HIS X6. PAINT CO. BEFORE CO. WAS LEGALLY INCORPORATED.

---1972--- AG17, C-12-1

WM. McCABE OF NY—SAYS STOCK PRICES ARE LIKELY TO DECLINE IN SEPT. AND OCT. THEN RESUME THEIR ADVANCE ON A SELECTIVE BASIS LATE THIS YEAR AND EARLY IN 1973—W.B. SMITH

-1976-

F 22, E-3-1

NYSE VOLUME ROCKETS TO 2ND RECORD WEEK

-1966-

S 2, C-4-3

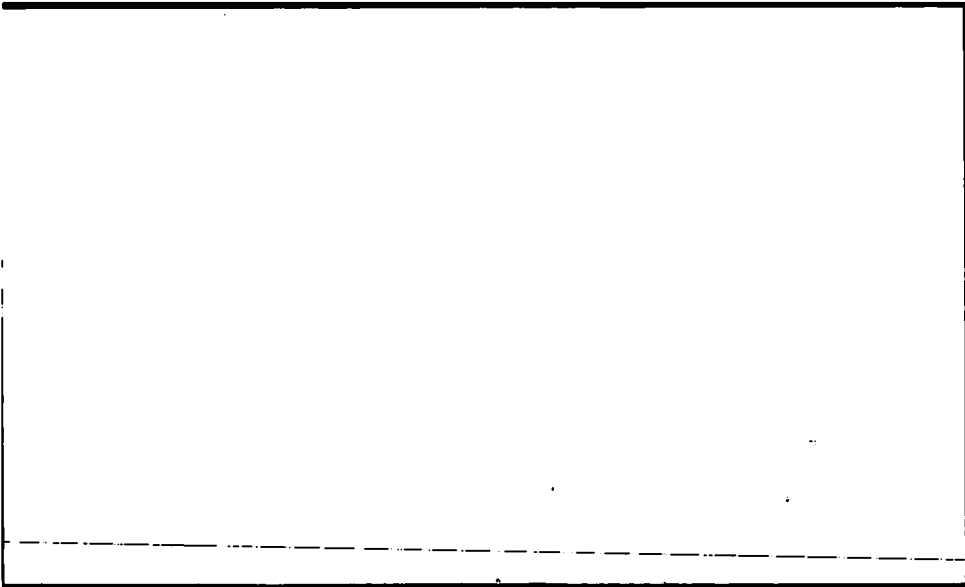
NY-NUMBER OF STOCK BUYERS RISES

STOCK:

SALESMEN

1939

ASSN,OF CUSTOMERS' MEN SEEKS TO CHARGE ITS NAME
Form 1656 AP 25,24-4-5C
---1959--- \$ 30 C-8-7
OVER 30,000 STOCK SALESMEN IN NY MUST REGISTER BEFORE JAN 5

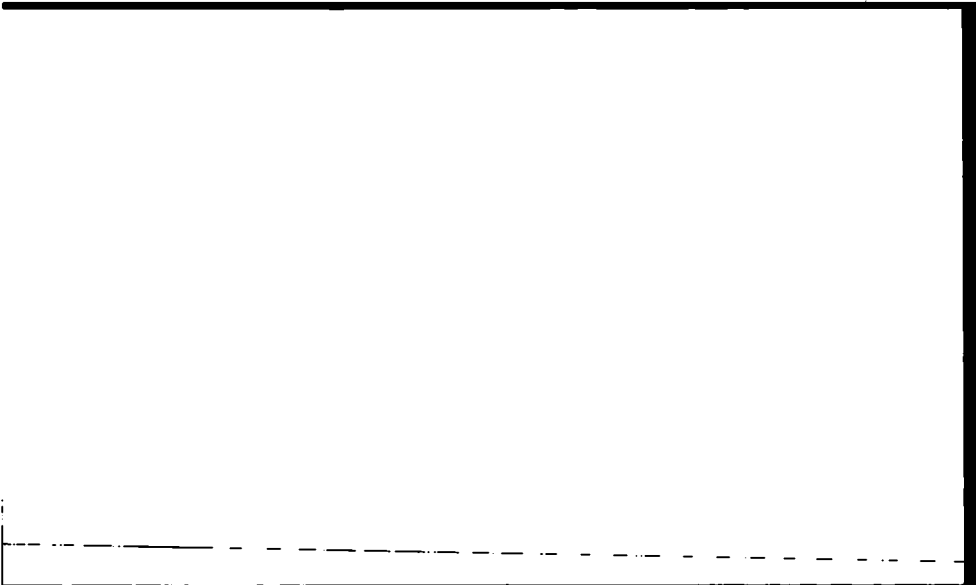


STOCKS - SERIES BY ROLAND GOODMAN

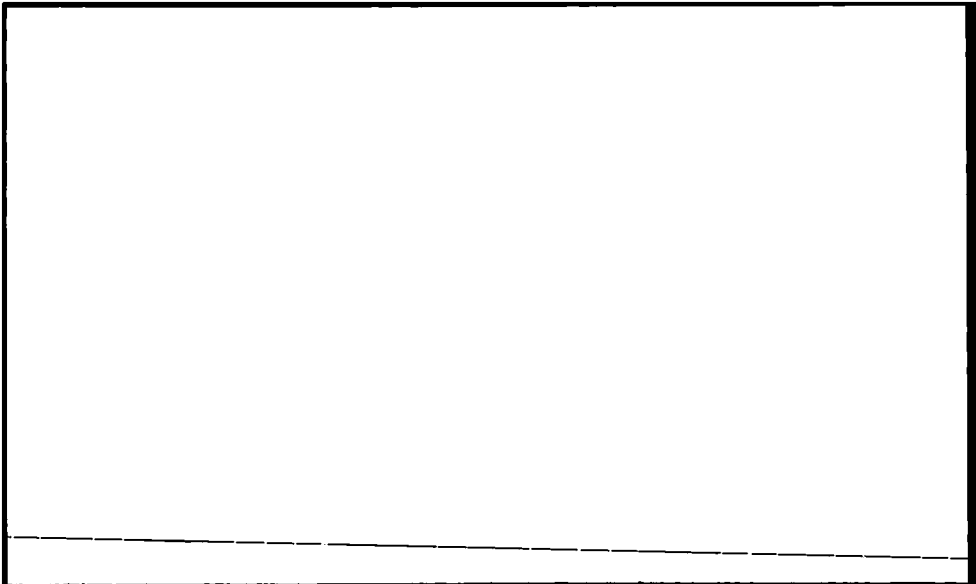
1966

JA 9, D-17-4

1ST OF 20 ARTICLES ABOUT STOCK MKT INVESTMENT



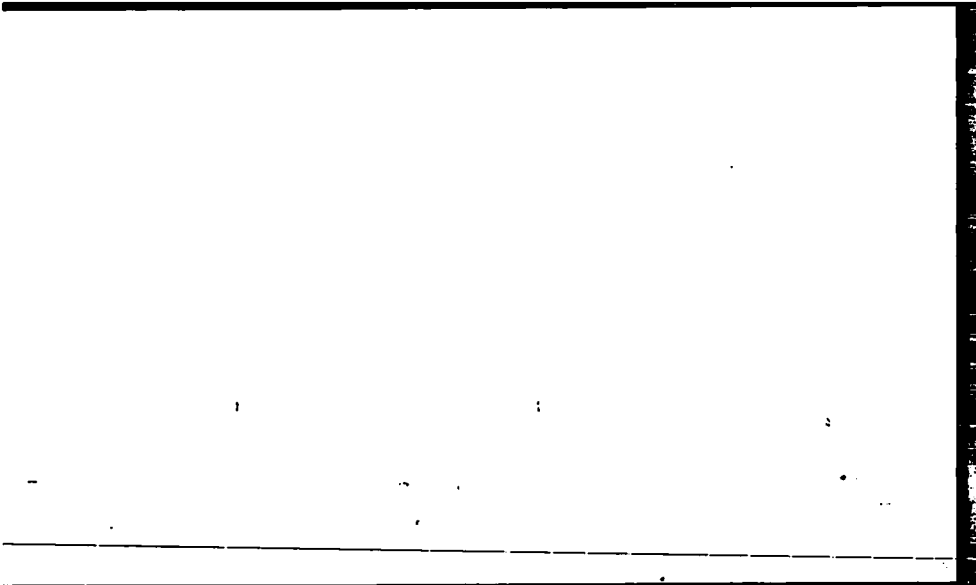
- | | |
|--|--------------|
| 1-FIRST OF SERIES OF 5 ARTICLES ON BEHIND THAT TICKER TAPE | MR 6, 4-24-3 |
| 2-SHARES BOUGHT, SOLD ON AUCTION PRINCIPLE | MR 7, 31-5 |
| 3-STEADY BUYING OF GOOD SHARES PAYS OFF WELL | MR 8, 34-6 |
| 4-BEWARE CATCH PHRASES IN BUYING & SELLING STOCK | MR 9, 62-4 |
| 5-MADE DOLLARS BUY INDUSTRY ITS NEW TOOLS | MR 10, 22-1 |



STOCKS - SERIES BY VARTANIG G. VARTAN

1959

- | | |
|---|--------------------|
| 1-"STOCK MARKET EXPERIENCES"-TIMING AND LEVERAGE ARE | JE 18, 60-3 |
| 2-CONTACTS & STUDY HELP PROFESSIONALS | JE 23, 19-3 |
| HOW JACKSON MARTINDALE BECAME MILLIONAIRE AT 29 | JE 25, 55-1 |
| 4-WALL STREET CHARTS LIKE WEATHER MAP | JF 30, 37-3 |
| 5-MARKET SUCCESSSES DUE TO WORK, STUDY | JL 2, 35-2 |



STOCKS: SHORT SELLING: INQUIRY

1937

Form 1656

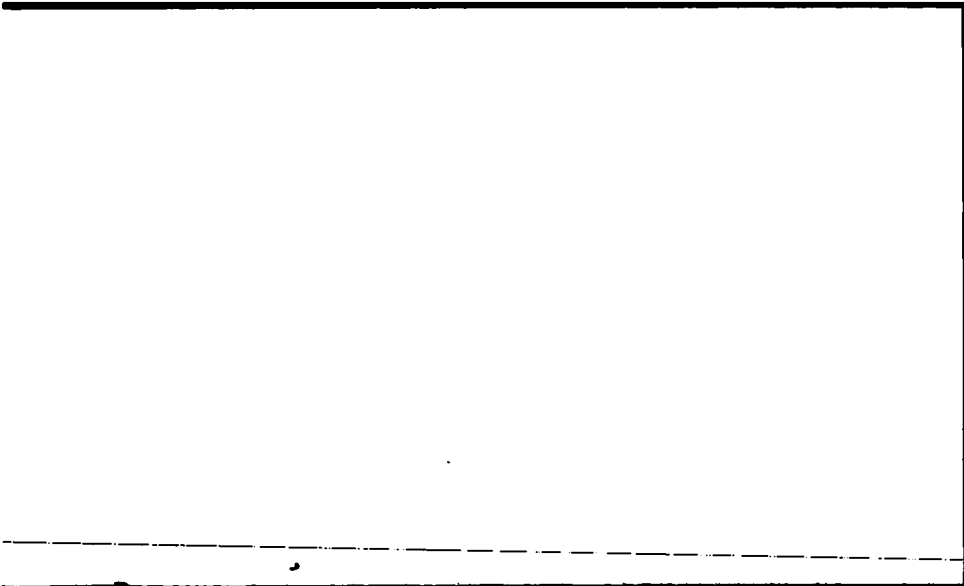
O 29,1-1

EXCHANGE MOVES TO INVESTIGATE SHORT SELLING

---1938---

MR 21,25-4

20TH CENTURY FUND NAMES COMMITTEE TO STUDY PRACTICE OF SHORT SELLING
ON NY STOCK EXCHANGE



STOCKS: SHORT SELLING

1929

Form 1656 The Detroit News
DET-C.C. MCGILL HITS BEAR RAIDS ON AUTO STOCKS. 0 22, 48-2
RICHARD WHITNEY BLAMES CRASH TO BUSINESS CONDITIONS RATHER THAN SHORT SELLING. 0 17, 17-1

---1931---
CONGRESS LIKELY TO PROBE SHORTS D 12, 17-5

---1932---
CONGRESSIONAL INQUIRY ON SHORTS ORDERED. JA 30, 15-4
DC-SIX HOUSE BILLS AIMED AT SHORT SELLING. F 2, 34-6
NY EXCHANGE ADOPTS LIMITATIONS FOR SHORTS. F 19, 1-4
BARE HOOVER PART IN SHORT SELLING BAN. F 20, 3-4
RICHARD WHITNEY WARNS AGAINST SHORT SELLING BAN BY LAW. F 25, 4-1

SENATE SUB-COMM. AUTHORIZED DRAW UP INQUIRY RESOLUTION. MR 1, 35-1

HOOVER SEEKS WAY TO END BEAR RAIDS. AP 10, 2531
SEN BORAH INTRO BILL FOR PUBLISHING OF SHORT SALES AP 10, 2-8 WSC

---1935---
ANDREW W. MELLON'S STOCK INQUIRY SHOWS SHORT SELLING F 21, 30-6
NOT INCREASED OVER ROOSEVELT'S SHARE-THE-WEALTH PROGRAM -JE 21, 41-4-1H

INQUIRY DEMANDED INTO SHORT SELLING-EDIT	0 30,6-1
CHAS.R.GAY EDD SAYS RULING ON SHORT-SELLING IS "SINCERE"	JA 26,27-5
SEC CURBS SHORT SELLING-EDIT	JA 26,18-1
SEC CHANGES RULES ON SHORT SELLING TO GIVE MORE FREEDOM TO EXCHANGES	MR 11,19-1
TABLE SHOWING SHORT INTEREST	N 10, 33-1
TOTAL OF 1,628,551 SHARES HIGHEST SINCE 1933	MY 19, 64-8
SHORT INTEREST ON NY EXCHANGE	MY 21, 32-1
SHORT INTEREST OUTSTANDING ON ABOVE DECLINES	D 19, 32-5
SHORT SELLING INCREASES	F 19, 28-4
SHORT SELLING OFF SLIGHLY IN LAST MONTH	AG 20, 52-7
SHORT INTEREST RISES TO HIGH FOR 20 MONTHS	O 21, 32-1
	MXXXZ,

STOCKS: SPECULATION

1928

Form 1656
JNO. F. SINCLAIR WARNS AGAINST MARGIN ACCOUNTS.
JNO. SINCLAIR ARTICLE ON "TURNOVERS"

The Detroit News

JL 21, 16-4
S 13, 11-3 NITE

---1929---

TRADING IN FUTURES UNDER SENATE FIRE.
SEN. CARTER GLASS DENOUNES EXCHANGE OPERATION.
ED-NOT MUCH SIGNIFICANCE TO CONGRESS DEBATES.
SENATE REJECTS CARAWAY BILL ON FUTURES.
RESERVE BD. SEES IT REDUCED; NO NEED OF LAWS.
SECURITIES LEADERS REFUSE COMMENT ON RESTRICTION.
SEN. GLASS CONSIDERS LAW AGAINST PRIVATE CALL MONEY.
PROFITS ONLY FOR FEW; BIG BOYS GET MOST OF IT.
TARIFF BILL MAY BE CLUB TO CURB IT.
SEN. GLASS EXPLAINS HIS PLAN TO CURB IT.
SENS. GLASS, BROOKHART 5% TAX TO CURB IT TO COMMITTEE.

F 12, 39-7
F 13, 9-1
F 13, 4-2
F 15, 47-5
F 28, 44-3
MR 31, 1-2-8
AP 3, 40-5
AP 25, 14-3
JE 5, 17-3
JE 6, 55-5
JE 19, 12-5 1H

---1930---

REP. MCLEOD BILL HAS MARGIN TAX AS CURB.
U. OF CALIF TO OFFER COURSE IN IT.

MR 19, 16-3
AG 4, 29-8
O 17, 1-6
O 1, 12-6
N 30, 14-7
D 12, 17-5

---1931---

CONGRESSIONAL PROBE PROBABLE.
SEN. WATSON WARNS AGAINST LEGISLATIVE CURB.
DC-COMM. DRAWING UP PROGRAM AS CURB.
. INQUIRY BY CONGRESS LIKELY.

-----1932-----

HIGH LOANS COSTS DISCOURAGE SELLERS, HALT SPECULATION.

AP 1, 43-1

-----1933-----

W.E. MOSS & CO. ADOPTS NEW TRADING PLAN.

JA 8, 4-8-8

W. ALLEN WHITE SAYS MORGAN'S PREFERRED CUSTOMERS ARE SIMILAR TO THE
COUNTY FAIR 'COME-ON' MEN

MY 26, 2-1

FARLEY WARNS AGAINST MARKET 'TIPS'

JL 17, 7-1

A SHARP WARNING AGAINST TIPSTERS-EDIT

JL 18, 14-2

ROOSEVELT WATCHES FLUCTUATIONS; LOSSES MAY BE LIMITED

JL 20, 1-8

IRVING FISHER SUGGESTS WAYS TO CURB LOSSES IN MARKET

JL 21, 20-2

SEN. ELMER THOMAS SEES NEW OCCASION FOR PROTECTION OF PUBLIC

JL 21, 20-3

ROOSEVELT NOT CONCERNED OVER PRICE SWINGS

JL 22, 1-3

THE STOCK MARKET THREATENS THE COUNTRY AGAIN-EDIT

JL 22, 6-1

THE DEPT. OF AGRICULTURE DID SMELL DANGER

JL 25, 14-1

STIFF BREAKS ON STOCK MARKET GAMBLING-EDIT

AG 5, 6-2

JOHN PUBLIC JUST WONT LEARN HIS LESSON-FRANKLIN SNOW

S 10, 2-2-1

-----1934-----

20TH CENTURY FUND URGES FURTHER STEPS TO END MARKET

F 26, 27-8

2 IN EVANS CLARK SERIES-SAYS MARKET FAILS TEST ON VALUES; RICE RELATIONSHIP TO REAL WORTH OBT BY 'UNINFORMED SPECULATION'
SPECULATION DECLINING-EDIT

'RIGGING'

MR 3, 19-1

JE 8, 22-2

Form 1656

THE MARKET MOVES SIDEWISE & IS HEALTHY-EDIT
 THE BROKER'S PROBLEM-EDIT

SEC. TO HALT SPECULATORS WITH NEW RULES ON TRADING
 PREDICTIONS SHOW WALL STREET TO BE LIKE 1929 IN 1936

---1935---

WINTHROP W. ALDRICH REVEALS DISCOUNT RATE TILT OF 1929 BOOM

WALL ST. SEES LITTLE CHANCE OF BIG BULL MARKET EVEN IF
 START EUROPEAN WAR

NEW FACES IN WALL ST APPEAR WITH NEW METHODS

---1936---

REVIVAL OF SPECULATION GIVES JAS M LANDIS 'CONCERN'

CHAS R. GAY WARNS SPECULATORS FOR EASY MONEY

WM. W. SPAID TELLS ASSOCIATED STOCK EXCHANGES THAT LEGITIMATE SPECU-
 -TION IS ESSENTIAL

SEC'S WARNING TO NY STOCK EXCHANGE -EDIT

---1938---

GOVT. CHARGES ASSOCIATED GAS & ELECTRIC CO. WITH "MANIPULATION, MARKE
 RIGGING & PEGGING" OF ITS CLASS A STOCK

STOCK BUYING IS HEAVY AS EUROPEAN WAR IS AVERTED

JE 19,16-2

AG 12,1-6-1

S 4, 24-2

D 30, 1-6-1

MY 17,47-8

AG,27,26-2-WSC

S 10.31-6 WSC

F 23,4-15-6

MR 3,26-8

MY 18,31-5-CM

N 25, 24-2

JA 10,1-6-BLST

O 2,1-1-2

---1948---

FRANCIS A TRUSLOW DEFENDS SPECULATORS-
CANADIAN PROMOTERS INVADE US MARKET

JA 29, 54-1 BS 2
MR 23, 30-7
AP 10, 18-3

GEO. D. WOODS HITS PRACTICE OF COMPETITIVE BIDDING

-----1955-----

BENJ. GRAHAM, NEW YORK FINANCIER, ASKS U.S. TO ACT ON SPECULATION IN STOCK DEALS

MR 11, 24-

AP 19, 20-6

SEN. FULBRIGHT SAYS HE BELIEVES U.S. IS "EXPERIENCING THE BEGINNING OF EXCESSIVE SPECULATIVE ACTIVITY IN STOCK MARKET"
WHAT MAKES THE MARKET RUN - EDIT

P 28, 42-1

MY 18, 34-8

NYC-FED. RESERVE BD. OFFICIALS ARE PLEASED WITH LESSER SPECULATION IN THE STOCK MARKET, INFORMED BANKING SOURCES SAY TODAY

MY 26, 1-3 B

SEN. COMM. WHICH INVESTIGATED THE STOCK MARKET REPORTED TODAY THERE "BEEN AN HIKE IN UNHEALTHY SPECULATIVE DEVELOPMENTS"

-----1959-----

AP 6, 30-1

NYC-KEITH FUNSTON WARNS ON UNINFORMED STOCK MARKET SPECULATION

AP 7, 36-5

U.S. GOV'T WARNED INVESTORS NOT TO SPECULATE ON TIPS & RUMORS

-----1961-----

MY 17, 17-1

G K FUNSTON WARNS INVESTORS ON SPECULATING IN LOW-PRICE NEW STOCK

-----1963-----

N 20, C-18-1

ALLIED CRUDE VEGETABLE OIL REFINING CORP. BAYONNE, NJ, BANKRUPTED BY \$27 MILLION LOSS ON SOYBEAN OIL & COTTONSEED OIL CONTRACTS-SMITH

STOCKS: SPECULATION

1963

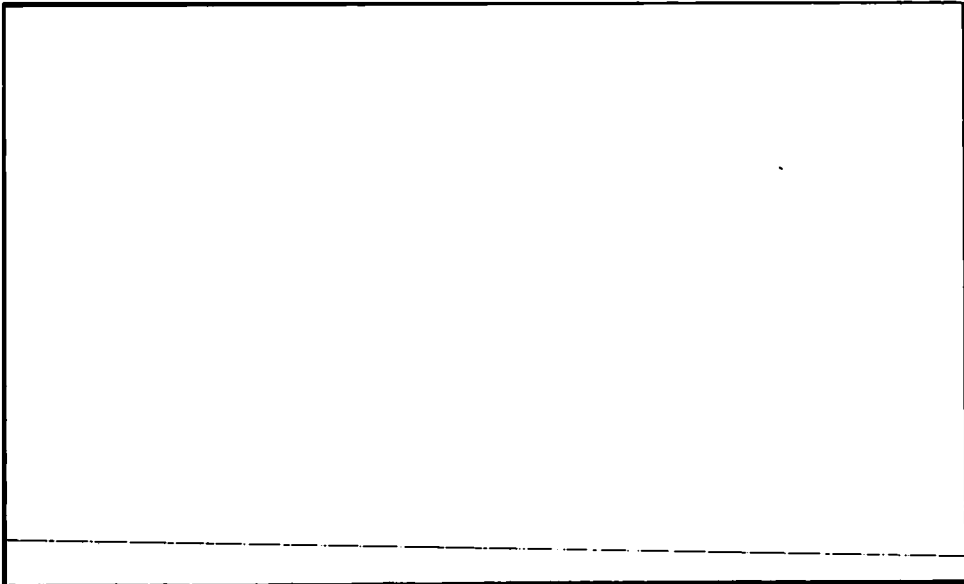
N 22, C-9-1

**CHARGES OF FORGERY & DISAPPEARANCE OF HUGE OIL FROM STORAGE TANKS ADDED
TO BANKRUPT CASE OF ALLIED CRUDE VEGETABLE OIL & REFINING CO OF BAYONNE
NJ**

---1965---

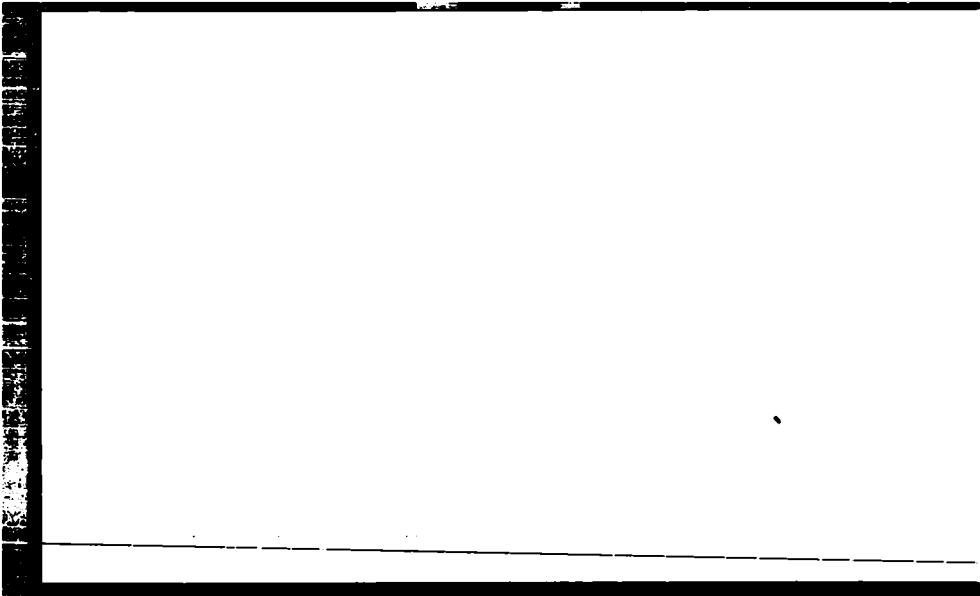
D 22, C-14-6

**NY-NY STOCK EXCHANGE STEPPING UP CAMPAIGN TO CURB SPECULATION OF LISTED
STOCKS, CHALLENGING MEMBERS OF BROKERAGE FIRMS TO EXPLAIN STEPS THEY
TAKE TO DAMPEN TRADE IN HIGH-FLYING ISSUES**



D 19, 22-1

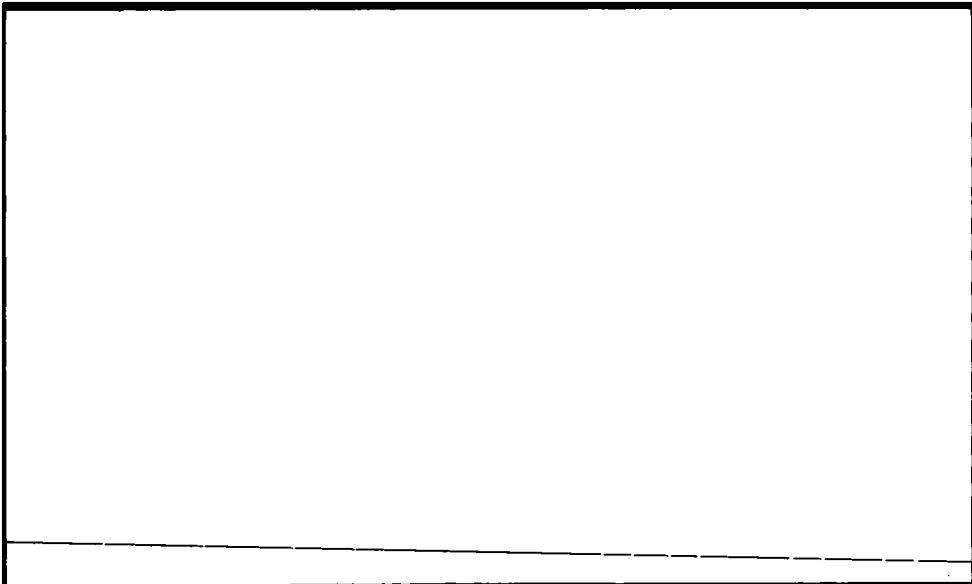
WHY STOCKS ARE SPLIT-EDIT.



1961

STOCKS - SPLITTING

SPLITTINGS OF STOCK NOT ALWAYS TO BEST ADVANTAGE N 8, B-10-4
 ---1963--- O 31, C-18-1
 WALL STREET BECOMING SPLIT-CONSCIOUS SINCE CHRYSLER DID IT
 ---1971--- M N23, B-4-1
 STOCK PLIT INREVERSE AT MERIDIAN INDUSTRIES INC. OF
 SOUTHFIELD
 ---1972--- JA 5, C-8-3
 MAJOR STOCK SPLITS AHEAD? CORRIGAN
 -1973- MR 829, D-13-1 RACE
 SPLIT OKD FOR UNITED MIDWEST EQUITY.
 -1974- MR 28, F-9-1
 BURROUGHS CORP STOCKHOLDERS OK INCREASE IN AUTHORIZED SHARES
 OF COMMON STOCK, 2FOR1 SPLIT. --1996-- J1 22, F-2-4
 Stock split doubles holdings, not value for long-term investor
 good, but short-termers, be wary-Serju



STOCKS: STATISTICS

1928

Form 1656

The Detroit News

ONE OF EVERY 30 IN US STOCKHOLDER.

S 30, 1-12-4 1*

---1930---

RECORDS SHATTERED FOR 1929; STATISTICS.

JA 5, 11-1-3

ANNUAL SURVEY OF NY STOCK EXCHANGE.

JA 5, 11-2-2

ANNUAL SURVEY OF NY CURB MARKET.

JA 5, 11-4-2

---1933---

SHARES OF NY EXCHANGE UP \$508,499,544 IN VALUE.

JA 7, 16-7

MONTH'S LOSSES ERASED IN FIRST DAY AFTER HOLIDAY

MR 16, 24-8 SF

ONLY 4% OF NEW CAPITAL TO FINANCE INDUSTRY RAISED IN 1933 FOR EVERY DOLLAR RAISED IN 1926 - JAGGER

D 5, 32-8

---1934---

3 IN EVA S CLARK SERIES, SAYS SECURITIES OWNERSHIP IS IN HANDS OF MILLIONS

MR 2, 42-5

REPORT

J 23, 29-6

/---1935---

OCTOBER STOCK SALES SET RECORD FOR YEAR

N 1, 52-1

NEW ISSUES FOR 10 MONTHS OF 1935 SET AT \$1,510,497,680

N 21, 46-7

OCTOBER TURNOVER OVER 10 BILLION

---1936---

N 26, 33-7

CHART OF STOCK SALES, 1900 TO 1935

JA 22, 30-2 WSC

1935 FINANCING UP 400%; ONLY 7% FOR NEW CAPITAL EXPENDITURES

JA 24, 39-6 NITE

STATISTICS FOR YR ENDING JE 30, 1939	--1939--	O 6, 38-1
SEC MAKES REPORT FOR 1939	--1940--	F 9, 33-2
HOLDERS COMMON STOCKS IN 50 TYPICAL CORPS. INCREASED	---1941---	MR 22, 19-1
ST. QUARTER OF 1929 TO 3,659,644 AT END OF 1940.	--1942--	FR 1,647,680 IN 1
NEW FINANCING SANK TO \$32,610,000 DURING AUG.	---	S 1, 24-6
310 WORK STOPPAGES LISTED IN FEB REPORTS BLS	---1945---	MR 27, 3-2
NY-LIST 5 BILLIONTH SHARE OF STOCK	----1958--	D 31, 16-1
NY- WOULD HAVE COST ALMOST \$346 BILLION TO BUY UP ALL STOCKS CARRIED ON	---1963---	JA 8, A-20-1
NEW YORK STOCK EXCHANGE AT YEAR'S END	-1964-	F 1, A-10-1
DOW-JONES SURGED TO PEAK OF 791.63 & CLOSED		JA31 ONLY LITTLE BIT BELOW
THAT AT 785.43-SMITH		

STOCKS: SUITS

1932

Form 1656

The Detroit News

JA 22, 14-4

JURY RETURNS NO CAUSE VERDICT SUIT BY MRS. JOHANNA KLEIN AGAINST WM. MOSS & CO. BROKERS FOR LOSSES IN MARKET.

F 16, 4-2 FINAL

F.C. SENSENBRENNER & J.C. KIMBERLY BY EDGAR R. THOM: \$140,000.

-1933-

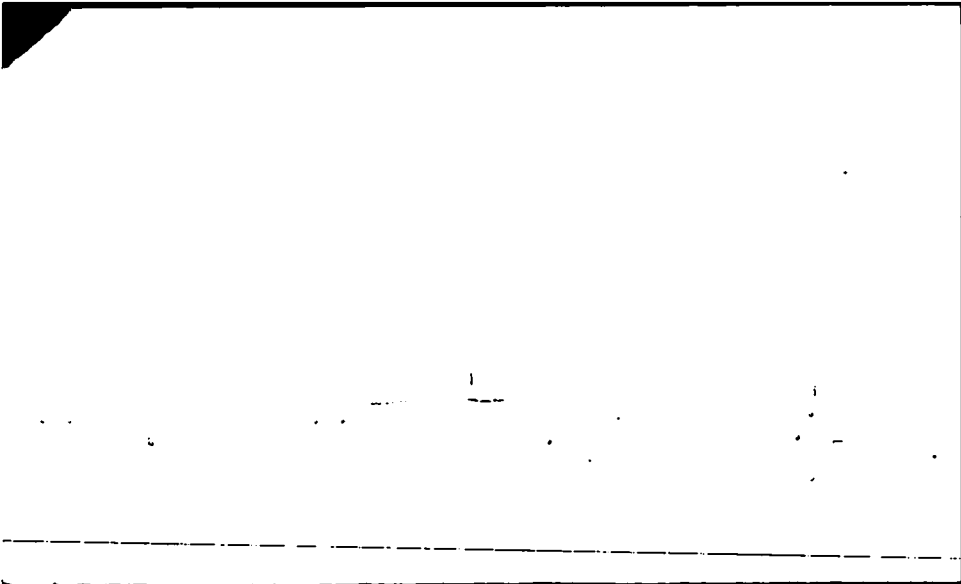
JE 21, 9-5

LEWIS C FRANK SUES SAMUEL UNGERLEDER CO TO REGAIN LOSSES

-1994-

Mr 29 B 10-1

More investors suing when stock skids



STOCKS : SURVEYS

1940

Form 1656

AG 9, 23-8

MANAGEMENT ENGAGES EXPERTS TO STUDY BECALMED MARKETS

---1960---

AG 2, B-9-4 FIN

SURVEY SHOWS THAT 3 OF EVERY 4 ADULT AMERICANS UNABLE TO ANSWER AD
TELY QUESTION "WHAT IS COMMON STOCK"

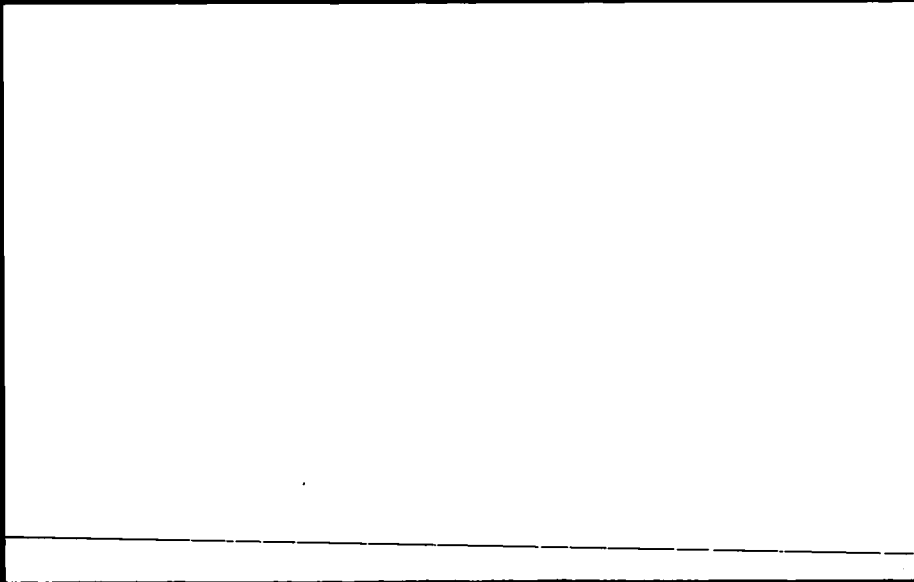
---1963---

D 2, C-7-1

NYREPORT SHOWS STOCKS' YIELD AVERAGED 9% OVER 35 YR SPAN

D 11, C-22-6

NY-STUDY OF COMMON STOCK BECOMING DIFFICULT TASK-BOQUE



Ap21 B 1-3

NY-Technology stocks back in favor; chart showing decline
& rise -2000-

Ja 03 B-1-2

veteran market watchers now conceding that fever for
Internet,communications & tech stocks is no longer short-
term frenzy-Predy

Ja 14 C-3-5

Investors should be in for long haul and only in companies
they believe in

Mr 26 B-1-2

Initial Public Offerings are hot but risky-howes

-1997-

Ja 27 A 5-1

DC-Crackdown on collusion among large Nasdaq traders helps
customers-

JE 02 F 6-1

All about dividend reinvestment plans (DRIP's)-Rauch-Bank

-1998-

My 3 D-4-1

NY- Richard Keith Anderson Jr.. 5, stock market guru.

-1999-

My 25 B-4-1

NY- Stocks decline on interest fears.

O 31 B 3-3

5 pros offer advice on looking for low-priced stocks;

-2000-

Ja 04 B-1-6

Net stocks becoming a bad gamble-huge losses found in
portfolios of small investors

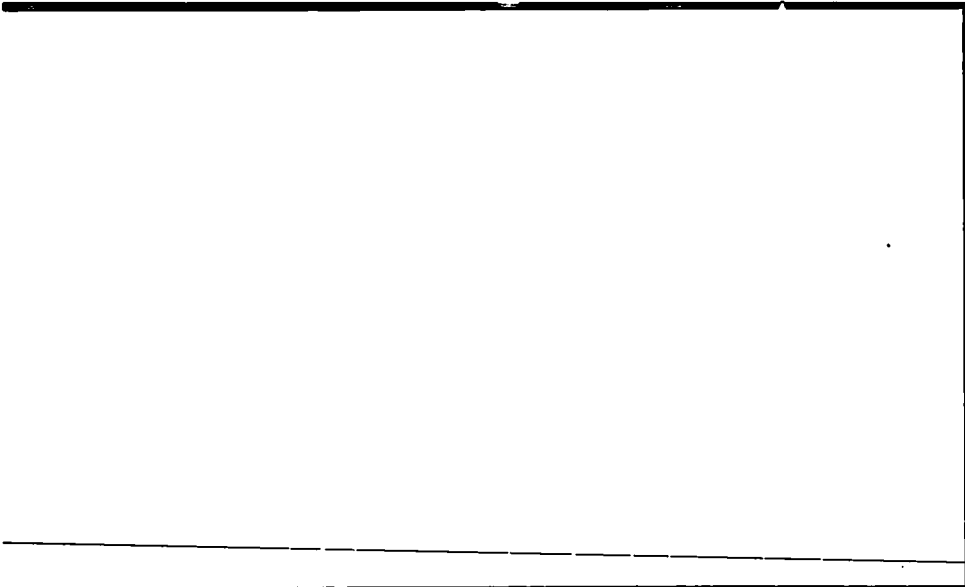
STOCKS : TRADING : AUSTRALIA

1942

REPORT POSSIBLE BAN ON STOCK TRADING

Form 1656

F 16, 23-6 BS



STOCKS: TRADING: BAHAMAS

1967
F 13, X C-5-1

WASH-BLACKLISTED COMPANIES FIND HAVEN IN BAHAMAS

TORONTO STOCK EXCHANGE SETS RECORD OF STOCK BUYING FOR MINING STOCK
 -----1954-----

JA 29, 29-3

MONTREAL-MONTREAL STOCK EXCHANGE & CANADIAN STOCK EXCHANGE CAUTIONED
 AGST DEALING WITH NONMEMBER PROMOTERS OF HIGHLY SPECULATIVE STOCKS

S 29, 32-6

SEC TO MAKE STUDY OF CANADA STOCK-----1963-----

D 7, 32-6

MONTREAL-ERIC RUSSELL, SECY OF MONTREAL & CANADIAN STOCK EXCHANGE SAID
 U.S. RECOMMENDATIONS ON ABOLITION OF FLOOR TRADING DOES NO GOOD FOR
 CANADA

JL 18, C-14-5

AG 22, C-8-8

TORONTO-STOCK EXCHANGE SAID IT WOULD ESTABLISH SEPARATE MARKET TO PRO-
 VIDE FACILITIES FOR TRADING OF CANADIAN STOCKS BETWEEN AMERICAN BUYERS
 & SELLERS

---1964---

AP 21, C-7-1

TORONTO-ROOM IN MINING STOCKS ROLLS ON IN WAKE OF ZINC, COPPER & SILVER
 FIND IN TIMMINS, ONTARIO

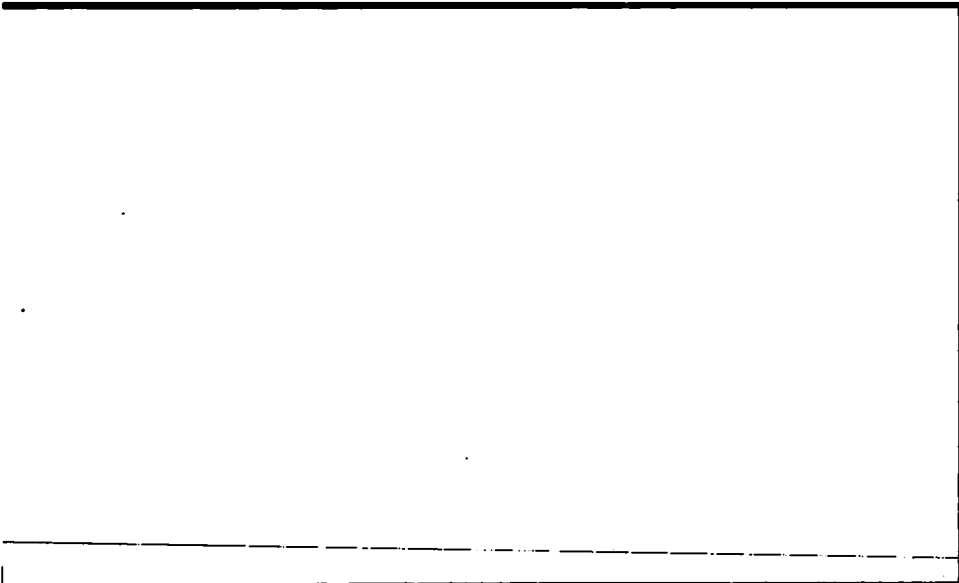
JE 12, C-16-1

TORONTO-ATTY. GEN. WISHART, ONTARIO, ORDERS 3-MAN INVESTIGATION IN TO
 TRADING OF STOCKS OF COS. HAVING CLAIMS NR TIMMINS

-1987-

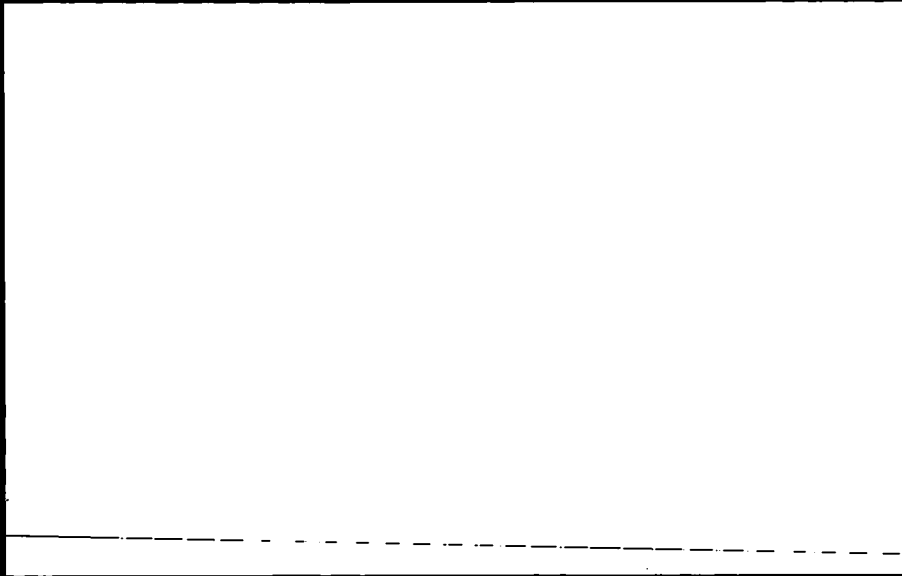
J1 1 C-2-4

Securities industry in Canada opened to foreign dealers &
 domestic banks, trusts & ins. companies.



Ap 15 A-2-1 No-c

NY-Stock plunge triggers ban on computerized trades.



CUSTOMERS WANTED-EDIT.		JE 1, 22-3
	-----1958-----	O 17, 22-1
WHO BOVS STOCKS?-EDIT.	--1967--	MY 18, B-10-1
DANGERS LURK IN MARKET CURBS-EDIT.		
	-1966-	H 11, A-16-1
BULL MARKET-EDIT (IN RUSSIA)		
	-1986-	Ja 12, A-18-1
The Fed's Black Wednesday-Edit	-1987-	Ja 9, A-10-1
The Great Leap Forward-Edit		Mr 5, A-20-1
Speaker Wrong Strikes Again-Edit		Ag 13, A-14-1
Benefits of the Bull Market-Edit		O 18 A-18-1
Fear & Loathing on Wall Street.--Edit		
	-1988-	Ja 12 A-6-1
The Big Whimper.--Edit		

-1989-

0 17 A-10-1

Why the Market Swooned.--Edit

-1990-

Ja 29 A-10-1

If politicians heed wake-up call in stock mkt, they might
avoid some mistakes they seem poised to make.==Edit

-1991-

Ap 18 A 10-1

The Dow Jones stock avn closed above 3,000 yesterday for
the 1st time in history-Edit

-1993-

F 17 A 6-1

The Markets: Thumbs Down, - Edit

-1994-

Ap 05 A 10-1

Econ.Adviser, Robert Rubin says nobody knows what market's
going to do day to day;needs compass-Edit

--1996-- J1 17 A-8-1

Stock market drop may linked to Rēpublican election
troubles-Edit

D 30 A 6-1

Commentary on changes in Dow Jones stock market fluctuations; Adam Smith's "Wealth of Nations" relevant today-Ed
--1997--

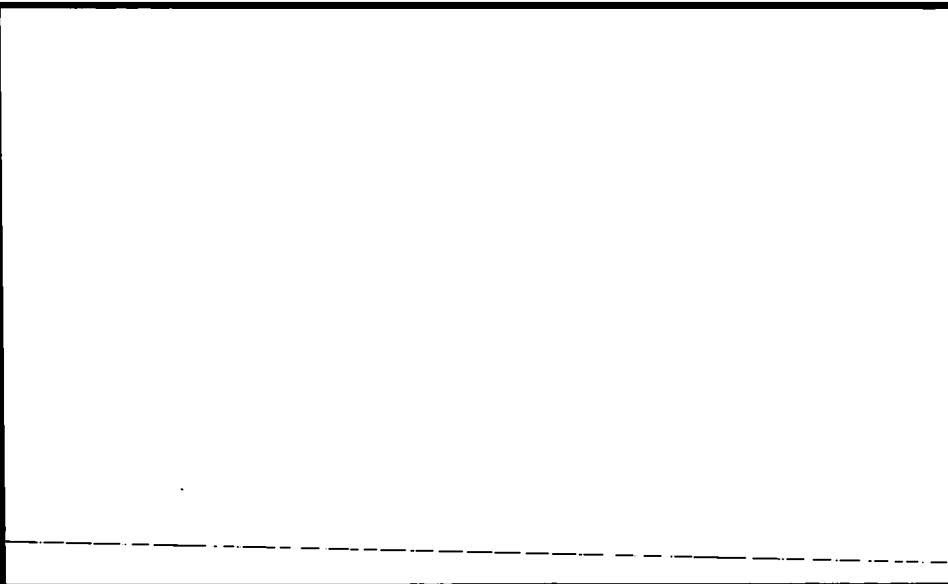
Ap 2, A-8-1

Good News is bad news-Edit

-1999-

Mr 17 A-10-1

10,000 and counting -edit

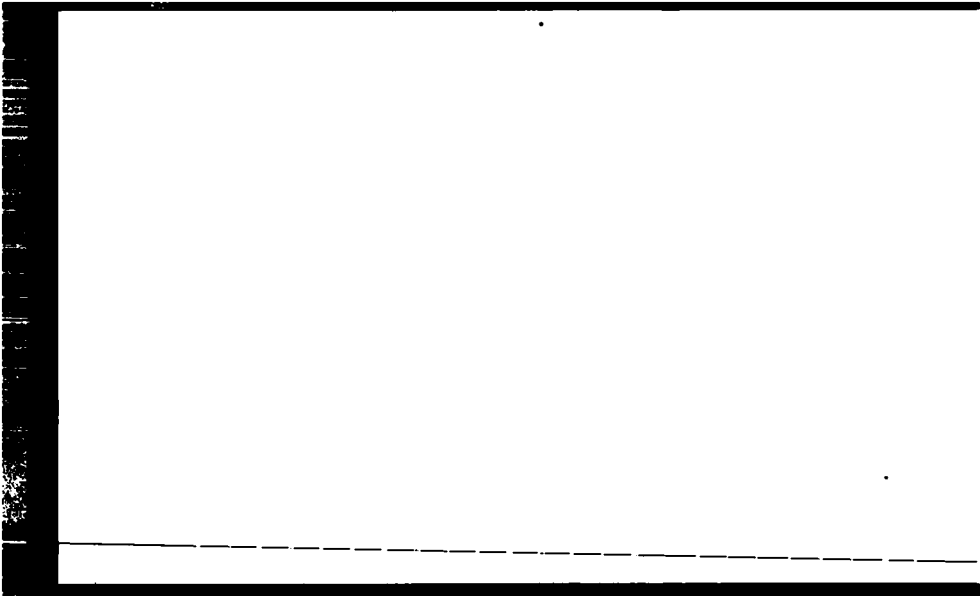


STOCKS: TRADING: GERMANY

1955

FRANKFURT, GERMANY-STOCK SLUMPS DUE TO NEW SELLING WAVE

O 17, 37-2 5*



LONDON-BRITISH CURB DEALS IN U.S.SECURITIES

JL 5, 42-1 BB

-----1958-----

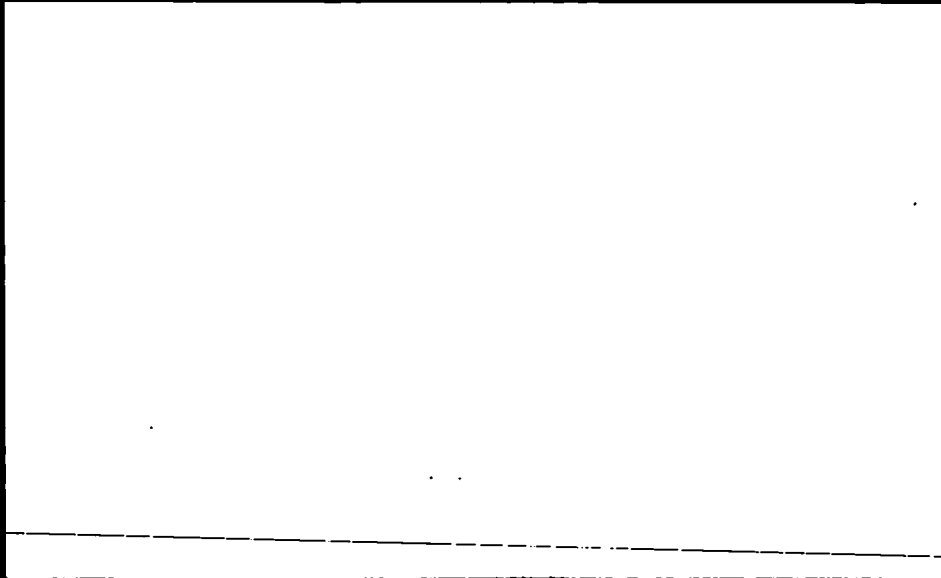
JE 10, '36-1 BB

LONDON-U.S. INVESTORS ARE BUYERS IN LONDON MARKET

--1981--

S 25,A-6-1

LONDON-VALUE OF STOCKS TRADED ON EXCHANGE FELL BY MORE
THAN \$3.5 BILLION YESTERDAY.



STOCKS: TRADING: JAPAN

1962

TOKYO-SMALL INVESTORS INCREASE IN CAMPAIGN OF SECURITIES COMPANIES
AROUSE POPULAR INTEREST IN STOCK BUYING -1963- MY 31, A-29-1 FT
TOKYO STOCK EXCHANGE DOES AVERAGE DAILY TRADING VOLUME OF 100 MILLI
SHARES-ON OSAKA SECURITIES EXCHANGE, 50 MILLION SHARES TRADED DAILY- 0 12, A-8-5
TH -1989- 0 15 A-1-2
Key indicator in wake of Wall Street's worst drop since
crash of '87 is reaction of Tokyo & other foreign mkts.-
Tompore -1990- Ja 24 D-1-5 2Dot
Tokyo- Stocks plummet in Tokyo. F 21 A 1-1 1Dot
Tokyo stocks take worst fall since '87 F 23 A 1-1
Shares on the Tokyo stock Exchange plunged today F 26 A 3-5
Stocks, yen take plunge on exchanges in Tokyo
Mr 19 A 1-1
Tokyo market plunges Ap 2, A-1-4
Tokyo-Stock market plunges 6.6%

-1990-

Ap 3 A 1-1

Tokyo - Stock exchange prices ended higher Tuesday after it dropped 2,000 points on Monday.

Ap 6, A-1-1

Tokyo Exchange rebounded.

0 1 A 1-1

Stocks fall in Toyko

0 2 E -1-1

Tokyo stock market rebounded

-1991-

Je 21 A 3-1

Tokyo - Yoshihisa Tabuchi and Takuya Iwasaki, brokerage executives, announced their resignations after reports that their companies arranged loans for former organized crime boss.

Je 25 A-5-1

~~Another Japanese stock mkt scandal sends stocks into sk~~
JI 18 A 3-1 I dot

Tokyo-Officials raided Nomura, Daiwa, Nikko and Yamaichi, allegations they illegally promised compensation to favor clients for losses

Jl 22, A-3-1

Tokyo-2 execs quit brokerage in scandal

N 25 A 2-5

Stock index declines --1992---

Ag 10, A-3-5

Tokyo-Tokyo stock plunge, in thin trading, but leading index closed above 15,000 points

Ag 11, A-2-5

Share prices fall, market closed 15,000 points below
-1993-

N 29 A 4-1

Tokyo - Tokyo Stock Exchange has hit its lowest level this yr.

D 1 A 5-1

Tokyo - Stocks bounced back. 1997

N 24 A 9-1

Japan's 4th largest brokerage, Yamaichi Securities voted to shut down; caused turmoil

STOCK: TRADE: MICHIGAN

1991

F 22 E 1-1

Mich's top publicly traded companies suffered a \$1.25 billion loss during the 4th quarter of 1990

-1993-

Ja 3 D 2-3

Champion Enterprises and Chrysler Corp posted the largest % stock gains among MI's largest 50 publicly traded co. in '92.

-1994-

O 06 E 2-5

Michigan companies lag; how Mi stocks compare 1st 9 months - & 3rd qtr

-1997-

Ap 25 D 3-2

Maire Elem., Grosse Pointe played "Stock Market Game" last fall in state competition; did well - Cardenas

STOCKS: TRADING: POLAND

1991

Ap 14 A-3-5

Warsaw- When exchange opens, it won't have frenzy of Wall Street

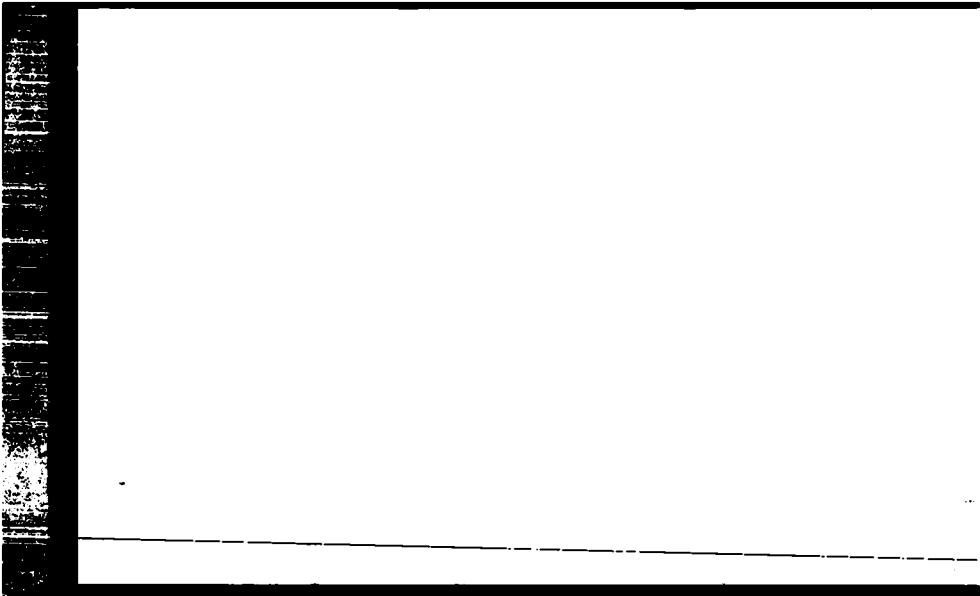
Ap 16 A-4-1

Warsaw- Launches trading in shares of private companies for first time since WWII

STOCK - TRADING - SERIES BY RICHARD PHALON

1950

- 1-NYC-SEC MOVING IN-MARKET ETHICS CHANGE-VESTORS MAY GET OVER-
COUNTER RIDE S 22, C-11-5
- 2-UNDERWRITERS MAKE HAY! - PROFIT IS HUGE ON NEW ISSUES S 29, 3-7-5
- 3-INSIDERS GET GRAVY-'HOT ISSUES' STOCK BUYERS TAKE RISK O 1, C-14-4



STOCK:

TRADING

1936

Form 1656

SEC URGES REFORM IN FLOOR TRADING
MICHAEL J. NEEHAN CALLED MANIPULATOR OF COMMON STOCK OF BELLANCA AIR-
CRAFT CORP.

E 22,29-8

D 15,40-6

D 16,31-1

CHAS. R. GAY CITES DANGERS OF 'PENNY' STOCK TRADINGS

D 18,28-2

CHAS. R. GAY WARNS AGAINST TRADING IN LOW-PRICED SHARES-EDIT

---1937---

F 16,31-4-WSC

FEDERAL RESERVE BOARD TO BLOCK IN-AND-OUTERS

MR 20,19-4

STANLEY S. MOHL URGES ABOLITION OF SPECIALIST SYSTEM OF TRADING

AP 26,26-8

ROOSEVELT MOVES TO HALT STOCK SPECULATION BY GOVT. EMPLOYEES

---1938---

JA 24,22-7

INVESTMENT BANKERS ASSN. SEEKS TO MODIFY BILL ON OVER-THE-COUNTER SEC-
URITY TRADING

F 1,29-8-MAIL

CO-OPERATIVE REGULATION URGES IN OVER-COUNTER TRADING

F 2,29-8 WSC

JOS. C. HASTETLER URGES SEN. COMM. TO PERMIT OVER-THE-COUNTER SECURITIES

DEALERS TO REGULATE THEIR OWN BUSINESS

F 9,29-8

FRANCIS E. FROTHINGHAM OPPOSES BILL TO ENABLE OVER-COUNTER DEALERS TO
REGULATE THEMSELVES

9,29-3 B S

MUNICIPAL DEALERS OPPOSE REGULATION BY SEC

F 23,26-8-CM

CHANGES PROPOSED IN OVER-COUNTER BILL

---1938---

SENATE APPROVES OVER-COUNTER BILL

ROOSEVELT SIGNS MEASURE GIVING SEC POWER TO SUPERVISE OVER-THE-COUNTER
TRADING IN SECURITIES

SEC TO SEEK OPINIONS ON OVER-COUNTER RULES

SEC WANTS OVER-COUNTER BROKERS & DEALERS TO FORM A NATL. ORG. FOR SELF
REGULATION

GEO. C. MATHEWS PRAISES MALONEY ACT BEFORE INVESTMENT BANKERS ASSN.

---1939---

CODE FOR FAIR PRACTICE FOR OVER-THE-COUNTER TRADING DRAWN UP

LIST OF TRANSACTIONS

BROOKINGS INST. REPORTS SMALL TRADER STILL A LAMB

JAMES HARBORD GIFTS ANNOUNCED BY OTHERS

ROBT. E. HEALY WARNS OVER-THE-COUNTER TRADERS TO FORM ORG. TO PROTECT
THE PUBLIC

SEC REPORTS INSIDER DEALS FOR MARCH

SEC REPORTS MORE MARCH INSIDER DEALS

SEC STARTS INVESTIGATION OF STOCK TRANSACTIONS OF E. I. DUPONT DE NEMOURS
& CO.

SEC REPORTS APRIL TRADINGS

PIERRE S DUPONT REPORTS TO SEC AT END OF MAY HE WAS SHORT 15,442 SHARES
OF E I DUPONT DE NEMOURS COMMON STOCK

ROBT. C. GRAHAM LISTED AS LARGE STOCK DONOR

SEC REPORTS STOCK DEALS

AP 1, 38-7

JE 27, 2-7 B S

J 9, 18-8

J 18, 20-8 WISC

0 28, 38-1-BLST

MR 20, 23-5

MR 22, 28-2 NITE

AP 19, 29-8 NITE

AP 20, 38-6

MY 3, 33-2

MY 3, 33-6

MY 29, 18-1

JE 9, 1-2

JE 9, 32-8

J 13, 41-8

J 25, 25-5

AG 3, 37-8

Form 1656

JULY STOCK SALES REPORTED BY SEC
 J P MORGAN & CO. SOLD \$712,000 WORTH OF PHELPS LODGE CORP. 3 1/2% CONV
 TIBEE DEBENTURES OF 1952 DURING AUGUST
 CHRYSLER OFFICERS & DIRECTORS SOLD TOTAL OF 16,300 SHARES COMMON S
 IN SEPT. REPORTS SEC
 SEC MAKES ANOTHER REPORT ON INSIDER DEALS
 SEC REPORTS LAWRENCE FISHER & W A FISHER SOLD 7,500 SHARES GNC ST
 IN OCT.
 SEC REPORTS OCT. INSIDER DEALS ---1940---
 LIST OF DETROITERS SECURITIES DEALS
 SEC REPORTS DEALS BY DETROITERS
 NY EXCHANGE REPORTS 38,968,832 SHARES STOCK CHANGED HANDS IN MAY
 SEC REPORTS GNC STOCK DEALS
 SEC REPORT OF TRANSACTIONS FOR MAY
 SEC REPORTS DEALS OF AVIATION FIRMS
 STOCK TRANSACTIONS BY DETROITERS REPORTED
 SEC REPORTS STOCK TRANSACTIONS OF DETROITERS
 SEC ASKS NY STOCK EXCHANGE RESCIND RULE VS MULTIPLE TRADING
 N.Y. STOCK EXCHANGE TO ACT ON MULTIPLE TRADING

S 6, 27-8
 D 5, 49-2
 N 2, 50-1
 N 22, 22-6
 D 5, 33-1
 D 22, 29-8
 A 4, 36-2
 M 22, 36-5 B
 JE 1, 16-5
 J 9, 25-5
 JE 25, 40-5
 S 5, 40-8
 N 6, 32-7
 N 22, 39-1
 N 23, 18-5
 N 28, 52-6

---1940---

SEC REPORTS SEVERAL VALUABLE GIFTS OF STOCK

D 6, 45-6 BS

N.Y. EXCHANGE WILL NOT ACCEDE TO SEC REQUEST THAT IT
ON MULTIPLE TRADING

D 12, 51-1

SEC REPORTS LARGE GIFTS STOCK IN OCT.

RESCIND ITS BA

SEC DEMANDS NY STOCK EXCHANGE REMOVE BAN ON MULTIPLE TRADING BY DE
28

D 20, 44-8

D 21, 18-8

---1941---

SALES ON N.Y. EXCHANGE IN 1940 TOTALED 207,636,059 SHARES-

JA 2, 30-5

NY STOCK EXCHANGE CHALLENGES SEC ON DUAL TRADING

JA 22, 24

GEO. N. PROCTER TO DISCONTINUE BUSINESS IF N.Y. EXCHANGE ENFORCES RULE
MULTIPLE TRADING

JA 23, 33-5

JA 31, 35-8

TESTIMONY COMPLETED ON DUAL TRADING BAN

SEC REPORTS ODD-LOT TRANSACTIONS

AP 27, 1-7-6

SEC WARNS VS SELLING OF AT&T DEBENTURES BEFORE REGISTRATION IS OKD

JL 10, 30-6

SEC DISCLOSES NUMEROUS GIFTS DURING JUNE

AG 2, 17-1

SEC REPORTS SIZEABLE GIFTS DURING JULY

S 5, 36-8

N 29, 18-2

BROKERS OPPOSE CURB REQUEST TO EXTEND TRADING TO OVER THE COUNTER
ISSUES

---1942---

F 9, 22-6

NY EXCHANGE TAKES STEP TO BRING BACK TO THE ORGANIZED TRADING FLOOR
LARGE OUTSIDE OFFERINGS

---1943---

O 28, 34-6 BS

NY EXCHANGE TIGHTENS REGULATIONS GOVERNING WHEN ISSUED DEALS

---1944---

JL 7, 20-5 BS

SEC ADOPTS NEW RULES

JL 13, 31-8

BROKERS WARNED TO BE CAREFUL OF LARGE CASH DEALS

STOCKS - TRADING

1944

Form 1656

NEARLY HALF AG 7 TRADING IN MOTOR SHARES AG 8, 18-3
 SEC ASKS DATA FROM NY STOCK EX. MEMBERS ON TRANSACTIONS FROM 8 23-24
 N 2, 37-1
 ---1945---
 SEC RECOMMENDS RULE BANNING FLOOR TRADING IN NY JA 16, 19-1
 EMIL SCHRAM ASKS FOR SEC HEARING ON FLOOR TRADING BEFORE ABOLISHING JA 19, 29-1
 SAME F 9, 29-3 BS
 PUBLIC CONF ON RULE TO ABOLISH FLOOR TRADING TO BE HELD AP 9
 SEC DENIES NY CURB EXCHANGE UNLISTED TRADING PRIVILEGES ON 5 ISSUES F 20, 18-8
 SEN VOTES TO FREE SMALL ISSUES FROM OBTAINING SEC APPROVAL MR 16, 30-5
 NY STOCK EXCHANGE REQUESTS MONTHLY REPORTS ON CREDIT ASKED. AP 5, 38
 NAT ASSN. OF INVESTMENT CO. ASKS SEC TO DELAY BAN ON FLOOR TRADING MR 26, 20-1 FINA
 EMIL SCHRAM DEMANDS FURTHER STUDY BEFORE BANNING FLOOR TRADING MY 17, 40-5
 CYRUS EATON, HAROLD L. STUART OPEN CAMPAIGN FOR COMPETITIVE BIDDING JE 13, 25-2
 ON INDUSTRIAL ISSUES J 3, 14-3
 SEC RULES ADVISERS ON SECURITIES CAN'T BID ON SAME SECURITIES J 3, 14
 NY EXCHANGE SUBMITTS NEW RULES FOR FLOOR TRADING AG 1, 24-4
 ALIEN STOCK TRANSACTIONS SINCE JA 1940 TO BE EXAMINED BY US

---1945---

PHILLY-SEC DECISION ON FLOOR TRADING BAN DUE SOON AG 9, 34-5
PROHIBITION FLOOR TRADING BEING WITHHELD BY SEC AG 28, 18-5
SECURITIES DEALERS ASSN. ACCUSED OF CURBING SALESMEN. AG 30, 30-8
NATL. ASSN. SECURITIES DEALERS CHG. WITH CURBING SALESMEN. AG 31, 29-2
FED. RESERVE BD. EASES REGULATIONS BANNING USE OF CREDIT TO PURCHASE SECURITIES DEC. 1. J 13, 34-5
---1947---

WALL STREET HOPING 1947 WILL BRING MODIFIED RESTRICTIONS ON SECURITY TRADING JA 3, 29-4 BS 2

---1948---

WALL STREET HAS BITTER TASTE FAMINE IN MOUTH THESE DAYS MR 1, 23-6
GREATEST BUYING RUSH SINCE 1929 SWEEPS MKT MY 15, 1-6F
MARKET SWAMPED BY HEAVIEST BUYING IN YEARS MY 16, 4-15-2
NATL. ASSN. OF SECURITIES DEALERS SOON TO APPT. COMM. TO STUDY OVER-COUL F 10, 50-5
ER MARKET D 2, 1-4 LT FINAL

---1949---

STOCKS JUMP-MOST SINCE NO 10 1948

---1951---

NY BROKERS ASSN. PROTESTS INCREASE IN COMMISSIONS FOR ODD-LOT TRADE AG 8, 41-1 BS#

---1952---

SEC DISCONTINUES DAILY REPTS ON ODD-LOT TRADING NY EXCHANGE AP 9, 28-1
MY 20, 1-1BS2

STOCKS ADVANCE IN ALL SECTIONS-SALES BEST SINCE MY 8

---1953---

'53 MARKET SLOWS OFF AT OUTSET OF EISENHOWER ADMINISTRATION JA 26, 9-8

PETER B. WILLIS, 10 YRS ODD, BUYS ONE SHARE OF ARGUS OF STOCK EXCHANGE	MR 5, 7-3 STOCK ON FLOOR JL 24, 32-5
NY STOCK EXCHANGE BOOSTS BROKERS COMMISSION RATE	AG 24, 1-8 BB
NY STOCKS BREAK IN SELLING WAVE	S 30, 54-6
FAVORITE 50 STOCKS LISTED BY BIG FUNDS-TUTTLE	O 16, 48-8 5*
BD. OF GOVERNORS OF NY STOCK EXCHANGE COMES UP WITH NEW PLAN FOR HIK- ING COMMISSIONS ON STOCK TRANSACTIONS	O 30, 30-1
MEMBERS OF NEW YORK STOCK EXCHANGE VOTE TO INCREASE COMMISSION RATES THEY CHARGE FOR BUYING OR SELLING SECURITIES	N 13, 50-8
INSTALLMENT STOCK BUYING PLAN DEVISED	N 26, 88-1
TWO U OF M. PROFESSORS, DR. FRANK SMITH & DR. WILFORD J. EITEMAN EARN \$2 MILLION IN ABOVE PLAY-SHOENFIELD	MR 2, 30-6
-----1954-----	F 4, 14-6
SMALL INVESTORS CHOOSE FAVORITE DOZEN STOCKS LISTED	MR 29, 30-6
-----1955-----	
FLOOR TRADERS REMINDED ORDERLY MARKET IS GOAL BY NEW YORK STOCK EXCH- ANGE	
LITTLE INVESTOR GROWS IN "AGE OF OPTIMISM"-KLEINER	

—1955—

Ap 27, 38-8 6*

SEC TOPUT CLAMP ON PENNY URANIUM MINING STOCK

Ap 29, 44-6 6*

NYC-TWO TOP OFFICIALS OF NEW YORK STOCK EXCHANGE ASK THEIR MEMBERS TO
BLOCK STOCK TIPSTERS

My 31, 29-1

SALT LAKE CITY-PENNY TRADING IN URANIUM STOCKS FADES AS BIG OPERATOR-
MAKE MARKET HOTTER-HAROLDSEN

Je 8, 66-7

NEW YORK STOCK EXCHANGE PLANS NEW STUDY OF ROLE OF BANKS & TRUST FIRM
IN THE STOCK MARKET

Je 28, 38-8

FRB & SEC. & EXCHANGE ENDORSE OBJECTIVES IN A BILL BY SEN. FULBRIGHT
TO EXTEND FED. POLICING TO UNLISSED SECURITIES

Je 30, 33-5 5*

JEWS CLASH ON POLICING OF TRADING OF STOCKS

Ag 10, 35-5

DETROIT INVESTORS ACTIVE; CREDIT REASONABLE, EXCHANGE REPORTS-TUTTLE

F 28, 1-5

-----1956-----

NYC-NY STOCK EXCHANGE CARRIES NATION-W DE REPORTS OF MORE THAN HA HAL
MILLION DOLLARS IN FAKE TRADES THAT NEVER WERE MADE

F 29, 50-6

NYC-NEW YORK STOCK EXCHANGE MAKES CHANGES IN ITS PROCEDURE TO BAR R
PETITION OF FAKE TRANSACTIONS REPORTED ON THE TICKET F 26TH

F 29, 1 4 LF

Mr 1, 63-3

TICKER LAGS ON NEWS (KE WILL RUN-TUTTLE
HEAVY TRADING AFTER (KE SAYS HE'LL RUN-

SEC TIGHTENS RULE GOVERNING SMALL STOCK ISSUES & PROPOSES NEW RULE
 AIMED AT "PENNY STOCK" ISSUES BY NEW COS

JL 23, 30-1 6

S 19, 75-6 5

CONTINUED "TUG OF WAR" SEEN FOR STOCK MARKET
 BEARS SAY THEY ARE GAINING FOLLOWERS IN THE STOCKMARKET TREND-DAWSON

S 24, 34-6

N 26, 10-1 1H

SEC & NEW YORK STATE'S ATTY. GEN. JACOB JAVITS TELL OF 10 POINT GUIDE
 PUBLIC TO GUARD AGST FRAUDULENT STOCK SALES

D 27, 41-1

NYC-NOBODY SHOWS AT SEC HEARING ON "PENNY" STOCKS
 SEN. BANKING COMM FINDS INSTITUTIONAL BUYING LED \$53-55 STOCK BOOM

D 28, 26-5

---1957---

JA 2, 53-2 LF

J SINCLAIR ARMSTRONG ASKS INCREASING VIGILANCE BY GOVT. MARKET WATCH
 DOGS

MY 17, 56-6

JOHN A. HUHN, BROKER, COMMENTS ON ABOVE-TUTTLE
 BILL TO IMPOSE MORE FEES ANNUALLY ON STOCK TRADING APPROVED BY SEN.
 BANKING COMM.-

JL 10, 33-1 5

N 25, 20-8

SEC WARNS WOULD BE INVESTORS NOT TO BUY STOCKS FROM "HIGH PRESSURE
 TELEPHONE SALESMEN"

-----1958-----

F 5, 26-6

SURVEY BY NY STOCK EXCHANGE REPORTS PEOPLE WHO DEAL IN SMALL QUANTITIES
 OF STOCKS OR "ODD LOTS" ARE MORE INCLINED TO BUY THAN TO SELL

-----1958-----

F 13, 30-1

INVESTMENT FIRMS CONTINUE BUYING STOCKS

F 14, 34-7

NYC-STOCK DROP FAILS TO HALT SMALL BUYER

J 24, 40-8

ODD-LOT SALES TO PURCHASES

AG 1, 31-4

NYC-BIG BOARD SALES AT 3½ YEAR HIGH

S 29, 18-7

INFLUX OF SMALL INVESTORS IN STOCKS-J.HAYDEN

O 15, 34-4 1H

HEAVY SALES HIT MARKET; BILLIONS LOST

N 13, 48-5 CITY

SHORT-TERM STOCK DEALS ON HIKE

D 5, 50-5 LF

F.L.JACOBS CO.STOCK DROPPED BY NEW YORK STOCK EXCHANGE

D 19, 34-6

EXPERTS CALL BULL MARKET TOP '58 BUSINESS EVENT

-----1959-----

MR 11, 30-5 5*

NYC-NEW YORK STOCK EXCHANGE LIFTS BAN ON ACCEPTANCE OF STOP ORDERS

ARTLOOM INDUSTRIES INC.COMMON

MR 13, 52-5

SEC PERMITS OVER THE COUNTER TRADING TO RESUME IN RON AMI CO.COMM

EFFECTIVE TODAY

MR 14, 1-3

FED.RES.BD. PLANS NEW CLAMP ON CREDIT IN STOCK MARKET

AP 8, 32-1

NEW YORK STOCK EXCHANGE TO HOLD SPECIAL ADVERTISING DRIVE THIS MONTH

TO CAUTION PUBLIC TO INVEST ONLY ON A SOUND BASIS

STOCKS: TRADING

1959

- CHICAGO-SEC ASKS CURB ON EXCHANGE FLOOR TRADE My 6, 36-5
- FRR PUTS CLAMPS ONSTOCK LOANS My 13, 76-5
- SEC IS TAKING LOOK AT AN OBSCURE BUT INTERESTING SECTION OF FINANCE-- My 25, 30-7
- PUT AND CALL TRANSACTIONS JL 17, 37-1
- INDIVIDUALS STILL DOMINANT INFLUENCE IN STOCK MARKET-LIVINGSTON
- INVESTORS MAY GET OV-R-COUNT-R RIDE-PHALON S 22, C-11-5
- NY STOCK EXCHANGE STARTS NEW POLL ON STOCK BUYING S 29, B-6-8 LF
- F.D.RESERVE BD.BROADENED ITS REQUIREMENT FOR REPORTING OF LOANS USED D 16, C-20-3 5*
- TO FINANCE PURCHASE OF STOCK ---1960--- F 26, C-11-5
- NY STOCK EXCHANGE VOTED TO SUSPEND TRADING & GOLD & STOCK TELEGRAPH Co. Mr 14, B-5-2
- NEW BUYERS UPSET BY DROPPING MARKET-LIVINGSTON My 18, A-1-5 LF
- LATE SELLING RISING TRADING VOLUME AT 19 MO.HIGH JE 22, C-13-1
- FAMILIES LAGGING AS STOCK BUYERS-LIVINGSTON AG 12, R-12-5
- NYC-STOCK BUYERS OF TODAY-CANNEL ---1961--- Mr 9, C-12-5
- NY STOCK EXCHANGE BARS USE OF STOP ORDERS IN TRADING

---1961--- Ap 5, B-9-6

KEITH FUNSTON WARNS OF WILD STOCK MARKET OF TODAY & WARNS AGST IT

JA 26, B-11-7

NEW YORK STOCK EXCHANGE RESCINDS PROHIBITION AGST ACCEPTANCE OF STOP
ORDERS ON COMMON STOCK OF AVNET ELECTRONICS CORP, ETC

S 10, B-6-1

TIPS ON BUYING STOCKS-ARTICLE BY G. KEITH FUNSTON-HEAD OF NY STOCK EX-
CHANGE

N 27, B-6-5

N 28TH LAST DAY TO "DOUBLE UP" ON STOCKS-SHULSKY

---1962---

JA 7, C-6-7

MODEST DECLINE IN TRADING MARKED AN END OF '62-TUTTLE My 23, C-21-8

BROKERAGE HOUSE OF STEARNS & CO. ACCUSED OF PUSHING STOCKS

My 28, A-1-8 LF

MIGHTIEST AVALANCHE OF SELLING IN 32 YRS SLUGS STOCK MARKET INTO
SEVERE LOSS

My 29, A-1-6

TRADING BEGINS AT HEAVY PACE ON NY STOCK EXCHANGE

My 29, A-1-6 LF

SEC TRYING TO FIND OUT WHO IS BUYING AND SELLING STOCKS DURING CURRENT
FEVERISH TRADING

JN 1, A-1-5

NY STOCK SPURT EASING OFF IN OPENING TRADING TODAY FROM SPURT OF MY 31

JN 2, B-9-5

NY-TRADING CLOSED ON SAT. BUT BROKERS WORK TO CLEAN UP PAPER WORK

STOCKS - TRADING

1962

NY STOCK EXCHANGE REPORTS INSTITUTIONS WERE BUYING DURING HIGH-VOLUME TRADING DAYS IN MAY WHEN STOCK MARKET BROKE RADICALLY, THEN RALLIED

JE 15, A-1-4

SEC EXPANDING INVESTIGATION OF TRADING IN UNLISTED STOCKS TO COVER DEALING DURING THIS YEAR'S SHARP STOCK MARKET BREAK

JL 9, B-6-5

JL 20, C-11-5

SEC TO STUDY DEALS OF EXCHANGE MEMBERS DURING STOCK MARKET PLUNGE ON MAY 28

AG 21, D-8-5

SEC EXPANDING STUDY OF OVER-THE-COUNTER TRADING IN SECURITIES LISTED ON NEW YORK STOCK EXCHANGE

S 18, B-8-8

GOVT. SERVED NOTICE THAT IT EXPECTS TO SEEK LEGISLATION TO TIGHTEN UP ADMINISTRATION OF STOCK MARKET CREDIT

O 22, A-1-8

HEAVY SELLING HITS STOCK MARKET IN WAKE OF WASHINGTON REPORTS OF INTERNATIONAL CRISIS

O 29, B-6-5

NY STOCK EXCHANGE PLANS MORE INTENSIFIED SHORTHAND ON TICKER TAPE TO REDUCE QUOTATION LAGS DURING BURSTS OF HEAVY TRADING

N 14, C-18-8

SMALL INVESTORS COMING BACK INTO STOCK MARKET IN SIZEABLE NUMBERS

---1963---

JA 25, B-10-8

EDWIN D. ETHERINGTON URGES CO. WHOSE STOCK IS TRADED ON AMERICAN STOCK EXCHANGE TO MAKE STOCK DATA AVAILABLE AS SOON AS POSSIBLE

--1963--

F 6, C-8-8

SEC SIMPLIFIED PROCEDURES FOR SUSPENSION OF TRADING & DELISTING OF SECURITIES ON NATIONAL SECURITIES EXCHANGES

Mr 12, C-8-5

NYSE STOCK EXCHANGE CIRCULATES LETTER REMINDING MEMBERS THAT THEY SHOULD TAKE CARE NOT TO MISLEAD CUSTOMERS ABOUT WHAT STOCKS MIGHT DO

Ap 29, B-6-5

NY-TRADING ON AMER. STOCK EXCHANGE IN JAN-MARCH QUARTER FELL ABOUT 25 PCT. FROM LAST YEAR'S 1ST QUARTER PACE

MY 1, B-11-6

SEC TO ASK CONGRESS FOR INCREASED AUTHORITY TO POLICE OVER-THE-COUNTER TRADING IN SECURITIES

Je 4, B-12-5

STOCK MARKET CREDIT CLIMBING

JL 10, D-7-7

SEC PROPOSALS FOR EXTENDING FED SUPERVISION OF SECURITIES TRADING TO OVER COUNTER DEALING IN STOCKS WON OK OF SENATE BANKING SUBCOMMITTEE

JL 31, C-10-5

SENATE PASSED & SENT TO HOUSE LEGISLATION TO IMPOSE STRICTER FEDERAL CONTROLS OVER STOCK TRADING IN OVER-THE-COUNTER MARKET

S 5, D-10-6 FIN 5

3 HEAVILY TRADED STOCKS ARE SUBJECT OF WALL STREET GUESSING-SYNTEX CORP., SPERRY RAND CORP & MARLIN-ROCKWELL CORP.

D 6, C-11-5

WILL BE NO FURTHER CONSIDERATION THIS YR OF SECURITIES & EXCHANGE COMMISSIONS REFORM PROPOSALS FOR STOCK TRADING --1964--

JA 10, A-1-3

NY-WITH SOME HESITATION, WALL STREET ANALYSTS RECOMMENDING "BUY" FOR CIGARET STOCKS-SEDERBERG

1964

STOCKS - TRADING

TRADING DELAYED IN 4 STOCKS ON AMERICAN STOCK EXCHANGE JA 17, D-5-6
 NY-"DIARY" OF AMERICAN STOCK EXCHANGE TRADING IN "HOT" ISSUES SINCE JA 23, D-6-4
 FIRST OF YR LISTED-COULD JA 24, C-5-1
 SEC SAYS NY STOCK EXCHANGE "SPECIALISTS" IN CERTAIN STOCKS FAILED TO MEET RESPONSIBILITIES DURING PANIC SELLING AFTER DEATH OF JF KENNEDY MR 16, B-8-5
 NY-NY STOCK EXCHANGE & SEC DIFFER ON FLOOR TRADERS-EXCHANGE SAY MARKET NEEDS FLOOR TRADERS & SEC SAID THEY SERVE NO USEFUL PURPOSE R 17, C-8-5
 NY-AMERICAN STOCK EXCHANGE JOINED NY STOCK EXCHANGE IN OPPOSING SEC PROPOSAL TO ELIMINATE OR RESTRICT FLOOR TRADING MR 23, B-9-1
 NY-SEC PLANNING TO TIGHTEN RULES GOVERNING FLOOR TRADERS AP 9, A-22-1
 WASH.-SEC TO PUT RIGID CONTROLS ON FLOOR TRADERS IN NY STOCK EXCHANGE AP 10, C-24-1
 NY-EXCHANGE COMM. RULED THAT ORDINARY TRADER IN STOCKS GET SERVED IN MARKET PLACE BEFORE STOCK EXCHANGE MEMBERS CAN SERVE THEMSELVES-~~BRXX~~ DAWSON AP 11, A-8-1
 LUCIEN G. HOOPER OF W.E. HUTTON & CO SPOKE TO FINANCIAL ANALYSIS SOCIETY OF DET ON PLAYING STOCK MARKET-SMITH

—1964—

NY 10, D-8-3

WASH.-AMERICAN STOCK EXCHANGE BALKED AT ACCEPTING SEC PLAN FOR RESTRICTING FLOOR TRADERS

JE 3, C-18-1

WASH.-SEC FORMALLY ADOPTS RULE PUTTING SHARP RESTRICTIONS ON FLOOR TRADING ON NY STOCK EXCHANGE, EFFECTIVE AUG. 3

JE 10, D-7-3

EDFORD, N.J.-LENAPE REGIONAL HIGH SCHOOL OPERATING STUDENT STOCK PROGRAM

D 11, D-13-1

WASH.-SEC MAPPING TIGHTER CONTROLS ON 'INSIDER' STOCK DEALS

—1965—

F 14, D-11-4

WASH.-U.S. APPEALS CT. REFUSED BY PACIFIC NORTHWEST STOCKBROKERS TO BLOCK PAPER PUBLICATION OF WHOLESALE QUOTS OVER COUNTER TRADING BY NASD

MR 6, A-8-4

SEASON PATTERNS AS GUIDES MAY BE PROFITABLE FOR STOCK TRADERS-SMTH

NY 25, B-7-2

NY-PICKING STOCKS AT RANDOM PROVES PROFITABLE IN IV. OF CHIC RECENT STUDY SHOWS GRAVES

JE 1, C-6-5

NY-STUDY BY NY STOCK EXCHANGE OF TRADING ON SINGLE DAY SHOWS THAT INDIVIDUAL TRANSACTIONS DROPPED TO LESS THAN $\frac{1}{2}$ OF MARKET'S VOLUME

S 23, A-1-1

NY-STOCK EXCHANGE HAD BIGGEST OPENING HOUR IN HISTORY

S 23, D-10-5

NY-STOCK PRICES RISE AS BOARD VOLUME HITS RECORD

S 23, A1-3 6*

NY-TRADING HEAVY ON NY STOCK EXCHANGE TODAY WITH VOLUME TOTALING 9,900,000 SHARES-7TH LARGEST TOTAL IN HISTORY OF THE EXCHANGE

STOCKS - TRADING

1965

S 29, A-1-6 6*

NY-VOLUME STOCK TRADING TOPS MARK SET IN OCT 1929

---1965---

N 4, C-11-2

NY-NY STOCK EXCHANGE MOVED TO DAMPEN SPECULATION INCERTAIN STOCKS BY INCREASING REQUIRED AMT COLLATERAL TRADERS MUST HAVE IN ACCOUNTS

D 6, A-1-6 6*

NY-FEDERAL RESERVE PD ANNOUNCEMENT OF HIGHER INTEREST RATE SENT MARKET SKIDDING AT OPENING OF TRADING TODAY BUT REGAINED MUCH OF LOSS BY TIME NY STOCK EXCHANGE CLOSED

D 7, A-1-1 6*

NY-STOCK MARKET CLOSED SHARPLY HIGHER TODAY IN RALLY FROM D6 SELLOFF ON NEWS OF BANK DISCOUNT RATE BOOST

D 9, D-7-1

NY-SOME BROKERS ADMIT MUCH OF SHARP DROP IN PRICES D6 & LARGER ADVANCE D7 WAS ARTIFICIAL-STIRRED BY OLD WALL STREET PROS-DAWSON

---1966---

F 5, A-8-3

WASH-11 COMPANIES BANNED FROM TRADING BY SEC IN 1965

MR-1, B-7-6

NY-CONTROLLER MARIO A. PROCACCINO PROPOSED 1% CITY SALES TAX ON STOCK TRANSFERS IN NYC TO BRING CITY \$500 MILLION MORE A YEAR

MR 22, D-8-6

NY-CLOSED-END INVESTMENT CO LISTED ON NY STOCK EXCHANGE SOLD MORE THAN THEY BOUGHT IN '65

--1966--

MR 26, A-8-7

NY-TOTAL 7,880,000 TRANSACTIONS ON NY STOCK EXCHANGE FOR YR. TO OVER HALF-BILLION MARK

MR 24 PUSHED VOLUME

MR 30, C-23-4

NY STOCK EXCHANGE SAID OWNERS GM CORP. STOCK IN 1939 CAN NOW BUY 2 CARS WITH STOCK DIVIDEND

AP 22, A-1-1 5*

NY-NEW YORK AND AMERICAN STOCK EXCHANGES MADE NEW REGULATIONS TO RESTRICT USE OF CREDIT IN BUYING STOCK

MY 1, R-13-6

NY-STOCK MARKET DECLINES AS TRADE HITS 6-WK LOW

MY 5, C-20-1

JULES BACKMAN SPOKE ON ABOVE AT 51ST ANNUAL INTERNATIONAL CONV OF NATL ASSN OF PURCHASING AGENTS-GILL

MY 7, A-8-4

VOLUME OF TRADING HEAVIEST IN NEARLY 3 YRS

MY 6
MY 27, D-6-5

WASH-SEC TO WATCH FOR CO. HEAVY BUYING IN OWN STOCK

JE 10, C-5-1

CONTINENTAL SECURITIES CO WHICH SELLS UTILITY STOCKS XX IN MICH & 3 OTHER STATES ENGAGED IN PRACTICE OF CHARGING CUSTOMERS MORE MONEY THAN THEY WOULD PAY IF THEY WENT TO ALMOST ANY OTHER BROKER-ISN'T ILLEGAL-GILL

JE 28, B-13-2

NY-FUNSTON SAYS VOLUME UP BUT BROKERS LOSING MONEY

AG 11, C-8-6

NY SECURITIES & EXCHANGE COMMISSION (SEC) BEGINS STUDY OF ODD-LOT TRADING

STOCKS: TRADING

1966

NY-ROLE OF BIG FUND STOCK RISES AGAIN

D 16, D-5-5

WASH-INSTITUTIONAL BUYING OF STOCK DIPS

D 22, C-6-1

--1967--

MR 20, C-8-1

KING POINT, NY-MESHULAM RIKLIS MADE \$2 MILLION PROFIT BY BUYING
& SELLING STOCK IN SCHENLEY INDUSTRIES

AP 18, D-8-1

NY-COMPUTERS BECOME NEW FORCE IN INFLUENCING MARKET TRENDS-

MY 17, C-21-6

NY-WM MCCHESENEY MARTIN JR SAYS HE SEE POISONOUS QUALITIES=
REMINISCENT OF 1920S IN ABOVE PRACTICES OF MUTUAL FUNDS &
OTHER INSTITUTIONAL INVESTORS

AG 17, C-8-1

WASH-DART-BOARD PLAN FOR PICKING STOCK WORKS FOR SENATOR
THOMAS J. MCINTYRE

S 8, B-7-3

HARTFORD, CONN-MANUEL F. COHEN OF SEC SAID ABILITY OF NY
STOCK EXCHANGE & MEMBERS TO HANDLE ACCELERATED VOLUME
STOCK TRADING IS NEARING DANGER POINT

--1968--

N 10, F-1-1

ONE MAN'S TRAGEDY ON WALL STREET WHEN HE GAMBLER HIS CAPITAL BY OVERTRADING
ON MARGIN-BORROWED MONEY-LIVINGSTON

----1968---

D23, C-4-1

INTERNATIONAL INVESTMENT FUND IS EYED BY MERRILL LYNCH FIRM

---1969---

JA 11, A-8-4

GOVERNORS OF THE NYSE VOTED TO SUSPEND TRADING IN 26 SPARSELY HELD PREFERRED STOCKS OF 18 COMPANIES UNTIL JA. 17.

JE 25, C-21-1

ANALYSTS OF DREXEL HARRIMAN RIPLEY INC. DISCUSS OIL, TIRE, TRUCKING STOCKS + W. B. SMITH

JL 3, A-6-2

WASHINGTON-SEC ALERTED THE SECURITIES INDUSTRY TO ITS GROWING CONCERN OVER MANEUVERS INVOLVING SPIN OFFS AND SHELL CORP.

O 2, C-9-3

NY-PAINE, WEBER, JACKSON & CURTIS USES COMPUTER IN TRADING STOCKS--

D22, D-9-1

SEC SUSPENDING TRADING IN STOCKS OF 7 FIRMS.

---1970---

JE 24, A-1-1

WHY CHRYSLER STOCK TRADING WAS DELAYED. - SMITH

JE 24, C-13-1

SANTA BARBARA- ROBERT W HAACK ASKS SURCHARGE ON SECURITIES BE EXTENDED 90 DAYS.

STOCKS: TRADING

1971

JA 22,A-1-4 LF

STOCK MARKET CLOSED HARPLY HIGHER IN HEAVIEST VOLUME IN HISTORY

JA 24,E-10-6

MARKET PRICES SHOT FORWARD AS MORE INVESTORS BEGAN POURING IN FUNDS--BURKE

F8,A-14-1

HUGE STOCK TRADE SETS NYSE RECORD.

F9,C-7-1 100T

NYSTOCK MARKET SETS 3 RECORDS- GREER.

JE 21, D-8-1

NY-JAPANESE MXY PURCHASE U.S. STOCKS. OVER COUNTER TRADING SETS RECORD IN QUARTER

JL12,D-9-3 100T

AG 16,A-1-1 LF

MARKET SOARED TO SINGLE ALLOTIME DXY RECORD INPRICE GAINS & TRADING VOLUME FOLLOWING PRICE-WAGE FREEZE ANNOUNCEMENT

AG 17,A-6-1

BIG INVESTORS GAVE PRES NIXON'S ECONOMIC MESSAGE ROUING RECEPTION

D6, D-5-6

LOSE MONEY IN STOCKS? U EWARE OF WORSE RISK- LOEB

-----1972-----Mr 2, C-11-61DOT

COOLING OFF PERIOD OF 3 DAYS TO BE IMPOSED
BETWEEN DATE OF NEW STOCKS AND START OF AFTERMARKET
TRADING. Mr 3, C15-6

FINANCIAL ANALYSTS FEDERATION URGE MORE DISCLOSURES IN
PROSPECTUSES OF COMPANIES WHICH WANT TO GO PUBLIC.

AG16, D-9-6 1DOT

WASH---SEC PLANS TO GIVE COMPANIES BETTER GUIDANCE AS TO
WHEN THEY CAN MAKE PRIVATE PLACEMENTS OF THEIR STOCK

--1973--

MY 13, E-7-1

FUND DOMINATED MARKET STARTS ALARM ON WALL STREET.

JE 6, C-9-2

MUTUAL FUND SPOKESMAN ROBT. L. AUGENBLICK PREDICTS RECOVERY
FOR FUNDS AND STOCK MARKET

JE 14, D-14-1

ENERGY CRISIS SPURS STOCKS. SMITH

JE 19, C-6-4

HOW PRICE FREEZE CHILLS FUTURES MART. SMITH

O 7, E-7=1

DR MORTON SHULMAN TELLS INVESTORS HOW HE MADE MILLIONS ON
MARKETS. COURTENAY

-1987-

Ag 17, D_1-2

Pension checks rise along with stock indexesKerwin

S 3 C-1-5

DC-
Takeover study hits arbitragers; pre-merger investment
called conflict of interest.

S 9 G-1-1

Dow's slide shakes investors.

S 23, C-1-6

NY-record Dow gain revives market-GIVAN 07, A-1-1

Dow takes record 91.55 point dive. 0 15 A-1-1

Glum deficit news send stocks on record skid.

0 19, A-1-1

NY-jitters as market reopens after 'correction'-Menezes

0 20, A-1-1

NY-NYSE to stay open during sell-off 0 20, A_7-1

Some tight, some panic-Martelle & Markiewicz 0 20, A-6-5

~~NY-brokers appetites sag too, restaurants complain~~

0 20, A-6-5

DC-fears of 1929 rekindled in Great-Sell-Off-Johnson

0 20, A 6-1

Variety of investments still available-Sedgwick

0 20, A-1-6

Broker Robert A Hamilton tells clients not to panic-Vlas

0 20, A-1-1

These kids are going to learn a lot-Powers 0 20, A-1-6

Shock waves hit worldwide-Kerwin 0 21, A-11-3

Heavily bet 508 not o lottery winner 0 21, A-12-1

CLients praise broker Robert A Hamilton's restraint-Vlas

0 21, C_1-5

Companies rush to buyas back stock as prices plunge-Vlastic

1-987-

0 19, A 1-1 4dc

NY-DJ drops more than 200 pts-Menezes

0 20, A-1-6 4dc

NY-Fed pledges liquidity prop=Lerwin

0 22, A-1-5

NY-Mich firms find stocks rebounding

0 22, A-1-6

Analysts disagree on impact of comeback-Kerwin

0 22, E-1-2

Dayton Hudson joins buyback wave-VLasic

0 22, E-1-6

Olde& Co tells buyers half in cash-Markiewicz

0 22, A-1-4 4dot

NY-speculators seem to be betting on decline

0 23, A 1-1

Market suffers relapse, closes early for breather-Kerwin

0 25 A-1-1

Investors feel aftershocks of jittery week.-Martelle&Vlas

0 25 A-1-1

Here's what happened & why.

0 25 A-10-3

NY-Stock exchange employees face paperwork backlog. - - -

0 25 J-2-1

NY-Search for answers to crash focus on giant computers
that can instantaneously buy or sell huge numbers of stock.

0 26 A-1-5

NY-Wall Street working overtime to catch up. 0 26 C-6-1
Schoolchildren bullish on stocks despite bear market.--Saw-
yers

0 26 D-1-2

Ann Arbor-Investment clubs steer steady through mkt's gyra-
tions--Vlasic

0 26 D-1-3

Lans.-Tense state treasurer goes bargain hunting with pen-
sion funds.--Ch.Cain

0 26 A-1-1 4-dot

NY-Traders say sellout is still on.

0 27 A-1-5

NY-Battered market tumbles again.

0 27 A-1-5 3-dot

NY-Market bounces back, inches forward.

0 28 A-1-4

NY-Stocks post nervous rally.

-1987-

0 28 A-7-5

NY-Broker's slaying puts Wall Street on guard.

0 28 A-1-4

NY-Investors remain skittish after gain.

0 29 A-1-3

NY-Day's ups, downs take Dow nowhere.

0 29 A-1-5 3-dot

NY-Mkt opens higher after day of swings.

0 31 A-1-5

NY-Stock rally continues.

N 3 F-1-6

NY-Dow breaks 2,000 mark in calmest trading since crash
2 weeks ago.

N 4, C-1-2

NY-Dow down, dollar holds-Coy

N 4, C-3-1

NY-computer program trading curb eased

N 5, E 3-1

NY-DJ index off 18 after jittery day

N 9, A-1-5 NoDot

NY-Wall St remains vulnerable to more turmoil

N 10, F-1-5

Hot line number set up for investor complaints (800) 942- 90
22

N 10, F-1-5

NY-Dow Jones dropped 59 pts